

# Group Scheme Actions on Rings and the Čech Complex

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## Context

Notation:  $k$  a field, of finite characteristic  $p$  to be interesting.  $G$  a finite group scheme.  $S = k[x_1, \dots, x_n]$  a polynomial ring, graded with the  $x_i$  in degree 1.  $G$  acts on  $S$  preserving grading.

Our aim is to prove:

**Theorem**  $\text{hreg}(S^G) \leq -n$  for all groups  $G$  and certain types of group schemes.

and see how this gives us bounds on the degrees of the generators and relations of  $G$ .

The proof will also show that  $S$ , considered as a  $kG$ -module, only contains finitely many non-isomorphic indecomposable summands.

We say that  $S$  is of finite representation type.

## Cech Complex

$R$  is a commutative noetherian  $\mathbb{Z}$ -graded  $k$ -algebra in non-negative degrees, finite dimensional over  $k$  in each degree.

$x_1, \dots, x_r \in R$ ,  $M$  an  $R$ -module.

The (augmented) Cech complex  $C_{(\underline{x})}(M)$  is the cochain complex

$$M \rightarrow \bigoplus_i M_{x_i} \rightarrow \bigoplus_{i < j} M_{x_i x_j} \rightarrow \cdots \rightarrow M_{x_1 \cdots x_r},$$

where  $M_x$  denotes the localization obtained by inverting  $x$ . It can be obtained as follows.  $C(x_i; R)$  is the complex

$$R \rightarrow R_{x_i},$$

with  $R$  in degree 0 and  $R_{x_i}$  in degree 1 and

$$C(\mathbf{x}; M) = \left( \bigotimes_{i=1}^r C(x_i; R) \right) \otimes_R M.$$

If  $M$  is an  $RG$ -module then  $C_{(\underline{x})}(M)$  is a complex of  $RG$ -modules.

## Local Cohomology

Local cohomology  $H_{(\underline{x})}^i(M)$  is the homology of  $C_{(\underline{x})}(M)$ .

- ▶  $H_{(\underline{x})}^i(M)$  only depends on  $\text{rad}(\underline{x})$ . We will always want  $\text{rad}(\underline{x}) = \mathfrak{m} = \text{rad } R_{>0}$ .
- ▶ If  $M$  is Noetherian then  $H_{\mathfrak{m}}^i(M)$  is 0 in sufficiently high degrees.

**Definition**  $\text{hreg}(M)$  is the largest degree for which  $H_{\mathfrak{m}}^*(M) \neq 0$ .

We want to show that  $\text{hreg}(S^G) \leq -n$ . (This is slightly stronger than  $\text{reg}(S^G) \leq 0$ .)

## Castelnuovo-Mumford Regularity

Let  $R = k[d_1, \dots, d_n]$  be a polynomial ring with  $\deg(d_i) > 0$ . For any finite  $R$ -module  $M$ , take the minimal projective resolution

$$0 \rightarrow P_n \rightarrow \dots \rightarrow P_1 \rightarrow P_0 \rightarrow M \rightarrow 0.$$

$$P_i \cong \bigoplus R(-a_{i,j}),$$

where  $R(-a)$  denotes  $R$  shifted up in degree by  $a$ .

$$\text{Set } \rho_i(M) = \max_j \{a_{i,j}\},$$

$$\text{and } \text{hPreg}(M) = \max\{\rho_i(M)\} - \sum_i \deg(d_i).$$

Notice that  $\rho_0(M)$  is a bound on the degrees of the generators of  $M$  as an  $R$ -module and  $\rho_1(M)$  is a bound on the degrees of the relations. If  $M$  is a  $k$ -algebra then  $\max\{\rho_0(M), \deg(d_i)\}$  is a bound on the degrees of the generators as a  $k$ -algebra and  $\max\{2\rho_0(M), \rho_1(M), \deg(d_i)\}$  is a bound for the relations.

In terms of  $\text{hPreg}$ , the two bounds for a  $k$ -algebra are  $\max\{\text{hPreg}(M) + \sum_i \deg(d_i), \deg(d_i)\}$  for the generators and  $\max\{2(\text{hPreg}(M) + \sum_i \deg(d_i)), \deg(d_i)\}$  for the relations.

## Local Duality

**Local Duality** Over a polynomial ring  $R$  we have

$$\mathrm{Hom}_k(H_m^i(M), k) \cong \mathrm{Ext}_R^{n-i}(M, R(\sum \deg d_i)).$$

Using this we can show:

**Corollary**  $\mathrm{hPreg}(M) = \mathrm{hreg}(M)$ .

Returning to  $S^G$ , the aim of this talk is to show that:

**Theorem**  $\mathrm{hreg}(S^G) \leq -n$ .

Now take a Noether normalization  $R = k[d_1, \dots, d_n]$  of  $S^G$ , i.e. a finite map  $R \rightarrow S^G$ .

Since  $\mathrm{hPreg}(S^G) \leq -n$ , we can deduce that  $S^G$  is generated in degrees at most

$$\max\{\sum_i \deg(d_i) - n, \deg(d_i)\}$$

and the relations are in degrees at most twice this.

By Dade's Lemma we can take  $d_i = \mathcal{N}_G X_i$  for the  $X_i$  in general position. This leads to the bound

$$n(|G| - 1)$$

for the degrees of the generators (provided  $n, |G| \geq 2$ ), which was a conjecture of Kemper (for groups).

## Strategy

We want to show that  $C_{(\underline{x})}(S^G)_{>-n}$  is exact for  $S$  a polynomial ring and for some invariants  $\underline{x}$  such that  $\text{rad}(\underline{x}) = \mathfrak{m}$ .

Suppose we can show that  $C_{(\underline{x})}(S)_{>-n}$  is split exact over  $kG$ .

It is exact because  $\text{hreg } S = -n$ .

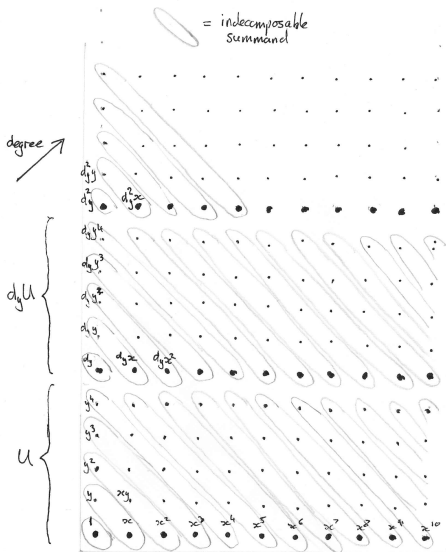
Then  $C_{(\underline{x})}(S)_{>-n}^G$  must also be exact. But  $C_{(\underline{x})}(S)_{>-n}^G = C_{(\underline{x})}(S^G)_{>-n}$ , so the latter is exact, as required.

**Example** A cyclic group of order  $p$  acts on  $S = k[x, y]$  by  $y \mapsto y + x$ ,  $x \mapsto x$ . Invariants  $k[x, d_y]$ ,  $d_y = y^p - x^{p-1}y$

Let  $T \subset S$  be the  $k$ -submodule spanned by the monomials with  $y$ -degree  $< p$ .

Then  $S = T \otimes_k k[d_y] = T \otimes_k U$ .

# Example: Cyclic Group and Two Variables



$$G = C_p \text{ cyclic order } p$$

$$S = k[x, y]$$

$$d_y = y^p - x^{p-1}y$$

$$S^G = k[x, d_y]$$

Action:  $y \mapsto y+x$   
 $x \mapsto x$

$$k\text{-basis: } \{x^i y^j d_y^k : 0 \leq j \leq p-1\}$$

$$U = \text{span of } \{x^i y^j : 0 \leq j \leq p-1\}$$

$U$  is a  $k[x]G$ -submodule of  $S$


$$S \cong k[d_y] \otimes U \text{ as a } k[x, d_y]G\text{-module}$$

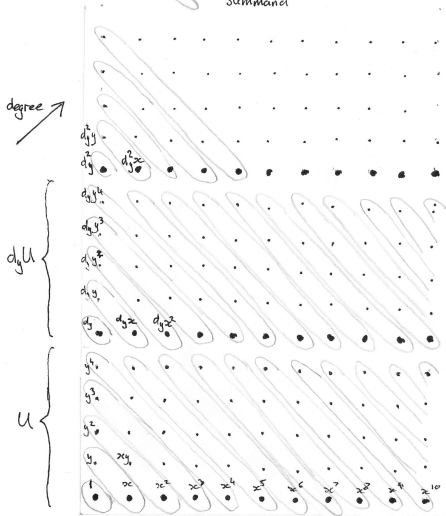
$$S^G = \text{soc}_{kG} S = k[x, d_y] =$$





# Example: $\alpha_p$ and Two Variables

 = indecomposable summand



$$G = \alpha_p$$

$$S = k[x, y]$$

$$d_y = y^p$$

$$S^G = k[x, d_y]$$

Action:  $x \frac{\partial}{\partial y} x$

$$k\text{-basis: } \{x^i y^j d_y^k : 0 \leq j \leq p-1\}$$

$$U = \text{span of } \{x^i y^j : 0 \leq j \leq p-1\}$$

$U$  is a  $k[x]G$ -submodule of  $S$

$$S \cong k[d_y] \otimes U \text{ as a } k[x, d_y]G\text{-module}$$

$$S^G = \text{soc } S = k[x, d_y] =$$



## Relative Projectivity

How can we show that a complex is split?

Let  $\mathcal{C}$  be a set of subgroup schemes of  $G$ .

**Definition** A  $kG$ -module  $M$  is *projective relative to  $\mathcal{C}$*  if the following equivalent conditions hold.

- a)  $M$  is a summand of some  $\bigoplus_{H \in \mathcal{C}} kG \otimes_{kH} V_H$ .
- b)  $M$  is a summand of  $\bigoplus_{H \in \mathcal{C}} kG \otimes_{kH} M$ .
- c) Any surjection  $L \twoheadrightarrow M$  that splits on restriction to any  $H \in \mathcal{C}$  is split over  $G$ .
- d)  $\text{Id}_M \in \sum \text{Im Tr}_H^G$ .

## Transfer

For  $G$  a group,  $H \leq G$ ,  $M$  a  $G$ -module,  $\text{Tr}_H^G: M^H \rightarrow M^G$  is defined by  $m \mapsto \sum_{g \in G/H} gm$ .

For group schemes this is a little trickier. Let  $\text{Ind}_H^G$  be the *left* adjoint to restriction and  $\text{Coind}_H^G$  the *right* adjoint. They are related by

$$\text{Ind}_H^G(M) \cong \text{Coind}_H^G(\mu_H M),$$

where  $\mu_H$  is a certain 1-dimensional representation of  $H$ .

There is the adjunction map  $\eta: k \rightarrow \text{Coind}_H^G k$ .

$$\begin{aligned} \text{Hom}_H(k, \mu_H M) &\cong \text{Hom}_H(\mu_H^{-1}, M) \cong \text{Hom}_G(\text{Ind}_H^G \mu_H^{-1}, M) \cong \text{Hom}_G(\text{Coind}_H^G k, M) \\ &\xrightarrow{\eta^*} \text{Hom}_G(k, M) \end{aligned}$$

This gives us  $\text{Tr}_H^G: (\mu_H M)^H \rightarrow M^G$

and  $\text{Tr}_H^G: \text{Hom}_H(M, \mu_H M) \rightarrow \text{Hom}_G(M, M)$ .

## Geometry

**Theorem** Let  $S$  be a commutative ring on which  $G$  acts. If  $\text{Spec}(S \otimes_k \bar{k})^G = \emptyset$  then  $S^G = \sum_{H < G, \rho \mid [G:H]} \text{Tr}_H^G(S^H)$ .

It follows that  $S$  is projective relative to proper subgroup schemes.

**Background** proved using a change of category.

- 1) If  $M$  is of finite decomposition type so is  $M_x$ .
- 2)  $C_{(\underline{x})}(M)$ , considered as a complex of  $kG$ -modules, is unique up to homotopy, depending only on  $\text{rad}(\underline{x})$  and  $M$ .

## Splitting

$$(\text{split}) \otimes (\text{anything}) = (\text{split})$$

If  $A$  is split in degrees  $> a$  and  $B$  is split in degrees  $> b$  then  $A \otimes B$  is split in degrees  $> a + b$ .

Suppose the action on  $A$  is trivial. If  $a$  and  $b$  are best possible so is  $a + b$ .

Back to the [example](#).

$$C_{x,d_y}(S) = C_x(T) \otimes C_{d_y}(U) = C_x(T) \otimes C_{d_y}(k[d_y])$$

$k[d_y] \rightarrow k[d_y, d_y^{-1}]$  is split in degrees  $> -p$ .

$T \rightarrow T_x$  is split in degrees  $> p - 2$ .

So  $C_{x,d_y}(S)$  is split in degrees  $> -2$ , as required.

## Main Theorem

**Theorem**  $G$  acts on  $S$  and  $M$  is a finitely generated  $SG$ -module. Suppose that  $\text{hreg}(M) \leq N$  and for each  $p$ -subgroup  $P$  of  $G$  there exist

$y_1, \dots, y_r, z_1, \dots, z_s \in S_{>0}^P$  such that:

- $y_i$  vanishes on  $\text{Spec}(S)^P$ ,
- $\text{rad}(\underline{y}, \underline{z}) = \mathfrak{m}_S$ ,
- there is a  $k[\underline{y}]P$ -module  $T$  and a  $k[\underline{z}]P$ -module  $U$  such that  $M_{>N} \cong (T \otimes_k U)_{>N}$  as  $k[\underline{yz}]P$ -modules,
- $P$  acts trivially on  $U$  or....

Then:

- for any  $\mathbf{x}$  in  $S_{>0}^G$  such that  $\text{rad}_S(\mathbf{x}) = \mathfrak{m}_S$ , the complex of  $kG$ -modules  $C_{\mathbf{x}}(M)_{>N}$  is split exact;
- $\text{hreg}(M^G) \leq N$ ;
- $M$  is of finite decomposition type.

## Polynomial Rings

$S = k[V]$ . A  $p$ -group scheme  $P$  acts.

Suppose that we can choose a basis  $x_1^*, \dots, x_n^*$  for  $V$  such that the matrices for the action of  $P$  are lower-triangular and  $x_{r+1}^*, \dots, x_n^*$  span  $V^P$ .  $x_1, \dots, x_n \in S_1$  the dual basis.

Let  $d_{x_i} = \mathcal{N}_G x_i$ . Set

$$y_1, \dots, y_r = d_{x_1}, \dots, d_{x_r}$$

$$z_1, \dots, z_s = d_{x_{r+1}}, \dots, d_{x_n}$$

Let  $T \subset S$  be the subspace spanned by monomials with  $x_{r+i}$ -degree  $< \deg z_i$ . It is a  $kP$ -submodule.

$$U = k[z_1, \dots, z_n].$$

Then  $S \cong T \otimes U$  and the hypotheses of the theorem are satisfied with  $M = S$  (for this subgroup  $P$  and  $N = -n$ ).

We can always find such a basis if the  $p$ -group scheme (or, equivalently, its identity component) is trigonalizable, meaning that every simple module is 1-dimensional.

Thus the regularity result mentioned at the beginning holds for such group schemes.

## Proof

Reduce to  $p$ -groups  $P$  (transfer argument). Use induction on  $|P|$ .

$$\text{Spec}(S_{y_i}) = \text{Spec}(S) - L(y_i)$$

$$\begin{aligned}\text{Spec}(S_{y_i})^P = \emptyset &\Rightarrow S_{y_i} \text{ projective rel. proper subgroups} \\ &\Rightarrow (T_{y_i} \otimes U)_{>N} \text{ projective rel. proper subgroups} \\ &\Rightarrow T_{y_i} \text{ projective rel. proper subgroups} \\ &\Rightarrow T_{y_i} \text{ of finite decomposition type.}\end{aligned}$$

For  $Q < P$ ,  $C_{yz}(S)_{>N} \downarrow_Q^P$  is split, by induction.

$$C_{yz}(S) = C_y(T) \otimes C_z(U)$$

Let  $d$  be the top degree in which  $C_z(U)$  is not exact.

Then  $C_y(T)_{>N-d} \downarrow_Q^P$  is split.

We can now split  $C_y(T)_{>N-d}$  term by term starting from the right.

Thus  $(C_y(T) \otimes C_z(U))_{>N}$  is split.

Also  $S_{>N}$  is a summand of  $\bigoplus S_{x_i}$ , so of finite decomposition type, hence also  $S$ .



## Other Group Schemes

If we consider  $\mathfrak{sl}_2$  acting on  $k[x, y]$  in the canonical way, the theorem does not apply.

$$k[x, y]^{\mathfrak{sl}_2} = k[x^p, y^p], \text{ so } \text{hreg } k[x, y]^{\mathfrak{sl}_2} = -2p \leq -2,$$

so the regularity result does hold.

However,  $k[x, y]$  is not of finite representation type over  $\mathfrak{sl}_2$ .

This seems to be related to the failure of the Normal Basis Theorem. Recall that if a (genuine) group acts on a field  $K$  then, as  $K^G G$ -modules,  $K \cong K^G G$ .

For the action of  $\mathfrak{sl}_2$  on  $K = k(x, y)$  we find that  $K$  is not even projective over  $K^{\mathfrak{sl}_2} \otimes_k \mathfrak{sl}_2$  and  $|K : K^{\mathfrak{sl}_2}| = p^2 \neq |\mathfrak{sl}_2|$ .

## Examples

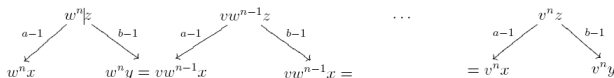
1  $G$  the Klein four group,  $\text{char}(k) = 2$ .  $S = k[v, w, x, y, z]/(vx + wy)$ , all generators in degree 1.

$v, w, x, y$  fixed,  $a(z) = z + x$ ,  $b(z) = z + v$ .

Set  $y_1 = v$ ,  $y_2 = w$ ,  $z_1 = w + y$  and  $z_2 = d_z$ . Let  $T$  be the free  $k[v, x]$ -submodule of  $S$  spanned by  $\{1, z, z^2, z^3, y, yz, yz^2, yz^3\}$  and  $U = k[z_1, z_2]$ . Then  $S \cong T \otimes_k U$ , verifying the hypotheses of the theorem for  $G$ .

Since the other subgroups are cyclic, so certainly of finite decomposition type, this shows that  $S$  is of finite decomposition type.

$v$ -degree +  $w$ -degree. Let  $A_n$  be the part with total degree  $n + 1$  and  $v$ -degree +  $w$ -degree =  $n$ .  $\dim A_n = 2n + 3$ .



This is known to be indecomposable (Conlon), so  $S$  is not of finite decomposition type.

$U_3(\mathbb{F}_3)$ 

degree	dimensions of indecomposable summands											
0	1											
1	3											
2	6											
3	10											
4	15											
5	21											
6	3'	9	16									
7	9	9'	18									
8	9	18	18'									
9	1	3'	9	18	24							
10	3	9	9'	18	27							
11	6	9	18	18'	27							
12	3'	9	10	18	24	27						
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19	3	9	9	9'	9'	18	18	27	27	27	27	27
20	6	9	9	18	18	18'	18'	27	27	27	27	27

$U_3(\mathbb{F}_3)$ 

degree

dimensions of indecomposable summands

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