

A GENTLE INTRODUCTION TO FUKAYA CATEGORIES

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1.2. Homological Mirror Symmetry

1. Introduction

1.1. Symplectic and contact geometry

DEF

A symp. mfd (M, ω) is a smooth mfd with a 2-form $\omega \in \Omega^2(M)$ s.t.

$$(i) d\omega = 0 \quad (\text{closedness})$$

$$(ii) \omega \text{ is non-deg at every pt (i.e. } \text{cl}(\omega_p) : T_p M \xrightarrow{\sim} T_p^* M).$$

A symplectomorphism $f : (M_1, \omega_1) \rightarrow (M_2, \omega_2)$ is a smooth map s.t. $f^* \omega_2 = \omega_1$.

Important features

(a) (ii) $\Rightarrow \dim M$ is even

(b) If $\dim(M) = 2$, a symp form is an area form, and a symplectom. is an area preserving map.

(c) If $(T^* U, x_1, \dots, x_n, \xi_1, \dots, \xi_n)$ is a coord. chart for $T^* M$, $\lambda := \sum_{i=1}^n \xi_i dx_i$ (Liouville 1-form), then

$\omega = d\lambda$ is a canonical symp. form. Hamilt. vector field

(d) By (ii), if $H \in \mathcal{C}^\infty(M)$, $\exists! X_H \in \mathfrak{X}(M)$ s.t. $i_{X_H} \omega = dH$. The Hamiltonian flow ψ^H_t along X_H is the unique flow

(e) A Lagrangian submfld $L \subseteq M$ is a submfld of dim n s.t. $\omega|_L = 0$.

Idea: In odd dim, its sibling is contact geom.

DEF

(i) A contact str. on M is a smooth field of tangent hyperplanes $H \subseteq TM$ s.t. for any 1-form α , $d\alpha|_H$ is symp.

(ii) The Reeb vector field R of α is given by $i_R \alpha = 1$.

Rmk: The distinguished submflds in contact geom. are Legendrian submflds (it is everywhere tangent to H).

Kontsevich'94: mathematical formulation of mirror symmetry; X and X^\vee are mirror Cy-mfds if and only if $(*) \quad \underline{\mathcal{D}^b \mathcal{F}(X)} \simeq \underline{\mathcal{D}^b(\mathrm{Coh}(X^\vee))}$ (triangulated categories)

A - model B - model
(symp. geom.) (alg. geom.)

Idea: The Fukaya category $\mathcal{F}(X)$ is a global invariant of the symp. mfd, being an A_∞ -cat., whose objects are compact Lagrangians and morphisms are given by Floer complexes.

Q (Kontsevich) Can we extend $(*)$ to more gen. mfd?

A: Yes, but you have to reformulate it:
(matrix factoriz., D_{sg} , LG-models, wrapped Fukaya...)

Levili - Polishchuk (based on Haiden-Katzarkov-Kontsevich)

$M = \sum_{g,n} \Sigma_{g,n} \times_0$ compact symp. surface with m boundary components

Burhan Droydi's nodal stability curve

A-model

compact Fukaya $\mathcal{F}(\Sigma_{g,n})$

partially wrapped Fukaya

wrapped Fukaya $\mathcal{W}(\Sigma_{g,n})$



B-model

Perf (\mathbb{A}^1)

full faithful

faithful

$\mathcal{W}(\Sigma_{g,n}, \Delta, \tau) \simeq \mathcal{D}^b(\mathbf{A-mod}) \simeq \mathcal{D}^b(\mathbf{dg})$

HKK [LP] 1 graded Burhan Droydi

gentle alg Auslander order

Auslander order

$\mathcal{D}^b \mathrm{Coh}(G)$

Driving idea: $\mathcal{W}(\Sigma_{g,n})$ is given by the "endom. alg" of $\mathcal{W}(\Sigma_{g,n}, \Delta, \tau)$ which is simpler than the endom. alg of $\mathcal{F}(\Sigma_{g,n})$

Why this is interesting in repr th? We can use symp. machinery to prove derived equiv of gr. gentle alg. (converse?)

2. (Compact) Fukaya categories

Set up:

"IK = field"

(M, ω) compact sympl. mfd with $\{\omega = d\lambda\}$ "exact"

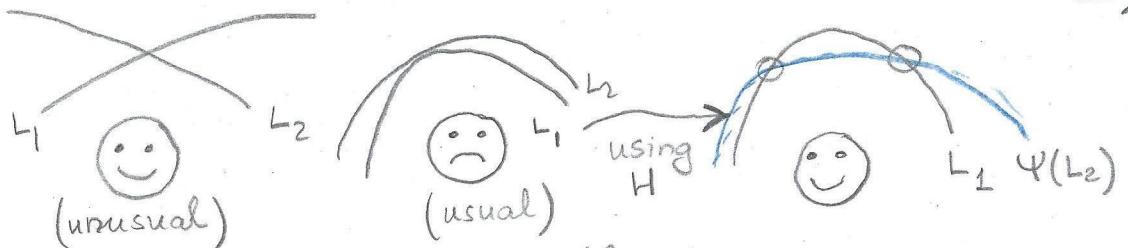
$H \in C^\infty(M \times [0,1], \mathbb{R})$ (it determines a family of Ham v.f.)
 γ compatible almost-complex str. $\left(\begin{array}{l} \text{integrating these vector fields over} \\ t \in [0,1] \text{ yields the Hamiltonian diffeom. } \Psi_{\text{gen}}^t \text{ gen. by } H \end{array} \right)$

Idea: Given L_1, L_2 Lagrangians, Floer cohomology categorifies the classical homological intersection.

number of L and L' in the sense that

$$\chi(HF^*(L, L')) = (-1)^{\frac{m(n+1)}{2}} [L] \cdot [L']$$

Problem: Lagrangian submfds usually intersect "more" than classical topology suggests



DEF (Compact Fukaya categ.) $x|_L = df$

Objects: compact, exact, graded Lagrangian submfds "Floer complexes"

$\mathcal{F}(M) := \left\{ \begin{array}{l} \text{Morphisms: } \text{Hom}_{\mathcal{F}}(L_1, L_2) = \text{CF}(L_1, L_2) = \bigoplus_{p \in L_1 \cap L_2} \mathbb{K} \cdot p \end{array} \right.$

Rmk's

- (i) Since, by hypothesis, $2c_1(M) = 0$, Floer complexes are \mathbb{Z} -graded
- (ii) The points $p \in L_1 \cap L_2$ correspond bijectively to the (finite) set of maps $y: [0,1] \rightarrow M$ with $y(0) \in L_0$, $L_1 \leq y(s)$, and $\frac{dy}{dt} = X(t, y(t))$.

THM (Extremely hard)
 $\mathcal{F}(M)$ is a IK-linear pre-triangulated A_∞ -category (i.e. given a set of transverse Lagrangians $\{L_i\}_{i=0}^d$ there exist higher composition maps

$$\mu^d: CF(L_{d-1}, L_d) \otimes \dots \otimes CF(L_0, L_1) \rightarrow CF(L_0, L_d)$$

of degree $2-d$ satisfying:

$$\sum_{i \in \mathbb{Z}} (-1)^{\sum_{j=1}^{d-i} + \sum_{j=1}^{d-i}} \mu^{d-i}(p_{d-i}, p_{i+e+1}, \mu^e(p_{i+e-1}, p_{i+1}), p_i, \dots, p_1) = 0.$$

inhom.
Cauchy
- Riemann eq.

Q: How can we compute μ^d ?

$$M(p_{i+e+1}; \mathbb{I}) = \left[\begin{array}{c} z_1 \\ z_2 \\ \vdots \\ z_n \end{array} \right] \rightarrow \left[\begin{array}{c} L_0 \\ L_1 \\ \vdots \\ L_d \end{array} \right] \quad \left| \begin{array}{l} \partial u = 0 \\ \text{boundary cond's} \\ + \text{Energy finiteness} \end{array} \right. \quad \text{Aut}(\mathbb{D}) \quad \text{PSL}_2(\mathbb{R})$$

$$\Rightarrow \mu^e(p_{i+e-1}, p_{i+1}) = \sum_{q \in L_0 \cap L_1} (\# M(p_{i+e-1}, q; \mathbb{I})) \cdot q.$$

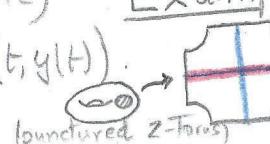
Idea (Seidel): An A_∞ -category \mathcal{A} with finitely many objects x_1, \dots, x_n is equivalent to an A_∞ -algebra A over the semisimple ring $R = \mathbb{K}e_1 \oplus \dots \oplus \mathbb{K}e_n$, called the endom. algebra

As a graded vector space this algebra is $A := \bigoplus_{i,j} \text{Hom}_\mathcal{A}(x_i, x_j)$ w/ the action $e_i \cdot A \cdot e_j = \text{Hom}(x_i, x_j)$

Problem: Even in the simplest case, the endom. algebras (associated to $\mathcal{F}(M)$) are complicated

Example (Lekili-Perton '14, using Python 3.0)

It's endomorphism algebra A is not formal (i.e. not quasi-isomorphic to the IK-algebra $H^*(A)$).



3. Partially wrapped Fukaya categ. and gentle algebras

$\Sigma := (\Sigma, \omega)$ = connected compact symplectic graded surface with:



- $\partial\Sigma \neq \emptyset$
- $\omega = d\lambda$ and $\lambda|_{\partial\Sigma}$ is a contact form
⇒ we have the Reeb vector field on $\partial\Sigma$.
- The orientation of $\partial\Sigma$ is induced from ω .
- A line field η is a section of the projectivized tangent bundle $P(T\Sigma)$ ⇒ We can define winding numbers.
⇒ We obtain a \mathbb{Z} -grading structure on Σ .
(in part. immersed curves are \mathbb{Z} -grad)

Features of partially wrapped Fukaya categ.

(i) The objects are compact or non-compact exact graded Lagrangians (arcs), with $\partial(L_i) \subseteq \partial\Sigma$;

(ii) $\text{Hom}_w(L_i, L_j)$ is generated by intersection points and Reeb flowlines from ∂L_j to ∂L_i (note the reversal of indices);

(iii) $\Delta \subseteq \partial\Sigma$ is a finite collection of marked points (stops). The data of Δ enters by disallowing flows that pass through a stop

(iv) (Auroux): a set of pairwise disjoint and non-isotopic

Lagrangians $\{L_i\}$ in $\Sigma \setminus \Delta$ generates the partially

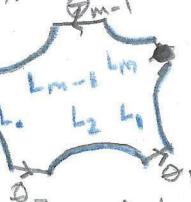
wrapped Fukaya category $\mathcal{W}(\Sigma, \Delta; \mathbb{Z})$ as a triang.

categ. if the complement of the Lagrangians

$\Sigma \setminus \{\bigcup_i L_i\} = \bigcup_f D_f$ is a union of disks D_f each

of which has at most one stop on its boundary.

Example of D_f :



— = boundary parts on $\partial\Sigma$
— = Lagrangians

(v) The line field η is used to \mathbb{Z} -grade the morphism spaces;
(A line field on D_f is determined by $\oplus_i \Theta_i$ given by its winding numbers along the bdry. parts on $\partial\Sigma$). Θ_i satisfy $\sum_i \Theta_i = m-2$ (i.e. η extends to $\text{Int}(D_f)$)

(vi) (Auroux): If each D_f has exactly one stop in its boundary, the assoc. \mathbb{K} -algebra

$$A_{L_\bullet} := \bigoplus_{i,j} \text{Hom}_w(L_i, L_j)$$

(whose alg. str. is given by concatenation of flowlines) is formal. Furthermore, the higher products in A_{L_\bullet} vanish (by picking a particularly nice perturbation scheme, he showed that $(\#)$ holomorphic m -gons for $m \geq 3$).

(vii) A_{L_\bullet} can be described by a graded gentle algebra

(viii) A_{L_\bullet} is always homologically smooth (Since so is $\mathcal{W}(\Sigma, \Delta; \mathbb{Z})$). It's proper (i.e. f.d.) if and only if there is at least one stop on every bdry component

Rmk 1: Levili-Polishchuk do not impose the condition of f.d. in their def. of gentle algebras. So, they consider locally gentle alg. They assume that they are homol. smooth.

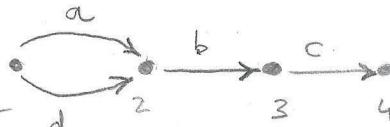
Rmk 2: Using (see [HKK], p275) the useful observation that $L_1 \xrightarrow{a_1} L_2 \xrightarrow{a_2} \dots \xrightarrow{a_{n-1}} L_{n-1} \xrightarrow{[a_1 + \dots + a_{n-2}]} L_n$ is isomorphic to $L_n \xrightarrow{[a_1]}$, it can be proved that the (fully) wrapped Fukaya cat. $\mathcal{W}(\Sigma, \mathbb{Z})$ is the localization of $\mathcal{W}(\Sigma, \Delta; \mathbb{Z})$ given by dividing out by the subcat. gen. by the objects T_1, T_2 supported near the stops. In this way, we overcome the stops and we always can compose flowlines.



EXAMPLE

Graded gentle algebra

A



$$A = kQ / I$$

$$F = \{a, bd, c, e_4\} \quad (\text{forbidden threats})$$

$$T = \{cba, d, e_3, e_4\} \quad (\text{permitted threats})$$

$$\Sigma_A \setminus \{L_v\} = \bigcup D_f = \text{[Diagram showing regions colored green, yellow, blue, red]} + \text{forbidden}$$

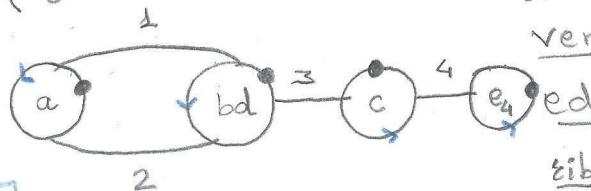
Auroux

$$\Rightarrow \{L_v\} \text{ gives a generating set of } W(\Sigma_A, \Delta_A; \mathbb{R}_A)$$

(Marked) Ribbon graph

R_A

(cyclic order at vertices are given by counter clockwise rotation)



vertices: bij w/ forbidden threats

edges: bij w/ vertices of Q

ribbon str: in which these vertices appear in the forbidden threat
(recall (3) precisely two forbidden threats that pass through each $v \in Q_0$)

(g=0)

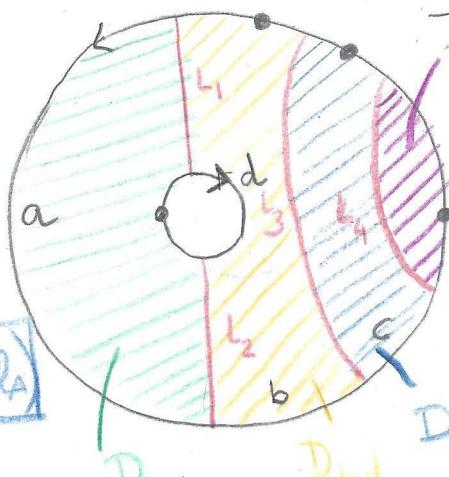
R_A is embedded as a df retract of Σ_A

vertices of $R_A \rightsquigarrow 2\text{-disk } D$

edges of $R_A \rightsquigarrow$ strip (i.e. a thin oriented rectangle $[-\varepsilon, \varepsilon] \times [0, 1]$)

(Marked) thickened surface

Σ_A



Objects: dual to the edges of R_A we obtain a disjoint collection of noncompact arcs $L_v, v \in Q_0$

Morphisms: arrows of Q

$$\# \Delta_A = \# F \quad \text{Keep track of the gradings}$$

bounded derived categ. of perfect (left) dg-modules

Remark

As $g=0$, the line field is determined by the winding numbers along the boundary components (of type I). The combinatorial boundary comp. are given by $\{P_3 f_3 P_2 f_2 P_1 f_1, P_4 f_4\}$ con $\{P_4 = d\} \Rightarrow |P_4 f_4| = |a| - |d|$

$$\text{con } \{P_4 = a\} \Rightarrow |P_4 f_4| = |d| - |a| \quad \Rightarrow |P_3 f_3 P_2 f_2 P_1 f_1| = -|P_4 f_4| = |d| - |a|$$

Lessons from the example:

(i) we have

$$\Sigma_A \setminus \{L_v\} = \bigcup D_f = \text{[Diagram showing regions colored green, yellow, blue, red]}$$

Auroux

$$\Rightarrow \{L_v\} \text{ gives a generating set of } W(\Sigma_A, \Delta_A; \mathbb{R}_A)$$

(ii) By construction, (3) a bijection b/w

$$\mathcal{L} \in Q_1 \text{ and the generators of } A_L := \bigoplus \text{Hom}(L_v, L_v)$$

(since each edge $\alpha \in Q_1$ is in exactly w one forbidden threat f , and the corresponding D_f has a flow associated to α).

(iii) Two flows $\alpha_1: L_{v_2} \rightarrow L_{v_1}$ and $\alpha_2: L_{v_3} \rightarrow L_{v_2}$ can be composed in $A_L \iff \forall f \in F, i=1,2$ s.t. the disks D_f and D_{f_2} are glued along the edge corresp. to v_2 .

\Rightarrow the corresp. elements of A satisfy $\alpha_2 \alpha_1 \notin I$ (otherwise A will not be a gentle algebra)

Ex: $bde \in I$

Now, $a: L_1 \rightarrow L_2$ and $b: L_2 \rightarrow L_3$ are composable. If we declare $bae \in I$,

$\Rightarrow A$ is not a gentle algebra (recall that for each arrow α , there is at most one arrow β s.t. $\alpha \beta \in I$).

$\Rightarrow A \cong A_L^{\text{op}}$ as ungraded algebras.

Since $\{L_v\}$ generates $W(\Sigma_A, \Delta_A; \mathbb{R}_A)$,

THM (Leclerc-Polishchuk)

$$D(A) \cong D(A_L^{\text{op}}) \cong W(\Sigma_A, \Delta_A; \mathbb{R}_A)$$