

# Overgroups of Irreducible Quasisimple Subgroups in Finite Classical Groups

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## Theorem [Aschbacher-Scott 1985]

To settle the maximal subgroup problem for general finite groups it suffices to

1. Determine the conjugacy classes of maximal subgroups of the almost simple groups.
2. For every quasisimple group  $G$  and all prime divisors  $\ell$  of  $|G|$  determine  $H^1(G, V)$  for every irreducible  $\mathbb{F}_\ell G$ -module  $V$ .

The choices for  $G$  are sporadic (for example  $\mathbb{M}$ ), alternating, of exceptional Lie type, classical (for example  $\text{PSL}_n(q)$ ).

The possibilities for  $V$  are not completely classified. We need all decomposition numbers and minimal fields of definition.

# Aschbacher's Theorem

Let  $X$  be a classical group with natural module  $V = k^m$ ,  $\text{Char}(k) = \ell$ . Aschbacher defines 8 families  $\mathcal{C}_i(X)$  of “geometric” subgroups of  $X$ .

## Theorem [Aschbacher 1984]

If  $H \leq X$  is maximal, then either  $H \in \mathcal{C}_i(X)$ , or  $H \in \mathcal{S}(X)$  meaning

1. The generalized Fitting subgroup  $F^*(H)$  is quasisimple;
2.  $F^*(H)$  acts absolutely irreducibly on  $V$ ;
3. the action of  $F^*(H)$  on  $V$  can not be defined over a smaller field;
4. any bilinear, quadratic or sesquilinear form on  $V$  that is stabilized by  $F^*(H)$  is also stabilized by  $X$ .

Aschbacher calls the collection of subgroups of  $X$  which satisfy conditions 1 through 4 above  $\mathcal{S}(X)$ .

# Some remarks

## The geometric families

$\mathcal{C}_1(X)$  are the stabilizers of subspaces of  $V$ .

$$\dim(V) \leq 12$$

Recent work of Bray, Holt and Roney-Dougal achieves a full classification of conjugacy classes of maximal subgroups.

$$\dim(V) \geq 13$$

Kleidman and Liebeck classify those situations when a maximal member of  $\mathcal{C}_i(X)$  is not maximal in  $G$ . Generally such occurrences are rare.

## Open Question

When are members of  $\mathcal{S}(X)$  maximal in  $X$ ?

## General Observation

If  $H$  is quasisimple and  $\varphi \in \text{IBr}_\ell$ , then there exists a quasisimple classical group  $X$  with natural module  $V$  affording  $\varphi$  and a corresponding homomorphism  $\Phi : H \mapsto X \leq GL(V)$  such that  $H\Phi \in \mathcal{S}(X)$ .

## Possible obstructions to the maximality of $N_X(H\Phi)$ in $X$

The definition of  $\mathcal{S}(X)$  implies that if  $N_X(H\Phi) < G < X$ , then

$$G \in \mathcal{C}_2(X) \cup \mathcal{C}_4(X) \cup \mathcal{C}_6(X) \cup \mathcal{C}_7(X) \cup \mathcal{S}(X).$$

$$\mathcal{S}(X) = \mathbf{Spor} \cup \mathbf{Alt} \cup \mathbf{CL d} \cup \mathbf{CL c} \cup \mathbf{EX d} \cup \mathbf{EX c}$$

### Problem 1

For each  $H\Phi$ , name the possible types of  $G$ ; i.e. identify the members of  $\mathcal{C}_2(X) \cup \mathcal{C}_4(X) \cup \mathcal{C}_6(X) \cup \mathcal{C}_7(X) \cup \mathcal{S}(X)$  which may contain  $H\Phi$ .

### Problem 2

Name  $X$ ; i.e., determine the minimal field  $k$  of definition of  $\Phi$ , and the  $H$  invariant form(s) on  $V = k^m$ . Choose  $X$  minimal subject to containing  $H\Phi$  and also determine  $N_{\text{GL}(V)}(X)$ .

### Problem 3

For each  $\tilde{X} \in N_{\text{GL}(V)}(X)$  with  $X \leq \tilde{X}$  determine  $N_{\tilde{X}}(H\Phi)$  and  $N_{\tilde{X}}(H\Phi) \cap G$ . More generally determine the action of  $N_{\tilde{X}}(H\Phi)$  on the set of  $G$ 's lying over  $H\Phi$ .

### Conclusion:

$G$  obstructs the maximality of  $N_{\tilde{X}}(H)$  iff  $N_{\tilde{X}}(H) < \tilde{X} \cap G$ .

## Problem 1: Necessary conditions for the existence of obstructions $G$ to the maximality of $N_X(H\Phi)$ in $X$ .

- ▶ If  $G \in \mathcal{C}_2(X)$ , then  $\Phi$  is an induced representation.
- ▶ If  $G \in \mathcal{C}_4(X)$ , then  $\Phi$  is a tensor (Kronecker) product of two representations of unequal degrees  $> 1$ .
- ▶ If  $G \in \mathcal{C}_7(X)$ , then  $\Phi$  is a tensor product of two or more representations of equal degrees; i.e.,  $\Phi$  is tensor induced.
- ▶ If  $G \in \mathcal{C}_6(X)$ , then  $H \leq N_X(E)$  where  $E$  is extraspecial resp. symplectic type of order  $r^{1+2s}$  respectively  $2 \times 2^{1+2s}$  and  $\dim(V) = r^s$  resp.  $2^s$ .
- ▶ If  $G \in \mathcal{S}(X)$ , then  $(F^*(G), H, V)$  is an irreducible triple; i.e., the irreducible  $F^*(G)$ -module  $V$  restricts irreducibly to  $H$ . This is a special case of the branching problem.

## Potential obstructions $G$ to the maximality of $H \in \mathcal{S}(X)$

$C_2$	HHM	DM/NN	Seitz	HHM	Seitz	HHM	induced
$C_4$	MT	BK	Stei	MT	Stei	MT	tensor prod
$C_6$	MT	and	Bray	inde	pend	ently	$r^{1+2n} \text{Sp}_{2n}(r)$
$C_7$	$\leftarrow$	$—$	MT	$—$	$—$	$\rightarrow$	tensor ind
<b>Spor</b>	LM-wip	Hu	LSS		LSS		$\uparrow$
<b>Alt</b>	S/KW BK/KS KTS	S/KW BK/KS KTS	S/KW JS/BK KS/KTS	S/KW BK/KS KTS	S/KW BK/KS KTS	S/KW BK/KS KTS	$\vdots$
<b>CL d</b>	LM-wip	Hu	D/S/T	MRT	D/S/T	MRT	branching
<b>CL c</b>	LM-wip	Hu	LSS	Seitz	LSS	Se/S/N	rules
<b>EX d</b>	LM-wip	Hu	D/S/T	MRT	D/S/T	MRT	$\mid$
<b>EX c</b>	LM-wip	Hu	LSS	Seitz	LSS	Seitz	$\downarrow$
$G / H$	<b>Spor</b>	<b>Alt</b>	<b>CL d</b>	<b>CL c</b>	<b>EX d</b>	<b>EX c</b>	$H$ rep'n

HHM = Hiss Husen Maggaard, MT = Maggaard Tiep, MRT = Maggaard Röhrle Testerman, BK = Bessenrodt Klechshev, DM/NN = Djokovic Malzan / Nett Noeske, D/S/T = Dynkin/Seitz/Testerman, Hu = Husen, JS = Jantzen Seitz, S/KW= Saxl/Kleidman Wales, BK/KS/KST = Brundan Kleshchev/Kleshchev Sheth/Kleshchev Sin Tiep, LSS = Liebeck Saxl Seitz, Se/S/N = Seitz/ Schaefer-Frey / H.N. Nguyen, LM = Le Maggaard



## Irreducible Tensor Decomposable $H$ -modules

Recall: If  $H \in \mathcal{S}(X)$  is  $\mathcal{C}_4$  obstructed, then  $V$  is tensor decomposable.

**Theorem** [Seitz, M.-Tiep] If  $H \in \mathcal{S}(X)$  is of Lie type defined over  $\mathbb{F}_q$ , with  $q = p^a$  and  $V$  is a tensor decomposable irreducible  $H$ -module, then one of the following is true:

- ▶  $p = \ell$  and the  $H$ -module  $V$  is **not**  $\ell$ -restricted, (Steinberg's Thm.)
- ▶  $V$  is  $\ell$ -restricted, and either  $p = \ell = 2$  and  $H$  is of Lie type  $B_n$ ,  $C_n$ ,  $F_4$  or  $G_2$ , or  $p = \ell = 3$  and  $H$  is of type  $G_2$ ,
- ▶  $p \neq \ell$  and  $q \leq 3$ ,
- ▶  $H \cong \mathrm{Sp}_{2n}(5)$ , or  $\mathrm{Sp}_{2n}(2^a)$
- ▶  $H/Z(H) \cong \mathrm{PSL}_3(4)$ ,
- ▶  $H \cong F_4(2^a)$ , or  ${}^2F_4(2^{2b+1})$ .

## Not all tensor product factorizations lead to $\mathcal{C}_4(X)$ or $\mathcal{C}_7(X)$ obstructions

- ▶ If  $H = 4_1 \cdot \text{PSL}_3(4)$ , then  $8_a \otimes 8_d = 64_a$ . The Frobenius-Schur indicator of  $8_a$  and  $8_d$  is 0, whereas it is + for  $64_a$ . Thus  $H \in \mathcal{S}(\Omega_{64}^+(\ell))$  for  $\ell \neq 2, 3, 5, 7$ , yet the maximal  $\mathcal{C}_4(\Omega_{64}^+(\ell))$  subgroups are  $N_X(\text{Sp}_8(\ell) \circ \text{Sp}_8(\ell))$  and  $N_X(\Omega_8^\pm(\ell) \circ \Omega_8^\pm(\ell))$ .
- ▶ Another example:  $H = M_{24} \in \mathcal{S}(\Omega_{10395}(\ell))$ , via its largest irreducible character  $\chi_{26}$ , whenever  $(\ell, |M_{24}|) = 1$ . Here  $\chi_{26} = \chi_3 \otimes \chi_5 = \chi_4 \otimes \chi_5 = \chi_3 \otimes \chi_6 = \chi_4 \otimes \chi_6$ . The indicator of  $\chi_{26}$  is +, whereas those of  $\chi_4, \chi_5, \chi_6, \chi_7$  are 0.
- ▶ If  $H = \mathbb{M}$ , then 11 of the 194 ordinary irreducible characters are tensor decomposable. Of these, 8 are  $\mathcal{C}_4$ -obstructed, and 3 are not. For example  $\chi_{55} = \chi_2 \otimes \chi_{16}$  is  $\mathcal{C}_4$  obstructed, whereas  $\chi_{185} = \chi_6 \otimes \chi_{17}$  is not.

## $\mathcal{S}(X)$ obstructions: The case $H \cong M_{11}$

How often does  $H \in \mathcal{S}(X)$ , imply  $H$  maximal in  $X$ ?

Morally this should happen most of the time, but ....

### Theorem

If  $H \simeq M_{11}$  and  $H \in \mathcal{S}(X)$ , then  $N_X(H)$  is maximal in  $X$  iff

$F^*(X) = \mathrm{SL}_5(3)$ .

$\varphi$	Type of $X$	$\mathcal{C}_2$	$\mathcal{C}_{4,7}$	$\mathcal{C}_6$	$\mathcal{S}_{Lie}$	$\mathcal{S}_{altspor}$
$\varphi_2$	$\Omega_9(11)$					$A_{11}$
$\varphi_3$	$\mathrm{SL}_{10}(11)$					$2.M_{12}$
$\varphi_4$	$\mathrm{SL}_{10}(11)$					$2.M_{12}$
$\varphi_5$	$\Omega_{11}(11)$	$2^{10} \times M_{11} < 2^{10} \times A_{11}$				$M_{12} < A_{12}$
$\varphi_6$	$\Omega_{16}^+(11)$				$\mathrm{Spin}_9^+(11)$	$2.A_{11}, M_{12}$
$\varphi_7$	$\Omega_{44}^+(11)$				$\Omega_9(11)$	$A_{11}$
$\varphi_8$	$\Omega_{55}(11)$	$2^{54} \times M_{11} < 2^{54} \times A_{55}$			$P\Omega_{11}(11)$	$M_{12} < A_{12}$

**Table:** Obstructions to the maximality of  $M_{11}$  embeddings; the case  $\ell = 11$

## Irreducible Imprimitve Representations

**Theorem** [Hiß, M. 2016] If  $H$  is quasisimple and  $\ell$  is not a divisor of  $|H|$ , then all imprimitive elements of  $\text{IBr}_\ell(H)$  are known.

**Theorem**[Hiß, Husen, M. 2015] If  $F^*(H)$  is quasisimple and  $V$  is irreducible and imprimitive, then one of the following is true:

1.  $H$  is of Lie type and characteristic  $p \neq \ell$  and  $V$  is Harish-Chandra induced or  $H$  has an exceptional Schur multiplier,
2.  $H \in \text{Lie}(p)$ ,  $p = \ell$ ,  $F^*(H) \in \{\text{SL}_2(5), \text{SL}_2(7) \cong \text{SL}_3(2), \text{Sp}_4(3)\}$ ,
3.  $H = A_n$  and  $V$  is from one of three families, or  $\ell \leq n$ ,  $H = 2 \cdot A_n$  and the block stabilizer is intransitive, or  $n \leq 9$ .
4.  $\frac{F^*(H)}{Z(F^*(H))} \in \{M_{11}, M_{12}, M_{22}, M_{24}, HS, \text{McL}, ON, Co_2, Fi_{22}, Co_1, Fi'_{24}\}$

## Theorem 7.3 [Hiß, Husen, M.] due to Lusztig

Let  $s \in G^*$  be semisimple such that  $C_{G^*}(s)$  is contained in a proper split Levi subgroup  $L^*$  of  $G^*$ . Let  $L$  be a split Levi subgroup of  $G$  dual to  $L^*$ .

- ▶ Then every ordinary irreducible character of  $G$  contained in  $\mathcal{E}(G, [s])$  is Harish-Chandra induced from a character of  $\mathcal{E}(L, [s])$ .
- ▶ If  $s$  is  $\ell$ -regular for some prime  $\ell$  not dividing  $q$ , then every irreducible  $\ell$ -modular character of  $G$  contained in  $\mathcal{E}_\ell(G, [s])$  is Harish-Chandra induced from a Brauer character lying in  $\mathcal{E}_\ell(L, [s])$ .

**Corollary:** The proportion of primitive irreducible characters in  $\text{Irr}(\text{SL}_n(q))$  approaches  $1/n$  for large values of  $q$ .

$V$  irreducible and imprimitive does not necessarily imply the existence of a  $\mathcal{C}_2(X)$  obstruction.

- ▶ If  $H = \mathrm{SL}_2(q)$ ,  $\ell$  is odd, and  $V$  affords  $R_{T,\Theta}$  with  $|T| = q - 1$ , then  $V$  is irreducible and imprimitive of dimension  $q + 1 = |H : T|_{p'}$ . In fact  $V = \mathrm{Ind}_B^H(L)$  is irreducible and imprimitive. Here  $B$  is a Borel subgroup of  $H$ , and  $L$  affords  $\mathrm{Infl}_T^B(\Theta) \in \mathrm{IBr}_\ell(B)$ .
- ▶ Then  $H \leq k^* \wr S_m \leq \mathrm{GL}_m(k)$ , and  $H$  projects to a transitive subgroup of  $S_m$ .
- ▶ The module  $V$  is a self-dual  $H$ -module which implies that  $H$  must be in  $\mathbb{Z}_2 \wr S_m \leq \mathrm{O}_m(k)$ ; which is impossible if  $|\Theta| > 2$ .
- ▶ If  $|\Theta| = 2$ , then  $q$  is odd and  $R_{T,\Theta}$  and is not irreducible.
- ▶ Even though roughly half of the irreducible  $H$  representations are induced, none are  $\mathcal{C}_2(X)$  obstructed.

## Examples of $\mathcal{C}_2(X)$ type obstructions

- ▶ Let  $H = \mathrm{SL}_3(5)$ ,  $5 < \ell \neq 31$ , and  $V = \mathrm{Ind}_P^G(\Theta)$ , where  $P$  is one of the two classes of the maximal parabolics and  $1 \neq \Theta$  is a linear character of  $P$ .
- ▶ If  $|\Theta| = 4$ , then  $H \leq \mathrm{SL}_{31}^\epsilon(\ell)$  where  $\ell \equiv \epsilon \pmod{4}$ .
- ▶ If  $X = \mathrm{SL}_{31}^\epsilon(\ell)$ , then  $N_{\tilde{X}}(H)$  is  $\mathcal{C}_2(\tilde{X})$  obstructed in  $\tilde{X}$  iff no element of  $\tilde{X}$  induces a graph automorphism on  $F^*(H)$ .
- ▶ If  $|\Theta| = 2$ , then  $H \leq X = \Omega_{31}(\ell)$  and  $\mathrm{Aut}(H) \leq \mathrm{SO}_{31}(\ell)$ . Also  $\mathrm{Aut}(H) \leq \Omega_{31}(\ell)$  iff  $\ell \equiv 1 \pmod{4}$ .
- ▶ So  $N_{\Omega_{31}(\ell)}(H)$  is  $\mathcal{C}_2(\Omega_{31}(\ell))$  obstructed iff  $\ell \equiv 3 \pmod{4}$ .
- ▶ If  $\ell \equiv 3 \pmod{4}$ , then  $N_{\tilde{X}}(H)$  is not  $\mathcal{C}_2(\tilde{X})$  obstructed if  $\mathrm{SO}_{31}(\ell) \leq \tilde{X}$ .

THANK YOU!