

# NONCOMMUTATIVE LOCALIZATION IN ALGEBRA AND TOPOLOGY

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- Proceedings will appear in 2005, with papers by Beachy, Cohn, Dwyer, Linnell, Newman, Ranicki, Reich, Sheiham and Skoda.

## Noncommutative localization

- Given a ring  $A$  and a set  $\Sigma$  of elements, matrices, morphisms,  $\dots$ , it is possible to construct a new ring  $\Sigma^{-1}A$ , the localization of  $A$  inverting all the elements in  $\Sigma$ . In general,  $A$  and  $\Sigma^{-1}A$  are noncommutative.
- Original algebraic motivation: construction of noncommutative analogues of the classical localization  
$$A = \text{integral domain} \iff \Sigma^{-1}A = \text{fraction field}$$

with  $\Sigma = A - \{0\} \subset A$ .

Ore (1933), Cohn (1970), Bergman (1974), Schofield (1985).
- Topological applications use the algebraic  $K$ - and  $L$ -theory of  $A$  and  $\Sigma^{-1}A$ , with  $A$  a group ring or a triangular matrix ring.

## Ore localization

- The Ore localization  $\Sigma^{-1}A$  is defined for a multiplicatively closed subset  $\Sigma \subset A$  with  $1 \in \Sigma$ , and such that for all  $a \in A$ ,  $s \in \Sigma$  there exist  $b \in A$ ,  $t \in \Sigma$  with  $ta = bs \in A$ .

- E.g. central,  $sa = as$  for all  $a \in A$ ,  $s \in \Sigma$ .

- The Ore localization is the ring of fractions

$$\Sigma^{-1}A = (\Sigma \times A)/\sim ,$$

$(s, a) \sim (t, b)$  iff there exist  $u, v \in A$  with

$$us = vt \in \Sigma , \quad ua = vb \in A .$$

- An element of  $\Sigma^{-1}A$  is a noncommutative fraction

$s^{-1}a =$  equivalence class of  $(s, a) \in \Sigma^{-1}A$

with addition and multiplication more or less as usual.

## Ore localization is flat

- An Ore localization  $\Sigma^{-1}A$  is a flat  $A$ -module, i.e. the functor

$$\{A\text{-modules}\} \rightarrow \{\Sigma^{-1}A\text{-modules}\} ;$$

$$M \mapsto \Sigma^{-1}A \otimes_A M = \Sigma^{-1}M$$

is exact.

- For an Ore localization  $\Sigma^{-1}A$  and any  $A$ -module  $M$

$$\mathrm{Tor}_i^A(\Sigma^{-1}A, M) = 0 \quad (i \geq 1) .$$

- For an Ore localization  $\Sigma^{-1}A$  and any  $A$ -module chain complex  $C$

$$H_*(\Sigma^{-1}C) = \Sigma^{-1}H_*(C) .$$

## The universal localization of P.M.Cohn

- $A =$  ring,  $\Sigma =$  a set of morphisms  $s : P \rightarrow Q$  of f.g. projective  $A$ -modules.  
A ring morphism  $A \rightarrow B$  is  $\Sigma$ -inverting if each  $1 \otimes s : B \otimes_A P \rightarrow B \otimes_A Q$  ( $s \in \Sigma$ ) is a  $B$ -module isomorphism.
- The universal localization  $\Sigma^{-1}A$  is a ring with a  $\Sigma$ -inverting morphism  $A \rightarrow \Sigma^{-1}A$  such that any  $\Sigma$ -inverting morphism  $A \rightarrow B$  has a unique factorization  $A \rightarrow \Sigma^{-1}A \rightarrow B$ .
- The universal localization  $\Sigma^{-1}A$  exists (and it is unique); but it could be 0 – e.g if  $0 \in \Sigma$ .
- In general,  $\Sigma^{-1}A$  is not a flat  $A$ -module.  $\Sigma^{-1}A$  is a flat  $A$ -module if and only if  $\Sigma^{-1}A$  is an Ore localization (Beachy, Teichner, 2003).

## The normal form (I)

- (Gerasimov, Malcolmson, 1981) Assume  $\Sigma$  consists of all the morphisms  $s : P \rightarrow Q$  of f.g. projective  $A$ -modules such that  $1 \otimes s : \Sigma^{-1}P \rightarrow \Sigma^{-1}Q$  is a  $\Sigma^{-1}A$ -module isomorphism. (Can enlarge any  $\Sigma$  to have this property). Then every element  $x \in \Sigma^{-1}A$  is of the form  $x = fs^{-1}g$  for some  $(s : P \rightarrow Q) \in \Sigma, f : P \rightarrow A, g : A \rightarrow Q$ .
- For f.g. projective  $A$ -modules  $M, N$  every  $\Sigma^{-1}A$ -module morphism  $x : \Sigma^{-1}M \rightarrow \Sigma^{-1}N$  is of the form  $x = fs^{-1}g$  for some  $(s : P \rightarrow Q) \in \Sigma, f : P \rightarrow N, g : M \rightarrow Q$ .

$$\begin{array}{ccccc}
 & M & & P & \\
 & \searrow g & & \swarrow s & \\
 & & Q & & \\
 & & \swarrow s & & \searrow f \\
 & & & P & \\
 & & & \swarrow s & \\
 & & & & N
 \end{array}$$

Addition by

$$\begin{aligned}
 fs^{-1}g + f's'^{-1}g' &= (f \oplus f')(s \oplus s')^{-1}(g \oplus g') \\
 &: \Sigma^{-1}M \rightarrow \Sigma^{-1}N
 \end{aligned}$$

Similarly for composition.

## The normal form (II)

- For f.g. projective  $M, N$ , a  $\Sigma^{-1}A$ -module morphism  $f s^{-1} g : \Sigma^{-1}M \rightarrow \Sigma^{-1}N$  is such that  $f s^{-1} g = 0$  if and only if there is a commutative diagram of  $A$ -module morphisms

$$\begin{pmatrix} s & 0 & 0 & g \\ 0 & s_1 & 0 & 0 \\ 0 & 0 & s_2 & g_2 \\ f & f_1 & 0 & 0 \end{pmatrix}$$

$$\begin{array}{ccc} P \oplus P_1 \oplus P_2 \oplus M & \longrightarrow & Q \oplus Q_1 \oplus Q_2 \oplus N \\ & \searrow & \nearrow \\ & L & \end{array}$$

$$\begin{pmatrix} p & p_1 & p_2 & m \end{pmatrix} \quad \begin{pmatrix} q & q_1 & q_2 & n \end{pmatrix}^T$$

with  $s, s_1, s_2, \begin{pmatrix} p & p_1 & p_2 \end{pmatrix}, \begin{pmatrix} q & q_1 & q_2 \end{pmatrix}^T \in \Sigma$ .  
 (Exercise: diagram  $\implies f s^{-1} g = 0$ ).

- The condition generalizes to express  $f s^{-1} g = f' s'^{-1} g' : \Sigma^{-1}M \rightarrow \Sigma^{-1}N$  in terms of  $A$ -module morphisms.

## The $K_0$ - $K_1$ localization exact sequence

- Assume each  $(s : P \rightarrow Q) \in \Sigma$  is injective and  $A \rightarrow \Sigma^{-1}A$  is injective. The torsion exact category  $T(A, \Sigma)$  has objects  $A$ -modules  $T$  with  $\Sigma^{-1}T = 0$ ,  $\text{hom. dim.}(T) = 1$ .  
E.g.,  $T = \text{coker}(s)$  for  $s \in \Sigma$ .

- Theorem (Bass, 1968 for central, Schofield, 1985 for universal  $\Sigma^{-1}A$ ). Exact sequence

$$\begin{aligned}
 & K_1(A) \rightarrow K_1(\Sigma^{-1}A) \xrightarrow{\partial} \\
 & K_0(T(A, \Sigma)) \rightarrow K_0(A) \rightarrow K_0(\Sigma^{-1}A) \quad \text{with} \\
 & \partial\left(\tau(fs^{-1}g : \Sigma^{-1}M \rightarrow \Sigma^{-1}N)\right) \\
 & = \left[ \text{coker}\left(\begin{pmatrix} f & 0 \\ s & g \end{pmatrix} : P \oplus M \rightarrow N \oplus Q\right) \right] \\
 & \quad - \left[ \text{coker}(s : P \rightarrow Q) \right] \quad (M, N \text{ based f.g. free}).
 \end{aligned}$$

- Theorem (Quillen, 1972, Grayson, 1980)  
Higher  $K$ -theory localization exact sequence for Ore localization  $\Sigma^{-1}A$ , by flatness.

## Universal localization is not flat

- In general, if  $M$  is an  $A$ -module and  $C$  is an  $A$ -module chain complex

$$\mathrm{Tor}_*^A(\Sigma^{-1}A, M) \neq 0 ,$$

$$H_*(\Sigma^{-1}C) \neq \Sigma^{-1}H_*(C) .$$

True for Ore localization  $\Sigma^{-1}A$ , by flatness.

- Example The universal localization  $\Sigma^{-1}A$  of  $A = \mathbb{Z}\langle x_1, x_2 \rangle$  inverting  $\Sigma = \{x_1\}$  is not flat. The 1-dimensional f.g. free  $A$ -module chain complex

$$d_C = (x_1 \ x_2) : C_1 = A \oplus A \rightarrow C_0 = A$$

is a resolution of  $H_0(C) = \mathbb{Z}$  and

$$\begin{aligned} H_1(\Sigma^{-1}C) &= \mathrm{Tor}_1^A(\Sigma^{-1}A, H_0(C)) = \Sigma^{-1}A \\ &\neq \Sigma^{-1}H_1(C) = 0 . \end{aligned}$$

## The lifting problem for chain complexes

- A lift of a f.g. free  $\Sigma^{-1}A$ -module chain complex  $D$  is a f.g. projective  $A$ -module chain complex  $C$  with a chain equivalence  $\Sigma^{-1}C \simeq D$ .
- For an Ore localization  $\Sigma^{-1}A$  one can lift every  $n$ -dimensional f.g. free  $\Sigma^{-1}A$ -module chain complex  $D$ , for any  $n \geq 0$ .
- For a universal localization  $\Sigma^{-1}A$  one can only lift for  $n \leq 2$  in general.
- For  $n \geq 3$  there are lifting obstructions in  $\text{Tor}_i^A(\Sigma^{-1}A, \Sigma^{-1}A)$  for  $i \geq 2$ .  
( $\text{Tor}_1^A(\Sigma^{-1}A, \Sigma^{-1}A) = 0$  always).

## Chain complex lifting = algebraic transversality

- Typical example: the boundary map in the Schofield exact sequence

$$\partial : K_1(\Sigma^{-1}A) \rightarrow K_0(T(A, \Sigma)); \tau(D) \mapsto [C]$$

sends the Whitehead torsion  $\tau(D)$  of a contractible based f.g. free  $\Sigma^{-1}A$ -module chain complex  $D$  to class  $[C]$  of any f.g. projective  $A$ -module chain complex  $C$  such that  $\Sigma^{-1}C \simeq D$ .

- “Algebraic and combinatorial codimension 1 transversality”, e-print AT.0308111, Proc. Cassonfest, Geometry and Topology Monographs (2004).

## Stable flatness

- A universal localization  $\Sigma^{-1}A$  is stably flat if

$$\mathrm{Tor}_i^A(\Sigma^{-1}A, \Sigma^{-1}A) = 0 \quad (i \geq 2).$$

- For stably flat  $\Sigma^{-1}A$  have stable exactness:

$$H_*(\Sigma^{-1}C) = \varinjlim_B \Sigma^{-1}H_*(B)$$

with maps  $C \rightarrow B$  such that  $\Sigma^{-1}C \simeq \Sigma^{-1}B$ .

- Flat  $\implies$  stably flat. If  $\Sigma^{-1}A$  is flat (i.e. an Ore localization) then

$$\mathrm{Tor}_i^A(\Sigma^{-1}A, M) = 0 \quad (i \geq 1)$$

for every  $A$ -module  $M$ . The special case  $M = \Sigma^{-1}A$  gives that  $\Sigma^{-1}A$  is stably flat.

## A localization which is not stably flat

- Given a ring extension  $R \subset S$  and an  $S$ -module  $M$  let  $K(M) = \ker(S \otimes_R M \rightarrow M)$ .

- Theorem (Neeman, R. and Schofield)

(i) The universal localization of the ring

$$A = \begin{pmatrix} R & 0 & 0 \\ S & R & 0 \\ S & S & R \end{pmatrix} = P_1 \oplus P_2 \oplus P_3 \text{ (columns)}$$

inverting  $\Sigma = \{P_3 \subset P_2, P_2 \subset P_1\}$  is

$$\Sigma^{-1}A = M_3(S) .$$

(ii) If  $S$  is a flat  $R$ -module then

$$\mathrm{Tor}_{n-1}^A(\Sigma^{-1}A, \Sigma^{-1}A) = M_n(K^n(S)) \text{ (} n \geq 3 \text{)} .$$

(iii) If  $R$  is a field and  $\dim_R(S) = d$  then

$$K^n(S) = K(K(\dots K(S) \dots)) = R^{(d-1)^n d} .$$

If  $d \geq 2$ , e.g.  $S = R[x]/(x^d)$ , then  $\Sigma^{-1}A$  is not stably flat. (e-print RA.0205034, Math. Proc. Camb. Phil. Soc. 2004).

## Theorem of Neeman + R.

If  $A \rightarrow \Sigma^{-1}A$  is injective and stably flat then :

- 'fibration sequence of exact categories'

$$T(A, \Sigma) \rightarrow P(A) \rightarrow P(\Sigma^{-1}A)$$

with  $P(A)$  the category of f.g. projective  $A$ -modules, and every finite f.g. free  $\Sigma^{-1}A$ -module chain complex can be lifted,

- there are long exact localization sequences

$$\cdots \rightarrow K_n(A) \rightarrow K_n(\Sigma^{-1}A) \rightarrow K_{n-1}(T(A, \Sigma)) \rightarrow \cdots$$

$$\cdots \rightarrow L_n(A) \rightarrow L_n(\Sigma^{-1}A) \rightarrow L_n(T(A, \Sigma)) \rightarrow \cdots$$

e-print RA.0109118,

Geometry and Topology (2004)

- Quadratic  $L$ -theory  $L_*$  sequence obtained by Vogel (1982) without stable flatness; symmetric  $L$ -theory  $L^*$  needs stable flatness.

## Noncommutative localization in topology

- Applications to spaces  $X$  with infinite fundamental group  $\pi_1(X)$ , e.g. amalgamated free products and  $HNN$  extensions.
- The surgery classification of high-dimensional manifolds and Poincaré complexes, finite domination, fibre bundles over  $S^1$ , open books, circle-valued Morse theory, Morse theory of closed 1-forms, rational Novikov homology, codimension 1 and 2 splitting, homology surgery, knots and links.
- Survey: e-print AT.0303046 (to appear in the proceedings of the Edinburgh conference).

## The splitting problem in topology

- A homotopy equivalence  $h : V \rightarrow W$  splits at a subspace  $X \subset W$  if the restriction  $h| : h^{-1}(X) \rightarrow X$  is also a homotopy equivalence. In general homotopy equivalences do not split, not even up to homotopy.
- For a homotopy equivalence of  $n$ -dimensional manifolds  $h : V \rightarrow W$  and a codimension 1 submanifold  $X \subset W$  there are algebraic  $K$ - and  $L$ -theory obstructions to splitting  $h$  at  $X$  up to homotopy. For  $n \geq 6$  splitting up to homotopy is possible if and only if these obstructions are zero.
- For connected  $X, W$  and injective  $\pi_1(X) \rightarrow \pi_1(W)$  the splitting obstructions can be recovered from the algebraic  $K$ - and  $L$ -theory exact sequences of appropriate universal localizations expressing  $\mathbb{Z}[\pi_1(W)]$  in terms of  $\mathbb{Z}[\pi_1(X)]$  and  $\mathbb{Z}[\pi_1(W - X)]$ .

## Generalized free products

Seifert-van Kampen Theorem For any space

$$W = X \times [0, 1] \cup_{X \times \{0,1\}} Y$$

such that  $W$  and  $X$  are connected the complement  $Y$  has either 1 or 2 components, and the fundamental group  $\pi_1(W)$  is a generalized free product :

1. If  $Y$  is connected then  $\pi_1(W)$  is an *HNN* extension

$$\begin{aligned} \pi_1(W) &= \pi_1(Y) *_{i_1, i_2} \{z\} \\ &= \pi_1(Y) * \{z\} / \{i_1(x)z = zi_2(x) \mid x \in \pi_1(X)\} \end{aligned}$$

with  $i_1, i_2 : \pi_1(X) \rightarrow \pi_1(Y)$  induced by the two inclusions  $i_1, i_2 : X \rightarrow Y$ .

2.  $Y$  is disconnected,  $Y = Y_1 \cup_X Y_2$ , then  $\pi_1(W)$  is an amalgamated free product

$$\pi_1(W) = \pi_1(Y_1) *_{\pi_1(X)} \pi_1(Y_2)$$

with  $i_1 : \pi_1(X) \rightarrow \pi_1(Y_1)$ ,  $i_2 : \pi_1(X) \rightarrow \pi_1(Y_2)$  induced by the inclusions  $i_1 : X \rightarrow Y_1$ ,  $i_2 : X \rightarrow Y_2$ .

## Mayer-Vietoris in homology and $K$ -theory

- Let  $W = X \times [0, 1] \cup Y$ . Homology groups fit into the Mayer-Vietoris exact sequence

$$\begin{aligned} \dots \rightarrow H_n(X) \xrightarrow{i_1 - i_2} H_n(Y) \\ \rightarrow H_n(W) \xrightarrow{\partial} H_{n-1}(X) \rightarrow \dots \end{aligned}$$

- The algebraic  $K$ -groups of  $\mathbb{Z}[\pi_1(W)]$  for  $W = X \times [0, 1] \cup Y$  with  $\pi_1(X) \rightarrow \pi_1(W)$  injective fit into almost-Mayer-Vietoris exact sequence (Waldhausen, 1972)

$$\begin{aligned} \dots \rightarrow K_n(\mathbb{Z}[\pi_1(X)]) \xrightarrow{i_1 - i_2} K_n(\mathbb{Z}[\pi_1(Y)]) \rightarrow \\ K_n(\mathbb{Z}[\pi_1(W)]) \xrightarrow{\partial} \widetilde{\text{Nil}}_{n-1} \oplus K_{n-1}(\mathbb{Z}[\pi_1(X)]) \rightarrow \dots \end{aligned}$$

Also  $L$ -theory:  $\text{UNil}$ -groups (Cappell, 1974).

- The almost-Mayer-Vietoris sequences are the localization exact sequences for the “Mayer-Vietoris localizations”  $\Sigma^{-1}A$  of triangular matrix rings  $A$ .

## The Seifert-van Kampen localization (I)

- Let  $W = X \times [0, 1] \cup Y$ . The expression of  $\pi_1(W)$  as generalized free product motivates an expression of the  $k \times k$  matrix ring of  $\mathbb{Z}[\pi_1(W)]$  as a universal localization

$$M_k(\mathbb{Z}[\pi_1(W)]) = \Sigma^{-1}A \quad (k = 2 \text{ or } 3)$$

of a triangular matrix ring  $A$ .

- If  $Y$  is connected take  $k = 2$ ,

$$A = \begin{pmatrix} \mathbb{Z}[\pi_1(X)] & 0 \\ \mathbb{Z}[\pi_1(Y)]_1 \oplus \mathbb{Z}[\pi_1(Y)]_2 & \mathbb{Z}[\pi_1(Y)] \end{pmatrix}$$

( $\Sigma$  defined in “HNN extensions” below).

- If  $Y = Y_1 \cup Y_2$  is disconnected take  $k = 3$ ,

$$A = \begin{pmatrix} \mathbb{Z}[\pi_1(X)] & 0 & 0 \\ \mathbb{Z}[\pi_1(Y_1)] & \mathbb{Z}[\pi_1(Y_1)] & 0 \\ \mathbb{Z}[\pi_1(Y_2)] & 0 & \mathbb{Z}[\pi_1(Y_2)] \end{pmatrix}$$

( $\Sigma$  defined in “Amalgamated free products”).

## The Seifert-van Kampen localization (II)

- A map  $h : V^n \rightarrow W = X \times [0, 1] \cup Y$  on an  $n$ -manifold  $V$  is transverse at  $X \subset W$  if

$$T^{n-1} = h^{-1}(X) , \quad U^n = h^{-1}(Y) \subset V^n$$

are submanifolds, so  $V = T \times [0, 1] \cup U$ .

- The localization functor

$$\{A\text{-modules}\} \rightarrow \{\Sigma^{-1}A\text{-modules}\} ; \quad M \mapsto \Sigma^{-1}M$$

is an algebraic analogue of the forgetful functor

$$\{\text{transverse maps } V \rightarrow W\} \rightarrow \{\text{maps } V \rightarrow W\} .$$

- For any map  $V \rightarrow W$   $C(\tilde{V})$  is a  $\Sigma^{-1}A$ -module chain complex, up to Morita equivalence. For a transverse map  $h : V = T \times [0, 1] \cup U \rightarrow W$  the Mayer-Vietoris presentation of  $C(\tilde{V})$  is an  $A$ -module chain complex  $\Gamma$  with assembly  $\Sigma^{-1}\Gamma = C(\tilde{V})$ .

## Morita theory

- For any ring  $R$  and  $k \geq 1$  let  $M_k(R)$  be the ring of  $k \times k$  matrices in  $R$ .

- Proposition The functors

$$\{R\text{-modules}\} \rightarrow \{M_k(R)\text{-modules}\} ;$$

$$M \mapsto \begin{pmatrix} R \\ R \\ \vdots \\ R \end{pmatrix} \otimes_R M ,$$

$$\{M_k(R)\text{-modules}\} \rightarrow \{R\text{-modules}\} ;$$

$$N \mapsto (R \ R \ \dots \ R) \otimes_{M_k(R)} N$$

are inverse equivalences of categories.

- Proposition  $K_*(M_k(R)) = K_*(R)$ .

## Algebraic $K$ -theory of triangular rings

Given rings  $A_1, A_2$  and an  $(A_2, A_1)$ -bimodule  $B$  define the triangular matrix ring

$$A = \begin{pmatrix} A_1 & 0 \\ B & A_2 \end{pmatrix}$$

with f.g. projectives  $P_1 = \begin{pmatrix} A_1 \\ B \end{pmatrix}, P_2 = \begin{pmatrix} 0 \\ A_2 \end{pmatrix}$ .

Proposition (i) The category of  $A$ -modules is equivalent to the category of triples

$$M = (M_1, M_2, \mu : B \otimes_{A_1} M_1 \rightarrow M_2)$$

with  $M_i$   $A_i$ -module,  $\mu$   $A_2$ -module morphism.

(ii)  $K_*(A) = K_*(A_1) \oplus K_*(A_2)$ .

(iii) If  $A \rightarrow S$  is a ring morphism such that there is an  $S$ -module isomorphism  $S \otimes_A P_1 \cong S \otimes_A P_2$  then  $S = M_2(R)$  with  $R = \text{End}_S(S \otimes_A P_1)$ , and

$$\{A\text{-modules}\} \rightarrow \{S\text{-modules}\} \approx \{R\text{-modules}\};$$

$$M \mapsto (R \ R) \otimes_A M$$

$$= \text{coker}(R \otimes_{A_2} B \otimes_{A_1} M_1 \rightarrow R \otimes_{A_1} M_1 \oplus R \otimes_{A_2} M_2)$$

is an assembly map, i.e. local-to-global.

## The stable flatness theorem

- Theorem Let

$$A = \begin{pmatrix} A_1 & 0 \\ B & A_2 \end{pmatrix} \rightarrow \Sigma^{-1}A = M_2(R)$$

with  $\Sigma$  a set of  $A$ -module morphisms

$$s : P_2 = \begin{pmatrix} 0 \\ A_2 \end{pmatrix} \rightarrow P_1 = \begin{pmatrix} A_1 \\ B \end{pmatrix} \text{ with } R = \text{End}(\Sigma^{-1}P_i)$$

( $i = 1, 2$ ). If  $B$  and  $R$  are flat  $A_1$ -modules and  $R$  is a flat  $A_2$ -module then  $\Sigma^{-1}A$  is stably flat.

- Proof The  $A$ -module  $M = \begin{pmatrix} R \\ R \end{pmatrix}$  has a 1-dimensional flat  $A$ -module resolution

$$\begin{aligned} 0 &\rightarrow \begin{pmatrix} 0 \\ B \end{pmatrix} \otimes_{A_1} R \\ &\rightarrow \begin{pmatrix} A_1 \\ B \end{pmatrix} \otimes_{A_1} R \oplus \begin{pmatrix} 0 \\ A_2 \end{pmatrix} \otimes_{A_2} R \rightarrow M \rightarrow 0 \end{aligned}$$

and hence so does  $\Sigma^{-1}A = M \oplus M$ .

- Remark  $\text{Tor}_1^A((0 \ A_2), E) = \ker(B \otimes_{A_1} R \rightarrow R)$ , so in general  $\Sigma^{-1}A$  is not flat.

## *HNN extensions*

The *HNN* extension of ring morphisms  $i_1, i_2 : R \rightarrow S$  is the ring

$$S *_{i_1, i_2} \{z\} = S * \mathbb{Z} / \{i_1(x)z = zi_2(x) \mid x \in R\} .$$

Let  $S_j = S$  with  $(S, R)$ -bimodule structure

$$S \times S_j \times R \rightarrow S_j ; (s, t, u) \mapsto sti_j(u) .$$

The S-vK localization of  $A = \begin{pmatrix} R & 0 \\ S_1 \oplus S_2 & S \end{pmatrix}$

inverts the inclusions

$$\Sigma = \left\{ s_1, s_2 : \begin{pmatrix} 0 \\ S \end{pmatrix} \rightarrow \begin{pmatrix} R \\ S_1 \oplus S_2 \end{pmatrix} \right\}$$

with  $\Sigma^{-1}A = M_2(S *_{i_1, i_2} \{z\})$ .

Corollary 1. If  $i_1, i_2 : R \rightarrow S$  are split injections and  $S_1, S_2$  are flat  $R$ -modules then  $A \rightarrow \Sigma^{-1}A$  is injective and stably flat. The algebraic  $K$ -theory localization exact sequence has

$$K_n(A) = K_n(R) \oplus K_n(S) ,$$

$$K_n(\Sigma^{-1}A) = K_n(S *_{i_1, i_2} \{z\}) ,$$

$$K_n(T(A, \Sigma)) = K_n(R) \oplus K_n(R) \oplus \widetilde{\text{Nil}}_n .$$

## Amalgamated free products

The amalgamated free product  $S_1 *_R S_2$  is defined for ring morphisms  $R \rightarrow S_1, R \rightarrow S_2$ . The

S-vK localization of  $A = \begin{pmatrix} R & 0 & 0 \\ S_1 & S_1 & 0 \\ S_2 & 0 & S_2 \end{pmatrix}$  inverts

the inclusions

$$\Sigma = \left\{ s_1 : \begin{pmatrix} 0 \\ S_1 \\ 0 \end{pmatrix} \rightarrow \begin{pmatrix} R \\ S_1 \\ S_2 \end{pmatrix}, s_2 : \begin{pmatrix} 0 \\ 0 \\ S_2 \end{pmatrix} \rightarrow \begin{pmatrix} R \\ S_1 \\ S_2 \end{pmatrix} \right\}$$

with

$$\Sigma^{-1}A = M_3(S_1 *_R S_2) .$$

Corollary 2. If  $R \rightarrow S_1, R \rightarrow S_2$  are split injections with  $S_1, S_2$  flat  $R$ -modules then  $A \rightarrow \Sigma^{-1}A$  is injective and stably flat. The algebraic  $K$ -theory localization exact sequence has

$$K_n(A) = K_n(R) \oplus K_n(S_1) \oplus K_n(S_2) ,$$

$$K_n(\Sigma^{-1}A) = K_n(S_1 *_R S_2) ,$$

$$K_n(T(A, \Sigma)) = K_n(R) \oplus K_n(R) \oplus \widetilde{\text{Nil}}_n .$$

## The algebraic $L$ -theory of a triangular ring

- If  $A_1, A_2, B$  have involutions then  $A = \begin{pmatrix} A_1 & 0 \\ B & A_2 \end{pmatrix}$  may not have an involution.

- Involutions on  $A_1, A_2$  and a symmetric isomorphism  $\beta : B \rightarrow \text{Hom}_{A_2}(B, A_2)$  give a "chain duality" involution on the derived category of  $A$ -module chain complexes.

- The dual of an  $A$ -module  $M = (M_1, M_2, \mu)$  is the  $A$ -module chain complex

$$d = (0, \beta^{-1}\mu^*) :$$

$$C_1 = (0, M_2^*, 0) \rightarrow C_0 = (M_1^*, B \otimes_{A_1} M_1^*, 1)$$

- The quadratic  $L$ -groups of  $A$  are just the relative  $L$ -groups in the sequence

$$\begin{aligned} \cdots \rightarrow L_n(A_1) \rightarrow^{\otimes(B, \beta)} L_n(A_2) \rightarrow L_n(A) \\ \rightarrow L_{n-1}(A_1) \rightarrow \cdots \end{aligned}$$

## The algebraic $L$ -theory of a noncommutative localization

- Theorem Let  $\Sigma^{-1}A$  be the localization of a triangular ring  $A = \begin{pmatrix} A_1 & 0 \\ B & A_2 \end{pmatrix}$  with chain duality inverting a set  $\Sigma$  of  $A$ -module morphisms  $s : P_1 = \begin{pmatrix} 0 \\ A_2 \end{pmatrix} \rightarrow P_2 = \begin{pmatrix} A_1 \\ B \end{pmatrix}$ , so that

$$\Sigma^{-1}A = M_2(D)$$

with  $D = \text{End}(\Sigma^{-1}P_1)$ . If  $B$  and  $D$  are flat  $A_1$ -modules and  $D$  is a flat  $A_2$ -module then  $\Sigma^{-1}A$  is stably flat,

$$L_*(\Sigma^{-1}A) = L_*(D) \text{ (Morita)}$$

and there is an exact sequence

$$\begin{aligned} \cdots \rightarrow L_n(A) &\rightarrow L_n(D) \rightarrow L_n(T(A, \Sigma)) \\ &\rightarrow L_{n-1}(A) \rightarrow \cdots \end{aligned}$$

## The UNil groups are the torsion groups of a noncommutative localization

- Theorem Let  $D = S_1 *_R S_2$  be the amalgamated free product of split injections  $R \rightarrow S_1, R \rightarrow S_2$  of rings with involution, and let  $A \rightarrow \Sigma^{-1}A = M_3(D)$  be the S-vK localization. If  $S_1, S_2$  are flat  $R$ -modules then

$$L_n(\Sigma^{-1}A) = L_n(D) = L_n(A) \oplus L_n(T(A, \Sigma)) ,$$

$$L_n(T(A, \Sigma)) = \text{UNil}_n(R; S_1, S_2) .$$

- Similarly for the UNil-groups of an HNN extension  $D = S *_i \{z\}$  of split injective morphisms  $i_1, i_2 : R \rightarrow S$  of rings with involution with  $S_1$  and  $S_2$  flat  $R$ -modules, and the S-vK localization  $\Sigma^{-1}A = M_2(D)$ .

## A polynomial extension is a noncommutative localization

- A particularly simple example!
- For any ring  $R$  define triangular matrix ring

$$A = \begin{pmatrix} R & 0 \\ R \oplus R & R \end{pmatrix} .$$

An  $A$ -module is a quadruple

$$M = ( K , L , \mu_1, \mu_2 : K \rightarrow L )$$

with  $K, L$   $R$ -modules and  $\mu_1, \mu_2$   $R$ -module morphisms. The localization of  $A$  inverting

$$\Sigma = \left\{ \sigma_1, \sigma_2 : \begin{pmatrix} 0 \\ R \end{pmatrix} \rightarrow \begin{pmatrix} R \\ R \oplus R \end{pmatrix} \right\}$$

is a ring morphism

$$\begin{aligned} A \rightarrow \Sigma^{-1}A &= M_2(S) , \quad S = R[z, z^{-1}] \quad \text{such that} \\ \{A\text{-modules}\} &\rightarrow \{M_2(S)\text{-modules}\} \approx \{S\text{-modules}\} \\ \text{sends an } A\text{-module } M &\text{ to the assembly } S\text{-module} \\ (S \ S) \otimes_A M & \\ = \text{coker}(\mu_1 - z\mu_2 : K[z, z^{-1}] &\rightarrow L[z, z^{-1}]) . \end{aligned}$$

## Manifolds over $S^1$

- Given a map  $f : V^n \rightarrow S^1$  on an  $n$ -manifold  $V$  which is transverse at  $\{\text{pt.}\} \subset S^1$  cut  $V$  along the codimension 1 submanifold  $T^{n-1} = f^{-1}(\{\text{pt.}\}) \subset V$  to obtain

$$V = T \times [0, 1] \cup_{T \times \{0,1\}} U .$$

The cobordism  $(U; T_1, T_2)$  is a fundamental domain for the infinite cyclic cover  $\bar{V} = f^*\mathbb{R}$  of  $V$ , with  $T_1, T_2$  copies of  $T$ .

- $A = \begin{pmatrix} \mathbb{Z} & 0 \\ \mathbb{Z} \oplus \mathbb{Z} & \mathbb{Z} \end{pmatrix}$ ,  $\Sigma^{-1}A = M_2(\mathbb{Z}[z, z^{-1}])$ .

The  $A$ -module chain complex

$$\Gamma = (C(T), C(U), \mu_1, \mu_2 : C(T) \rightarrow C(U))$$

induces the assembly  $\mathbb{Z}[z, z^{-1}]$ -module chain complex

$$\begin{aligned} & (\mathbb{Z}[z, z^{-1}] \ \mathbb{Z}[z, z^{-1}]) \otimes_A \Gamma \\ &= \text{coker}(\mu_1 - z\mu_2 : C(T)[z, z^{-1}] \rightarrow C(U)[z, z^{-1}]) \\ &= C(\bar{V}) . \end{aligned}$$