

CUBIC FOURFOLDS, KUZNETSOV COMPONENTS AND CHOW MOTIVES

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ABSTRACT. We prove that the Chow motives of two smooth cubic fourfolds whose Kuznetsov components are Fourier–Mukai derived-equivalent are isomorphic as Frobenius algebra objects. As a corollary, we obtain that there exists a Galois-equivariant isomorphism between their ℓ -adic cohomology Frobenius algebras. We also discuss the case where the Kuznetsov component of a smooth cubic fourfold is Fourier–Mukai derived-equivalent to a K3 surface.

INTRODUCTION

In [FV19], we asked whether the bounded derived category of coherent sheaves on a hyper-Kähler variety X encodes the intersection theory on X and its powers. Precisely, given two hyper-Kähler varieties X and X' that are derived-equivalent, i.e. $D^b(X) \simeq D^b(X')$, we asked whether the Chow motives with rational coefficients of X and X' are isomorphic as algebra objects. The main result of [FV19] establishes this in the simplest case where X and X' are K3 surfaces. The above expectation refines, in the special case of hyper-Kähler varieties, a general conjecture of Orlov [Orl03], predicting that two derived-equivalent smooth projective varieties have isomorphic Chow motives with rational coefficients.

Like hyper-Kähler varieties, the so-called *K3-type varieties* also behave in many ways like K3 surfaces. By definition [FLV19], those are Fano varieties X of even dimension $2n$ with Hodge numbers $h^{p,q}(X) = 0$ for all $p \neq q$ except for $h^{n-1,n+1}(X) = h^{n+1,n-1}(X) = 1$. Some basic examples of such varieties are cubic fourfolds, Gushel–Mukai fourfolds and sixfolds [Muk89, KP18], and Debarre–Voisin 20-folds [DV10]. As an important interplay between Fano varieties of K3 type and hyper-Kähler varieties, many hyper-Kähler varieties are constructed as moduli spaces of stable objects on some admissible subcategories of the derived categories of such Fano varieties [BLM⁺17, LLMS18, LPZ18, LPZ20]. Due to these links, in [FLV19], we asked whether the Chow motives, considered as algebra objects, of Fano varieties of K3 type had similar properties as K3 surfaces (and what is expected for hyper-Kähler varieties).

Based on the above, we may ask whether two derived-equivalent Fano varieties of K3 type have isomorphic Chow motives as algebra objects. However, this question is uninteresting: due to the celebrated result of Bondal–Orlov [BO01], any two derived-equivalent Fano varieties are isomorphic. In the case of a cubic fourfold X , Kuznetsov [Kuz10] has identified an interesting admissible subcategory \mathcal{A}_X of $D^b(X)$, called the *Kuznetsov component*, consisting of objects E such that $\mathrm{Hom}(\mathcal{O}_X(i), E[m]) = 0$ for $i = 0, 1, 2$ and any $m \in \mathbb{Z}$. The Kuznetsov component is a K3-like triangulated category: its Serre functor is the double shift and its Hochschild homology is that of a K3 surface. The main question we ask in this paper is whether two cubic fourfolds with derived-equivalent Kuznetsov components have isomorphic Chow motives as algebra objects. Our first main result gives a positive answer to this question, under the

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additional (but conjecturally superfluous) hypothesis that the derived-equivalence is induced by a Fourier–Mukai kernel.

Theorem 1. *Let X and X' be two smooth cubic fourfolds over a field K with Fourier–Mukai equivalent Kuznetsov components $\mathcal{A}_X \simeq \mathcal{A}_{X'}$. Then X and X' have isomorphic Chow motives, as Frobenius algebra objects, in the category of rational Chow motives over K .*

Here, following our previous work [FV19, §2], a *Frobenius algebra object* in a rigid tensor category is an algebra object together with an extra structure, namely an isomorphism to its dual object (which we call a non-degenerate quadratic space structure, see §1.3) with a compatibility condition. The Chow motive of any smooth projective variety carries a natural structure of Frobenius algebra object in the category of Chow motives, lifting the classical Frobenius algebra structure on the cohomology ring (which essentially consists of the cup-product \smile together with the degree map \int_X). We refer to Section 1 for more details. An immediate concrete application of Theorem 1 is the following result.

Corollary 1. *Let X and X' be two smooth cubic fourfolds over a field K . Assume that their Kuznetsov components are Fourier–Mukai equivalent $\mathcal{A}_X \simeq \mathcal{A}_{X'}$. Then there exists a correspondence $\Gamma \in \mathrm{CH}^4(X \times_K X') \otimes \mathbb{Q}$ such that for any Weil cohomology H^* with coefficients in a field of characteristic zero,*

$$\Gamma_* : H^*(X) \xrightarrow{\sim} H^*(X')$$

is an isomorphism of Frobenius algebras. In particular,

- (i) for any prime $\ell \neq \mathrm{char} K$, there exists a Galois-equivariant isomorphism $H^*(X_{\bar{K}}, \mathbb{Q}_\ell) \simeq H^*(X'_{\bar{K}}, \mathbb{Q}_\ell)$ of ℓ -adic cohomology Frobenius algebras;
- (ii) there exists an isocrystal isomorphism $H_{\mathrm{cris}}^*(X) \simeq H_{\mathrm{cris}}^*(X')$ of crystalline cohomology Frobenius algebras;
- (iii) if $K = \mathbb{C}$, there exists a Hodge isomorphism $H^*(X, \mathbb{Q}) \simeq H^*(X', \mathbb{Q})$ of Betti cohomology Frobenius algebras.

We note that item (iii) can also be directly deduced from arguments due to Addington–Thomas [AT14] and Huybrechts [Huy17]; see Remark 5.2. The proof of Theorem 1 is given in §5 and employs essentially two different sources of techniques. On the one hand, we proceed to a refined Chow–Künneth decomposition (§4.2), thereby cutting the motive of a cubic fourfold into the sum of its transcendental part and its algebraic part. The transcendental part, as well as its relation to the algebraic part, is then dealt with via a weight argument (§4.3), while the algebraic part is dealt with via considering the Chow ring modulo numerical equivalence (Proposition 5.1). On the other hand, our proof also relies on some cycle-theoretic properties of cubic fourfolds, in particular those recently established in [FLV19, FLV20]. First, the so-called Franchetta property for cubic fourfolds and their squares (Proposition 2.2) is used to establish

Theorem 2 (Theorem 4.6). *Let X and X' be two smooth cubic fourfolds over a field K with Fourier–Mukai equivalent Kuznetsov components $\mathcal{A}_X \simeq \mathcal{A}_{X'}$. Then the transcendental motives $\mathfrak{h}_{\mathrm{tr}}^4(X)(2)$ and $\mathfrak{h}_{\mathrm{tr}}^4(X')(2)$, as defined in §4.2, are isomorphic as quadratic spaces in the category of rational Chow motives over K .*

Concretely, this involves exhibiting an isomorphism $\Gamma_{\mathrm{tr}} : \mathfrak{h}_{\mathrm{tr}}^4(X) \rightarrow \mathfrak{h}_{\mathrm{tr}}^4(X')$ with inverse given by its transpose. Precisely, we show in Theorem 4.6 that such an isomorphism is induced by the degree-4 part of the Mukai vector of the Fourier–Mukai kernel inducing the equivalence $\mathcal{A}_X \simeq \mathcal{A}_{X'}$. Such an isomorphism is then upgraded in Proposition 5.1 to an isomorphism $\Gamma : \mathfrak{h}(X) \rightarrow \mathfrak{h}(X')$ with inverse given by its transpose, or equivalently, to a quadratic space isomorphism $\Gamma : \mathfrak{h}(X)(2) \rightarrow \mathfrak{h}(X')(2)$.

The next step towards the proof of Theorem 1 consists in showing that this isomorphism $\Gamma : \mathfrak{h}(X) \rightarrow \mathfrak{h}(X')$ respects the algebra structure. This is achieved in Proposition 5.3, the proof of which relies on the recently established *multiplicative Chow–Künneth relation* (3) for cubic fourfolds (Theorem 2.1).

To make the analogy with our previous work [FV19] even more transparent, we also investigate the case of cubic fourfolds with associated (twisted) K3 surfaces, resulting in the following:

Theorem 3 (Theorem 6.2). *Let X be a smooth cubic fourfold over a field K and let S be a K3 surface over K equipped with a Brauer class α . Assume that \mathcal{A}_X and $D^b(S, \alpha)$ are Fourier–Mukai derived-equivalent. Then the transcendental motives $\mathfrak{h}_{\text{tr}}^4(X)(2)$ and $\mathfrak{h}_{\text{tr}}^2(S)(1)$ are isomorphic as quadratic spaces in the category of rational Chow motives over K .*

In a similar vein to Corollary 1, one obtains from Theorem 2 and Theorem 3 respectively, after passing to any Weil cohomology theory H^* (e.g., Betti, ℓ -adic, crystalline), isomorphisms

$$\begin{aligned} H_{\text{tr}}^*(X) &\xrightarrow{\sim} H_{\text{tr}}^*(X'), \\ H_{\text{tr}}^*(X) &\xrightarrow{\sim} H_{\text{tr}}^*(S) \end{aligned}$$

that are compatible with the natural extra structures (e.g., Hodge, Galois, Frobenius) and with the quadratic form $(\alpha, \beta) \mapsto \int_X \alpha \smile \beta$.

Conventions. From §2 onwards, $\text{CH}^*(-)$ denotes the Chow group with rational coefficients and motives are with rational coefficients.

1. CHOW MOTIVES AND FROBENIUS ALGEBRA OBJECTS

In this section, we fix a commutative ring R .

1.1. Chow motives. We refer to [And04, §4] for more details. Briefly, a *Chow motive*, or motive, over a field K with coefficients in R , is a triple (X, p, n) consisting of a smooth projective variety X over K , an idempotent correspondence $p \in \text{CH}^{\dim X}(X \times_K X) \otimes R$, and an integer $n \in \mathbb{Z}$. The motive of a smooth projective variety X over K is the motive $\mathfrak{h}(X) := (X, \Delta_X, 0)$, where Δ_X is the class of the diagonal inside $X \times_K X$. A morphism $\Gamma : (X, p, n) \rightarrow (Y, q, m)$ between two motives is a correspondence $\Gamma \in \text{CH}^{\dim X - n + m}(X \times_K Y) \otimes R$ such that $q \circ \Gamma \circ p = \Gamma$. The composition of morphisms is given by the composition of correspondences (as in [Ful98, §16]). The category of Chow motives $\mathcal{M}(K)_R$ over K with coefficients in R forms a R -linear rigid \otimes -category with unit $\mathbb{1} = \mathfrak{h}(\text{Spec } K)$ and with duality given by $(X, p, n)^\vee = (X, {}^t p, \dim X - n)$, where ${}^t p$ denotes the transpose of the correspondence p .

Fix a homomorphism $R \rightarrow F$ to a field F and fix a Weil cohomology theory H^* with field of coefficients F , i.e., a \otimes -functor $H^* : \mathcal{M}(K)_R \rightarrow \text{GrVec}_F$ to the category of \mathbb{Z} -graded F -vector spaces such that $H^i(\mathbb{1}(-1)) = 0$ for $i \neq 2$; see [And04, Proposition 4.2.5.1]. We also call such a \otimes -functor an *H-realization*. One thereby obtains the category of homological motives $\mathcal{M}_H(K)_R$ (or $\mathcal{M}_{\text{hom}}(K)_R$, when H is clear from the context).

1.2. Algebra structure. We consider the general situation where \mathcal{C} is an R -linear \otimes -category with unit $\mathbb{1}$; cf. [And04, §2.2.2]. An *algebra structure* on an object M in \mathcal{C} is the data consisting of a *unit morphism* $\epsilon : \mathbb{1} \rightarrow M$ and a *multiplication morphism* $\mu : M \otimes M \rightarrow M$ satisfying the associativity axiom $\mu \circ (\text{id}_M \otimes \mu) = \mu \circ (\mu \otimes \text{id}_M)$ and the unit axiom $\mu \circ (\text{id}_M \otimes \epsilon) = \text{id}_M = \mu \circ (\epsilon \otimes \text{id}_M)$. The algebra structure is said to be commutative if it satisfies the commutativity axiom $\mu \circ \tau = \mu$ where $\tau : M \otimes M \rightarrow M \otimes M$ is the morphism permuting the two factors.

In case \mathcal{C} is the category of Chow motives over K , then the Chow motive $\mathfrak{h}(X)$ of a smooth projective variety X over K is naturally endowed with a commutative algebra structure: the

multiplication $\mu : \mathfrak{h}(X) \otimes \mathfrak{h}(X) \rightarrow \mathfrak{h}(X)$ is given by pulling back along the diagonal embedding $\delta_X : X \hookrightarrow X \times X$, while the unit morphism $\eta : \mathbb{1} \rightarrow \mathfrak{h}(X)$ is given by pulling back along the structure morphism $\epsilon_X : X \rightarrow \text{Spec } K$. Taking the H-realization, this algebra structure endows $H^*(X)$ with the usual super-commutative algebra structure given by cup-product.

1.3. Quadratic space structure. We now consider the general situation where \mathcal{C} is an R -linear rigid \otimes -category with unit $\mathbb{1}$ and equipped with a \otimes -invertible object denoted $\mathbb{1}(1)$. Let d be an integer. A *degree- d quadratic space structure* on an object M of \mathcal{C} consists of a morphism, called *quadratic form*,

$$q : M \otimes M \rightarrow \mathbb{1}(-d),$$

which is commutative $q \circ \tau = q$, where $\tau : M \otimes M \rightarrow M \otimes M$ is the switching morphism. When $d = 0$, we simply say a *quadratic space structure*. The quadratic form $q : M \otimes M \rightarrow \mathbb{1}(-d)$ is said to be *non-degenerate* if the induced morphism $M(d) \rightarrow M^\vee$ is an isomorphism. Here the morphism $M(d) \rightarrow M^\vee$ is obtained by tensoring q with $\text{id}_{M^\vee(d)}$ and pre-composing with $\text{id}_{M(d)} \otimes \text{coev}$, where $\text{coev} : \mathbb{1} \rightarrow M \otimes M^\vee$ is the co-evaluation map.

In case \mathcal{C} is the category of Chow motives over K , then the Chow motive $\mathfrak{h}(X)$ of a smooth projective variety X of dimension d over K is naturally endowed with a non-degenerate degree- d quadratic space structure: the quadratic form $q_X : \mathfrak{h}(X) \otimes \mathfrak{h}(X) \rightarrow \mathbb{1}(-d)$ is simply given by the class of the diagonal Δ_X . In relation to the natural algebra structure on $\mathfrak{h}(X)$, we have

$$q_X : \mathfrak{h}(X) \otimes \mathfrak{h}(X) \xrightarrow{\mu} \mathfrak{h}(X) \xrightarrow{\epsilon} \mathbb{1}(-d),$$

where $\epsilon : \mathfrak{h}(X) \rightarrow \mathbb{1}(-d)$ is the dual of the unit morphism $\eta : \mathbb{1} \rightarrow \mathfrak{h}(X)$. Taking the H-realization, this degree- d quadratic structure endows $H^*(X)$, as a super-vector space, with the usual quadratic structure given by

$$q_X : H^*(X) \otimes H^*(X) \xrightarrow{\smile} H^*(X) \xrightarrow{\text{deg}} F(-d). \quad (1)$$

Note that when d is odd the form is anti-symmetric on $H^d(X)$, while when d is even, the form is symmetric on $H^d(X)$.

In what follows, if $M = (X, p, d)$ is a Chow motive with $\dim X = 2d$, we view M as a quadratic space via

$$q_M : M \otimes M \hookrightarrow \mathfrak{h}(X)(d) \otimes \mathfrak{h}(X)(d) \xrightarrow{\mu} \mathfrak{h}(X)(2d) \xrightarrow{\epsilon} \mathbb{1}.$$

Proposition 1.1. *Let $M = (X, p, d)$ and $M' = (X', p', d')$ be Chow motives in $\mathcal{M}(K)_R$. Assume that $p = {}^t p$, $p' = {}^t p'$, $\dim X = 2d$ and $\dim X' = 2d'$, so that $M = M^\vee$ and $M' = M'^\vee$. The following are equivalent:*

- (i) M and M' are isomorphic as quadratic spaces;
- (ii) There exists an isomorphism $\Gamma : M \xrightarrow{\sim} M'$ of Chow motives with $\Gamma^{-1} = {}^t \Gamma$.

Proof. The quadratic forms q_M and $q_{M'}$ are the (non-degenerate) quadratic forms associated to the identifications $M = M^\vee$ and $M' = M'^\vee$, respectively. By definition, a morphism $\Gamma : M \rightarrow M'$ is a morphism of quadratic spaces if and only if $q_{M'} \circ (\Gamma \otimes \Gamma) = q_M$. The latter is then equivalent to ${}^t \Gamma \circ \Gamma = \text{id}_M$, where we have identified Γ^\vee with ${}^t \Gamma$ via the identifications $M = M^\vee$ and $M' = M'^\vee$. This shows that a morphism $\Gamma : M \rightarrow M'$ is a morphism of quadratic spaces if and only if Γ is split injective with left-inverse ${}^t \Gamma$. This proves the proposition. \square

1.4. Frobenius algebra structure. This notion was introduced in [FV19, §2], as a generalization of the classical Frobenius algebras (cf. [Koc04]). Consider again the general situation where \mathcal{C} is an R -linear rigid \otimes -category with unit $\mathbb{1}$ and equipped with a \otimes -invertible object denoted $\mathbb{1}(1)$. Let d be an integer. A *degree- d (commutative) Frobenius algebra structure* on an object M of \mathcal{C} consists of a unit morphism $\epsilon : \mathbb{1} \rightarrow M$, a multiplication morphism $\mu : M \otimes M \rightarrow M$ and a non-degenerate degree- d quadratic form $q : M \otimes M \rightarrow \mathbb{1}(-d)$ such that (M, μ, ϵ) is an algebra object, and the following compatibility relation, called the Frobenius condition, holds:

$$(\mathrm{id}_M \otimes \mu) \circ (\delta \otimes \mathrm{id}_M) = \delta \circ \mu = (\mu \otimes \mathrm{id}_M) \circ (\mathrm{id}_M \otimes \delta),$$

where $\delta : M \rightarrow M \otimes M(d)$ is the dual of the multiplication μ , via the identification $M(d) \simeq M^\vee$ provided by the non-degenerate quadratic form q .

In case \mathcal{C} is the category of Chow motives over K , then the Chow motive $\mathfrak{h}(X)$ of a smooth projective variety X of dimension d over K is naturally endowed with a degree- d Frobenius algebra structure. That the unit, multiplication and quadratic form given in §§1.2-1.3 above do define such a structure on $\mathfrak{h}(X)$ is explained in [FV19, Lemma 2.7]. Taking the H-realization and forgetting Tate twists, this degree- d Frobenius algebra structure endows $H^*(X)$ with the usual Frobenius algebra structure (consisting of the cup-product together with the quadratic form q_X of (1)); see [FV19, Example 2.5].

2. THE CHOW RING OF POWERS OF CUBIC FOURFOLDS

In this section, we gather the cycle-theoretic results needed about cubic fourfolds; Proposition 2.2 is used to obtain isomorphisms as quadratic spaces as in Theorem 2, and Theorem 2.1 is used in addition to upgrade those isomorphisms to isomorphisms of algebra objects as in Theorem 1.

From now on, we fix a field K with algebraic closure \bar{K} , Chow groups and motives are with rational coefficients ($R = \mathbb{Q}$), and we fix a Weil cohomology theory H^* with coefficients in a field of characteristic zero.

Recall that a *Chow–Künneth decomposition*, or *weight decomposition*, for a motive M is a finite grading $M = \bigoplus_{i \in \mathbb{Z}} M^i$ such that $H^*(M^i) = H^i(M)$. This notion was introduced by Murre [Mur93], who conjectured that every motive admits such a decomposition. Now, if M is a Chow motive equipped with an algebra structure (e.g., $M = \mathfrak{h}(X)$ equipped with the intersection pairing), then we say that a Chow–Künneth decomposition $M = \bigoplus_{i \in \mathbb{Z}} M^i$ is *multiplicative* if it defines an algebra grading, i.e., if the composition $M^i \otimes M^j \hookrightarrow M \otimes M \rightarrow M$ factors through M^{i+j} for all i, j . This notion was introduced in [SV16, §8], where it was conjectured that the motive of any hyper-Kähler variety admits a multiplicative Chow–Künneth decomposition.

Let B be the open subset of $\mathbb{P}H^0(\mathbb{P}^5, \mathcal{O}(3))$ parameterizing smooth cubic fourfolds, let $\mathcal{X} \rightarrow B$ be the universal family of smooth cubic fourfolds and $\mathrm{ev} : \mathcal{X} \rightarrow \mathbb{P}^5$ be the evaluation map. If $H := \mathrm{ev}^*(c_1(\mathcal{O}_{\mathbb{P}^5}(1))) \in \mathrm{CH}^1(\mathcal{X})$ denotes the relative hyperplane section, then

$$\pi_{\mathcal{X}}^0 = \frac{1}{3}H^4 \times_B \mathcal{X}, \quad \pi_{\mathcal{X}}^2 = \frac{1}{3}H^3 \times_B H, \quad \pi_{\mathcal{X}}^6 = \frac{1}{3}H \times_B H^3, \quad \pi_{\mathcal{X}}^8 = \frac{1}{3}\mathcal{X} \times_B H^4 \quad (2)$$

$$\text{and } \pi_{\mathcal{X}}^4 = \Delta_{\mathcal{X}/B} - \pi_{\mathcal{X}}^0 - \pi_{\mathcal{X}}^2 - \pi_{\mathcal{X}}^6 - \pi_{\mathcal{X}}^8$$

defines a relative Chow–Künneth decomposition, in the sense that its specialization to any fiber \mathcal{X}_b over $b \in B$ gives a Chow–Künneth decomposition of \mathcal{X}_b . Given a smooth cubic fourfold X , we denote h_X the restriction of H to X and we denote $\{\pi_X^0, \pi_X^2, \pi_X^4, \pi_X^6, \pi_X^8\}$ the restriction of the above projectors to the fiber X .

In our previous work [FLV19], we established the following two results:

Theorem 2.1. *The Chow–Künneth decomposition $\{\pi_X^0, \pi_X^2, \pi_X^4, \pi_X^6, \pi_X^8\}$ is multiplicative. Equivalently, in $\mathrm{CH}^8(X \times X \times X)$, we have*

$$\delta_X = \frac{1}{3}(p_{12}^* \Delta_X \cdot p_3^* h_X^4 + p_{13}^* \Delta_X \cdot p_2^* h_X^4 + p_{23}^* \Delta_X \cdot p_1^* h_X^4) + P(p_1^* h_X, p_2^* h_X, p_3^* h_X), \quad (3)$$

where P is an explicit symmetric rational polynomial in 3 variables.

Proof. That the Chow–Künneth decomposition $\{\pi_X^0, \pi_X^2, \pi_X^4, \pi_X^6, \pi_X^8\}$ is multiplicative is [FLV19, Corollary 1]. The identity (3) is due to Diaz [Dia19]. That the two formulations are equivalent is [FLV20, Proposition 2.8]. The proof in *loc. cit.* is over \mathbb{C} , but one can extend the result to arbitrary base fields as follows. By the Lefschetz principle, (3) holds for any algebraically closed field of characteristic zero. Since the pull-back morphism $\mathrm{CH}(X^3) \rightarrow \mathrm{CH}(X_\Omega^3)$ associated with the field extension from K to a universal domain Ω is injective, and all the terms in (3) are defined over K , we have the result in characteristic zero. If $\mathrm{char}(K) > 0$, take a lifting \mathcal{X}/W over some discrete valuation ring W with residue field K and fraction field of characteristic zero. Then by specialization, the validity of (3) on the generic fiber implies the same result on the special fiber. \square

Proposition 2.2. *Let $\mathcal{X} \rightarrow B$ be the above-defined family of smooth cubic fourfolds and let $X = X_b$ be a fiber. For a positive integer n , define $\mathrm{GDCH}_B^*(X^n)$, which stands for generically defined cycles, to be the image of the Gysin restriction ring homomorphism*

$$\mathrm{CH}^*(\mathcal{X}_{/B}^n) \rightarrow \mathrm{CH}^*(X^n).$$

Then the map $\mathrm{GDCH}_B^(X^n) \hookrightarrow \mathrm{CH}^*(X^n) \rightarrow \overline{\mathrm{CH}}^*(X^n)$ is injective for $n \leq 2$. We say that $\mathcal{X}_{/B}^n$ has the Franchetta property for $n \leq 2$.*

Proof. This was established in [FLV19, Proposition 4.6]. The proof in *loc. cit.* is given for $K = \mathbb{C}$ but holds for any field K . \square

Remark 2.3. Proposition 2.2 was extended to $n \leq 4$ in [FLV20, Theorem 2].

3. KUZNETSOV COMPONENTS AND PRIMITIVE MOTIVES

3.1. Kuznetsov component and projectors. For the basic theory of Fourier–Mukai transforms, we refer to the book [Huy06]. Let $X \subset \mathbb{P}^5$ be a smooth cubic fourfold. Following [Kuz10], the *Kuznetsov component* \mathcal{A}_X of X is defined to be the right-orthogonal complement of the triangulated subcategory generated by the exceptional collection $\langle \mathcal{O}_X, \mathcal{O}_X(1), \mathcal{O}_X(2) \rangle$ in the bounded derived category of coherent sheaves $\mathrm{D}^b(X)$:

$$\mathcal{A}_X := \{E \in \mathrm{D}^b(X) \mid \mathrm{Hom}(\mathcal{O}_X(i), E[k]) = 0, \text{ for all } i = 0, 1, 2 \text{ and } k \in \mathbb{Z}\}.$$

By Serre duality, \mathcal{A}_X is also the left-orthogonal complement of the triangulated subcategory generated by the exceptional collection $\langle \mathcal{O}_X(-3), \mathcal{O}_X(-2), \mathcal{O}_X(-1) \rangle$ in $\mathrm{D}^b(X)$:

$$\mathcal{A}_X = \{E \in \mathrm{D}^b(X) \mid \mathrm{Hom}(E[k], \mathcal{O}_X(i)) = 0, \text{ for all } i = -1, -2, -3 \text{ and } k \in \mathbb{Z}\}.$$

In other words, we have semi-orthogonal decompositions

$$\mathrm{D}^b(X) = \langle \mathcal{A}_X, \mathcal{O}_X, \mathcal{O}_X(1), \mathcal{O}_X(2) \rangle \quad \text{and} \quad \mathrm{D}^b(X) = \langle \mathcal{O}_X(-3), \mathcal{O}_X(-2), \mathcal{O}_X(-1), \mathcal{A}_X \rangle.$$

As \mathcal{A}_X is an admissible subcategory ([Bon89, BK89]), the inclusion functor $i_X : \mathcal{A}_X \hookrightarrow \mathrm{D}^b(X)$ has both left and right adjoint functors, which are respectively denoted by i_X^* and $i_X^! : \mathrm{D}^b(X) \rightarrow \mathcal{A}_X$. In addition, since i_X is fully faithful, the adjunction morphisms

$$i_X^* \circ i_X \xrightarrow{\cong} \mathrm{id}_{\mathcal{A}_X} \xrightarrow{\cong} i_X^! \circ i_X$$

are isomorphisms. We then have the following basic property.

Proposition 3.1. *The functors $p_X^L := i_X \circ i_X^*$ and $p_X^R := i_X \circ i_X^!$ are idempotent endo-functors of $D^b(X)$, that is,*

$$\begin{cases} p_X^L \circ p_X^L \simeq p_X^L; \\ p_X^R \circ p_X^R \simeq p_X^R. \end{cases}$$

Moreover, we have

$$\begin{cases} p_X^L \circ p_X^R \simeq p_X^R; \\ p_X^R \circ p_X^L \simeq p_X^L. \end{cases}$$

Note that p_X^L and p_X^R are mutation functors in the sense of Bondal [Bon89]. More precisely,

$$p_X^L = L_{(\mathcal{O}_X, \mathcal{O}_X(1), \mathcal{O}_X(2))} = L_{\mathcal{O}_X} \circ L_{\mathcal{O}_X(1)} \circ L_{\mathcal{O}_X(2)}$$

is the left mutation through $\langle \mathcal{O}_X, \mathcal{O}_X(1), \mathcal{O}_X(2) \rangle$ and

$$p_X^R = R_{(\mathcal{O}_X(-3), \mathcal{O}_X(-2), \mathcal{O}_X(-1))} = R_{\mathcal{O}_X(-1)} \circ R_{\mathcal{O}_X(-2)} \circ R_{\mathcal{O}_X(-3)}$$

is the right mutation through $\langle \mathcal{O}_X(-3), \mathcal{O}_X(-2), \mathcal{O}_X(-1) \rangle$.

We denote \mathcal{P}_X^L and \mathcal{P}_X^R the respective Fourier–Mukai kernels in $D^b(X \times X)$ of the functors p_X^L and p_X^R . Recall that, given $E \in D^b(X)$ an exceptional object, the Fourier–Mukai kernel of the left mutation functor L_E is given by $\text{cone}(E^\vee \boxtimes E \rightarrow \mathcal{O}_\Delta)$, while the Fourier–Mukai kernel of the right mutation functor R_E is given by $\text{cone}(\mathcal{O}_\Delta \rightarrow R\mathcal{H}om(E, \omega_X[d]) \boxtimes E)[-1]$. Here, d is the dimension of X and $E_1 \boxtimes E_2 := p^*E_1 \otimes q^*E_2$ with $p, q : X \times X \rightarrow X$ the two natural projections. Therefore the Fourier–Mukai kernel of p_X^L is given by the convolution of the kernels of the mutation functors:

$$\mathcal{P}_X^L \simeq \text{cone}(\mathcal{O}_{X \times X} \rightarrow \mathcal{O}_\Delta) * \text{cone}(\mathcal{O}_X(-1) \boxtimes \mathcal{O}_X(1) \rightarrow \mathcal{O}_\Delta) * \text{cone}(\mathcal{O}_X(-2) \boxtimes \mathcal{O}_X(2) \rightarrow \mathcal{O}_\Delta). \quad (4)$$

The Fourier–Mukai kernel \mathcal{P}_X^R of p_X^R admits a similar description.

Remark 3.2. Consider the universal family of smooth cubic fourfolds $\mathcal{X} \rightarrow B$ as in Section 2. Since objects of the form $\mathcal{O}_X(i)$ are defined family-wise for $\mathcal{X} \rightarrow B$, by the formula (4), the Fourier–Mukai kernels \mathcal{P}_X^L (and similarly \mathcal{P}_X^R) are defined family-wise.

Now we turn to the study of cohomological or Chow-theoretic Fourier–Mukai transforms. Recall that for $E \in D^b(X)$, its *Mukai vector* is defined as $v(E) := \text{ch}(E) \sqrt{\text{td}(T_X)} \in \text{CH}^*(X)$, and we denote its cohomology class by $[v(E)] \in H^*(X)$ and its numerical class by $\bar{v}(E) \in \overline{\text{CH}}^*(X)$, where $\overline{\text{CH}}^*(X) := \text{CH}^*(X)/\equiv$ is the \mathbb{Q} -algebra of cycles on X modulo numerical equivalence. The *Mukai pairing* on $\text{CH}^*(X)$ is given as follows: for any $v, v' \in \text{CH}^*(X)$,

$$\langle v, v' \rangle := \int_X v^\vee \cdot v' \cdot \exp(c_1(X)/2), \quad (5)$$

where $v^\vee := \sum_{i=0}^{\dim X} (-1)^i v_i$, where $v_i \in \text{CH}^i(X)$ is the codimension i component of v . The same formula defines the Mukai pairing on $H^*(X)$ and $\overline{\text{CH}}^*(X)$. Note that the Mukai pairing is bilinear but in general *not* symmetric, hence we need to distinguish between the notions of left and right orthogonal complements. Recall that for a vector space V equipped with a bilinear form $\langle -, - \rangle$, the left (resp. right) orthogonal complement of a subspace U is by definition ${}^\perp U := \{v \in V \mid \langle v, u \rangle = 0, \text{ for all } u \in U\}$, resp. $U^\perp := \{v \in V \mid \langle u, v \rangle = 0, \text{ for all } u \in U\}$. By *orthogonal projection* from V onto ${}^\perp U$ (resp. U^\perp), we mean the projection with respect to the decomposition $V = U \oplus {}^\perp U$ (resp. $V = U^\perp \oplus U$).

Lemma 3.3. *The cohomological (resp. numerical) Fourier–Mukai transform*

$$[v(\mathcal{P}_X^L)]_* : H^*(X) \rightarrow H^*(X),$$

$$\bar{v}(\mathcal{P}_X^L)_* : \overline{\mathrm{CH}}^*(X) \rightarrow \overline{\mathrm{CH}}^*(X)$$

are respectively the orthogonal projections onto $\langle v(\mathcal{O}), v(\mathcal{O}(1)), v(\mathcal{O}(2)) \rangle^\perp$, which is the right orthogonal complement of the linear subspace spanned by the cohomological (resp. numerical) Mukai vectors of $\mathcal{O}_X, \mathcal{O}_X(1)$, and $\mathcal{O}_X(2)$, with respect to the Mukai pairing.

Proof. We only show the statement for the cohomology. The proof for $\overline{\mathrm{CH}}^*$ is the same. We first show a general result: for a smooth projective variety X and an exceptional object E in $\mathrm{D}^b(X)$, the cohomological action of the left mutation functor L_E on $\mathrm{H}^*(X)$ is the orthogonal projection onto the subspace $[v(E)]^\perp$, with respect to the Mukai pairing. Indeed, the Fourier–Mukai kernel of L_E , denoted by $F \in \mathrm{D}^b(X \times X)$, fits into the distinguished triangle:

$$E^\vee \boxtimes E \rightarrow \mathcal{O}_\Delta \rightarrow F \xrightarrow{+1}.$$

Hence $v(F) = v(\mathcal{O}_\Delta) - v(E^\vee \boxtimes E) = \Delta_X - v(E^\vee) \times v(E)$. Thus, for any $\alpha \in \mathrm{H}^*(X)$,

$$[v(F)]_*(\alpha) = \Delta_{X,*}(\alpha) - \left(\int_X [v(E^\vee)] \smile \alpha \right) [v(E)] = \alpha - \langle [v(E)], \alpha \rangle [v(E)],$$

which is exactly the orthogonal projector to $[v(E)]^\perp$, where we used in the last step the relation $v(E^\vee) = v(E)^\vee \smile \exp(c_1(X)/2)$; see [Huy06, Lemma 5.41]. Now back to the case of cubic fourfolds: since \mathcal{P}_X^L is the composition of the kernels of three left mutations (4), applying the above general result three times, we see that the cohomological transform $[v(\mathcal{P}_X^L)]_*$ on $\mathrm{H}^*(X)$ is the successive orthogonal projections onto $[v(\mathcal{O}_X(2))]^\perp$, $[v(\mathcal{O}_X(1))]^\perp$ and $[v(\mathcal{O}_X)]^\perp$. Since $\langle [v(\mathcal{O}_X(i))], [v(\mathcal{O}_X(j))] \rangle = 0$ for all $0 \leq j < i \leq 2$, the composition of the three projections is the orthogonal projection onto $\langle [v(\mathcal{O}_X)], [v(\mathcal{O}_X(1))], [v(\mathcal{O}_X(2))] \rangle^\perp$. \square

Definition 3.4. The cohomology and the Chow group modulo numerical equivalence of the Kuznetsov component \mathcal{A}_X are defined, respectively, as the vector spaces

$$\mathrm{H}^*(\mathcal{A}_X) := \mathrm{Im} \left([v(\mathcal{P}_X^L)]_* : \mathrm{H}^*(X) \rightarrow \mathrm{H}^*(X) \right),$$

$$\overline{\mathrm{CH}}^*(\mathcal{A}_X) := \mathrm{Im} \left(\bar{v}(\mathcal{P}_X^L)_* : \overline{\mathrm{CH}}^*(X) \rightarrow \overline{\mathrm{CH}}^*(X) \right) = \{ \bar{v}(E) \mid E \in \mathcal{A}_X \}.$$

Unlike the Mukai pairing over $\mathrm{H}^*(X)$ or $\overline{\mathrm{CH}}^*(X)$, the restriction of the Mukai pairing to the above spaces becomes symmetric (essentially because the Serre functor $\mathbf{S}_{\mathcal{A}_X}$ of \mathcal{A}_X is a double shift: $\langle \bar{v}(E), \bar{v}(E') \rangle = \chi(E, E') = \chi(E', \mathbf{S}_{\mathcal{A}_X} E) = \chi(E', E) = \langle \bar{v}(E'), \bar{v}(E) \rangle$, see [AT14, pp.1891–1892]), hence endows them with a non-degenerate quadratic form.

3.2. Kuznetsov components and primitive classes.

Definition 3.5. Let X be a smooth cubic fourfold with hyperplane class h_X . The primitive cohomology and the primitive Chow group modulo numerical equivalence of X are defined, respectively, to be

$$\begin{aligned} \mathrm{H}_{\mathrm{prim}}^4(X) &:= \langle h_X^2 \rangle^\perp \subseteq \mathrm{H}^4(X), \\ \overline{\mathrm{CH}}_{\mathrm{prim}}^2(X) &:= \langle h_X^2 \rangle^\perp \subseteq \overline{\mathrm{CH}}^2(X). \end{aligned}$$

Here, $\langle h_X^2 \rangle^\perp$ denotes the orthogonal complement of h_X^2 inside $\mathrm{H}^4(X)$ with respect to the intersection product. We also have the following alternative description for the space of primitive classes as the right orthogonal complement of all powers of the hyperplane class:

$$\begin{aligned} \mathrm{H}_{\mathrm{prim}}^4(X) &= \langle \mathbb{1}_X, h_X, h_X^2, h_X^3, h_X^4 \rangle^\perp \subset \overline{\mathrm{H}}^*(X), \\ \overline{\mathrm{CH}}_{\mathrm{prim}}^2(X) &= \langle \mathbb{1}_X, h_X, h_X^2, h_X^3, h_X^4 \rangle^\perp \subset \overline{\mathrm{CH}}^*(X). \end{aligned}$$

The restriction of the Mukai pairing on $\mathrm{H}_{\mathrm{prim}}^4(X)$ and on $\overline{\mathrm{CH}}_{\mathrm{prim}}^2(X)$ endows those spaces with a non-degenerate quadratic form that coincides with the intersection pairing. (As can readily

be observed from (5), the Mukai pairing and the intersection pairing already agree on $H^4(X)$ and on $\overline{CH}^2(X)$.)

Proposition 3.6. *We have the inclusions :*

$$H_{\text{prim}}^4(X) \subset H^*(\mathcal{A}_X), \quad (6)$$

$$\overline{CH}_{\text{prim}}^2(X) \subset \overline{CH}^*(\mathcal{A}_X). \quad (7)$$

Proof. We only prove (6) as the proof of (7) is similar. By Lemma 3.3, the right-hand side of (6) coincides with the right orthogonal complement of the Mukai vectors of \mathcal{O}_X , $\mathcal{O}_X(1)$, and $\mathcal{O}_X(2)$, with respect to the Mukai pairing on $H^*(X)$. Therefore, it suffices to check that $H_{\text{prim}}^4(X)$ is right orthogonal to $[v(\mathcal{O}_X)]$, $[v(\mathcal{O}_X(1))]$ and $[v(\mathcal{O}_X(2))]$. As the Mukai vector of the sheaf $\mathcal{O}_X(i)$ and $\exp(c_1(X)/2)$ are all polynomials in the hyperplane section class h_X , we have that for any i there is some rational number λ_i such that

$$\langle [v(\mathcal{O}_X(i))], \alpha \rangle = \int_X \alpha \smile \lambda_i h_X^2 = 0, \quad \forall \alpha \in H_{\text{prim}}^4(X).$$

The inclusion (6) is proved. \square

Remark 3.7. Over the complex numbers ($K = \mathbb{C}$), following Addington–Thomas [AT14], define the *Mukai lattice* of \mathcal{A}_X as its topological K-theory :

$$\tilde{H}(\mathcal{A}_X, \mathbb{Z}) := K_{\text{top}}(\mathcal{A}_X) := \{\alpha \in K_{\text{top}}(X) \mid \langle [\mathcal{O}_X(i)], \alpha \rangle = 0 \text{ for } i = 0, 1, 2\},$$

where $\langle -, - \rangle$ is the Mukai pairing on $K_{\text{top}}(X)$ given by $\langle v, v' \rangle := \chi(v, v')$. A weight-2 Hodge structure on $\tilde{H}(\mathcal{A}_X, \mathbb{Z})$ is induced from the isomorphism $v : K_{\text{top}}(X) \otimes \mathbb{Q} \rightarrow H^*(X, \mathbb{Q})$ given by the Mukai vector. The cohomological action of the projector \mathcal{P}_X^L recovers the Mukai lattice rationally :

$$\tilde{H}(\mathcal{A}_X, \mathbb{Q}) = \text{Im}([v(\mathcal{P}_X^L)]_* : H^*(X, \mathbb{Q}) \rightarrow H^*(X, \mathbb{Q})). \quad (8)$$

Hence Proposition 3.6 says that $H_{\text{prim}}^4(X, \mathbb{Q}) \subset \tilde{H}(\mathcal{A}_X, \mathbb{Q})$. See [AT14, Proposition 2.3] for an alternative argument.

The following relation between $\overline{CH}_{\text{prim}}^2(X)$ and $\overline{CH}^*(\mathcal{A}_X)$ is essentially due to Addington–Thomas [AT14, Proposition 2.3].

Proposition 3.8. *There are canonical polynomials $\lambda_1, \lambda_2 \in \mathbb{Q}[T]$ such that we have orthogonal decompositions*

$$\langle \lambda_1([h_X]), \lambda_2([h_X]) \rangle \oplus H_{\text{prim}}^4(X) = H^*(\mathcal{A}_X), \quad (9)$$

$$\langle \lambda_1(h_X), \lambda_2(h_X) \rangle \oplus \overline{CH}_{\text{prim}}^2(X) = \overline{CH}^*(\mathcal{A}_X). \quad (10)$$

with respect to (the restriction of) the Mukai pairing (5). Moreover, the \mathbb{Z} -lattice $\langle \lambda_1(h_X), \lambda_2(h_X) \rangle$ equipped with the Mukai pairing is an A_2 -lattice.

Proof. (9) is proved in [AT14, Proposition 2.3]. We sketch the proof of (10) for the convenience of the reader. We define the polynomials (see [Huy19, pp. 176-177])

$$\begin{aligned} \lambda_1 &= 3 + \frac{5}{4}T - \frac{7}{32}T^2 - \frac{77}{384}T^3 + \frac{41}{2048}T^4; \\ \lambda_2 &= -3 - \frac{1}{4}T + \frac{15}{32}T^2 + \frac{1}{384}T^3 - \frac{153}{2048}T^4. \end{aligned}$$

We write λ_i for $\lambda_i(h_X)$ in the sequel; λ_i clearly defines an algebraic cycle defined over K . Moreover, after a finite base-change, λ_i agrees with the Mukai vector of $p_X^L(\mathcal{O}_l(i))$, where l is any line contained in X . It is easy to compute that $\lambda_1^2 = \lambda_2^2 = -2$ and $\langle \lambda_1, \lambda_2 \rangle = 1$. Now for

any element in $\overline{\text{CH}}^*(\mathcal{A}_X)$, which is necessarily of the form $\bar{v}(E)$ for some $E \in \mathcal{A}_X$, the condition that $\langle \lambda_1, \lambda_2 \rangle \perp \bar{v}(E)$ is equivalent to $\bar{v}(E)$ being right orthogonal in $\overline{\text{CH}}^*(X)$ to

$$\begin{aligned} & \langle \bar{v}(\mathcal{O}_X), \bar{v}(\mathcal{O}_X(1)), \bar{v}(\mathcal{O}_X(2)), \lambda_1, \lambda_2 \rangle \\ &= \langle \bar{v}(\mathcal{O}_X), \bar{v}(\mathcal{O}_X(1)), \bar{v}(\mathcal{O}_X(2)), \bar{v}(\mathcal{O}_X(3)), \bar{v}(\mathcal{O}_X(4)) \rangle \\ &= \langle \mathbb{1}_X, h_X, h_X^2, h_X^3, h_X^4 \rangle. \end{aligned}$$

However, $\langle \mathbb{1}_X, h_X, h_X^2, h_X^3, h_X^4 \rangle^\perp = \text{CH}_{\text{prim}}^2(X)$. \square

3.3. Kuznetsov components and primitive motives. Let $\mathcal{X} \rightarrow B$ be the universal family of smooth cubic fourfolds. We may refine the relative Chow–Künneth decomposition (2) and define the relative idempotent correspondence

$$\pi_{\mathcal{X}, \text{prim}}^4 := \pi_{\mathcal{X}}^4 - \frac{1}{3} H^2 \times_B H^2.$$

We have $\pi_{\mathcal{X}, \text{prim}}^4 \circ \pi_{\mathcal{X}}^4 = \pi_{\mathcal{X}}^4 \circ \pi_{\mathcal{X}, \text{prim}}^4 = \pi_{\mathcal{X}, \text{prim}}^4$ and the restriction of $\pi_{\mathcal{X}, \text{prim}}^4$ to any fiber X defines an idempotent $\pi_{\text{prim}}^4 \in \text{CH}^4(X \times X)$ which cohomologically defines the orthogonal projector on the primitive cohomology $\text{H}_{\text{prim}}^4(X)$.

Using the Franchetta property for $X \times X$ of Proposition 2.2, we can show that the Fourier–Mukai kernels \mathcal{P}_X^L and \mathcal{P}_X^R enjoy the following property relatively to the projector π_{prim}^4 . For an object $\mathcal{F} \in \text{D}^b(X \times X)$, we denote by $v(\mathcal{F}) := \text{ch}(\mathcal{F}) \cdot \sqrt{\text{td}(X \times X)}$ its Mukai vector and $v_i(\mathcal{F})$ the component of $v(\mathcal{F})$ in $\text{CH}^i(X \times X)$, for all $0 \leq i \leq 8$.

Lemma 3.9. *The following relations hold in $\text{CH}^4(X \times X)$:*

$$\pi_{\text{prim}}^4 \circ v_4(\mathcal{P}_X^L) \circ \pi_{\text{prim}}^4 = \pi_{\text{prim}}^4 \quad \text{and} \quad \pi_{\text{prim}}^4 \circ v_4(\mathcal{P}_X^R) \circ \pi_{\text{prim}}^4 = \pi_{\text{prim}}^4.$$

Proof. We only prove the relation involving \mathcal{P}_X^L ; the proof of the relation involving \mathcal{P}_X^R is similar. We have to show that the composition

$$\mathfrak{h}_{\text{prim}}^4(X) \hookrightarrow \mathfrak{h}(X) \xrightarrow{v(\mathcal{P}_X^L)} \bigoplus_i \mathfrak{h}(X)(i) \twoheadrightarrow \mathfrak{h}_{\text{prim}}^4(X) \quad (11)$$

is the identity map. Observe that π_{prim}^4 is defined family-wise (which is the reason for focusing on π_{prim}^4 , rather than on π_{tr}^4 , in this section) and the Fourier–Mukai kernel \mathcal{P}_X^L is also defined family-wise (Remark 3.2), by the Franchetta property for $X \times X$ in Proposition 2.2, we are reduced to showing that the composition (11) is the identity map modulo homological (or numerical) equivalence. This follows directly from Proposition 3.6. \square

4. FM-EQUIVALENT KUZNETSOV COMPONENTS AND TRANSCENDENTAL MOTIVES

4.1. Rational and numerical equivalence on codimension-2 cycles on cubic fourfolds.

When X is a cubic fourfold over $K = \mathbb{C}$, $\text{CH}^2(X)$ identifies via the cycle class map with a \mathbb{Q} -vector subspace of $\text{H}^4(X, \mathbb{Q})$. Over an arbitrary field, $\text{CH}^2(X_{\bar{K}})$ identifies via the cycle class map to ℓ -adic cohomology $\text{H}^4(X_{\bar{K}}, \mathbb{Q}_\ell(2))$ with a Galois sub-representation of $\text{H}^4(X_{\bar{K}}, \mathbb{Q}_\ell(2))$, but it does not define a \mathbb{Q}_ℓ -vector subspace. In order to avoid such complications, the idea is to avoid cohomology groups and to work with Chow groups modulo numerical equivalence instead.

For that purpose, we have the following lemma which applies in particular to cubic fourfolds:

Lemma 4.1. *Let X be a smooth projective variety over a field K and let Ω be a universal domain containing K . Assume that $\text{CH}_0(X_\Omega)$ is supported on a curve and that $\text{H}^3(X_{\bar{K}}, \mathbb{Q}_\ell) = 0$ for some prime $\ell \neq \text{char } K$. Then rational and numerical equivalence agree on $\text{Z}^2(X)$, where Z^2 denotes the group of algebraic cycles of codimension 2 with rational coefficients.*

Proof. By a push-pull argument, we may assume that K is algebraically closed. The proof is classical and goes back to [BS83]. By [BS83, Proposition 1], there exists a positive integer N , a 1-dimensional closed subscheme $C \subseteq X$, a divisor $D \subset X$ and cycles Γ_1, Γ_2 in $\mathrm{CH}_{\mathbb{Z}}^{\dim X}(X \times_K X)$ with respective supports contained in $C \times X$ and $X \times D$, such that

$$N\Delta_X = \Gamma_1 + \Gamma_2 \in \mathrm{CH}_{\mathbb{Z}}^{\dim X}(X \times_K X),$$

where $\mathrm{CH}_{\mathbb{Z}}^*$ denotes the Chow group with integral coefficients. Let $\tilde{D} \rightarrow D$ be an alteration, say of degree d , with \tilde{D} smooth over K . The multiplication by Nd map on $\mathrm{CH}_{\mathbb{Z}}^2(X)$ then factors as

$$\mathrm{CH}_{\mathbb{Z}}^2(X) \longrightarrow \mathrm{CH}_{\mathbb{Z}}^1(\tilde{D}) \longrightarrow \mathrm{CH}_{\mathbb{Z}}^2(X) \quad (12)$$

where the arrows are induced by correspondences with integral coefficients. Since numerical and algebraic equivalence agree for codimension-1 cycles on \tilde{D} , we find that numerical and algebraic equivalence agree on $\mathrm{CH}_{\mathbb{Z}}^2(X)$. It remains to show that the group of algebraically trivial cycles $\mathrm{CH}_{\mathbb{Z}}^2(X)_{\mathrm{alg}}$ is zero after tensoring with \mathbb{Q} . For that purpose, we consider the diagram (12) restricted to algebraically trivial cycles. We obtain a commutative diagram

$$\begin{array}{ccccc} \mathrm{CH}_{\mathbb{Z}}^2(X)_{\mathrm{alg}} & \longrightarrow & \mathrm{CH}_{\mathbb{Z}}^1(\tilde{D})_{\mathrm{alg}} & \longrightarrow & \mathrm{CH}_{\mathbb{Z}}^2(X)_{\mathrm{alg}} \\ \downarrow & & \downarrow \simeq & & \downarrow \\ \mathrm{Ab}_X^2(\bar{K}) & \longrightarrow & \mathrm{Pic}_{\tilde{D}}^0(\bar{K}) & \longrightarrow & \mathrm{Ab}_X^2(\bar{K}) \end{array}$$

where the composition of the horizontal arrows is given by multiplication by Nd , and where the vertical arrows are Murre's algebraic representatives [Mur85] (these are regular homomorphisms to abelian varieties that are universal). A diagram chase shows that $\mathrm{CH}_{\mathbb{Z}}^2(X)_{\mathrm{alg}} \rightarrow \mathrm{Ab}_X^2(\bar{K})$ is injective after tensoring with \mathbb{Q} . We conclude with [Mur85, Theorem 1.9] which gives the upper bound $\dim \mathrm{Ab}_X^2 \leq \frac{1}{2} \dim_{\mathbb{Q}_\ell} \mathrm{H}^3(X_{\bar{K}}, \mathbb{Q}_\ell)$. \square

4.2. Refined Chow–Künneth decomposition. Fix a smooth cubic fourfold X over K . We are going to produce a refined Chow–Künneth decomposition for X that is similar to that for surfaces constructed in [KMP07, §7.2.2], and extending the construction in [BP20] to arbitrary base fields. Refining the primitive motive to the transcendental motive is an essential step towards the proof of Theorem 1 as it makes it possible to use the “weight argument” of Lemma 4.5 below. For that purpose, recall from Lemma 4.1 that $\mathrm{CH}^2(X_{\bar{K}}) = \overline{\mathrm{CH}}^2(X_{\bar{K}})$. This way we can complete $\langle h_X^2 \rangle \subset \mathrm{CH}^2(X)$ to an orthogonal basis $\{h_X^2, \alpha_1, \dots, \alpha_r\}$ of $\mathrm{CH}^2(X_{\bar{K}})$ with respect to the intersection product. The correspondence

$$\pi_{\mathrm{alg}}^4 := \frac{1}{3} h_X^2 \times h_X^2 + \sum_{i=1}^r \frac{1}{\deg(\alpha_i \cdot \alpha_i)} \alpha_i \times \alpha_i \quad (13)$$

then defines an idempotent in $\mathrm{CH}^4(X_{\bar{K}} \times_{\bar{K}} X_{\bar{K}})$ which descends to K , which commutes with π_X^4 and π_{prim}^4 and which cohomologically is the orthogonal projector on the subspace $\mathrm{Im}(\mathrm{CH}^2(X_{\bar{K}}) \rightarrow \mathrm{H}^4(X))$ spanned by \bar{K} -algebraic classes. (The correspondence π_{alg}^4 , which comes from $\mathrm{CH}^2(X_{\bar{K}}) \otimes \mathrm{CH}^2(X_{\bar{K}})$, is indeed Galois-invariant as it defines the intersection pairing on $\mathrm{CH}^2(X_{\bar{K}})$, and the latter is obviously Galois-invariant.) In addition, we have $\pi_{\mathrm{alg}}^4 \circ \pi_X^4 = \pi_X^4 \circ \pi_{\mathrm{alg}}^4 = \pi_{\mathrm{alg}}^4$.

We then define

$$\pi_{\mathrm{tr}}^4 := \pi_X^4 - \pi_{\mathrm{alg}}^4.$$

It is an idempotent correspondence in $\mathrm{CH}^4(X \times_K X)$ which cohomologically is the orthogonal projector on the *transcendental cohomology* $\mathrm{H}_{\mathrm{tr}}^4(X)$, i.e., by definition of transcendental cohomology, the orthogonal projector on the orthogonal complement to the \bar{K} -algebraic classes

in $H^4(X)$. In addition, π_{tr}^4 commutes with π_{prim}^4 and we have

$$\pi_{\text{prim}}^4 \circ \pi_{\text{tr}}^4 = \pi_{\text{tr}}^4 \circ \pi_{\text{prim}}^4 = \pi_{\text{tr}}^4. \quad (14)$$

Note that, while π_{prim}^4 is defined family-wise for the universal cubic fourfold $\mathcal{X} \rightarrow B$, π_{tr}^4 and π_{alg}^4 are not.

Denote by $\mathfrak{h}^i(X)$, $\mathfrak{h}_{\text{tr}}^4(X)$ and $\mathfrak{h}_{\text{alg}}^4(X)$ the Chow motives (X, π_X^i) , (X, π_{tr}^4) , and (X, π_{alg}^4) respectively. From the above, we get the following refined Chow–Künneth decomposition:

$$\mathfrak{h}(X) = \mathfrak{h}^0(X) \oplus \mathfrak{h}^2(X) \oplus \mathfrak{h}_{\text{alg}}^4(X) \oplus \mathfrak{h}_{\text{tr}}^4(X) \oplus \mathfrak{h}^6(X) \oplus \mathfrak{h}^8(X), \quad (15)$$

where $\mathfrak{h}^{2i}(X) \simeq \mathbb{1}(-i)$ for $i = 0, 1, 3, 4$, $\mathfrak{h}_{\text{alg}}^4(X)$ is a direct sum of copies of $\mathbb{1}(-2)$ and $\mathfrak{h}_{\text{tr}}^4(X)$ is a direct summand of $\mathfrak{h}_{\text{prim}}^4(X)$.

As an immediate consequence of (14), we have the following consequence of Lemma 3.9 (we insist that although π_{tr}^4 is not defined family-wise, Lemma 4.2 is obtained via a family argument):

Lemma 4.2. *The following relations hold in $\text{CH}^4(X \times_K X)$:*

$$\pi_{\text{tr}}^4 \circ v_4(\mathcal{P}_X^L) \circ \pi_{\text{tr}}^4 = \pi_{\text{tr}}^4 \quad \text{and} \quad \pi_{\text{tr}}^4 \circ v_4(\mathcal{P}_X^R) \circ \pi_{\text{tr}}^4 = \pi_{\text{tr}}^4.$$

In other words, the correspondences $v_4(\mathcal{P}_X^L)$ and $v_4(\mathcal{P}_X^R)$ act as the identity on the transcendental motive $\mathfrak{h}_{\text{tr}}^4(X)$. \square

4.3. A weight argument. As a first step towards our weight argument below (Lemma 4.5), we need the following property of the refined Chow–Künneth decomposition (15).

Proposition 4.3. *Let X and X' be two smooth cubic fourfolds over a field K .*

- (i) *The decomposition (15) is semi-orthogonal: no term admits non-trivial morphism to a term to its right.*
- (ii) *$\mathbb{1}(-2)$ and $\mathfrak{h}_{\text{tr}}^4(X)$ are orthogonal: $\text{Hom}(\mathfrak{h}_{\text{tr}}^4(X), \mathbb{1}(-2)) = 0$ and $\text{Hom}(\mathbb{1}(-2), \mathfrak{h}_{\text{tr}}^4(X)) = 0$.*
- (iii) *$\text{Hom}(\mathfrak{h}_{\text{tr}}^4(X), \mathfrak{h}_{\text{tr}}^4(X')(-l)) = 0$ for all $l > 0$.*

Proof. It is straightforward to check (i) and (ii): since $\text{CH}^l(X) = \text{CH}^l(\mathfrak{h}^{2l}(X))$ for $l = 0, 1$ and $\text{CH}^2(X) = \text{CH}^2(\mathfrak{h}_{\text{alg}}^4(X))$ by construction, we deduce that for $l = 0, 1, 2$, the group $\text{CH}^l(\mathfrak{h}_{\text{tr}}^4(X))$ vanishes, i.e. $\text{Hom}(\mathbb{1}(-l), \mathfrak{h}_{\text{tr}}^4(X)) = 0$. Since $\mathfrak{h}_{\text{tr}}^4(X)^\vee = \mathfrak{h}_{\text{tr}}^4(X)(4)$, we deduce by dualizing that $\text{Hom}(\mathfrak{h}_{\text{tr}}^4(X), \mathbb{1}(-l)) = 0$ for $l = 2, 3, 4$.

Regarding (iii), since $\text{CH}_0(\mathfrak{h}_{\text{tr}}^4(X_\Omega)) = 0$ and $\pi_{\text{tr}}^4 = {}^t\pi_{\text{tr}}^4$, we get from [Via15, Corollary 2.2] that $\mathfrak{h}_{\text{tr}}^4(X)(1)$ is isomorphic to a direct summand N of the Chow motive of a surface S . Similarly, $\mathfrak{h}_{\text{tr}}^4(X')(1)$ is isomorphic to a direct summand of the Chow motive of a surface S' . As such, we have $\text{Hom}(\mathfrak{h}_{\text{tr}}^4(X), \mathfrak{h}_{\text{tr}}^4(X')(-l)) = \text{Hom}(\mathbb{1}(l-2), N \otimes N')$. Since $N \otimes N'$ is effective with cohomology concentrated in degree 4, we can then conclude thanks to Lemma 4.4 below, which is a more general version of [FV19, Theorem 1.4(ii)] (which states that $\text{Hom}(\mathfrak{h}^2(S), \mathfrak{h}^2(S')(-l)) = 0$ for all $l > 0$). \square

Lemma 4.4. *Let M be an effective Chow motive such that $H^i(M) = 0$ for $i \leq 1$ and such that $\text{Hom}_{\mathcal{M}_{\text{hom}}}(\mathbb{1}(-1), M) = 0$ (e.g., $H^2(M) = 0$). Then $\text{CH}^l(M) := \text{Hom}_{\mathcal{M}}(\mathbb{1}(-l), M) = 0$ for $l < 2$.*

Proof. By definition of an effective motive, there exists a smooth projective variety X and an idempotent $r \in \text{End}_{\mathcal{M}}(\mathfrak{h}(X))$ such that $M \simeq (X, r, 0)$. By assumption, r acts as zero on $H^0(X)$, so that $\text{CH}^0(M) := r_*\text{CH}^0(X) = 0$. Further, we have $\text{CH}^1(M) := r_*\text{CH}^1(X) = 0$ since by assumption r acts as zero both on $\text{Im}(\text{CH}^1(X) \rightarrow H^2(X))$ and on $H^1(X)$. \square

One defines a notion of *weight* on the above Chow motives in the following way: for any $i \in \mathbb{Z}$, the Tate motive $\mathbb{1}(-i)$ has weight $2i$; $\mathfrak{h}_{\text{tr}}^4(X)$ and $\mathfrak{h}_{\text{alg}}^4(X)$ has weight 4. Then Proposition 4.3 says that there is no non-zero morphism from a motive of smaller weight to a motive of larger weight. We will need the following simple observation, which is an abstraction of [FV19, §1.2.3].

Lemma 4.5 (Weight argument). *Let $\mathcal{S} := \{N_i, i \in I\}$ be a collection of Chow motives whose objects N_i are all equipped with an integer k_i called weight such that any morphism from an object of smaller weight to an object of larger weight is zero. For $r = 0, \dots, n$, let M_r be a Chow motive isomorphic to a direct sum of objects in \mathcal{S} . Suppose we have a chain of morphisms of Chow motives*

$$M = M_0 \rightarrow M_1 \rightarrow M_2 \rightarrow \dots \rightarrow M_n = M', \quad (16)$$

such that M and M' are both of (pure) weight k for some integer k , i.e., such that M and M' are direct sums of objects of \mathcal{S} all of weight k . Then the composition of morphisms in (16) is equal to the following composition

$$M = M_0 \rightarrow M_1^{w=k} \rightarrow M_2^{w=k} \rightarrow \dots \rightarrow M_{n-1}^{w=k} \rightarrow M_n = M',$$

where $M_i^{w=k}$ means the direct sum of the summands (in \mathcal{S}) of M_i of weight k .

Proof. The composition in (16) is clearly the sum of all compositions of the form

$$M = M_0 \rightarrow M_1^{w=k_1} \rightarrow M_2^{w=k_2} \rightarrow \dots \rightarrow M_{n-1}^{w=k_{n-1}} \rightarrow M_n = M',$$

for $k_i \in \mathbb{Z}$. However, this composition is non-zero only if $k \geq k_1 \geq k_2 \geq \dots \geq k_{n-1} \geq k$ by assumption. Therefore the only non-zero contribution is given by the case where $k_i = k$ for all $1 \leq i \leq n-1$. \square

4.4. Main result. Let X and X' be two smooth cubic fourfolds over a field K . Assume that their Kuznetsov components \mathcal{A}_X and $\mathcal{A}_{X'}$ are Fourier–Mukai equivalent; this means there exists an object $\mathcal{E} \in \text{D}^b(X \times_K X')$ such that

$$F : \mathcal{A}_X \xleftarrow{i_X} \text{D}^b(X) \xrightarrow{\Phi_{\mathcal{E}}} \text{D}^b(X') \xrightarrow{i_{X'}^*} \mathcal{A}_{X'}$$

is an equivalence. Adding the right adjoints, we get a diagram

$$F : \mathcal{A}_X \xleftarrow[i_X^!]{i_X} \text{D}^b(X) \xleftarrow[\Phi_{\mathcal{E}^R}]{\Phi_{\mathcal{E}}} \text{D}^b(X') \xleftarrow[i_{X'}^!]{i_{X'}^*} \mathcal{A}_{X'} : F^R$$

where $F^R := i_X^! \circ \Phi_{\mathcal{E}^R} \circ i_{X'}$ denotes the right adjoint functor of $F := i_{X'}^* \circ \Phi_{\mathcal{E}} \circ i_X$ and where $\mathcal{E}^R = \mathcal{E}^\vee \otimes^{\mathbb{L}} p_X^* \omega_X[4]$ denotes the right adjoint of \mathcal{E} . Since F is an equivalence by assumption, F^R is in fact the inverse of F , hence we have $F^R \circ F \simeq \text{id}_{\mathcal{A}_X}$ and $F \circ F^R \simeq \text{id}_{\mathcal{A}_{X'}}$. More explicitly,

$$\begin{aligned} i_X^! \circ \Phi_{\mathcal{E}^R} \circ i_{X'} \circ i_{X'}^* \circ \Phi_{\mathcal{E}} \circ i_X &\simeq \text{id}_{\mathcal{A}_X}; \\ i_{X'}^* \circ \Phi_{\mathcal{E}} \circ i_X \circ i_X^! \circ \Phi_{\mathcal{E}^R} \circ i_{X'} &\simeq \text{id}_{\mathcal{A}_{X'}}. \end{aligned}$$

These imply that

$$\begin{aligned} i_X \circ i_X^! \circ \Phi_{\mathcal{E}^R} \circ i_{X'} \circ i_{X'}^* \circ \Phi_{\mathcal{E}} \circ i_X &\simeq i_X \circ i_X^*; \\ i_{X'} \circ i_{X'}^* \circ \Phi_{\mathcal{E}} \circ i_X \circ i_X^! \circ \Phi_{\mathcal{E}^R} \circ i_{X'} &\simeq i_{X'} \circ i_{X'}^!. \end{aligned}$$

By definition of the projectors p_X^L and p_X^R in Section 3, we have

$$(p_X^R \circ \Phi_{\mathcal{E}^R} \circ p_{X'}^R) \circ (p_{X'}^L \circ \Phi_{\mathcal{E}} \circ p_X^L) \simeq p_X^L; \quad (17)$$

$$(p_{X'}^L \circ \Phi_{\mathcal{E}} \circ p_X^L) \circ (p_X^R \circ \Phi_{\mathcal{E}^R} \circ p_{X'}^R) \simeq p_{X'}^R, \quad (18)$$

where we have used the identities $p_{X'}^R \circ p_X^L \simeq p_{X'}^L$, and $p_X^L \circ p_X^R = p_X^R$ of Proposition 3.1.

Recall that we have defined in §§3.3-4.2 the projectors $\pi_{\text{prim}}^4, \pi_{\text{tr}}^4, \pi_{\text{alg}}^4 \in \text{CH}^4(X \times_K X)$ for a cubic fourfold X . In the sequel, when dealing with two cubic fourfolds X and X' , we keep the same notation for X and use $\pi_{\text{prim}'}^4, \pi_{\text{tr}'}^4, \pi_{\text{alg}'}^4 \in \text{CH}^4(X' \times_K X')$ for the corresponding projectors for X' . The following is the key step of our proof.

Theorem 4.6. *The correspondence $\Gamma_{\text{tr}} := \pi_{\text{tr}'}^4 \circ v_4(\mathcal{E}) \circ \pi_{\text{tr}}^4$ in $\text{CH}^4(X \times_K X')$ defines an isomorphism*

$$\Gamma_{\text{tr}} : \mathfrak{h}_{\text{tr}}^4(X) \xrightarrow{\cong} \mathfrak{h}_{\text{tr}}^4(X')$$

with inverse given by its transpose. In other words, via Proposition 1.1, the transcendental motives $\mathfrak{h}_{\text{tr}}^4(X)$ and $\mathfrak{h}_{\text{tr}}^4(X')$ are isomorphic as quadratic spaces.

Proof. From (17), we derive that the correspondence $v(\mathcal{P}_X^R) \circ v(\mathcal{E}^R) \circ v(\mathcal{P}_{X'}^R) \circ v(\mathcal{P}_{X'}^L) \circ v(\mathcal{E}) \circ v(\mathcal{P}_X^L)$ acts on the (ungraded) cohomology $H^*(X)$ as $v(\mathcal{P}_X^L)$ does. Therefore they have the same cohomology class in $H^*(X \times X)$. In particular, they are numerically equivalent. By the Franchetta property for $X \times X$ in Proposition 2.2, we have the following equality in $\text{CH}^*(X \times X)$:

$$v(\mathcal{P}_X^R) \circ v(\mathcal{E}^R) \circ v(\mathcal{P}_{X'}^R) \circ v(\mathcal{P}_{X'}^L) \circ v(\mathcal{E}) \circ v(\mathcal{P}_X^L) = v(\mathcal{P}_X^L).$$

The above equality implies that the composition

$$\begin{aligned} \mathfrak{h}_{\text{tr}}^4(X) \hookrightarrow \mathfrak{h}(X) &\xrightarrow{v(\mathcal{P}_X^L)} \bigoplus_i \mathfrak{h}(X)(i) \xrightarrow{v(\mathcal{E})} \bigoplus_i \mathfrak{h}(X')(i) \xrightarrow{v(\mathcal{P}_{X'}^L)} \bigoplus_i \mathfrak{h}(X')(i) \\ &\xrightarrow{v(\mathcal{P}_{X'}^R)} \bigoplus_i \mathfrak{h}(X')(i) \xrightarrow{v(\mathcal{E}^R)} \bigoplus_i \mathfrak{h}(X)(i) \xrightarrow{v(\mathcal{P}_X^R)} \bigoplus_i \mathfrak{h}(X)(i) \twoheadrightarrow \mathfrak{h}_{\text{tr}}^4(X) \end{aligned}$$

is equal to the composition

$$\mathfrak{h}_{\text{tr}}^4(X) \hookrightarrow \mathfrak{h}(X) \xrightarrow{v(\mathcal{P}_X^L)} \bigoplus_i \mathfrak{h}(X)(i) \twoheadrightarrow \mathfrak{h}_{\text{tr}}^4(X).$$

Here the ranges of the (finite) direct sums are not specified since they are irrelevant.

By the ‘‘weight argument’’ Lemma 4.5, combined with Proposition 4.3, we obtain that the composition

$$\begin{aligned} \mathfrak{h}_{\text{tr}}^4(X) \hookrightarrow \mathfrak{h}^4(X) &\xrightarrow{v_4(\mathcal{P}_X^L)} \mathfrak{h}^4(X) \xrightarrow{v_4(\mathcal{E})} \mathfrak{h}^4(X') \xrightarrow{v_4(\mathcal{P}_{X'}^L)} \mathfrak{h}^4(X') \\ &\xrightarrow{v_4(\mathcal{P}_{X'}^R)} \mathfrak{h}^4(X') \xrightarrow{v_4(\mathcal{E}^R)} \mathfrak{h}^4(X) \xrightarrow{v_4(\mathcal{P}_X^R)} \mathfrak{h}^4(X) \twoheadrightarrow \mathfrak{h}_{\text{tr}}^4(X) \end{aligned} \quad (19)$$

is equal to the composition $\mathfrak{h}_{\text{tr}}^4(X) \hookrightarrow \mathfrak{h}^4(X) \xrightarrow{v_4(\mathcal{P}_X^L)} \mathfrak{h}^4(X) \twoheadrightarrow \mathfrak{h}_{\text{tr}}^4(X)$, which is the identity map of $\mathfrak{h}_{\text{tr}}^4(X)$ by Lemma 4.2. Writing $\mathfrak{h}^4 = \mathfrak{h}_{\text{tr}}^4 \oplus \mathfrak{h}_{\text{alg}}^4$ and using Proposition 4.3(ii), we deduce that each map in (19) factors through $\mathfrak{h}_{\text{tr}}^4$ or $\mathfrak{h}_{\text{alg}}^4$. In other words, we have the following equality:

$$\pi_{\text{tr}}^4 \circ v_4(\mathcal{P}_X^R) \circ \pi_{\text{tr}}^4 \circ v_4(\mathcal{E}^R) \circ \pi_{\text{tr}'}^4 \circ v_4(\mathcal{P}_{X'}^R) \circ \pi_{\text{tr}'}^4 \circ v_4(\mathcal{P}_{X'}^L) \circ \pi_{\text{tr}}^4 \circ v_4(\mathcal{E}) \circ \pi_{\text{tr}}^4 \circ v_4(\mathcal{P}_X^L) \circ \pi_{\text{tr}}^4 = \pi_{\text{tr}}^4.$$

By Lemma 4.2, we get

$$\pi_{\text{tr}}^4 \circ v_4(\mathcal{E}^R) \circ \pi_{\text{tr}'}^4 \circ v_4(\mathcal{E}) \circ \pi_{\text{tr}}^4 = \pi_{\text{tr}}^4. \quad (20)$$

Similarly, from (18), together with the Franchetta property and a weight argument, we obtain

$$\pi_{\text{tr}'}^4 \circ v_4(\mathcal{E}) \circ \pi_{\text{tr}}^4 \circ v_4(\mathcal{E}^R) \circ \pi_{\text{tr}'}^4 = \pi_{\text{tr}'}^4. \quad (21)$$

The equalities (20) and (21) say nothing but that $\pi_{\text{tr}'}^4 \circ v_4(\mathcal{E}) \circ \pi_{\text{tr}}^4$ and $\pi_{\text{tr}}^4 \circ v_4(\mathcal{E}^R) \circ \pi_{\text{tr}'}^4$ define inverse isomorphisms between $\mathfrak{h}_{\text{tr}}^4(X)$ and $\mathfrak{h}_{\text{tr}}^4(X')$.

It remains to show that

$${}^t(\pi_{\text{tr}'}^4 \circ v_4(\mathcal{E}) \circ \pi_{\text{tr}}^4) = \pi_{\text{tr}}^4 \circ v_4(\mathcal{E}^R) \circ \pi_{\text{tr}'}^4,$$

or equivalently that

$$\pi_{\text{tr}}^4 \circ v_4(\mathcal{E}) \circ \pi_{\text{tr}'}^4 = \pi_{\text{tr}}^4 \circ v_4(\mathcal{E}^R) \circ \pi_{\text{tr}'}^4. \quad (22)$$

We will actually show the following stronger equality

$$\pi_{\text{prim}}^4 \circ v_4(\mathcal{E}) \circ \pi_{\text{prim}'}^4 = \pi_{\text{prim}}^4 \circ v_4(\mathcal{E}^R) \circ \pi_{\text{prim}'}^4. \quad (23)$$

To see that (23) indeed implies (22), it is enough to compose both sides of (23) on the left with π_{tr}^4 and on the right with $\pi_{\text{tr}'}^4$, and then to use (14).

Let us show (23). Denoting $h_X, h_{X'} \in \text{CH}^1(X \times_K X')$ the pull-backs of the hyperplane section classes on X and X' via the natural projections, we have (see [Huy06, Lemma 5.41])

$$v(\mathcal{E}^R) = v(\mathcal{E}^\vee \otimes p_X^* \omega_X[4]) = v(\mathcal{E}^\vee) \cdot \exp(-3h_X) = v(\mathcal{E})^\vee \cdot \exp\left(\frac{3}{2}(h_{X'} - h_X)\right).$$

This yields the identity

$$\begin{aligned} v_4(\mathcal{E}^R) &= v_4(\mathcal{E}) + v_3(\mathcal{E}) \cdot \frac{3}{2}(h_X - h_{X'}) + v_2(\mathcal{E}) \cdot \frac{\left(\frac{3}{2}\right)^2}{2!}(h_X - h_{X'})^2 \\ &\quad + v_1(\mathcal{E}) \cdot \frac{\left(\frac{3}{2}\right)^3}{3!}(h_X - h_{X'})^3 + v_0(\mathcal{E}) \cdot \frac{\left(\frac{3}{2}\right)^4}{4!}(h_X - h_{X'})^4. \end{aligned}$$

Therefore, to establish (23), it suffices to show the following lemma.

Lemma 4.7. *For any $Z \in \text{CH}^3(X \times_K X')$, we have $\pi_{\text{prim}}^4 \circ (Z \cdot h_X) = 0$ and $(Z \cdot h_{X'}) \circ \pi_{\text{prim}'}^4 = 0$*

Proof. We only show the first vanishing; the second one can be proved similarly. Note that $\pi_{\text{prim}}^4 \circ (Z \cdot h_X) = \pi_{\text{prim}}^4 \circ ((\Delta_X)_*(h_X)) \circ {}^t Z$. However, by applying the excess intersection formula [Ful98, Theorem 6.3] to the following cartesian diagram with excess normal bundle $\mathcal{O}_X(3)$:

$$\begin{array}{ccc} X & \xrightarrow{\Delta_X} & X \times_K X \\ \downarrow & & \downarrow \\ \mathbb{P}^5 & \longrightarrow & \mathbb{P}^5 \times_K \mathbb{P}^5, \end{array}$$

we obtain that $(\Delta_X)_*(3h_X) = \Delta_{\mathbb{P}^5}|_{X \times X} = \sum_i h_X^i \times h_X^{5-i}$, where the latter equality uses the relation $\Delta_{\mathbb{P}^5} = \sum_{i=0}^5 h^i \times h^j$ in $\text{CH}^5(\mathbb{P}^5 \times \mathbb{P}^5)$, where h is a hyperplane class of \mathbb{P}^5 . We can conclude by noting that for any i , we have $\pi_{\text{prim}}^4 \circ (h_X^i \times h_X^{5-i}) = 0$ by construction of π_{prim}^4 . \square

With Lemma 4.7 being proved, the equality (23), hence also (22), is established. The proof of Theorem 4.6 is complete. \square

5. PROOF OF THEOREM 1

Proposition 5.1 below, in particular, upgrades the quadratic space isomorphism of Theorem 4.6 to a quadratic space isomorphism $\mathfrak{h}(X) \simeq \mathfrak{h}(X')$.

Proposition 5.1. *Let X and X' be two smooth cubic fourfolds over a field K , whose Kuznetsov components are Fourier–Mukai equivalent. Then their Chow motives are isomorphic. More precisely, there exists a correspondence $\Gamma \in \text{CH}^4(X \times_K X')$ such that $\Gamma_* h_X^i = h_{X'}^i$, for all $i \geq 0$ which in addition induces an isomorphism of Chow motives*

$$\Gamma : \mathfrak{h}(X) \xrightarrow{\simeq} \mathfrak{h}(X')$$

with inverse given by its transpose ${}^t\Gamma$.

Proof. As a first step, we construct an isomorphism $\Gamma_{\text{alg}}^4 : \mathfrak{h}_{\text{alg}}^4(X) \rightarrow \mathfrak{h}_{\text{alg}}^4(X')$ of quadratic spaces. Let $\Phi : \mathcal{A}_X \rightarrow \mathcal{A}_{X'}$ be the Fourier–Mukai equivalence. It induces a homomorphism

$$\overline{\text{CH}}^*(\mathcal{A}_{X_{\bar{K}}}) \xrightarrow{\simeq} \overline{\text{CH}}^*(\mathcal{A}_{X'_{\bar{K}}}), \quad \bar{v}(E) \longmapsto \bar{v}(\Phi(E))$$

which is clearly an isometry with respect to the Mukai pairings ($\langle \bar{v}(E), \bar{v}(E') \rangle = \chi(E, E') = \chi(\Phi(E), \Phi(E')) = \langle \bar{v}(\Phi(E)), \bar{v}(\Phi(E')) \rangle$) and is equivariant with respect to the action of the absolute Galois group of K (since the Fourier–Mukai kernel is defined over K). Recall from Proposition 3.8 that we have an orthogonal decomposition

$$\overline{\text{CH}}^*(\mathcal{A}_{X_{\bar{K}}}) = \langle \lambda_1(h_X), \lambda_2(h_X) \rangle \oplus \overline{\text{CH}}_{\text{prim}}^2(X_{\bar{K}})$$

with respect to the Mukai pairing. Since the planes $\langle \lambda_1(h_X), \lambda_2(h_X) \rangle$ and $\langle \lambda_1(h_{X'}), \lambda_2(h_{X'}) \rangle$ consist of Galois-invariant elements and are isometric to one another, we obtain from Theorem A.2, which is an equivariant Witt theorem, a Galois-equivariant isometry

$$\phi : \overline{\text{CH}}_{\text{prim}}^2(X_{\bar{K}}) \xrightarrow{\simeq} \overline{\text{CH}}_{\text{prim}}^2(X'_{\bar{K}}).$$

(Note that Theorem A.2 is stated for finite groups, but it indeed applies here: all the numerical Chow groups involved are finitely generated, hence the Galois group action factors through the Galois group of some common finite extension K'/K .) Let then $\{\alpha_1, \dots, \alpha_r\}$ be an orthogonal basis of $\overline{\text{CH}}_{\text{prim}}^2(X_{\bar{K}})$. Having in mind that the Mukai pairing agrees with the intersection pairing on $\overline{\text{CH}}^2(X_{\bar{K}})$ and that $\text{CH}^2(X_{\bar{K}}) = \overline{\text{CH}}^2(X_{\bar{K}})$, we see, together with the construction and definition of $\mathfrak{h}_{\text{alg}}^4$ (see (13)), that the correspondence

$$\Gamma_{\text{alg}}^4 := \frac{1}{3}h_X^2 \times h_{X'}^2 + \sum_{i=1}^r \frac{1}{\deg(\alpha_i^2)} \alpha_i \times \phi(\alpha_i) \in \text{CH}^4(X_{\bar{K}} \times_{\bar{K}} X'_{\bar{K}}) \quad (24)$$

is defined over K and defines an isomorphism $\mathfrak{h}_{\text{alg}}^4(X) \xrightarrow{\simeq} \mathfrak{h}_{\text{alg}}^4(X')$ with inverse given by its transpose ${}^t\Gamma_{\text{alg}}^4$.

Finally, combining Γ_{alg}^4 with Γ_{tr} of Theorem 4.6, the cycle

$$\Gamma := \frac{1}{3}h_X^4 \times X' + \frac{1}{3}h_X^3 \times h_{X'} + \Gamma_{\text{alg}}^4 + \Gamma_{\text{tr}} + \frac{1}{3}h_X \times h_{X'}^3 + \frac{1}{3}X \times h_{X'}^4 \in \text{CH}^4(X \times X')$$

induces an isomorphism between $\mathfrak{h}(X)$ and $\mathfrak{h}(X')$, and its inverse is ${}^t\Gamma$. Furthermore, by construction, we have $\Gamma_*(h_X^i) = h_{X'}^i$ for all i . \square

Remark 5.2. In the case where $K = \mathbb{C}$ and H^* is Betti cohomology, the construction of the isomorphism $\Gamma_{\text{alg}}^4 : \mathfrak{h}_{\text{alg}}^4(X) \rightarrow \mathfrak{h}_{\text{alg}}^4(X')$ in the proof of Proposition 5.1 is somewhat simpler. As a consequence of Theorem 4.6, we have a Hodge isometry

$$H_{\text{tr}}^4(X, \mathbb{Q}) \simeq H_{\text{tr}}^4(X', \mathbb{Q}). \quad (25)$$

(This Hodge isometry can also be obtained by considering the transcendental part of [Huy17, Proposition 3.4].) Since $H^4(X, \mathbb{Q})$ and $H^4(X', \mathbb{Q})$ are isometric for all smooth complex cubic fourfolds, there is by Witt’s theorem an isometry

$$\phi : H_{\text{alg}}^4(X, \mathbb{Q}) \xrightarrow{\simeq} H_{\text{alg}}^4(X', \mathbb{Q}) \quad (26)$$

sending h_X^2 to $h_{X'}^2$. Let $\{h_X^2, \alpha_1, \dots, \alpha_r\}$ be an orthogonal basis of $H_{\text{alg}}^4(X, \mathbb{Q})$. The correspondence Γ_{alg}^4 of (24) then provides an isomorphism from $\mathfrak{h}_{\text{alg}}^4(X)$ to $\mathfrak{h}_{\text{alg}}^4(X')$, whose inverse is given by its transpose ${}^t\Gamma_{\text{alg}}^4$. Note that, by combining (25) and (26), we obtain a Hodge isometry $H^4(X, \mathbb{Q}) \simeq H^4(X', \mathbb{Q})$.

Theorem 1 then follows from combining Proposition 5.1 with the following proposition.

Proposition 5.3. *Let X and X' be two smooth cubic fourfolds. Assume that there exists a correspondence $\Gamma \in \text{CH}^4(X \times_K X')$ such that $\Gamma_* h_X^i = h_{X'}^i$ for all $i \geq 0$ which in addition induces an isomorphism*

$$\Gamma : \mathfrak{h}(X) \xrightarrow{\simeq} \mathfrak{h}(X')$$

with inverse given by its transpose. Then Γ is an isomorphism of Chow motives, as Frobenius algebra objects.

Proof. Recall in general [FV19, Proposition 2.11] that a morphism $\Gamma : \mathfrak{h}(X) \rightarrow \mathfrak{h}(X')$ between the Chow motives of smooth projective varieties of same dimension is an isomorphism of Chow motives, as Frobenius algebra objects, if Γ is an isomorphism of Chow motives, $(\Gamma \otimes \Gamma)_* \Delta_X = \Delta_{X'}$ and $(\Gamma \otimes \Gamma \otimes \Gamma)_* \delta_X = \delta_{X'}$, where δ denotes the small diagonal. Let now Γ be as in the statement of the proposition. That Γ defines an isomorphism with inverse given by its transpose is equivalent to Γ is an isomorphism and $(\Gamma \otimes \Gamma)_* \Delta_X = \Delta_{X'}$. Therefore, we only need to check that

$$(\Gamma \otimes \Gamma \otimes \Gamma)_* \delta_X = \delta_{X'}.$$

However, by Theorem 2.1, and using the assumption that $\Gamma_* h_X^i = h_{X'}^i$ for all $i \geq 0$, we have

$$\begin{aligned} (\Gamma \otimes \Gamma \otimes \Gamma)_* \delta_X &= \frac{1}{3} (p_{12}^*(\Gamma \otimes \Gamma)_* \Delta_X \cdot p_3^* h_{X'}^4 + \text{perm.}) + P(p_1^* h_{X'}, p_2^* h_{X'}, p_3^* h_{X'}) \\ &= \frac{1}{3} (p_{12}^* \Delta_{X'} \cdot p_3^* h_{X'}^4 + \text{perm.}) + P(p_1^* h_{X'}, p_2^* h_{X'}, p_3^* h_{X'}) \\ &= \delta_{X'}, \end{aligned}$$

where in the second equality we have used the identity $(\Gamma \otimes \Gamma)_* \Delta_X = \Delta_{X'}$. \square

6. CUBIC FOURFOLDS WITH ASSOCIATED K3 SURFACES

Let X be a smooth cubic fourfold over a field K and let \mathcal{A}_X be the Kuznetsov component of $D^b(X)$ as before. Assume that there exists a K3 surface S endowed with a Brauer class $\alpha \in \text{Br}(X)$, such that \mathcal{A}_X is Fourier–Mukai equivalent to $D^b(S, \alpha)$, that is, there exists an object $\mathcal{E} \in D^b(X \times S, 1 \times \alpha)$, such that the composition

$$\mathcal{A}(X) \xleftarrow{i_X} D^b(X) \xrightarrow{\Phi_{\mathcal{E}}} D^b(S, \alpha)$$

is an equivalence of triangulated categories, where i_X is the natural inclusion. The goal of this section is to prove Theorem 3. The proof is similar to that of Theorem 2 and we will only sketch the main steps. In the sequel, let us omit α from the notation, since the proof for the twisted case is the same as the untwisted case.

The right adjoint of the functor $\Phi_{\mathcal{E}} \circ i_X$ is $i_X^! \circ \Phi_{\mathcal{E}R}$. Hence the hypothesis implies that

$$i_X^! \circ \Phi_{\mathcal{E}R} \circ \Phi_{\mathcal{E}} \circ i_X \simeq \text{id}_{\mathcal{A}_X};$$

$$\Phi_{\mathcal{E}} \circ i_X \circ i_X^! \circ \Phi_{\mathcal{E}R} \simeq \text{id}_{D^b(S)}.$$

By the definition of p_X^L and p_X^R in Section 3, we obtain

$$p_X^R \circ \Phi_{\mathcal{E}R} \circ \Phi_{\mathcal{E}} \circ p_X^L \simeq p_X^L; \tag{27}$$

$$\Phi_{\mathcal{E}} \circ p_X^L \circ p_X^R \circ \Phi_{\mathcal{E}R} \simeq \text{id}_{D^b(S)}. \tag{28}$$

Recall that $\mathcal{P}_X^L, \mathcal{P}_X^R \in D^b(X \times_K X)$ are the Fourier–Mukai kernels of the functors p_X^L and p_X^R respectively. Taking the associated cohomological transformations of (27), we deduce that both

sides of the following equation have the same cohomology class, hence it is an equality modulo rational equivalence by the Franchetta property of $X \times X$ (Proposition 2.2).

$$v(\mathcal{P}_X^R) \circ v(\mathcal{E}^R) \circ v(\mathcal{E}) \circ v(\mathcal{P}_X^L) = v(\mathcal{P}_X^L), \quad (29)$$

where v denotes the Chow-theoretic Mukai vector map. On the other hand, by the uniqueness of the Fourier–Mukai kernel in Orlov’s Theorem ([Orl03], see also [Huy06, Theorem 5.11]), (28) implies that

$$v(\mathcal{E}) \circ v(\mathcal{P}_X^L) \circ v(\mathcal{P}_X^R) \circ v(\mathcal{E}^R) = \Delta_S. \quad (30)$$

As in Section 4, we define a refined Chow–Künneth decomposition for S . The general case of a smooth projective surface over K is due to [KMP07, §7.2.2]. Since for a K3 surface rational and numerical equivalence agree on $\mathrm{CH}^1(S_{\bar{K}})$, we can in fact construct such a refined Chow–Künneth decomposition in a more direct way. First, choose any degree-1 zero-cycle $o \in \mathrm{CH}_0(S)$, and define the Chow–Künneth decomposition

$$\pi_S^0 := o \times S, \quad \pi_S^4 := S \times o, \quad \text{and} \quad \pi_S^2 := \Delta_S - \pi_S^0 - \pi_S^4.$$

Let $\{\beta_1, \dots, \beta_s\}$ be an orthogonal basis for $\mathrm{CH}^1(S_{\bar{K}})$. The correspondence

$$\pi_{\mathrm{alg}, S}^2 := \sum_{i=1}^s \frac{1}{\deg(\beta_i \cdot \beta_i)} \beta_i \times \beta_i \quad (31)$$

then defines an idempotent in $\mathrm{CH}^2(S_{\bar{K}} \times_{\bar{K}} S_{\bar{K}})$ which descends to K , which commutes with π_S^2 and which cohomologically is the orthogonal projector on the subspace $\mathrm{Im}(\mathrm{CH}^1(S_{\bar{K}}) \rightarrow \mathrm{H}^2(S))$ spanned by \bar{K} -algebraic classes in $\mathrm{H}^2(S)$. In addition, we have $\pi_{\mathrm{alg}, S}^2 \circ \pi_S^2 = \pi_S^2 \circ \pi_{\mathrm{alg}, S}^2 = \pi_{\mathrm{alg}, S}^2$.

We then define

$$\pi_{\mathrm{tr}, S}^2 := \pi_S^2 - \pi_{\mathrm{alg}, S}^2.$$

It is an idempotent correspondence in $\mathrm{CH}^2(S \times S)$ which cohomologically is the orthogonal projector on the *transcendental cohomology* $\mathrm{H}_{\mathrm{tr}}^2(S)$, i.e., by definition of transcendental cohomology, the orthogonal projector on the orthogonal complement to the \bar{K} -algebraic classes in $\mathrm{H}^2(S)$.

Denote by $\mathfrak{h}^i(S)$, $\mathfrak{h}_{\mathrm{tr}}^2(S)$ and $\mathfrak{h}_{\mathrm{alg}}^2(S)$ the Chow motives (S, π_S^i) , $(S, \pi_{\mathrm{tr}, S}^2)$, and $(S, \pi_{\mathrm{alg}, S}^2)$ respectively. From the above, we get the following refined Chow–Künneth decomposition:

$$\mathfrak{h}(S) = \mathfrak{h}^0(S) \oplus \mathfrak{h}_{\mathrm{alg}}^2(S) \oplus \mathfrak{h}_{\mathrm{tr}}^2(S) \oplus \mathfrak{h}^4(S),$$

where $\mathfrak{h}^{2i}(X) \simeq \mathbb{1}(-i)$ for $i = 0, 2$ and $\mathfrak{h}_{\mathrm{alg}}^2(S)$ is a direct sum of copies of $\mathbb{1}(-1)$.

Now, as in the case of two cubic fourfolds, we want to apply the weight argument (Lemma 4.5) to the equalities (29) and (30). To this end, we need the following analogue of Proposition 4.3(iii).

Proposition 6.1. *Let X be a cubic fourfold and S a projective surface. Then for all $l > 1$,*

$$\mathrm{Hom}(\mathfrak{h}_{\mathrm{tr}}^4(X), \mathfrak{h}_{\mathrm{tr}}^2(S)(-l)) = 0.$$

Proof. As is pointed out in the proof of Proposition 4.3, $\mathfrak{h}_{\mathrm{tr}}^4(X)(1)$ is a direct summand of the motive of a surface. Then we can apply Lemma 4.4 to conclude to the vanishing. \square

By the weight argument (Lemma 4.5), combined with Proposition 4.3, [FV19, Theorem 1.4(ii)] and Proposition 6.1, we can deduce that if we restrict the domain to $\mathfrak{h}_{\mathrm{tr}}^4(X)$, then each step of (29) factors through $\mathfrak{h}_{\mathrm{tr}}^4(X)$ or $\mathfrak{h}_{\mathrm{tr}}^2(S)(-1)$. In other words,

$$\pi_{\mathrm{tr}, X}^4 \circ v_4(\mathcal{P}_X^R) \circ \pi_{\mathrm{tr}, X}^4 \circ v_3(\mathcal{E}^R) \circ \pi_{\mathrm{tr}, S}^2 \circ v_3(\mathcal{E}) \circ \pi_{\mathrm{tr}, X}^4 \circ v_4(\mathcal{P}_X^L) \circ \pi_{\mathrm{tr}, X}^4 = \pi_{\mathrm{tr}, X}^4.$$

By Lemma 4.2, we get

$$\pi_{\text{tr},X}^4 \circ v_3(\mathcal{E}^R) \circ \pi_{\text{tr},S}^2 \circ v_3(\mathcal{E}) \circ \pi_{\text{tr},X}^4 = \pi_{\text{tr},X}^4. \quad (32)$$

Similarly, (30) implies

$$\pi_{\text{tr},S}^2 \circ v_3(\mathcal{E}) \circ \pi_{\text{tr},X}^4 \circ v_3(\mathcal{E}^R) \circ \pi_{\text{tr},S}^2 = \pi_{\text{tr},S}^2. \quad (33)$$

Note that (32) and (33) together say that we have the following pair of inverse isomorphisms:

$$\mathfrak{h}_{\text{tr}}^4(X) \xleftrightarrow[\pi_{\text{tr},X}^4 \circ v_3(\mathcal{E}^R) \circ \pi_{\text{tr},S}^2]{\pi_{\text{tr},S}^2 \circ v_3(\mathcal{E}) \circ \pi_{\text{tr},X}^4} \mathfrak{h}_{\text{tr}}^2(S)(-1) \quad (34)$$

By the same argument as in the proof of (22), using Lemma 4.7, we can moreover show that the two inverse isomorphisms in (34) are transpose to each other. To summarize, we have proven the following:

Theorem 6.2. *The correspondence $\Gamma_{\text{tr}} := \pi_{\text{tr},S}^2 \circ v_3(\mathcal{E}) \circ \pi_{\text{tr},X}^4$ in $\text{CH}^3(X \times S)$ induces an isomorphism*

$$\Gamma_{\text{tr}} : \mathfrak{h}_{\text{tr}}^4(X)(2) \xrightarrow{\cong} \mathfrak{h}_{\text{tr}}^2(S)(1)$$

whose inverse is its transpose ${}^t\Gamma_{\text{tr}}$. □

Via Proposition 1.1, Theorem 6.2 establishes Theorem 3. □

APPENDIX A. AN EQUIVARIANT WITT THEOREM

Throughout the appendix, F is a field of characteristic different from 2 and all the vector spaces are finite dimensional over F .

Let us first recall the classical Witt theorem. Let V_1, V_2 be vector spaces equipped with quadratic forms, whose associated bilinear symmetric pairings are denoted by $\langle -, - \rangle$. Suppose that V_1 and V_2 are isometric and we have orthogonal decompositions

$$V_1 = U_1 \oplus W_1, \quad V_2 = U_2 \oplus W_2,$$

such that U_1 and U_2 are isometric. Then W_1 and W_2 are also isometric. This is often referred to as *Witt's cancellation theorem*, which is clearly equivalent to the following *Witt's extension theorem*: Let V be a non-degenerate quadratic space and let $f : U \rightarrow U'$ be an isometry between two subspaces of V . Then f can be extended to an isometry of V .

The goal of this appendix is to establish an equivariant version of the Witt theorem, in case the quadratic spaces are endowed with a group action. For a quadratic space V with a G -action, we denote $O_G(V)$ the group of G -equivariant isometries, i.e. automorphisms of V that preserve the pairing and commute with the action of G .

Lemma A.1. *Let V be a non-degenerate quadratic space equipped with an isometric action of a finite group G . Suppose that $|G|$ is invertible in F . Then*

- (1) *The restriction of the quadratic form to V^G , the G -fixed space, is non-degenerate.*
- (2) *For any $x, y \in V^G$ with $\langle x, x \rangle = \langle y, y \rangle \neq 0$, there exists a G -equivariant isometry $\phi \in O_G(V)$ sending x to y .*

Proof. For (1), let $x \in \text{rad}(V^G)$, for any $y \in V$,

$$\langle x, y \rangle = \frac{1}{|G|} \sum_{g \in G} \langle gx, gy \rangle = \langle x, \frac{1}{|G|} \sum_{g \in G} gy \rangle = 0,$$

since $\frac{1}{|G|} \sum_{g \in G} gy \in V^G$. Therefore, $x \in \text{rad}(V) = \{0\}$.

For (2), as x and y are anisotropic, it is well-known that there exists $\phi_1 \in O(V^G)$, a reflection or a product of two reflections, which sends x to y . By (1), we have an orthogonal decomposition

$$V = V^G \oplus (V^G)^\perp.$$

Hence we can take $\phi := \phi_1 \oplus \text{id}_{(V^G)^\perp}$. □

Theorem A.2. *Let V_1, V_2 be two non-degenerate quadratic spaces endowed with actions of a finite group G by isometries. Assume that $|G|$ is invertible in the base field F . Suppose that we have orthogonal decompositions preserved by G :*

$$V_1 = U_1 \oplus W_1, \quad V_2 = U_2 \oplus W_2,$$

satisfying the following conditions:

- *there is a G -equivariant isometry between V_1 and V_2 ;*
- *$W_1 \subset V_1^G$ and $W_2 \subset V_2^G$;*
- *W_1 and W_2 are isometric.*

Then there exists a G -equivariant isometry between U_1 and U_2 .

Proof. We only give a proof in the case where W_1 and W_2 are assumed to be non-degenerate; the general case (which we do not use in this paper) is left to the reader. We may and will identify W_1 and W_2 , and denote both W . Let us first treat the case where W is of dimension 1, generated by a vector x with $\langle x, x \rangle \neq 0$. By hypothesis, there is a G -equivariant isometry

$$V_1 = Fx \oplus U_1 \xrightarrow{\phi} V_2 = Fx \oplus U_2.$$

Denote $y = \phi(x)$ and $U'_1 = \phi(U_1)$. Hence $0 \neq \langle x, x \rangle = \langle y, y \rangle$ and x, y are both G -invariant. Applying Lemma A.1, we get a G -equivariant isometry $\tau \in O_G(V_2)$ sending x to y . Therefore $\tau(U_2)$, being orthogonal to y , must be U'_1 . In particular, U_2 is G -equivariantly isometric to U'_1 , hence also to U_1 .

In the general case, we diagonalize W and proceed by induction. □

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