

# Some properties of Fix – Free Codes

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## Abstract

A (variable length) code is **fix – free** code if no codeword is a prefix or a suffix of any other. A database constructed by a **fix – free** code is instantaneously decodeable from both sides. We discuss the existence of **fix – free** codes, relations to the deBruijn Network and shadow problems. Particulary we draw attention to a remarkable **conjecture**: For numbers  $l_1, \dots, l_N$  satisfying  $\sum_{i=1}^N 2^{-l_i} \leq \frac{3}{4}$  a **fix–free** code with lengths  $l_1, \dots, l_N$  exists. If true, this bound is best possible.

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## 1 Basic Definitions

For a finite set  $\mathcal{X} = \{0, \dots, a-1\}$ , called alphabet, we form  $\mathcal{X}^n = \prod_1^n \mathcal{X}$ , the words of length  $n$ , with letters from  $\mathcal{X}$  and  $\mathcal{X}^* = \bigcup_{n=0}^{\infty} \mathcal{X}^n$ , the set of all finite length words including the empty word  $e$  from  $\mathcal{X}^0 = \{e\}$ ,  $\mathcal{X}^*$  is equipped with an associative operation, called concatenation, defined by

$$(x_1, \dots, x_n)(y_1, \dots, y_m) = (x_1, \dots, x_n, y_1, \dots, y_m).$$

We skip the brackets whenever this results in no confusion, in particular we write the letter  $x$  instead of  $(x)$ . We also write  $\mathcal{X}^+ = \mathcal{X}^* \setminus \{e\}$  for the set of non-empty words.

The **length**  $|x^n|$  of the word  $x^n = x_1 \dots x_n$  is the number  $n$  of letters in  $x^n$ .

A word  $w \in \mathcal{X}^*$  is a **factor** of a word  $x \in \mathcal{X}^*$  if there exist  $u, v \in \mathcal{X}^*$  such that  $x = u w v$ . A factor  $w$  of  $x$  is **proper** if  $w \neq x$ .

For subsets  $\mathcal{Y}, \mathcal{Z}$  of  $\mathcal{X}^*$  and a word  $w \in \mathcal{X}^*$ , we define

$$\mathcal{Y}w = \{yw \in \mathcal{X}^* : y \in \mathcal{Y}\},$$

$$\mathcal{Y}\mathcal{Z} = \{yz \in \mathcal{X}^* : y \in \mathcal{Y}, z \in \mathcal{Z}\}$$

and

$$\mathcal{Y}w^{-1} = \{z \in \mathcal{X}^* : zw \in \mathcal{Y}\}.$$

A set of words  $\mathcal{C} \subset \mathcal{X}^*$  is called a **code**.

Recall that a code is called **prefix-free** (resp. **suffix-free**), if no codeword is beginning (resp. ending) of another one.

**Definition 1** A code, which is simultaneously prefix-free and suffix-free, is called **biprefix** or **fix-free**. This can be expressed by the equations

$$\mathcal{C}\mathcal{X}^+ \cap \mathcal{C} = \phi \text{ and } \mathcal{X}^+\mathcal{C} \cap \mathcal{C} = \phi.$$

**Definition 2** A code  $\mathcal{C} = \{c_1, \dots, c_N\}$  over an  $a$ -letter alphabet  $\mathcal{X}$  is said to be **complete** if it satisfies equality in Kraft's inequality, i.e. for  $\ell_i = |c_i|$ ,

$$\sum_{i=1}^N a^{-\ell_i} = 1.$$

**Definition 3** A fix-free code  $\mathcal{C}$  is called **saturated**, if it is not possible to find a fix-free code  $\mathcal{C}'$  containing  $\mathcal{C}$  properly, that is,  $|\mathcal{C}'| > |\mathcal{C}|$ .

## 2 The Existence

**Lemma 1** *A finite fix-free code  $\mathcal{C} = \{c_1, \dots, c_N\}$  over  $\mathcal{X} = \{0, \dots, a-1\}$  is saturated iff  $\mathcal{C}$  is complete.*

**Proof:**

Let  $\ell_i = |c_i|$  for all  $1 \leq i \leq N$ .

1. If  $\sum_{i=1}^N a^{-\ell_i} = 1$ , then  $\mathcal{C}$  is saturated, because otherwise we get a contradiction to Kraft's inequality.
2. Now we show that in case  $\sum_{i=1}^N a^{-\ell_i} < 1$ ,  $\ell_1 \leq \dots \leq \ell_N$ , we can add another codeword to  $\mathcal{C}$ .

Indeed, by the proof of Kraft's inequality there exists a word  $x^{\ell_N} \in \mathcal{X}^{\ell_N}$  such that no codeword of  $\mathcal{C}$  is prefix of  $x^{\ell_N}$ . Similarly, there exists a word  $y^{\ell_N} \in \mathcal{X}^{\ell_N}$  such that no codeword of  $\mathcal{C}$  is suffix of  $y^{\ell_N}$ .

Define now the new codeword

$$c_{N+1} = x^{\ell_N} y^{\ell_N}.$$

**Definition 4** *We define the **shadow** of a word  $w \in \mathcal{X}^*$  in level  $l$  as*

$$\begin{aligned} \delta_l(w) &= \{x^l \in \mathcal{X}^l : w \text{ is prefix or suffix of } x^l\}. \\ &= w^{-1}\mathcal{X}^l \cup \mathcal{X}^l w^{-1}. \end{aligned}$$

*For a set  $\mathcal{Z}$  this notation is extended to*

$$\delta_l(\mathcal{Z}) = \bigcup_{z \in \mathcal{Z}} \delta_l(z).$$

We are next looking for Kraft-type inequalities.

**Lemma 2**  $\sum_{i=1}^N a^{-\ell_i} \leq \frac{1}{2}$  *implies that there exists a fix-free code  $\mathcal{C}$  over  $\mathcal{X} = \{0, \dots, a-1\}$  with  $\ell_1 \leq \dots \leq \ell_N$  as lengths of codewords.*

**Proof:** We proceed by induction in the number of codewords. The case  $N = 1$  being obvious we assume that we have found a fix-free code for  $N - 1$  codewords. We present these words as vertices of a tree, where a word of length  $\ell$  corresponds to a certain vertex on the  $\ell$ -th level (in the usual way).

We count now all leaves of this tree in the  $\ell_N$ 'th level, which have one of the codewords as a prefix or as a suffix. (The shadow of the code in the  $\ell_N$ 's level.)

For each codeword  $c_i$  of length  $\ell_i$  we thus count  $a^{\ell_N - \ell_i}$  leaves, which have  $c_i$  as a prefix and also  $a^{\ell_N - \ell_i}$  leaves, which have  $c_i$  as a suffix. These sets need not be distinct. However, their total number does not exceed  $2 \sum_{i=1}^{N-1} a^{\ell_N - \ell_i}$ .

By our assumption this is smaller than  $a^{\ell_N}$  and there is a leaf on the  $\ell_N$ 's level, which was not counted. The corresponding word can serve as our  $N$ -th codeword.

We define now  $\gamma$  as the largest constant such that for every integral tuple  $(\ell_1, \ell_2, \dots, \ell_N)$   $\sum_{i=1}^N 2^{-\ell_i} < \gamma$  implies the existence of a binary fix-free code with lengths  $\ell_1, \ell_2, \dots, \ell_N$ .

**Lemma 3**  $\gamma \leq \frac{3}{4}$ .

**Proof:** For any  $\gamma = \frac{3}{4} + \varepsilon, \varepsilon > 0$ , choose  $k$  such that  $2^{-k} < \varepsilon$ . For the vector  $(\ell_1, \dots, \ell_N) = (1, k, \dots, k)$  with  $N = 2^{k-2} + 2$  we have

$$\sum_{i=1}^N 2^{-\ell_i} = \frac{1}{2} + 2^{-k}(2^{k-2} + 1) = \frac{3}{4} + 2^{-k} < \frac{3}{4} + \varepsilon.$$

However, there are exactly  $2^{k-2}$  words of length  $k$  without a codeword  $c_1$  as a prefix and a suffix and, since  $1 + 2^{k-2} < N$ , we have shown the nonexistence of a code with the desired parameters.

There is some evidence for the

**Conjecture:**  $\gamma = \frac{3}{4}$ .

For instance we have the following observation.

**Lemma 4** *Suppose that*

$$\text{either } \ell_i = \ell_{i+1} \text{ or } 2\ell_i \leq \ell_{i+1} \text{ for all } 1 \leq i \leq N. \quad (2.1)$$

*Then  $\sum_{i=1}^N 2^{-\ell_i} \leq \frac{3}{4}$  implies the existence of a binary fix-free code with these codeword lengths.*

**Proof:** We go by induction on the number  $n$  of different lengths occurring in

$$\ell_1 \leq \ell_2 \leq \dots \leq \ell_N.$$

Obviously the result is true, if there is only one length, that is,  $n = 1$ .

Assuming that we can construct a code with  $n - 1$  different codeword lengths we show that we can construct a code with  $n$  different codeword lengths. Let  $M$  be the largest index  $i$  with  $\ell_i < \ell_N$ . Thus  $\sum_{i=1}^M 2^{-\ell_i} \leq \frac{3}{4}$

and by induction hypothesis we have a fix-free code  $\mathcal{C}'$  with the lengths  $\ell_1, \dots, \ell_M$ . We estimate now the shadow  $\delta_{\ell_N}(\mathcal{C}')$ . Actually, by 2.1 we get an exact formula:

$$|\delta_{\ell_N}(\mathcal{C}')| = 2 \sum_{i=1}^M 2^{\ell_N - \ell_i} - \sum_{i=1}^M 2^{\ell_N - 2\ell_i} - 2 \sum_{1 \leq i < j \leq M} 2^{\ell_N - (\ell_i + \ell_j)}. \quad (2.2)$$

A code with lengths  $\ell_1, \dots, \ell_N$  is constructable exactly if

$$|\delta_{\ell_N}(\mathcal{C}')| \leq 2^{\ell_N} - (N - M). \quad (2.3)$$

Writing  $K = N - M$  and  $\alpha = \sum_{i=1}^M 2^{-\ell_i}$  we get after division by  $2^{\ell_N}$  from (2.2) and (2.3) that sufficient for constructability is

$$2\alpha - \alpha^2 \leq 1 - \frac{K}{2^{\ell_N}}.$$

With the abbreviations  $\beta = \sum_{i=1}^N 2^{-\ell_i} = \alpha + \frac{K}{2^{\ell_N}}$  and  $\delta = \frac{K}{2^{\ell_N}}$  we get the equivalent inequality

$$\beta \leq 1 + \delta - \sqrt{\delta}.$$

This is satisfied for  $\beta \leq \frac{3}{4}$ , because  $1 + \delta - \sqrt{\delta}$  has the minimal value  $\frac{3}{4}$  (at  $\delta = \frac{1}{4}$ ).

## 2.1 Minimal Average Codeword Lengths

The aim of data compression in Noiseless Coding Theory is to minimize the average length of the codewords (see [2, 5]).

**Theorem 1** *For each probability distribution  $P = (P(1), \dots, P(N))$  there exists a binary fix-free code  $\mathcal{C}$  where the average length of the codewords satisfies*

$$H(P) \leq \bar{L}(\mathcal{C}) < H(P) + 2.$$

**Proof:** The left-hand side of the theorem is clearly true, because each fix-free code is a prefix code and for each prefix code the left-hand side of the theorem follows from the Noiseless Coding Theorem. It is also clear, that this lower bound is reached for  $N = 2^m$  ( $m \in \mathbb{N}$ ) and  $P(i) = 2^{-m}$  for all  $1 \leq i \leq 2^m$ .

The proof of the right-hand side of the Theorem is the same as the proof for alphabetic codes, which can be found in [1]:

We define  $\ell_i \triangleq \lceil -\log(P(i)) \rceil + 1$ . It follows that

$$\sum_{i=1}^N 2^{-\ell_i} \leq \frac{1}{2} \sum_{i=1}^N 2^{\log(P(i))} = \frac{1}{2} \sum_{i=1}^N P(i) = \frac{1}{2}.$$

By Lemma 2 there exists a fix-free code  $\mathcal{C}$  with the codeword lengths  $\ell_1, \dots, \ell_N$ .

The average length of this code is

$$\begin{aligned} \bar{L}(\mathcal{C}) &= \sum_{i=1}^N P(i)\ell_i < \sum_{i=1}^N P(i)(-\log(P(i)) + 2) \\ &= H(P) + 2 \sum_{i=1}^N P(i) = H(P) + 2, \end{aligned}$$

where the logarithm is taken to the base 2. For an arbitrary alphabet the proof follows the same lines.

### 3 On Complete Fix-Free-Codes

#### 3.1 Auxiliary Results

In Chapter 3 of [3] the structure of complete fix-free codes is studied and methods for constructing finite codes are presented. To each complete fix-free code two basic parameters are associated: its *kernel* and its *degree*. The kernel is the set of codewords which are proper factors of some codeword. The degree  $d$  is a positive integer which is defined as follows:

It is well known (see [3]) that for each finite complete fix-free code  $\mathcal{C} = \{c_1, \dots, c_N\}$  and for each  $w \in \mathcal{X}^+$ , there exists a positive integer  $m < \max_{1 \leq i \leq N} |c_i|$  such that  $\underbrace{w \dots w}_m \in \mathcal{C}^*$ . Now we define

$$d \triangleq \max_{w \in \mathcal{X}^+} \min_{m \in \mathbb{N}} \{m : \underbrace{w \dots w}_m \in \mathcal{C}^*\}.$$

We need the following results of [3]:

**Proposition 1** *Let  $\mathcal{C}$  be a finite complete fix-free code over a finite alphabet  $\mathcal{X}$  and let  $d$  be its degree. Then we have the properties:*

(i) *For each letter  $x \in \mathcal{X}$ ,*

$$\underbrace{x \dots x}_d \in \mathcal{C}.$$

(ii) *There is only a finite number of finite complete fix-free codes over  $\mathcal{X}$  with degree  $d$ .*

(iii) If the length of the shortest codeword is  $d$ , then the length of every codeword is  $d$  as well.

**Lemma 5** For each finite complete fix-free code  $\mathcal{C} = \{c_1, \dots, c_N\}$  over  $\mathcal{X} = \{0, \dots, a-1\}$ ,  $a^2$  divides the number of codewords of maximal length.

**Proof :** From the definition of complete fix-free codes it follows that with every codeword  $c \in \mathcal{C}$  of maximal length, there are also  $a^2 - 1$  other codewords which differ from  $c$  only in the first and/or last components. Hence the set of codewords of maximal length is a disjoint union of equivalent classes each of cardinality  $a^2$ .  $\square$

**Lemma 6** For each binary complete fix-free code  $\mathcal{C}$  there is at most one codeword of length 2 or all codewords have length 2.

**Proof :** By (i) in Proposition 1 we know that  $\mathcal{C}$  contains no codeword of length one. If  $\mathcal{C}$  contains a codeword  $c$  with  $|c| > 2$  then by (iii) of Proposition 1 the degree of  $\mathcal{C}$  is greater than 2, and by (i) of Proposition 1  $00 \notin \mathcal{C}$  and  $11 \notin \mathcal{C}$ . Hence if we have two codewords of length 2 then these two codewords are 01 and 10. However, there is a codeword of maximal length starting with 01 or 10 (see Lemma 5).  $\square$

### 3.2 Only Three Different Levels

Let  $\mathcal{C}$  be a finite binary complete fix-free code and let  $\mathcal{C}_i \triangleq \{c \in \mathcal{C} : |c| = i\}$ . Let  $\text{bin}^{-1}(c)$  be the natural number which corresponds to the binary representation of  $c$  (Note that the length of  $c$  is not fixed so that  $\text{bin}^{-1}(c) = \text{bin}^{-1}(0c)$ ).

**Lemma 7** Let  $\mathcal{C} = (c_1, \dots, c_N)$  be a finite binary complete fix-free code with codeword lengths  $\ell_1, \dots, \ell_N$  satisfying  $\ell_i \in \{k, k+1, k+2\}$  for all  $1 \leq i \leq N$  and some  $k$ . Then for every  $\mathcal{E} \subset \mathcal{C}_k$   
 $|\delta_{k+1}(\mathcal{E})| \geq 2|\mathcal{E}|$  and equality holds exactly if  $|\mathcal{E}| = 2^k$ .

**Proof :** The union of the sets  $\mathcal{E}0$  and  $\mathcal{E}1$  contains  $2|\mathcal{E}|$  elements. Hence always  $|\delta_{k+1}(\mathcal{E})| \geq 2|\mathcal{E}|$ , if  $|\mathcal{E}| < 2^k$  then by (i) and (iii) of Proposition 1,  $(0, \dots, 0) \notin \mathcal{E}$ .

Let  $c$  be the element in  $\mathcal{E}$  with smallest  $\text{bin}^{-1}(c)$ . We consider  $0c \in \delta_{k+1}(\mathcal{E})$  and let us show that  $0c \notin \mathcal{E}0 \cup \mathcal{E}1$ . Assume in the opposite  $0c = c'0$  or  $0c = c'1$  for some  $c' \in \mathcal{E}$ . However  $\text{bin}^{-1}(0c) = \text{bin}^{-1}(c) < 2\text{bin}^{-1}(c') = \text{bin}^{-1}(c'0)$  and  $\text{bin}^{-1}(0c) < 1 + 2\text{bin}^{-1}(c') = \text{bin}^{-1}(c'1)$  hold, since  $c$  is the element of  $\mathcal{E}$  with smallest  $\text{bin}^{-1}(c)$ . Hence  $|\delta_{k+1}(\mathcal{E})| \geq 2|\mathcal{E}| + 1$  if  $|\mathcal{E}| < 2^k$ .  $\square$

**Theorem 2** Let  $\mathcal{C}$  be a finite binary complete fix-free code with codeword lengths:  $k = \ell_1 \leq \ell_2 \leq \dots \leq \ell_N = k+2$ . Then

- (i)  $xcy \in \mathcal{C}_{k+2}$ ,  $x, y \in \{0, 1\}$  if and only if  $c \in \mathcal{C}_k$  and  
(ii)  $|\delta_{k+1}(\mathcal{C}_k)| = 4 |\mathcal{C}_k|$ .

**Proof :**

- (i) Let  $\mathcal{C}_k^0 = \{c \in \{0, 1\}^k \setminus \mathcal{C}_k : xcy \in \mathcal{C}_{k+2}, x, y \in \{0, 1\}\}$ ,  
 $\mathcal{C}_{k+2}^0 = \{xcy \in \mathcal{C}_{k+2}, x, y \in \{0, 1\} : c \in \mathcal{C}_k^0\}$  and let  
 $\mathcal{D} = \delta_{k+1}(\mathcal{C}_k^0) = \{c0, c1, 0c, 1c \in \{0, 1\}^{k+1} : c \in \mathcal{C}_k^0\}$ .

From Lemma 5 we know that  $|\mathcal{C}_{k+2}^0| = 4 |\mathcal{C}_k^0|$ . We consider new codes  $\mathcal{C}'_1 = (\mathcal{C} \setminus \mathcal{C}_{k+2}^0) \cup \mathcal{C}_k^0$  and  $\mathcal{C}'_2 = (\mathcal{C} \setminus \mathcal{C}_{k+2}^0) \cup \mathcal{D}$ . It can be easily verified, that both  $\mathcal{C}'_1$  and  $\mathcal{C}'_2$  are fix-free codes. Moreover,  $\mathcal{C}'_1$  is complete, since  $\mathcal{C}$  is complete. Therefore we can apply Lemma 7 with respect to  $\mathcal{E} = \mathcal{C}_k^0$ ,  $|\mathcal{C}_k^0| < 2^k$ , to get  $|\delta_{k+1}(\mathcal{C}'_2)| = |\mathcal{D}| > 2 |\mathcal{C}_k^0|$ . However this leads to the contradiction, because  $\mathcal{C}'_2$  is a fix-free code, but

$$\begin{aligned} \sum_{c \in \mathcal{C}'_2} 2^{-|c|} &= \sum_{c \in (\mathcal{C} \setminus \mathcal{C}_{k+2}^0)} 2^{-|c|} + \sum_{c \in \mathcal{D}} 2^{-|c|} \\ &> \sum_{c \in (\mathcal{C} \setminus \mathcal{C}_{k+2}^0)} 2^{-|c|} + \sum_{c \in \mathcal{C}_{k+2}^0} 2^{-|c|} \\ &= \sum_{c \in \mathcal{C}} 2^{-|c|} = 1. \end{aligned}$$

- (ii) We consider the lower shadow of  $\mathcal{C}_{k+2}$ :

$$\delta_{k+1}^-(\mathcal{C}_{k+2}) \triangleq \{c \in \{0, 1\}^{k+1} : \delta_{k+2}(c) \cap \mathcal{C}_{k+2} \neq \emptyset\}.$$

By (i) we have  $\delta_{k+1}^-(\mathcal{C}_{k+2}) = \delta_{k+1}(\mathcal{C}_k)$ .

Therefore  $\mathcal{C}_{k+1} = \{0, 1\}^{k+1} \setminus \delta_{k+1}(\mathcal{C}_k)$ , since  $\mathcal{C}$  is complete.

Now  $|\delta_{k+1}(\mathcal{C}_k)| < 4 |\mathcal{C}_k|$  would imply  $\sum_{c \in \mathcal{C}} 2^{-|c|} > 1$ .  $\square$

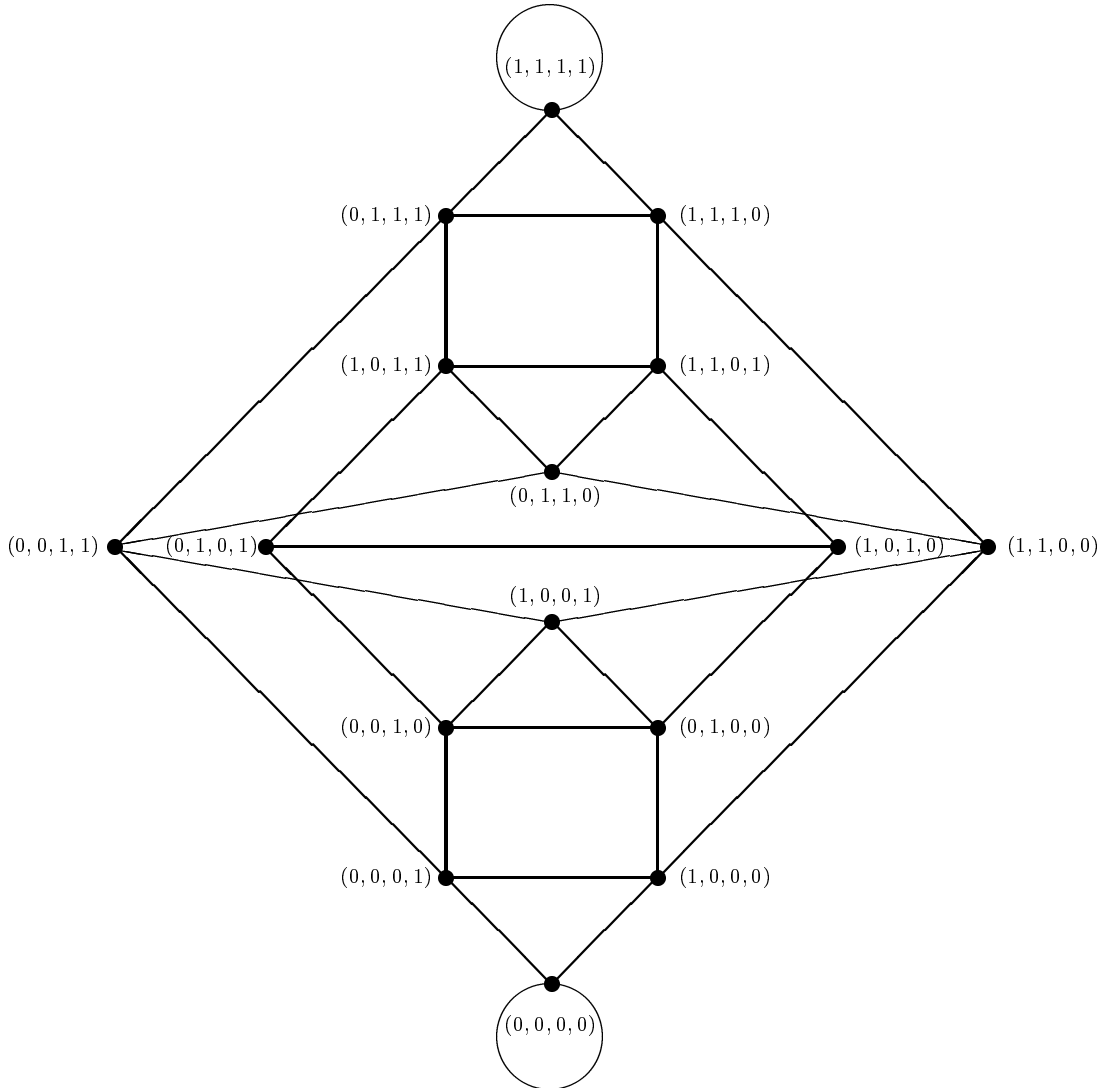
### 3.3 Relations to the deBruijn Network

The **binary deBruijn Network** of order  $n$  is an undirected graph  $\mathcal{B}^n = (\mathcal{V}^n, \mathcal{E}^n)$ , where  $\mathcal{V}^n = \mathcal{X}^n$  is the set of vertices and  $(u^n, v^n) \in \mathcal{E}^n$  is an edge iff

$$u^n \in \{(b, v_1, \dots, v_{n-1}), (v_2, \dots, v_n, b) : b \in \{0, 1\}\}.$$

The binary deBruijn Network  $\mathcal{B}^4$  is given as an example:





A subset  $\mathcal{A} \subset \mathcal{V}^n$  is called independent, if no two vertices of  $\mathcal{A}$  are connected, and we denote by  $\mathcal{I}(\mathcal{B}^n)$  the set of all independent subsets of the deBruijn network. We note, that for all  $b \in \{0, 1\}$ ,  $(b, b, \dots, b) \notin \mathcal{A} \in \mathcal{I}(\mathcal{B}^n)$ , because  $(b, b, \dots, b)$  is dependent itself. The independence number  $f(n)$  of  $\mathcal{B}^n$  is  $f(n) = \max_{\mathcal{A} \in \mathcal{I}(\mathcal{B}^n)} |\mathcal{A}|$ .

**Lemma 8** *Let  $\mathcal{C}$  be a binary complete fix - free code on three levels:  $\mathcal{C} = \mathcal{C}_n \cup \mathcal{C}_{n+1} \cup \mathcal{C}_{n+2}, \mathcal{C}_i \neq \emptyset$ . Then*

- (i)  $\mathcal{C}_n \in \mathcal{I}(\mathcal{B}^n)$  and
- (ii) for every  $\mathcal{A} \in \mathcal{I}(\mathcal{B}^n)$  there exists a complete fix - free code on three levels  $n, n + 1, n + 2$  for which  $\mathcal{A} = \mathcal{C}_n$ , and the code is unique.

**Proof :**

- (i) Immediately follows from Theorem 2 (ii).

- (ii) For an  $\mathcal{A} \in \mathcal{I}(\mathcal{B}^n)$  we construct a complete fix-free code  $\mathcal{C} = \mathcal{C}_n \cup \mathcal{C}_{n+1} \cup \mathcal{C}_{n+2}$  as follows:  $\mathcal{C}_{n+1} = \{0, 1\}^{n+1} \setminus \delta_{n+1}(\mathcal{A})$ ,  
 $\mathcal{C}_{n+2} = \{xcy \in \{0, 1\}^{n+2}, x, y \in \{0, 1\} : c \in \mathcal{A}\}$ .  $\square$

We note, that the exact value of the independence number  $f(n)$  of  $\mathcal{B}^n$  in general is not known.

Clearly for any  $x^n, y^n \in \mathcal{A} \in \mathcal{I}(\mathcal{B}^n)$ ,  $x^n \neq y^n$ :

$$\begin{aligned} \text{bin}^{-1}(x^n) &\neq 2\text{bin}^{-1}(y^n), \text{bin}^{-1}(x^n) \neq 2\text{bin}^{-1}(y^n) + 1, \\ \text{bin}^{-1}(x^n) &\neq \text{bin}^{-1}(y^n) + 2^{n-1}\text{bin}^{-1}(y^n) \neq 2\text{bin}^{-1}(x^n), \\ \text{bin}^{-1}(y^n) &\neq 2\text{bin}^{-1}(x^n) + 1, \text{bin}^{-1}(y^n) \neq \text{bin}^{-1}(x^n) + 2^{n-1} \end{aligned}$$

Hence, the determination of  $f(n)$  is a special case of the following number-theoretical problem:

For given  $m \in \mathbb{N}$ , find a set  $\mathcal{S} = \{1 \leq a_1 < \dots < a_s < m\}$  of maximal cardinality, for which  $\{a_i, 2a_i, 2a_i + 1, a_i + m\} \cap \{a_j, 2a_j, 2a_j + 1, a_j + m\} = \emptyset$  holds for all  $1 \leq i < j \leq |\mathcal{S}|$ .

In the case  $m = 2^n$  we have exactly the problem of finding a maximal independent set with cardinality  $f(n)$  in the deBruijn network. Hence we solve this problem (for  $m = 2^n$ ) asymptotically.

### Theorem 3

$$\lim_{n \rightarrow \infty} \frac{f(n)}{2^n} = \frac{1}{2}.$$

**Proof :** Let  $\mathcal{A} \in \mathcal{I}(\mathcal{B}^n)$  with  $|\mathcal{A}| = f(n)$ . Clearly  $f(n) < 2^{n-1}$ , because for an  $x^n \in \mathcal{A}$ :

$$1 \leq \text{bin}^{-1}(x^n) < 2\text{bin}^{-1}(x^n) < 2\text{bin}^{-1}(x^n) + 1 < \text{bin}^{-1}(x^n) + 2^n \leq 2^{n+1} - 1$$

and these integers are different for different elements of  $\mathcal{A}$ . It is easy to see, that always  $f(n+1) \geq 2f(n)$ , and hence the  $\lim_{n \rightarrow \infty} \frac{f(n)}{2^n}$  exists. To

finish the proof, we have to construct a sequence of sets  $\mathcal{A}_n \in \mathcal{I}(\mathcal{B}^n)$  with  $\lim_{n \rightarrow \infty} \frac{|\mathcal{A}_n|}{2^n} = \frac{1}{2}$ . For this it suffices to construct only for even values of  $n$ .

Let

$$\mathcal{S}_0^n = \left\{ x^n \in \{0, 1\}^n : \sum_{i=1}^{\frac{n}{2}} x_{2i} > \sum_{i=1}^{\frac{n}{2}} x_{2i-1} \right\}$$

and

$$\mathcal{S}_1^n = \left\{ x^n \in \{0, 1\}^n : \sum_{i=1}^{\frac{n}{2}} x_{2i} < \sum_{i=1}^{\frac{n}{2}} x_{2i-1} \right\}.$$

Clearly  $|\mathcal{S}_0^n| = |\mathcal{S}_1^n|$ ,

$$|\{0,1\}^n \setminus (\mathcal{S}_0^n \cup \mathcal{S}_1^n)| = \sum_{i=0}^{\frac{n}{2}} \binom{\frac{n}{2}}{i}^2 = \binom{n}{\frac{n}{2}}.$$

Hence  $|\mathcal{S}_0^n| = \frac{2^n - \binom{n}{\frac{n}{2}}}{2}$ , and  $\lim_{n \rightarrow \infty} \frac{|\mathcal{S}_0^n|}{2^n} = \frac{1}{2}$ .

It is easily seen that  $\mathcal{S}_0^n \in \mathcal{I}(\mathcal{B}^n)$  and we set  $\mathcal{A}_n = \mathcal{S}_0^n$ .  $\square$

## 4 Computer Results

1.) For  $2 \leq n \leq 6$  we have calculated the independent number ( $f(n)$ ) of the binary deBruijn network of order  $n$  via a computer program. A maximal independent set  $\mathcal{S} = \{1 \leq a_1 < \dots < a_s < 2^n\}$  is greedy constructable as follows:

If  $n$  is odd we take  $a_1 = 1$  and  $a_2 = 2$  otherwise. Now if  $a_i$  is chosen in a step we take in the next one  $a_{i+1}$  as the smallest possible number greater than  $a_i$ .

From this constructions we obtain that

$$f(n) = \frac{4}{9}2^n - \frac{4}{9} - \frac{n}{6} \text{ and } f(n) = 2f(n-1) + \frac{n}{2}, \text{ if } n \text{ is even and}$$

$$f(n) = \frac{4}{9}2^n - \frac{5}{9} - \frac{n}{3} \text{ and } f(n) = 2f(n-1), \text{ if } n \text{ is odd.}$$

For even  $n$  the set  $|\mathcal{S}| < |\mathcal{S}_0^n|$  (see Theorem 3) for  $n = 8$  and for all  $n \geq 52$ .

2.) In [4] one finds an example of a complete fix – free code with the codeword lengths

$$2, 3, 3, 3, 3, 4, 4, 4, 4,$$

We know from (i) of Proposition 1 that it is not possible to choose 00 or 11 as codeword of length 2 for this code.

This result suggests the question: “Suppose there is a fix – free code with codeword lengths  $\ell_1 \leq \dots \leq \ell_t$ ,  $\ell_1 > 1$ . Is it possible to construct a fix–free code with these length, where the codewords of smallest length are not the all–zero vector and the all–one vector ?”

The following fix – free code  $\{11, 000, 100, 010, 001, 10110\}$  with lengths 2, 3, 3, 3, 3, 5 shows that the answer is negative. Indeed, assume that the codeword of length 2 is 01. There are exactly 4 codewords of length 3 which are prefix – and suffix free with 01: 000, 100, 110, 111.

Suppose there is a codeword  $abcde$  of length 5. Let us show that it is impossible.

Necessary  $d = 1$ , because in case  $d = 0$ , we have  $e = 0$ , for otherwise, the codeword 01 would be suffix. However, 00 is excluded, because otherwise 000 or 100 would be suffix.  
 $c = 0$ , because for  $c = 1$  we get 110 or 111 as suffix.  
 $b = 1$ , because for  $b = 0$  we get 000 or 100 as prefix.  
 Finally  $a \neq 0$ , because for  $a = 0$  we get 01 as prefix.  
 and  $a \neq 1$ , because for  $a = 1$  we get 110 as prefix.  $\square$

This is a contradiction.

3.) We present an example of a complete binary fix – free code for each possible length–distribution  $\mathcal{L}$  with  $|\mathcal{L}| \leq 29$ :

0 1  
 2 : 2 x 1

01 00 10 11  
 4 : 4 x 2

000 001 010 011 100 101 110 111  
 8 : 8 x 3

01 000 100 110 111  
 0010 1010 0011 1011  
 9 : 1 x 2 + 4 x 3 + 4 x 4

0000 1000 0100 1100 0010 1010 0110 1110  
 0001 1001 0101 1101 0011 1011 0111 1111  
 16 : 16 x 4

001 0000 1000 0100 1100 1010 0110 1110  
 0101 1101 1011 0111 1111 00010 10010 00011  
 10011  
 17 : 1 x 3 + 12 x 4 + 4 x 5

001 110 0000 1000 0100 1010 0101 1011  
 0111 1111 01100 11100 00010 10010 01101 11101  
 00011 10011  
 18 : 2 x 3 + 8 x 4 + 8 x 5

001 100 0000 1010 0110 1110 0101 1101  
 1011 0111 1111 01000 11000 00010 00011 010010  
 110010 010011 110011  
 19 : 2 x 3 + 9 x 4 + 4 x 5 + 4 x 6

001 100 101 0000 0110 1110 0111 1111  
 01000 11000 00010 01010 11010 00011 01011 11011  
 010010 110010 010011 110011  
 20 : 3 x 3 + 5 x 4 + 8 x 5 + 4 x 6

001 010 011 0000 1000 1100 1110 1101  
 1111 10100 10110 10101 10111 000100 100100 000110  
 100110 000101 100101 000111 100111  
 21 : 3 x 3 + 6 x 4 + 4 x 5 + 8 x 6

01 0000 1000 1100 1110 1111 00100 10100  
 00010 10010 11010 00110 10110 00011 10011 11011  
 00111 10111 001010 101010 001011 101011  
 22 :  $1 \times 2 + 5 \times 4 + 12 \times 5 + 4 \times 6$

001 100 110 0000 1010 0101 1011 0111  
 1111 01000 00010 01101 11101 00011 011000 111000  
 010010 010011 0110010 1110010 0110011 1110011  
 22 :  $3 \times 3 + 6 \times 4 + 5 \times 5 + 4 \times 6 + 4 \times 7$

01 0000 1000 1100 1110 0011 1111 00100  
 10100 00010 10010 11010 10110 11011 10111 001010  
 101010 000110 100110 001011 101011 000111 100111  
 23 :  $1 \times 2 + 6 \times 4 + 8 \times 5 + 8 \times 6$

01 0000 1000 1100 0010 1110 1111 10100  
 11010 00110 10110 00011 10011 11011 00111 10111  
 000100 100100 101010 101011 0001010 1001010 0001011 1001011  
 24 :  $1 \times 2 + 6 \times 4 + 9 \times 5 + 4 \times 6 + 4 \times 7$

001 100 110 101 0000 0111 1111 01000  
 00010 01010 00011 01011 011000 111000 010010 011010  
 111010 010011 011011 111011 0110010 1110010 0110011 1110011  
 24 :  $4 \times 3 + 3 \times 4 + 5 \times 5 + 8 \times 6 + 4 \times 7$

01 0000 1000 1100 0010 1110 0011 1111  
 10100 11010 10110 11011 10111 000100 100100 101010  
 000110 100110 101011 000111 100111 0001010 1001010 0001011  
 1001011  
 25 :  $1 \times 2 + 7 \times 4 + 5 \times 5 + 8 \times 6 + 4 \times 7$

01 100 0000 1110 1111 11000 00010 11010  
 00110 10110 00011 11011 00111 10111 001000 101000  
 110010 001010 101010 110011 001011 101011 0010010 1010010  
 0010011 1010011  
 26 :  $1 \times 2 + 1 \times 3 + 3 \times 4 + 9 \times 5 + 8 \times 6 + 4 \times 7$

10 0000 0100 0001 1101 0011 0111 1111  
 11000 01100 11100 11001 00101 01011 001000 001001  
 010101 011011 111011 0101000 0101001 0110101 1110101 01101000  
 11101000 01101001 11101001  
 27 :  $1 \times 2 + 7 \times 4 + 6 \times 5 + 5 \times 6 + 4 \times 7 + 4 \times 8$

10 001 0000 1101 0111 1111 01000 11000  
 01100 11100 00011 01011 000100 010100 000101 010101  
 010011 110011 011011 111011 0100100 1100100 0110100 1110100  
 0100101 1100101 0110101 1110101  
 28 :  $1 \times 2 + 1 \times 3 + 4 \times 4 + 6 \times 5 + 8 \times 6 + 8 \times 7$

10	0000	0100	1100	0001	1101	0011	0111
1111	00101	01011	001000	011000	111000	001001	011001
111001	010101	011011	111011	0101000	0101001	0110101	1110101
01101000	11101000	01101001	11101001				
$28 : 1 \times 2 + 8 \times 4 + 2 \times 5 + 9 \times 6 + 4 \times 7 + 4 \times 8$							
10	001	0000	1100	0111	1111	01000	01101
11101	00011	01011	11011	011000	111000	000100	010100
110100	000101	010101	110101	010011	0100100	0100101	0110011
1110011	01100100	11100100	01100101	11100101			
$29 : 1 \times 2 + 1 \times 3 + 4 \times 4 + 6 \times 5 + 9 \times 6 + 4 \times 7 + 4 \times 8$							

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