

WITT EQUIVALENCE OF FUNCTION FIELDS OVER GLOBAL FIELDS

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ABSTRACT. Witt equivalent fields can be understood to be fields having the same symmetric bilinear form theory. Witt equivalence of finite fields, local fields and global fields is well understood. Witt equivalence of function fields of curves defined over archimedean local fields is also well understood. In the present paper, Witt equivalence of general function fields over global fields is studied. It is proved that for any two such fields K, L , any Witt equivalence $K \sim L$ induces a canonical bijection $v \leftrightarrow w$ between Abhyankar valuations v on K having residue field not finite of characteristic 2 and Abhyankar valuations w on L having residue field not finite of characteristic 2. The main tool used in the proof is a method for constructing valuations due to Arason, Elman and Jacob [1]. The method of proof does not extend to non-Abhyankar valuations. The result is applied to study Witt equivalence of function fields over number fields. It is proved, for example, that if k, ℓ are number fields and $k(x_1, \dots, x_n) \sim \ell(x_1, \dots, x_n)$, $n \geq 1$, then $k \sim \ell$ and the 2-ranks of the ideal class groups of k and ℓ are equal.

1. INTRODUCTION

Let K be a field. Denote by $W(K)$ the Witt ring of (non-degenerate) symmetric bilinear forms over K ; see [26], [28] or [46] for the definition in case $\text{char}(K) \neq 2$ and [18], [19] or [31] for the definition in the general case. Denote by $Q(K)$ the quadratic hyperfield of K ; roughly speaking this is the same thing as the quadratic form scheme of K [25] [26]; see Section 3 for the definition. We say two fields K, L are *Witt equivalent*, denoted $K \sim L$, if $Q(K) \cong Q(L)$ as hyperfields, equivalently, if $W(K) \cong W(L)$ as rings; see Proposition 3.2 below. Witt equivalent fields can be understood as fields having the same symmetric bilinear form theory.

Witt equivalence of finite fields and local fields is well understood. Witt equivalence of global fields is considered in [5], [34], [40], [41], [42]. Witt equivalence of function fields of curves defined over local and global fields is considered in [12], [20], [21]. (Note, however, that there is a serious error in the proof of Theorem 1.3 in [20], in the proof of (1.3.1) \Rightarrow (1.3.2).)

It is well-known that any hyperfield isomorphism $\alpha : Q(K) \rightarrow Q(L)$ carries orderings of K to orderings of L in the sense that if $P \subseteq K^*$ is the positive cone of an ordering of K then

$$Q = \{s \in L^* : \bar{s} = \alpha(\bar{t}) \text{ for some } t \in P\}$$

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is the positive cone of an ordering of L . Here, \bar{x} denotes the image of x under the canonical map $K^* \rightarrow K^*/K^{*2}$. This correspondence can also be deduced from the fact that orderings on K correspond to ring homomorphisms from $W(K)$ to \mathbb{Z} .

It is natural to wonder if a similar result holds for valuations, i.e., if the valuations of a field K can be detected by looking at the quadratic hyperfield $Q(K)$. At this level of generality the result is false. E.g., $\mathbb{C} \sim \mathbb{F}_2$ and $\mathbb{C}((x)) \sim \mathbb{F}_5$. In each of these examples, the first field has lots of non-trivial valuations, but the second field has only the trivial valuation. At the same time, there is a detection procedure which works for certain sorts of fields. E.g., if K, L are global fields of characteristic $\neq 2$, then any hyperfield isomorphism $\alpha : Q(K) \rightarrow Q(L)$ induces in a canonical way a bijection $v \leftrightarrow w$ between valuations v of K and valuations w of L ; see [5], [34], [40], [41], [42]. The main tool for setting up this bijection is a method of constructing valuations described in [1], which is based, in turn, on earlier constructions, of a similar sort, described in [14] and [44].

In the present paper we extend the above-mentioned result for global fields, proving that if K, L are function fields over global fields then any hyperfield isomorphism $\alpha : Q(K) \rightarrow Q(L)$ induces in a canonical way a bijection $v \leftrightarrow w$ between Abhyankar valuations v of K having residue field not finite of characteristic 2 and Abhyankar valuations w of L having residue field not finite of characteristic 2; see Theorem 7.4.

Our results are applied to study Witt equivalence of function fields over number fields; see Corollary 8.2, Theorem 8.6 and Corollary 8.8. It is proved, for example, that if $k(x_1, \dots, x_n) \sim \ell(x_1, \dots, x_n)$, where $n \geq 1$ and k and ℓ are number fields, then $k \sim \ell$ and the 2-ranks of the ideal class groups of k and ℓ are equal.

In Sections 2 and 3 we recall basic terminology which is used throughout the paper. In Section 4 we establish basic connections between quadratic hyperfields and valuations. In Section 5 we apply the result in [1] to understand the behavior of valuations under Witt equivalence; see Theorem 5.3. In Section 6 we recall the terminology of function fields, global fields and Abhyankar valuations, and we introduce the idea of nominal transcendence degree.

The main new results in the paper are found in Sections 5, 7 and 8.

2. HYPERFIELDS

A hyperfield is an object like a field, but where the addition is allowed to be multivalued. Hyperfields were introduced by Krasner [22], [23], in connection with his work on valuations. Hyperfields were also introduced independently in [29] where they were called multifields.

A *hyperfield* is a system $(H, +, \cdot, -, 0, 1)$ where H is a set, $+$ is a multivalued binary operation on H , i.e., a function from $H \times H$ to the set of all subsets of H , \cdot is a binary operation on H , $- : H \rightarrow H$ is a function, and $0, 1$ are elements of H such that

I. $(H, +, -, 0)$ is a canonical hypergroup, terminology as in Mittas [33], i.e.,

- (1) $c \in a + b \Rightarrow a \in c + (-b)$,
- (2) $a \in b + 0$ iff $a = b$,
- (3) $(a + b) + c = a + (b + c)$, and
- (4) $a + b = b + a$; and

II. $(H, \cdot, 1)$ is a commutative monoid, i.e., $(ab)c = a(bc)$, $ab = ba$, and $a1 = a$ for all $a, b, c \in A$; and

III. $a0 = 0$ for all $a \in H$; and

IV. $a(b + c) \subseteq ab + ac$; and

V. $1 \neq 0$ and every non-zero element has a multiplicative inverse.

Hyperfields form a category. A *morphism* from H_1 to H_2 , where H_1, H_2 are hyperfields, is a function $\alpha : H_1 \rightarrow H_2$ which satisfies $\alpha(a + b) \subseteq \alpha(a) + \alpha(b)$, $\alpha(ab) = \alpha(a)\alpha(b)$, $\alpha(-a) = -\alpha(a)$, $\alpha(0) = 0$, $\alpha(1) = 1$.

Here are some elementary consequences of the hyperfield axioms: (i) $-0 = 0$ (ii) $-(-a) = a$ (iii) $a + b \neq \emptyset$ (iv) $a(-b) = -(ab)$ (v) $(-a)(-b) = ab$.

Every field is a hyperfield. The simplest non-trivial examples of hyperfields are the quotient hyperfields. If T is a subgroup of H^* , where H is a field or hyperfield, the *quotient hyperfield* $H/mT = (H/mT, +, \cdot, -, 0, 1)$ is defined as follows: H/mT is the set of equivalence classes with respect to the equivalence relation \sim on H defined by $a \sim b$ iff $as = bt$ for some $s, t \in T$. The operations on H/mT are the obvious ones induced by the corresponding operations on H : Denote by \bar{a} the equivalence class of a . Then $\bar{a} \in \bar{b} + \bar{c}$ iff $as \in bt + cu$ for some $s, t, u \in T$, $\overline{\bar{a}\bar{b}} = \overline{ab}$, $-\bar{a} = \overline{-a}$. Also, $0 = \bar{0}$, and $1 = \bar{1}$. The group of non-zero elements of H/mT is H^*/T .

The hyperfield associated to an ordered abelian group $\Gamma := (\Gamma, \cdot, 1, \leq)$ is $\Gamma \cup \{0\} := (\Gamma \cup \{0\}, +, \cdot, -, 0, 1)$, where

$$a + b := \begin{cases} b & \text{if } a < b \\ a & \text{if } b < a \\ [0, a] & \text{if } a = b \end{cases},$$

$a \cdot 0 = 0 \cdot a := 0$ and $-a := a$. Convention: $0 < a$ for all $a \in \Gamma$.

A valuation on a field K is just a morphism $v : K \rightarrow \Gamma \cup \{0\}$, for some ordered abelian group $\Gamma := (\Gamma, \cdot, 1, \leq)$. If Γ is the value group of v , i.e., if v is surjective, then v induces an isomorphism $\bar{v} : K/mU \rightarrow \Gamma \cup \{0\}$, where U is the unit group of v .¹

See [30] for an example of a hyperfield which is not realizable as a quotient hyperfield of a field.

If $H = (H, +, \cdot, -, 0, 1)$ is a hyperfield, the *prime addition* on H is defined by

$$a +' b = \begin{cases} a + b & \text{if one of } a, b \text{ is zero} \\ a + b \cup \{a, b\} & \text{if } a \neq 0, b \neq 0, b \neq -a \\ H & \text{if } a \neq 0, b \neq 0, b = -a \end{cases}$$

In the next section we use the following result:

Proposition 2.1. For any hyperfield $H := (H, +, \cdot, -, 0, 1)$, $H' := (H, +', \cdot, -, 0, 1)$ is also a hyperfield.

Proof. We make use of the fact that $a + b \subseteq a +' b$. (1) Suppose $c \in a +' b$. If $c \in a + b$ then $a \in c + (-b) \subseteq c +' (-b)$. Otherwise, $a, b \neq 0$ and $c = a$ or $c = b$ or $a = -b$. In each of these cases, $a \in c +' (-b)$ is clear. (2) Since $b +' 0 = b + 0$ this is clear. (3) As explained in [29, Lemma 1.3], it suffices to show $(a +' b) +' c \subseteq a +' (b +' c)$, i.e., if $x \in y +' c$ for some $y \in a +' b$ then $x \in a +' z$ for some $z \in b +' c$. If $x \in y + c$ and

¹The foregoing example notwithstanding, in what follows we will always use the more standard additive notation for valuations, i.e., a valuation is a function $v : K \rightarrow \Gamma \cup \{\infty\}$, for some ordered abelian group $\Gamma := (\Gamma, +, 0, \geq)$.

$y \in a + b$ this is clear. Otherwise either $y, c \neq 0$ and ($x = y$ or $x = c$ or $y = -c$) or $a, b \neq 0$ and ($y = a$ or $y = b$ or $a = -b$). In the first case, if $x = y$ take $z = b$ if $b \neq 0$ and $z = c$ if $b = 0$; if $x = c$ take $z = c$; if $y = -c$ take $z = -a$ if $a \neq 0$ and $z = x$ if $a = 0$. In the second case, if $y = a$ take $z = c$ if $c \neq 0$ and $z = b$ if $c = 0$; if $y = b$ take $z = x$ if $x \neq 0$ and $z = -a$ if $x = 0$; if $a = -b$ take $z = b$. (4) is clear. II, III and V are clear. IV Suppose $x \in b + 'c$. If $x \in b + c$ then $ax \in a(b + c) \subseteq ab + ac \subseteq ab + 'ac$. Otherwise, $b, c \neq 0$ and $x = b$ or $x = c$ or $b = -c$. In each of these cases $ax \in ab + 'ac$ is clear. \square

We refer to H' as the *prime* of the hyperfield H . Observe that if T is a subgroup of H^* then $H'/_mT = (H/_mT)'$.

3. QUADRATIC HYPERFIELDS AND WITT EQUIVALENCE

Let K be a field. The *quadratic hyperfield* of K , denoted $Q(K)$, is defined to be the prime of the hyperfield $K/_mK^{*2}$.² Note that $Q(K)^* = K^*/K^{*2}$.

Proposition 3.1. Assume $\bar{a} \in Q(K)^*$. Then

- (1) $\bar{a}^2 = \bar{1}$.
- (2) If $\bar{a} \neq -\bar{1}$ then $\bar{1} + \bar{a}$ is a subgroup of $Q(K)^*$.³
- (3) If $K \neq \mathbb{F}_3, \mathbb{F}_5$ and $\text{char}(K) \neq 2$ then $Q(K) = K/_mK^{*2}$.

Proof. (1) $a \in K^*$ so $a^2 \in K^{*2}$. It follows that $\bar{a}^2 = \overline{a^2} = \bar{1}$. (2) If $\bar{0} \in \bar{1} + \bar{a}$, then $\bar{a} \in \bar{0} + (-\bar{1})$, so $\bar{a} = -\bar{1}$, which contradicts our assumption. This proves $\bar{1} + \bar{a} \subseteq K^*/K^{*2}$. Clearly $\bar{1} \in \bar{1} + \bar{a}$. Each $\bar{b} \in K^*/K^{*2}$ satisfies $\bar{b}\bar{b} = \overline{b^2} = \bar{1}$, so is its own inverse. Closure of $\bar{1} + \bar{a}$ under multiplication follows from the standard identity

$$(x_1^2 + ay_1^2)(x_2^2 + ay_2^2) = (x_1x_2 - ay_1y_2)^2 + a(x_1y_2 + x_2y_1)^2.$$

(3) It suffices to show $\forall b \in K, b \in aK^{*2} - aK^{*2}$. Scaling, we are reduced to the case $a = 1$. If $b \neq \pm 1$, the identity $b = (\frac{b+1}{2})^2 - (\frac{b-1}{2})^2$ shows that $b \in K^{*2} - K^{*2}$. Thus we are reduced to showing $\pm 1 \in K^{*2} - K^{*2}$. Scaling, we are reduced further to showing $1 \in K^{*2} - K^{*2}$. Since $K \neq \mathbb{F}_3, \mathbb{F}_5$, and $\text{char} K \neq 2$, $|K^*| \geq 6$, so there exists $b \in K^*, b^2 \neq \pm 1$. Then $b^2 = (\frac{b^2+1}{2})^2 - (\frac{b^2-1}{2})^2$, so, dividing by b^2 , $1 \in K^{*2} - K^{*2}$. \square

²This is the same object referred to in [29, page 458]. Roughly speaking, it is the quadratic form scheme of K , terminology as in [25] or [26], with zero adjoined.

³If $G = (G, -1, V)$ is an (abstract) quadratic form scheme, terminology as in [25], then $H = (H, +, \cdot, -, 0, 1)$, where $H := G \cup \{0\}$,

$$a + b := \begin{cases} a & \text{if } b = 0 \\ b & \text{if } a = 0 \\ a \cdot V(ab) & \text{if } a, b \neq 0, b \neq -a \\ H & \text{if } a, b \neq 0, b = -a \end{cases},$$

$a \cdot 0 = 0 \cdot a := 0$ and $-a := (-1) \cdot a$, is hyperfield satisfying (1) and (2) of Proposition 3.1, i.e., for all $a \in H^*$ (1) $a^2 = 1$ and (2) if $a \neq -1$ then $1 + a$ is a subgroup of H^* . Conversely, every hyperfield H satisfying (1) and (2) arises in this way, from some unique quadratic form scheme G . See [25, Theorem 1.4] for some equivalent descriptions of quadratic form schemes. The question of whether every quadratic form scheme is realized as the quadratic form scheme of a field appears to be still open.

The interest in $Q(K)$ stems from its connection to symmetric bilinear forms over K . One is mainly interested in the characteristic $\neq 2$ case. In this case, symmetric bilinear forms and quadratic forms are the same thing.

Denote by $W(K)$ the Witt ring of non-degenerate symmetric bilinear forms over K ; see [26], [28] or [46] for the definition in case $\text{char}(K) \neq 2$ and [18], [19] or [31] for the definition in the general case.

A (non-degenerate diagonal) *binary form* over K is just an ordered pair $\langle \bar{a}, \bar{b} \rangle$, $\bar{a}, \bar{b} \in K^*/K^{*2}$. The *value set* of such a form, denoted by $D_K\langle \bar{a}, \bar{b} \rangle$, is the set of non-zero elements of $\bar{a} + \bar{b}$, i.e., $D_K\langle \bar{a}, \bar{b} \rangle$ is the image under $K^* \rightarrow K^*/K^{*2}$ of the subset $D_K\langle a, b \rangle$ of K^* defined by

$$D_K\langle a, b \rangle := \begin{cases} K^* & \text{if } -ab \in K^{*2} \\ \{z \in K^* : z = ax^2 + by^2, x, y \in K\} & \text{otherwise} \end{cases}$$

Two binary forms $\langle \bar{a}, \bar{b} \rangle$ and $\langle \bar{c}, \bar{d} \rangle$ are considered to be *equivalent*, denoted $\langle \bar{a}, \bar{b} \rangle \approx \langle \bar{c}, \bar{d} \rangle$, if $\bar{c} \in D_K\langle \bar{a}, \bar{b} \rangle$ and $\bar{a}\bar{b} = \bar{c}\bar{d}$.

In terms of generators and relations, $W(K)$ is the integral group ring $\mathbb{Z}[K^*/K^{*2}]$ factored by the ideal generated by $[1] + [-1]$ and all elements

$$[a] + [b] - [c] - [d] \text{ such that } \bar{a}, \bar{b}, \bar{c}, \bar{d} \in K^*/K^{*2}, \langle \bar{a}, \bar{b} \rangle \approx \langle \bar{c}, \bar{d} \rangle.$$

See [19, Theorem 1.16 (iv) and Corollary 1.17] for the proof. Here, $[x]$ denotes the image of \bar{x} under the canonical embedding $K^*/K^{*2} \hookrightarrow \mathbb{Z}[K^*/K^{*2}]$.

A hyperfield isomorphism $\alpha : Q(K) \rightarrow Q(L)$, where K, L are fields, can be viewed as a group isomorphism $\alpha : K^*/K^{*2} \rightarrow L^*/L^{*2}$ such that $\alpha(-\bar{1}) = -\bar{1}$ and

$$\alpha(D_K\langle \bar{a}, \bar{b} \rangle) = D_L\langle \alpha(\bar{a}), \alpha(\bar{b}) \rangle \text{ for all } \bar{a}, \bar{b} \in K^*/K^{*2},$$

or, equivalently, as a group isomorphism $\alpha : K^*/K^{*2} \rightarrow L^*/L^{*2}$ which induces a ring isomorphism between $W(K)$ and $W(L)$. We say two fields K and L are *Witt equivalent*, denoted $K \sim L$, to indicate that $Q(K)$ and $Q(L)$ are isomorphic as hyperfields. For completeness and clarity we record the following:

Proposition 3.2. $K \sim L$ iff $W(K)$ and $W(L)$ are isomorphic as rings.

Proof. See [13] for the characteristic $\neq 2$ case. As remarked in [4], the Hauptsatz in [2] holds for all characteristics. The general case follows from this fact; see [28, Proposition 4.6]. \square

For fields of characteristic $\neq 2$, Witt equivalence is also characterized in terms of Galois groups; see [32, Theorem 3.8].

It is well-known that the Witt ring of a field K encodes the theory of symmetric bilinear forms over K . Witt equivalent fields can be understood as fields having the same symmetric bilinear form theory. The quadratic hyperfield $Q(K)$ encodes exactly the same information as the Witt ring $W(K)$. At the same time, it is a much simpler and easier object to deal with. Consequently, we will avoid all mention of Witt rings in what follows.

4. QUADRATIC HYPERFIELDS AND VALUATIONS

Let H_1, H_2 be hyperfields. Each morphism $\iota : H_1 \rightarrow H_2$ induces a morphism $\bar{\iota} : H_1/m\Delta \rightarrow H_2$ where $\Delta := \{x \in H_1^* : \iota(x) = 1\}$. The morphism ι is said to be a *quotient morphism* if $\bar{\iota}$ is an isomorphism, equivalently, if ι is surjective, and $\iota(c) \in \iota(a) + \iota(b)$ iff $cs \in at + bu$ for some $s, t, u \in \Delta$. A morphism $\iota : H_1 \rightarrow H_2$

is said to be a *group extension* if ι is injective, every $x \in H_2^* \setminus \iota(H_1^*)$ is *rigid* in the sense that $1 + x \subseteq \{1, x\}$,⁴ and $y \in H_1, y \neq -1 \Rightarrow \iota(1 + y) = 1 + \iota(y)$.

We assume now that K is a field. For a valuation v on K , Γ_v denotes the value group, A_v denotes the valuation ring, M_v the maximal ideal, U_v the unit group, and K_v the residue field. $\pi = \pi_v : A_v \rightarrow K_v$ denotes the canonical homomorphism, i.e., $\pi(a) = a + M_v$. We say v is *discrete rank one* if $\Gamma_v = \mathbb{Z}$. See [9], [11], [35] for background material on valuations.

We will be interested in the subgroup $T = (1 + M_v)K^{*2}$ of K^* .

Proposition 4.1. Suppose v is non-trivial and $T = (1 + M_v)K^{*2}$. Then:

- (1) $T \cup xT \subseteq T + xT$ for all $x \in K^*$;
- (2) $T - T = K$;
- (3) The map $Q(K) \rightarrow K/mT$ defined by $\bar{x} \mapsto xT$ is a quotient morphism.

Proof. (1) Pick $p \in K^*$ so that $v(p^2) > \max\{v(x), -v(x)\}$. Since we are assuming v is non-trivial this is always possible. Then $t = 1 + p^2x \in T$, so $1 = \frac{1}{t}(1 + p^2x) \in T + xT$, and, similarly, $p^2 + x = x(1 + \frac{p^2}{x}) \in xT$, so $x \in T + xT$. (2) Suppose $y \in K$. Pick $p \in K^*$ so that $v(p^2) < v(y)$. Then $y = p^2(1 + \frac{y}{p^2}) - p^2 \in T - T$. (3) In view of (1) and (2), $K/mT = (K/mT)'$, so this is clear. \square

Propositions 4.2 and 4.3 below are variants of old results of Springer [38], [39] couched in the language of quadratic hyperfields. Consider the canonical group isomorphism $\alpha : U_v K^{*2}/(1 + M_v)K^{*2} \rightarrow K_v^*/K_v^{*2}$ induced by $x \in U_v \mapsto \pi(x) \in K_v^*$. Define $\iota : Q(K_v) \rightarrow K/mT$ by $\iota(0) = 0$ and $\iota(a) = \alpha^{-1}(a)$ for $a \in K_v^*/K_v^{*2}$.

Proposition 4.2. Suppose v is non-trivial and $T = (1 + M_v)K^{*2}$. Then:

- (1) ι is a morphism;
- (2) ι is a group extension.

Note: The cokernel of the group embedding $\alpha^{-1} : K_v^*/K_v^{*2} \rightarrow K^*/T$ is equal to $K^*/U_v K^{*2} \cong \Gamma_v/2\Gamma_v$. For this reason we sometimes say that K/mT is a *group extension of $Q(K_v)$ by the group $\Gamma_v/2\Gamma_v$* .

Proof. (1) $\iota(ab) = \iota(a)\iota(b)$, $\iota(-a) = -\iota(a)$, $\iota(0) = 0$ and $\iota(1) = 1$ are clear. It remains to show $\iota(a + b) \subseteq \iota(a) + \iota(b)$. This is clear if one of a, b is zero, so we can assume $a, b \neq 0$. Scaling, we are reduced to showing $\iota(1 + a) \subseteq 1 + \iota(a)$ for all $a \in K_v^*/K_v^{*2}$. Represent a by an element $\pi(x)$, $x \in U_v$. Suppose $\pi(y) = \pi(p)^2 + \pi(q)^2\pi(x)$, $p, q \in U_v$, $y \in A_v$. Then $y = p^2 + q^2x + z$, $v(z) > 0$, so $y = p^2(1 + \frac{z}{p^2}) + q^2x \in T + Tx$. In view of parts (1) and (2) of Proposition 4.1, this proves (1).

(2) Clearly ι is injective. Suppose $y = t_1 + t_2x$, $t_1, t_2 \in T$, $x \notin U_v K^{*2}$. Then $v(t_1) \neq v(t_2x)$. If $v(t_1) < v(t_2x)$, then $y = t_1(1 + \frac{t_2x}{t_1}) \in T$. If $v(t_1) > v(t_2x)$, then $y = t_2x(1 + \frac{t_1}{t_2x}) \in Tx$. This proves the rigidity assertion. Suppose now that $y = t_1 + t_2x$, $t_1, t_2 \in T$, $x \in U_v$, $\pi(x) \notin -K_v^{*2}$. We want to show $\exists y' \in Ty \cap U_v$ such that $\pi(y') \in K_v^{*2} + K_v^{*2}\pi(x)$ or $\pi(y') \in K_v^{*2}$ or $\pi(y') \in K_v^{*2}\pi(x)$. If $v(y) > \min\{v(t_1), v(t_2x)\}$ then $x \in -T$, which contradicts $\pi(x) \notin -K_v^{*2}$. Thus $v(y) = \min\{v(t_1), v(t_2x)\}$. If $v(t_1) \leq v(t_2)$ take $y' = \frac{y}{t_1}$. If $v(t_1) > v(t_2)$ take $y' = \frac{y}{t_2}$. \square

⁴We are interested here in the case where the groups H_1^*, H_2^* have exponent 2. In this situation, $1 + x \subseteq \{1, x\} \Leftrightarrow 1 + x = \{1, x\}$.

Proposition 4.3. Suppose v is non-trivial, $\text{char}(K_v) \neq 2$, and $T = (1 + M_v)K^{*2}$. Then $K/_m T$ is naturally identified with $Q(\tilde{K}_v)$, where \tilde{K}_v denotes the henselization of (K, v) .

Note: The conclusions of Propositions 4.1, 4.2 and 4.3 also hold when v is trivial, provided $K \neq \mathbb{F}_3, \mathbb{F}_5$ and $\text{char}(K) \neq 2$.

Proof. Denote by \tilde{v} the extension of v to \tilde{K}_v . Since (\tilde{K}_v, \tilde{v}) is henselian and $\text{char}(K_v) \neq 2$, $1 + M_{\tilde{v}} \subseteq \tilde{K}_v^{*2}$. It follows that the embedding $K \hookrightarrow \tilde{K}_v$ induces a group homomorphism $\tau : K^*/T \rightarrow \tilde{K}_v^*/\tilde{K}_v^{*2}$. Since (\tilde{K}_v, \tilde{v}) is an immediate extension of (K, v) , one sees that τ is an group isomorphism. The image of $Q(K_v)^*$ in K^*/T under the group extension $\iota : Q(K_v) \hookrightarrow K/_m T$ is identified via τ with the image of $Q(K_v)^*$ in $Q(\tilde{K}_v)^*$ under the group extension $\iota : Q(K_v) \hookrightarrow Q(\tilde{K}_v)$. The conclusion follows from this. \square

If v is discrete rank one, one can replace henselization by completion in Proposition 4.3. The assumption in Proposition 4.3 that $\text{char}(K_v) \neq 2$ is crucial. One says that v is *dyadic* if $\text{char}(K) = 0$, $\text{char}(K_v) = 2$. The structure of $Q(\tilde{K}_v)$ when v is dyadic is complicated; see [26] or [28] for the case where K is a number field and [15] and [16] for the case where K is arbitrary.

Remark 4.4. Suppose v, v' are valuations on K with $v \preceq v'$, i.e., v' is a coarsening of v , i.e., $A_v \subseteq A_{v'}$. Then $M_{v'} \subseteq M_v$ so $(1 + M_{v'})K^{*2} \subseteq (1 + M_v)K^{*2}$. Denote by \bar{v} the valuation on $K_{v'}$ induced by v , i.e., $\bar{v}(\pi_{v'}(a)) = v(a)$, for $a \in U_{v'}$. Note that \bar{v} and v have the same residue field. See [35, Chapter C] for background. Assume now that v, v' are non-trivial and that v' is a proper coarsening of v . Then $K/_m(1 + M_v)K^{*2}$ is a group extension of the hyperfield $K_{v'}/_m(1 + M_{\bar{v}})K_{v'}^{*2}$ in a natural way, and the following diagram of hyperfields and hyperfield morphisms is commutative:

$$(4.1) \quad \begin{array}{ccccc} Q(K) & \longrightarrow & K/_m(1 + M_{v'})K^{*2} & \longrightarrow & K/_m(1 + M_v)K^{*2} \\ & & \uparrow & & \uparrow \\ & & Q(K_{v'}) & \longrightarrow & K_{v'}/_m(1 + M_{\bar{v}})K_{v'}^{*2} \\ & & & & \uparrow \\ & & & & Q(K_v) \end{array}$$

Here, the horizontal arrows are quotient morphisms and the vertical arrows are group extensions.

Let T be a subgroup of K^* . We say $x \in K^*$ is *T-rigid* if $T + Tx \subseteq T \cup Tx$.

$$B(T) := \{x \in K^* : \text{either } x \text{ or } -x \text{ is not } T\text{-rigid}\}.$$

Elements of $B(T)$ are said to be *T-basic*. Note that if $x \in K^*$ is *T-rigid* and $y = tx$, $t \in T$, then y is *T-rigid*. Consequently, $B(T)$ is a union of cosets of T . -1 is not *T-rigid* (because $0 \in T - T$), so $\pm T \subseteq B(T)$. We say that T is *exceptional* if $B(T) = \pm T$ and either $-1 \in T$ or T is additively closed.

We recall the result of Arason, Elman and Jacob alluded to in the introduction:

Theorem 4.5. *Let $T \subseteq K^*$ be a subgroup and $H \subseteq K^*$ be a subgroup containing $B(T)$. Then there exists a subgroup \hat{H} of K^* such that $H \subseteq \hat{H}$ and $(\hat{H} : H) \leq 2$*

and a valuation v of K such that $1 + M_v \subseteq T$ and $U_v \subseteq \hat{H}$. Moreover, $\hat{H} = H$ works, unless T is exceptional.

Proof. See [1, Theorem 2.16]. \square

We will apply Theorem 4.5 to study Witt equivalence of function fields over local fields and global fields. We make frequent use of the following:

Proposition 4.6.

- (1) $B(K^{*2})$ is a subgroup of K^* .
- (2) Suppose $T = (1 + M_v)K^{*2}$ for some non-trivial valuation v of K . Then $B(T) \subseteq U_v K^{*2}$ and

$$B(T) = \{x \in K^* : \bar{x} = \iota(\bar{y}) \text{ for some } y \in B(K_v^{*2})\},$$

where $\iota : Q(K_v) \hookrightarrow K/mT$ is the morphism in Proposition 4.2. $B(T)$ is a group and the group isomorphism $\iota : K_v^*/K_v^{*2} \rightarrow U_v K^{*2}/T$ induces a group isomorphism $B(K_v^{*2})/K_v^{*2} \rightarrow B(T)/T$. T is exceptional iff K_v^{*2} is exceptional.

Proof. (1) This is due to L. Berman. See [28, Theorem 5.18] for the proof. (2) The fact that $B(T)$ is a group follows from the fact that $B(K_v^{*2})$ is a group. The remaining assertions in (2) are a straightforward consequence of Proposition 4.2. \square

5. MATCHING VALUATIONS

For any abelian group Γ , the *rational rank* of Γ , denoted $\text{rk}_{\mathbb{Q}}(\Gamma)$, is defined to be the dimension of the \mathbb{Q} -vector space $\Gamma \otimes_{\mathbb{Z}} \mathbb{Q}$.

We apply Theorem 4.5 to obtain useful results concerning the behaviour of valuations under Witt equivalence; refer to Theorem 5.3 below. We begin with two lemmas.

Lemma 5.1. *If Γ is a torsion free abelian group and $|\Gamma/2\Gamma| = 2^r$, then $\text{rk}_{\mathbb{Q}}(\Gamma) \geq r$.*

This is well known. Observe that if $\Gamma \cong \mathbb{Z} \times \cdots \times \mathbb{Z}$ (r factors) then $|\Gamma/2\Gamma| = 2^r$, so $\text{rk}_{\mathbb{Q}}(\Gamma) = r$ holds in this case. On the other hand, if $\Gamma = \mathbb{Q}$ for example then $\text{rk}_{\mathbb{Q}}(\Gamma) = 1$, $r = 0$.

Proof. We claim that if $\alpha_1, \dots, \alpha_r \in \Gamma$ are such that the cosets $\alpha_i + 2\Gamma$, $i = 1, \dots, r$ are \mathbb{F}_2 -linearly independent, then the α_i , $i = 1, \dots, r$ are \mathbb{Q} -linearly independent. Suppose not. Then $\exists k_i \in \mathbb{Z}$ not all zero such that $\sum k_i \alpha_i = 0$. Dividing by a suitable power of 2, we can assume at least one of the k_i is odd. This contradicts the assumption. \square

Lemma 5.2. *Suppose v, w are non-comparable valuations on a field K and Γ_v is finitely generated as an abelian group. Then $(1 + M_w)K^{*2} \not\subseteq (1 + M_v)K^{*2}$.*

Note: Since the abelian group Γ_v is torsion free, the assumption that Γ_v is finitely generated is equivalent to $\Gamma_v \cong \mathbb{Z} \times \cdots \times \mathbb{Z}$, r times, for some $r \geq 0$.

Proof. Denote by u the finest common coarsening of v and w and by \bar{v} and \bar{w} the valuations on K_u induced by v and w respectively. Since Γ_v is a subgroup of Γ_u , $\Gamma_{\bar{v}}$ is also finitely generated. Replacing K by K_u and v and w by \bar{v} and \bar{w} , we are reduced to the case where v and w are independent. Fix $p \in K^*$ with $v(p) \notin 2\Gamma_v$. By the approximation theorem there exists $x \in K$ such that $v(x - p) > v(p)$ and $w(x - 1) > 0$. Then $x \in 1 + M_w$, and $v(x) = v(p) \notin 2\Gamma_v$, so $x \notin U_v K^{*2}$. Since $(1 + M_v)K^{*2} \subseteq U_v K^{*2}$ this implies $x \notin (1 + M_v)K^{*2}$. \square

Theorem 5.3. *Suppose K, L are fields, $\alpha : Q(K) \rightarrow Q(L)$ is a hyperfield isomorphism and v is a valuation on K such that Γ_v is finitely generated as an abelian group. Suppose either (i) the basic part of $(1+M_v)K^{*2}$ is U_vK^{*2} and $(1+M_v)K^{*2}$ is unexceptional, or (ii) the basic part of $(1+M_v)K^{*2}$ is $(1+M_v)K^{*2}$ and $(1+M_v)K^{*2}$ has index 2 in U_vK^{*2} . Then there exists a valuation w on L such that the image of $(1+M_v)K^{*2}/K^{*2}$ under α is $(1+M_w)L^{*2}/L^{*2}$ and $(L^* : U_wL^{*2}) \geq (K^* : U_vK^{*2})$. If (i) holds, then the image of U_vK^{*2}/K^{*2} under α is U_wL^{*2}/L^{*2} .*

Proof. Let $r := \text{rk}_{\mathbb{Q}}(\Gamma_v)$. If $r = 0$ then v is the trivial valuation on K , and we take w to be the trivial valuation on L in this case. Assume now that $r > 0$. Set $T := (1+M_v)K^{*2}$, $S := \{s \in L^* : \bar{s} = \alpha(\bar{t}) \text{ for some } t \in T\}$. U_vK^{*2} has index 2^r . In case (i) T is unexceptional and $B(T) = U_vK^{*2}$, so $B(T)$ has index 2^r . In case (ii) $B(T) = T$ has index 2^{r+1} . The results for T and $B(T)$ carry over to S and $B(S)$ via α , i.e., in case (i), S is unexceptional and $B(S)$ is a group of index 2^r and, in case (ii), S has index 2^{r+1} and $B(S) = S$. Applying Theorem 4.5 to the subgroup S of L^* , there exists a valuation w of L with $(1+M_w)L^{*2} \subseteq S$, and U_wL^{*2} has index $\geq 2^r$. In case (i) we can also assume $U_wL^{*2} \subseteq B(S)$. Let $S' = (1+M_w)L^{*2}$, $T' = \{t \in K^* : \alpha(\bar{t}) = \bar{s} \text{ for some } s \in S'\}$. Note that $B(S') \subseteq U_wL^{*2}$ so the group $B(S')$ has index $\geq 2^r$, and, consequently, the group $B(T')$ has index $\geq 2^r$. If $S' = S$, equivalently, $T' = T$, we are done. Suppose now that $T' \subsetneq T$ (so, in particular, T' has index $\geq 2^{r+2}$). Applying Theorem 4.5 one more time, there exists a valuation v' of K with $(1+M_{v'})K^{*2} \subseteq T'$ and $U_{v'}K^{*2}$ has index $\geq 2^r$. (If T' is unexceptional this is clear. If T' is exceptional this is also clear, since then $B(T') = \pm T'$ has index $\geq 2^{r+1}$.) Then $(1+M_{v'})K^{*2} \subsetneq (1+M_v)K^{*2}$. Since $(1+M_{v'})K^{*2} \subseteq (1+M_v)K^{*2}$, v, v' are comparable, by Lemma 5.2. Since $(1+M_v)K^{*2} \not\subseteq (1+M_{v'})K^{*2}$, $v' \not\leq v$. Consequently, $v \not\geq v'$, so $\Gamma_{v'}$ is a proper quotient of Γ_v . This contradicts the fact that $U_{v'}K^{*2}$ has index $\geq 2^r$ (so $\text{rk}_{\mathbb{Q}}(\Gamma_{v'}) \geq r$). \square

Proposition 5.4.

(1) Suppose K, L are fields and $\alpha : Q(K) \rightarrow Q(L)$ is a hyperfield isomorphism such that the image of $(1+M_v)K^{*2}/K^{*2}$ under α is $(1+M_w)L^{*2}/L^{*2}$. Then α induces a hyperfield isomorphism $K/m(1+M_v)K^{*2} \rightarrow L/m(1+M_w)L^{*2}$ such that the obvious diagram

$$(5.1) \quad \begin{array}{ccc} Q(K) & \xrightarrow{\quad\quad\quad} & Q(L) \\ \downarrow & & \downarrow \\ K/m(1+M_v)K^{*2} & \xrightarrow{\quad\quad\quad} & L/m(1+M_w)L^{*2} \end{array}$$

commutes.

(2) If, in addition, the image of U_vK^{*2}/K^{*2} under α is U_wL^{*2}/L^{*2} , then α induces a hyperfield isomorphism $Q(K_v) \rightarrow Q(L_w)$ and a group isomorphism $\Gamma_v/2\Gamma_v \rightarrow \Gamma_w/2\Gamma_w$ such that the obvious diagrams

$$(5.2) \quad \begin{array}{ccc} K/m(1+M_v)K^{*2} & \xrightarrow{\quad\quad\quad} & L/m(1+M_w)L^{*2} \\ \uparrow & & \uparrow \\ Q(K_v) & \xrightarrow{\quad\quad\quad} & Q(L_w) \end{array}$$

and

$$(5.3) \quad \begin{array}{ccc} Q(K)^* & \longrightarrow & Q(L)^* \\ \downarrow & & \downarrow \\ \Gamma_v/2\Gamma_v & \longrightarrow & \Gamma_w/2\Gamma_w \end{array}$$

commute. We are assuming here that v, w are non-trivial.

Proof. (1) Since the image of $(1 + M_v)K^{*2}/K^{*2}$ under α is $(1 + M_w)L^{*2}/L^{*2}$, α induces a unique bijection $\bar{\alpha} : K/m(1 + M_v)K^{*2} \rightarrow L/m(1 + M_w)L^{*2}$ such that the diagram (5.1) commutes. Applying Proposition 4.1 (3) one sees that $\bar{\alpha}$ is a hyperfield isomorphism. (2) By our hypothesis the image of $U_v K^{*2}/(1 + M_v)K^{*2}$ under $\bar{\alpha}$ is $U_w L^{*2}/(1 + M_w)L^{*2}$, so $\bar{\alpha}$ induces a bijection $\alpha' : Q(K_v) \rightarrow Q(L_w)$ such that the diagram (5.2) commutes. Applying Proposition 4.2 one sees that α' is a hyperfield isomorphism. The last assertion is obvious. \square

6. ABHYANKAR VALUATIONS ON FUNCTION FIELDS OVER GLOBAL FIELDS

Suppose K and k are fields. We say K is a *function field* over k if K is a finitely generated field extension of k . If $\text{trdeg}(K : k) = n$ we say K is a *function field in n variables* over k . The *field of constants* of K over k (i.e., the algebraic closure of k in K) is a finite extension of k [27, Chapter 10, Proposition 3]. We do not require that k is the field of constants of K over k . If K is a function field over k and v is a valuation on K , the *Abhyankar inequality* asserts that

$$\text{trdeg}(K : k) \geq \text{rk}_{\mathbb{Q}}(\Gamma_v/\Gamma_{v|k}) + \text{trdeg}(K_v : k_{v|k}),$$

where $v|k$ denotes the restriction of v to k . We will say the valuation v is *Abhyankar* (relative to k) if

$$\text{trdeg}(K : k) = \text{rk}_{\mathbb{Q}}(\Gamma_v/\Gamma_{v|k}) + \text{trdeg}(K_v : k_{v|k}).$$

In this case it is well known that $\Gamma_v/\Gamma_{v|k}$ is finitely generated and K_v is a function field over $k_{v|k}$. For a proof of these assertions see [24, Corollary 26].

A *global field* is a field which is either a number field, i.e., a finite extension of \mathbb{Q} , or a function field of transcendence degree 1 over a finite field.

We are interested here in function fields over global fields, equivalently, function fields of transcendence degree ≥ 0 over \mathbb{Q} or function fields of transcendence degree ≥ 1 over \mathbb{F}_p for some prime p . If K is any field we define the *nominal transcendence degree* of K to be

$$\text{ntd}(K) := \begin{cases} \text{trdeg}(K : \mathbb{Q}) & \text{if } \text{char}(K) = 0 \\ \text{trdeg}(K : \mathbb{F}_p) - 1 & \text{if } \text{char}(K) = p \neq 0 \end{cases}.$$

Thus, if K is a function field over a global field k , then $\text{ntd}(K) = \text{trdeg}(K : k)$. In this situation, for any valuation v of K ,

$$\text{rk}_{\mathbb{Q}}(\Gamma_v) := \begin{cases} \text{rk}_{\mathbb{Q}}(\Gamma_v/\Gamma_{v|k}) & \text{if } v|k \text{ is trivial} \\ \text{rk}_{\mathbb{Q}}(\Gamma_v/\Gamma_{v|k}) + 1 & \text{if } v|k \text{ is discrete rank 1} \end{cases},$$

and

$$\text{ntd}(K_v) := \begin{cases} \text{trdeg}(K_v : k_{v|k}) & \text{if } v|k \text{ is trivial} \\ \text{trdeg}(K_v : k_{v|k}) - 1 & \text{if } v|k \text{ is discrete rank 1} \end{cases}.$$

It follows, for any valuation v of K , the Abhyankar inequality implies

$$\text{ntd}(K) \geq \text{rk}_{\mathbb{Q}}(\Gamma_v) + \text{ntd}(K_v),$$

and v is Abhyankar (relative to k) iff

$$\text{ntd}(K) = \text{rk}_{\mathbb{Q}}(\Gamma_v) + \text{ntd}(K_v).$$

Moreover, if v is Abhyankar (relative to k) then

$$\Gamma_v \cong \mathbb{Z} \times \cdots \times \mathbb{Z}$$

(with $\text{rk}_{\mathbb{Q}}(\Gamma_v)$ factors) and K_v is either a function field over a global field (if $\text{ntd}(K_v) \geq 0$) or a finite field (if $\text{ntd}(K_v) = -1$).

7. WITT EQUIVALENCE OF FUNCTION FIELDS OVER GLOBAL FIELDS

The main result in this section is Theorem 7.4 which explains how a Witt equivalence of function fields over global fields induces a natural bijection between Abhyankar valuations.

We begin with some preliminary results.

Lemma 7.1. *Suppose K is a function field over a global field. Then*

- (1) *There are infinitely many discrete rank one Abhyankar valuations v on K .*
- (2) *The group K^*/K^{*2} is infinite.*
- (3) *For any $x \in K^*$, $\exists y \in K^{*2} + xK^{*2}$, $y \notin K^{*2} \cup xK^{*2}$. If $\text{char}(K) \neq 2$ or $x \notin K^{*2}$ one can choose $y \neq 0$.*
- (4) *$B(K^{*2}) = K^*$.*

All of this seems to be well-known. Anyway, here is a proof.

Proof. (1) This is clear if K is a number field. Otherwise, \exists a subfield $K_0 \subseteq K$, $\text{ntd}(K_0) = \text{ntd}(K) - 1$. Fix $x \in K$ transcendental over K_0 . K is a finite extension of $K_0(x)$. The principal ideal domain $K_0[x]$ has infinitely many irreducibles. Each irreducible f of $K_0[x]$ defines a discrete rank one valuation v_f on $K_0(x)$ with residue field $K_0[x]/(f)$. The valuation v_f extends in some (possibly non-unique) way to a discrete rank one valuation on K whose residue field is some finite extension of $K_0[x]/(f)$. (2) is true for any field K having infinitely many inequivalent discrete rank one valuations. Let v_1, \dots, v_n be inequivalent discrete rank one valuations on K . Use the approximation theorem to produce $x_i \in K^*$, $i = 1, \dots, n$ so that $v_i(x_j) = \delta_{ij}$ (Kronecker's delta), for $i, j = 1, \dots, n$. Then the 2^n products $x_1^{e_1} \cdots x_n^{e_n}$, $e_i \in \{0, 1\}$, belong to distinct square classes. This proves $|K^*/K^{*2}| \geq 2^n$. Since n can be chosen to be any positive integer, the result follows. (3) Suppose first that $\text{char}(K) = 2$. If $x \in K^{*2}$ one can choose $y = 0$. If $x \notin K^{*2}$ one can choose $y = 1 + x$. Suppose now that $\text{char}(K) \neq 2$. Let v be a discrete rank one Abhyankar valuation on K with $\text{char}(K_v) \neq 2$. Suppose first that $x \in (1 + M_v)K^{*2}$, say $x = uc^2$, $u \in 1 + M_v$, $c \in K^*$. By induction on the transcendence degree, there exists $\pi(z) \in K_v^*$ and $\pi(d), \pi(e) \in K_v^*$ such that $\pi(z) = \pi(d)^2 + \pi(e)^2$, $\pi(z) \notin K_v^{*2}$. Take $y = c^2(d^2 + ue^2) = (cd)^2 + xe^2$. Then $y \notin K^{*2} \cup xK^{*2}$. If such a valuation v does not exist, then there exist inequivalent discrete rank one valuations v, w on K with $x \notin (1 + M_v)K^{*2}$, $x \notin (1 + M_w)K^{*2}$. In this case, use the approximation theorem to choose $a \in K^*$ so that $v(a^2) > v(x)$, $w(a^2) < w(x)$. Define $y = a^2 + x$. Then $y = x(1 + \frac{a^2}{x}) \in x(1 + M_v)K^{*2}$, so $y \notin K^{*2}$. Similarly, $y = a^2(1 + \frac{x}{a^2}) \in (1 + M_w)K^{*2}$, so $y \notin xK^{*2}$. (4) This is immediate from (3). \square

Theorem 7.2. *Suppose K is a function field over a global field and v is an Abhyankar valuation on K . Then:*

$$(1) (K^* : U_v K^{*2}) = 2^{\text{rk}_{\mathbb{Q}}(\Gamma_v)}.$$

$$(2) (U_v K^{*2} : (1 + M_v)K^{*2}) = \begin{cases} \infty & \text{if } \text{ntd}(K_v) \geq 0 \\ 2 & \text{if } K_v \text{ is finite, } \text{char}(K_v) \neq 2. \\ 1 & \text{if } K_v \text{ is finite, } \text{char}(K_v) = 2 \end{cases}.$$

(3) *The basic part of $T := (1 + M_v)K^{*2}$ is*

$$\begin{cases} U_v K^{*2} & \text{if } \text{ntd}(K_v) \geq 0 \\ \pm T = U_v K^{*2} & \text{if } K_v \text{ is finite, } \text{char}(K_v) \neq 2, -1 \notin K_v^{*2} \\ T & \text{if } K_v \text{ is finite, } \text{char}(K_v) \neq 2, -1 \in K_v^{*2}. \\ T = U_v K^{*2} & \text{if } K_v \text{ is finite, } \text{char}(K_v) = 2 \end{cases}.$$

Proof. (1) is immediate from the isomorphism $K^*/U_v K^{*2} \cong \Gamma_v/2\Gamma_v$. For (2) and (3) one uses the isomorphism $U_v K^{*2}/(1 + M_v)K^{*2} \cong K_v^*/K_v^{*2}$ described in Section 4. The assertion in (2) in the case $\text{ntd}(K_v) \geq 0$ follows from Lemma 7.1 (2) applied to the field K_v . The assertions in (2) in the cases where K_v is a finite field are clear. For assertion (3), we apply Proposition 4.6 (2). If $\text{ntd}(K_v) \geq 0$ then $B(K_v^{*2}) = K_v^*$, by Lemma 7.1, so $B(T) = U_v K^{*2}$. Suppose now that K_v is finite. If $\text{char}(K_v) = 2$ then $K_v^* = K_v^{*2}$ so $B(T) = T = U_v K^{*2}$. If $\text{char}(K_v) \neq 2$, $-1 \notin K_v^{*2}$, then $B(K_v^{*2}) = \pm K_v^{*2} = K_v^*$, so $B(T) = \pm T = U_v K^{*2}$. Finally, if $\text{char}(K_v) \neq 2$, $-1 \in K_v^{*2}$, then $B(K_v^{*2}) = K_v^{*2}$, so $B(T) = T$. \square

Lemma 7.3. *Suppose K is a function field over a global field, L is a field, and $\alpha : Q(K) \rightarrow Q(L)$ is a hyperfield isomorphism. Then $\text{ntd}(L) \geq \text{ntd}(K)$.*

Proof. Let $n := \text{ntd}(K)$. Pick any Abhyankar valuation v on K with $\text{rk}_{\mathbb{Q}}(\Gamma_v) = n$, i.e., K_v is a global field. Choose w as in Theorem 5.3. By Proposition 5.4, $K_v \sim L_w$. By Lemma 7.1 (2), $K_v^*/K_v^{*2} \cong L_w^*/L_w^{*2}$ is an infinite group, so if $\text{char}(L_w) = p$, $p \neq 0$, then $\text{trdeg}(L_w : \mathbb{F}_p) \geq 1$. By Theorem 5.3, $|\Gamma_w/2\Gamma_w| \geq 2^n$, so, by Lemma 5.1, $\text{rk}_{\mathbb{Q}}(\Gamma_w) \geq n$. The result follows from these two facts and the Abhyankar inequality. In more detail, if $\text{char}(L) = p \neq 0$, then w restricted to \mathbb{F}_p is trivial and $\text{trdeg}(L : \mathbb{F}_p) \geq \text{rk}_{\mathbb{Q}}(\Gamma_w) + \text{trdeg}(L_w : \mathbb{F}_p) \geq n + 1$. Similarly, if $\text{char}(L) = 0$, then $\text{trdeg}(L : \mathbb{Q}) \geq n + 0 = n$ or $(n - 1) + 1 = n$, depending on whether $w|_{\mathbb{Q}}$ is trivial or p -adic. \square

Theorem 7.4. *Suppose K, L are function fields over global fields and $\alpha : Q(K) \rightarrow Q(L)$ is a hyperfield isomorphism. Then:*

$$(1) \text{ntd}(K) = \text{ntd}(L).$$

(2) *For each Abhyankar valuation v of K with K_v not finite of characteristic 2 there exists a unique Abhyankar valuation w of L such that α maps $(1 + M_v)K^{*2}/K^{*2}$ onto $(1 + M_w)L^{*2}/L^{*2}$. L_w is also not finite of characteristic 2, $\text{rk}_{\mathbb{Q}}(\Gamma_v) = \text{rk}_{\mathbb{Q}}(\Gamma_w)$ and $\text{ntd}(K_v) = \text{ntd}(L_w)$.*

(3) *α maps $U_v K^{*2}/K^{*2}$ onto $U_w L^{*2}/L^{*2}$ except possibly when K_v is finite, $\text{char}(K_v) \neq 2$ and $-1 \in K_v^{*2}$.*

(4) *For v, w non-trivial, α induces a hyperfield isomorphism $K/\mathfrak{m}(1 + M_v)K^{*2} \rightarrow L/\mathfrak{m}(1 + M_w)L^{*2}$ such that diagram (5.1) commutes. If, in addition, α maps $U_v K^{*2}/K^{*2}$ onto $U_w L^{*2}/L^{*2}$ then α induces a hyperfield isomorphism $Q(K_v) \rightarrow Q(L_w)$ and a group isomorphism $\Gamma_v/2\Gamma_v \rightarrow \Gamma_w/2\Gamma_w$ such that diagrams (5.2) and (5.3) commute.*

(5) If v corresponds to w and v' corresponds to w' then v' is coarser than v iff w' is coarser than w .

Note: One can show that $Q(K_v) \cong Q(L_w)$ as hyperfields, and $\Gamma_v/2\Gamma_v \cong \Gamma_w/2\Gamma_w$ as groups, even in the case where α does not map $U_v K^{*2}/K^{*2}$ onto $U_w L^{*2}/L^{*2}$.

Proof. (1) follows from Lemma 7.3 and the symmetry of the hypothesis. (2) Let $n = \text{ntd}(K) = \text{ntd}(L)$. Suppose w, w' are Abhyankar valuations on L and $(1 + M_{w'})L^{*2} = (1 + M_w)L^{*2}$. If these groups have infinite index, then the basic parts of these groups are the same, i.e., $U_{w'}L^{*2} = U_wL^{*2}$, i.e., $\text{rk}_{\mathbb{Q}}(\Gamma_{w'}) = \text{rk}_{\mathbb{Q}}(\Gamma_w)$, by Theorem 7.2. If these groups have finite index then $\text{rk}_{\mathbb{Q}}(\Gamma_{w'}) = n + 1 = \text{rk}_{\mathbb{Q}}(\Gamma_w)$, again by Theorem 7.2. Since we already know, by Lemma 5.2, that w and w' are comparable, this proves $w' = w$. This proves the uniqueness of w . Suppose now that v is an Abhyankar valuation of K , K_v not finite of characteristic 2. The valuation w exists by Theorem 5.3. Let $r = \text{rk}_{\mathbb{Q}}(\Gamma_v)$, $s = \text{ntd}(K_v)$. If $s \geq 0$ then α induces an isomorphism $Q(K_v) \rightarrow Q(L_w)$, by Proposition 5.4, so $\text{ntd}(L_w) \geq s$, by Lemma 7.3. If $s = -1$ then $\text{ntd}(L_w) \geq s$ holds trivially. Also, $|\Gamma_w/2\Gamma_w| \geq |\Gamma_v/2\Gamma_v| = 2^r$, so $\text{rk}_{\mathbb{Q}}(\Gamma_w) \geq r$. Thus

$$\text{ntd}(L) \geq \text{rk}_{\mathbb{Q}}(\Gamma_w) + \text{ntd}(L_w) \geq r + s = \text{ntd}(K) = \text{ntd}(L),$$

so w is Abhyankar, $\text{rk}_{\mathbb{Q}}(\Gamma_w) = r$, and $\text{ntd}(L_w) = s$. In particular, $(U_w L^{*2} : (1 + M_w)L^{*2}) \geq 2$, so L_w is not finite of characteristic 2. This proves (2). (3) and (4) are straightforward. (5) Suppose now that $v \leftrightarrow w$, $v' \leftrightarrow w'$, $v \preceq v'$. Then $(1 + M_{v'})K^{*2} \subseteq (1 + M_v)K^{*2}$, so $(1 + M_{w'})L^{*2} \subseteq (1 + M_w)L^{*2}$. By Lemma 5.2, w and w' are comparable. If $w' \preceq w$ then $(1 + M_w)L^{*2} \subseteq (1 + M_{w'})L^{*2}$ so $(1 + M_{w'})L^{*2} = (1 + M_w)L^{*2}$. We already know that $w = w'$ holds in this case. Thus $w \preceq w'$ holds in any case. This proves (5). \square

The next two lemmas allow one to distinguish the characteristic 2 case from the characteristic $\neq 2$ case. Denote by $\bar{t} \in K^*/K^{*2}$ the image of $t \in K^*$.

Lemma 7.5. *Suppose K is a field, $\text{char}(K) = 2$, $\bar{x}, \bar{y} \in K^*/K^{*2}$, $\bar{x}, \bar{y} \neq 1$ and $\bar{y} \in D_K\langle 1, \bar{x} \rangle$. Then $D_K\langle 1, \bar{y} \rangle = D_K\langle 1, \bar{x} \rangle$.*

Proof. Suppose $\bar{z} \in D_K\langle 1, \bar{y} \rangle$. By our assumptions, $x, y, z \in K^*$, $x, y \notin K^{*2}$, $y = a^2 + b^2x$, $z = c^2 + d^2y$, $a, b, c, d \in K$. It follows that $z = c^2 + d^2(a^2 + b^2x) = (c + ad)^2 + (bd)^2x$, so $\bar{z} \in D_K\langle 1, \bar{x} \rangle$. This proves the inclusion $D_K\langle 1, \bar{y} \rangle \subseteq D_K\langle 1, \bar{x} \rangle$. The other inclusion follows from this one, using the symmetry of the hypothesis (i.e., using $\bar{y} \in D_K\langle 1, \bar{x} \rangle \Leftrightarrow \bar{x} \in D_K\langle 1, \bar{y} \rangle$). \square

Lemma 7.6. *Suppose K is a function field over a global field, $\text{char}(K) \neq 2$. Then there exists $\bar{x}, \bar{y} \in K^*/K^{*2}$, $\bar{x}, \bar{y} \neq 1$ such that $\bar{y} \in D_K\langle 1, \bar{x} \rangle$, $D_K\langle 1, \bar{y} \rangle \not\subseteq D_K\langle 1, \bar{x} \rangle$.*

Proof. Fix inequivalent discrete rank one Abhyankar valuations v, w on K with $\text{char}(K_v), \text{char}(K_w) \neq 2$. Choose x so that $v(x) = w(x) = 1$ and a_0, b_0 so that $w(a_0) = w(b_0) = 0$ and the image of $c = a_0^2 + b_0^2$ in the residue field of w is not a square. This is possible by Lemma 7.1 (3). Define $y = a^2 + x$, $z = b^2 + y$ (so $z = a^2 + b^2 + x$) where a, b are such that $v(a) > 0$, $w(a - a_0) > 0$, $w(b - b_0) > 0$. Then $v(y) = v(x) = 1$, so $x, y \notin K^{*2}$ and $w(a^2 + b^2 - c) > 0$ so $z = a^2 + b^2 + x \in c(1 + M_w)$. Thus $x, y, z \in K^*$, $\bar{y} \in D_K\langle 1, \bar{x} \rangle$, $\bar{z} \in D_K\langle 1, \bar{y} \rangle$, $\bar{x} \neq 1$, $\bar{y} \neq 1$. Let $T = (1 + M_w)K^{*2}$. Thus $T + xT = T \cup xT$ and $z \notin T \cup xT$, so $\bar{z} \notin D_K\langle 1, \bar{x} \rangle$. \square

Corollary 7.7. *Let K, L be function fields over global fields and $K \sim L$. Then*

- (1) $\text{char}(K) = 0$ iff $\text{char}(L) = 0$,
- (2) $\text{char}(K) = 2$ iff $\text{char}(L) = 2$.

Proof. For (1), assume $\text{char}(K) = 0$. Fix an Abhyankar valuation v of K such that K_v is a number field (so K_v possesses a dyadic valuation). Denote by w the corresponding Abhyankar valuation on L . Thus L_w is a global field and $K_v \sim L_w$. Applying [40, Theorem 1.1], L_w also possesses a dyadic valuation so is also a number field. This proves $\text{char}(L) = 0$. (2) Assume $\text{char}(K) = 2$. Applying Lemma 7.5 and Lemma 7.6, we see that $\text{char}(L) = 2$. \square

Lemma 7.8. *If K is a function field over a field k , $\text{char}(k) = 2$, then*

$$[K : K^2] = 2^{\text{trdeg}(K:k)} \cdot [k : k^2].$$

Proof. Let $n := \text{trdeg}(K : k)$. Fix x_1, \dots, x_n in K algebraically independent over k . Then K is a finite extension of $k(x_1, \dots, x_n)$. The map $a \mapsto a^2$ defines an isomorphism from K onto K^2 which maps $k(x_1, \dots, x_n)$ onto $k^2(x_1^2, \dots, x_n^2)$. It follows that $[K^2 : k^2(x_1^2, \dots, x_n^2)] = [K : k(x_1, \dots, x_n)]$. Thus we are reduced to showing that $[k(x_1, \dots, x_n) : k^2(x_1^2, \dots, x_n^2)] = 2^n [k : k^2]$. But this is clear. \square

Remark 7.9.

(1) It follows from results in [4] (specifically, from [4, Theorem 2.9 and Proposition 2.10]) that (i) if K, L are global fields of characteristic 2 then $K \sim L$, and (ii) if K, L are function fields over global fields of characteristic 2 of nominal transcendence degree 1 or more then $K \sim L$ iff $K \cong L$. One obtains these results by applying Lemma 7.8, taking $k = \mathbb{F}_2$.

(2) For K, L global fields of characteristic $\neq 2$ the meaning of $K \sim L$ is well understood; see for example [5, Theorem 3.1 and Corollary 3.2].

The relationship between Abhyankar valuations v on K with K_v finite, $\text{char}(K_v) = 2$ and Abhyankar valuations w on L with L_w finite, $\text{char}(L_w) = 2$ seems to be not very well understood.

Remark 7.10.

(1) If K and L are number fields and $\alpha : Q(K) \rightarrow Q(L)$ is a hyperfield isomorphism the arguments in [40] show that for each dyadic valuation v of K there exists a unique dyadic valuation w of L such that α maps $(1 + 4M_v)K^{*2}/K^{*2}$ onto $(1 + 4M_w)L^{*2}/L^{*2}$.

(2) Suppose v is a dyadic valuation on a number field K . Denote by \tilde{K}_v the completion of K at v . The natural embedding $K \hookrightarrow \tilde{K}_v$ induces a hyperfield isomorphism $K/mT \cong Q(\tilde{K}_v)$, where $T := (1 + 4M_v)K^{*2}$. The structure of $Q(\tilde{K}_v)$ is described in [28, Section 3.6] for example.

(3) Suppose K is a function field over \mathbb{Q} and v' is an Abhyankar valuation on K such that the residue field $K_{v'}$ is a number field. Suppose also that v is a valuation of K such that $v \preceq v'$ and the induced valuation \bar{v} on $K_{v'}$ is dyadic. Then $M_{v'} \subseteq M_v$ and $4M_{v'} = M_{v'}$ (so $1 + M_{v'} \subseteq 1 + 4M_v$), $K/m(1 + 4M_v)K^{*2}$ is a group extension of the hyperfield $K_{v'}/m(1 + 4M_{\bar{v}})K_{v'}^{*2}$ in a natural way, and the following diagram

of hyperfields and hyperfield morphisms is commutative:

$$(7.1) \quad \begin{array}{ccc} Q(K) & \longrightarrow & K/m(1 + M_{v'})K^{*2} \longrightarrow K/m(1 + 4M_v)K^{*2} \\ & & \uparrow \qquad \qquad \qquad \uparrow \\ & & Q(K_{v'}) \longrightarrow K_{v'}/m(1 + 4M_{\bar{v}})K_{v'}^{*2} \end{array}$$

Here, the horizontal arrows are quotient morphisms and the vertical arrows are group extensions.

(4) It follows from (1), (2) and (3) that if K, L are function fields over global fields and $\alpha : Q(K) \rightarrow Q(L)$ is a hyperfield isomorphism, then there is a well-defined bijection $v \leftrightarrow w$ such that α maps $(1 + 4M_v)K^{*2}/K^{*2}$ onto $(1 + 4M_w)L^{*2}/L^{*2}$ between Abhyankar valuations v of K with K_v finite, $\text{char}(K_v) = 2$ such that there exists an Abhyankar valuation v' with $v \preceq v'$ and $K_{v'}$ is a number field and Abhyankar valuations w of L with L_w finite, $\text{char}(L_w) = 2$ such that there exists an Abhyankar valuation w' with $w \preceq w'$ and $L_{w'}$ is a number field. The proof is omitted.

The relationship between non-Abhyankar valuations v on K and non-Abhyankar valuations w on L is not very well understood. It is known, by results in [24], that the Abhyankar valuations are dense in the spectral space consisting of all valuations, but this does not seem to help very much.

8. FURTHER APPLICATIONS

Let K be a function field in n variables over a global field. For $0 \leq i \leq n$ denote by $\nu_{K,i}$ the set of Abhyankar valuations v on K with $\text{ntd}(K_v) = i$. Observe that

$$\nu_{K,i} = \nu_{K,i,0} \cup \nu_{K,i,1} \cup \nu_{K,i,2} \text{ (disjoint union)}$$

where

$$\nu_{K,i,j} := \begin{cases} \{v \in \nu_{K,i} : \text{char}(K_v) = 0\} & \text{if } j = 0 \\ \{v \in \nu_{K,i} : \text{char}(K_v) \neq 0, 2\} & \text{if } j = 1 \\ \{v \in \nu_{K,i} : \text{char}(K_v) = 2\} & \text{if } j = 2 \end{cases} .$$

Of course, some of the sets $\nu_{K,i,j}$ may be empty. Specifically, if $\text{char}(K) = p$ for some odd prime p then $\nu_{K,i,j} = \emptyset$ for $j \in \{0, 2\}$, and if $\text{char}(K) = 2$ then $\nu_{K,i,j} = \emptyset$ for $j \in \{0, 1\}$.

Corollary 8.1. *Suppose K, L are function fields in n variables over global fields which are Witt equivalent via a hyperfield isomorphism $\alpha : Q(K) \rightarrow Q(L)$. Then for each $i \in \{0, 1, \dots, n\}$ and each $j \in \{0, 1, 2\}$ there is a uniquely defined bijection between $\nu_{K,i,j}$ and $\nu_{L,i,j}$ such that, if $v \leftrightarrow w$ under this bijection, then α maps $(1 + M_v)K^{*2}/K^{*2}$ onto $(1 + M_w)L^{*2}/L^{*2}$ and $U_v K^{*2}/K^{*2}$ onto $U_w L^{*2}/L^{*2}$.*

Proof. The correspondence $v \leftrightarrow w$ is the one defined in Theorem 7.4. If $v \leftrightarrow w$ then $K_v \sim L_w$ so $v \in \nu_{K,i,j} \Leftrightarrow w \in \nu_{L,i,j}$, for each i and j . \square

Corollary 8.2. *Let $K \sim L$ be function fields over number fields, with fields of constants k and ℓ respectively. If there exists $v \in \nu_{K,0,0}$ with $K_v = k$ and $w \in \nu_{L,0,0}$ with $L_w = \ell$ then $k \sim \ell$.*

Proof. Let $v \leftrightarrow w$ be the bijection between $\nu_{K,0,0}$ and $\nu_{L,0,0}$ defined by Corollary 8.1. We know that $K_v \sim L_w$ for any v, w related in this way. Since K_v and L_w are number fields, this implies $[K_v : \mathbb{Q}] = [L_w : \mathbb{Q}]$ for any such v, w [40, Proposition 1.5]. We know also that $k \subseteq K_v$ and $\ell \subseteq L_w$. Choosing $v \leftrightarrow w$ so that $[K_v : \mathbb{Q}] = [L_w : \mathbb{Q}]$ is minimal, we see that $K_v = k$ and $L_w = \ell$. \square

Remark 8.3.

(1) Suppose K is the function field of an irreducible k -variety which has a non-singular k -rational point. (This is always the case, for example, if K is purely transcendental over k .) Then there exists $v \in \nu_{K,0,0}$ with $K_v = k$. To prove this one uses the fact that if A is a regular local ring of dimension n with maximal ideal $\mathfrak{m} = (x_1, \dots, x_n)$ and residue field k , then $A/(x_n)$ is a regular local ring of dimension $n - 1$, and the localization of A at the prime ideal (x_n) is a discrete valuation ring with residue field equal to the field of quotients of $A/(x_n)$; e.g., see [3, Chapter 11]. Iterating this procedure yields a chain of Abhyankar valuations $v_1 \succeq \dots \succeq v_n$ on K with $\text{trdeg}(K_{v_i} : k) = n - i$, $i = 1, \dots, n$ and $K_{v_n} = k$.

(2) If K and L are function fields over global fields of characteristic $\neq 0$, with fields of constants k and ℓ , respectively, then $K \sim L \Rightarrow k \sim \ell$. If k, ℓ have characteristic 2 then $[k : k^2] = [\ell : \ell^2] = 2$, by Lemma 7.8, so $k \sim \ell$, by [4, Proposition 2.10]. Suppose k, ℓ each have characteristic different from 0 and 2. Then k, ℓ each have level 1 or 2. If k has level 1 then K and consequently also L has level 1. Since ℓ is algebraically closed in L this implies ℓ has level 1. This proves k and ℓ have the same level, so $k \sim \ell$, by [5, Corollary 3.2].

(3) Combining Corollary 8.2 with (1) and (2) we see that, in particular, [20, Proposition 3.2] is indeed true (even though the proof of [20, Proposition 3.2] given in [20] is based on the erroneous argument in [20, Theorem 1.3]).

Suppose now that k is a number field. Then every ordering of k is archimedean, i.e., corresponds to a real embedding $k \hookrightarrow \mathbb{R}$. Let r_1 , respectively r_2 be the number of real embeddings of k , respectively the number of conjugate pairs of complex embeddings of k . Thus $[k : \mathbb{Q}] = r_1 + 2r_2$. Let

$$V_k := \{r \in k^* : (r) = \mathfrak{a}^2 \text{ for some fractional ideal } \mathfrak{a} \text{ of } k\}.$$

Here, (r) denotes the fractional ideal of k generated by r . Clearly V_k is a subgroup of k^* and $k^{*2} \subseteq V_k$.

Lemma 8.4. *The 2-rank of V_k/k^{*2} is $r_1 + r_2 + 2 \cdot \text{rk}(C_k)$, where C_k denotes the ideal class group of k .*

Proof. See [8, Lemma 2.4(a)]. \square

Lemma 8.5. *Suppose $K = k(x_1, \dots, x_n)$ and v is a discrete rank 1 valuation on k . There exists an Abhyankar extension v' of v to K such that $\Gamma_{v'} = \Gamma_v$.*

Proof. Define v' by

$$v' \left(\sum_{\alpha} a_{\alpha} x^{\alpha} \right) := \min \{v(a_{\alpha}) : \alpha \in \mathbb{N}^n\} \text{ and } v' \left(\frac{f}{g} \right) := v'(f) - v'(g).$$

Here, $x^{\alpha} := x_1^{\alpha_1} \dots x_n^{\alpha_n}$, for $\alpha \in \mathbb{N}^n$. \square

Theorem 8.6. *Suppose $K = k(x_1, \dots, x_n)$ and $L = \ell(x_1, \dots, x_n)$ where $n \geq 1$ and k and ℓ are number fields, and $\alpha : Q(K) \rightarrow Q(L)$ is a hyperfield isomorphism. Then*

- (1) $r \in k^*/k^{*2}$ iff $\alpha(r) \in \ell^*/\ell^{*2}$.
- (2) The map $r \mapsto \alpha(r)$ defines a hyperfield isomorphism between $Q(k)$ and $Q(\ell)$.
- (3) α maps V_k/k^{*2} to V_ℓ/ℓ^{*2} .
- (4) The 2-ranks of the ideal class groups of k and ℓ are equal.

Proof. Since k is the field of constants of K , the canonical group homomorphism from k^*/k^{*2} to K^*/K^{*2} is injective. Claim: The image of the embedding $k^*/k^{*2} \hookrightarrow K^*/K^{*2}$ is equal to $\bigcap_{v \in \nu_{K,n-1,0}} U_v K^{*2}/K^{*2}$. One inclusion is clear. For the other, use the fact that $D := k[x_1, \dots, x_n]$ is a UFD. Suppose $f \in \bigcap_{v \in \nu_{K,n-1,0}} U_v K^{*2}/K^{*2}$, $f = \frac{g}{h}$, $g, h \in D$, $g, h \neq 0$. Then $f = up_1^{e_1} \dots p_s^{e_s}$, $u \in k^*$, p_1, \dots, p_s irreducibles in D . Consider the discrete rank 1 valuation v_i on K associated to p_i . Then $v_i \in \nu_{K,n-1,0}$, so $e_i = v_i(f)$ is even, $i = 1, \dots, s$. It follows that $p_1^{e_1} \dots p_s^{e_s} \in K^{*2}$ so $f \equiv u \pmod{K^{*2}}$. This proves the claim. Since the image of $\bigcap_{v \in \nu_{K,n-1,0}} U_v K^{*2}/K^{*2}$ under α is $\bigcap_{w \in \nu_{L,n-1,0}} U_w L^{*2}/L^{*2}$, by Corollary 8.1, this proves (1). Observe that if $v \leftrightarrow w$, $v \in \nu_{K,0,0}$, $w \in \nu_{L,0,0}$, the diagram

$$(8.1) \quad \begin{array}{ccc} K_v^*/K_v^{*2} & \longrightarrow & L_w^*/L_w^{*2} \\ \uparrow & & \uparrow \\ k^*/k^{*2} & \longrightarrow & \ell^*/\ell^{*2} \end{array}$$

is commutative. The vertical arrows are the maps induced by the field embeddings $k \hookrightarrow K_v$, $\ell \hookrightarrow L_w$. Since the top arrow in diagram (8.1) defines a hyperfield isomorphism between $Q(K_v)$ and $Q(L_w)$ we know that $[K_v : \mathbb{Q}] = [L_w : \mathbb{Q}]$. Choose v, w with $[K_v : \mathbb{Q}] = [L_w : \mathbb{Q}]$ minimal. The argument in Corollary 8.2 shows that $K_v = k$ and $L_w = \ell$. This proves (2). Lemma 8.5 implies that

$$V_k/k^{*2} = \{r \in k^*/k^{*2} : r \in U_v K^{*2}/K^{*2} \forall v \in \nu_{K,n-1,1} \cup \nu_{K,n-1,2}\},$$

so (3) is clear. Since it is well-known that r_1 and r_2 are invariant under Witt equivalence, assertion (4) is immediate now, from (3) and Lemma 8.4. This completes the proof. \square

Remark 8.7. One can extend Theorem 8.6 a bit: Let V_k^1 denote the set of all $r \in k^*$ such that $v(r)$ is even for all non-dyadic valuations v of k . By Lemma 8.5,

$$V_k^1/k^{*2} = \{r \in k^*/k^{*2} : r \in U_v K^{*2}/K^{*2} \forall v \in \nu_{K,n-1,1}\},$$

so α maps V_k^1/k^{*2} to V_ℓ^1/ℓ^{*2} . Applying this in conjunction with the generalization of Lemma 8.4 given in [6, Lemma 2.4] or [43, Proposition 1], we see that the S -class groups of k and ℓ have the same 2-rank, where S consists of all primes which are infinite or dyadic.

Questions:

- (1) In Theorem 8.6, is the hypothesis that K and L are purely transcendental over k and ℓ really necessary?
- (2) For arbitrary fields K and L is it true that $K(x) \sim L(x) \Rightarrow K \sim L$?
- (3) For fixed integers $n \geq 1$, $m \geq 2$, are there infinitely many Witt inequivalent fields $k(x_1, \dots, x_n)$, k a number field, $[k : \mathbb{Q}] = m$?

Question 3 is interesting because, for given m , there are only finitely many Witt inequivalent number fields k with $[k : \mathbb{Q}] = m$. For $m = 1, 2, 3$ and 4 these numbers are 1, 7, 8 and 29 respectively; see [7] and [17].

It is proved in [43] that if ℓ is a number field, $[\ell : \mathbb{Q}]$ even, and $\ell \neq \mathbb{Q}(\sqrt{-1})$, then, for each integer $t \geq 1$, there exists a number field k such that $k \sim \ell$ and the 2-rank of the class group of k is $\geq t$. This extends an earlier result in [7].

Corollary 8.8. *For fixed $n \geq 1$ and fixed number field ℓ , $[\ell : \mathbb{Q}]$ even, $\ell \neq \mathbb{Q}(\sqrt{-1})$, there are infinitely many Witt inequivalent fields of the form $k(x_1, \dots, x_n)$, k a number field, $k \sim \ell$.*

For odd degree extensions Question 3 remains open. Table 2 in [43] shows that each of the 8 Witt equivalence classes of cubic extensions contains fields with 2-rank of the class group equal to 0, 1, and 2. Results in [10] [36] [37] [45] show that 0, 1, 2, 3, 4, 5, 7 can occur as the 2-rank of the class group of a cubic field.

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