




Hall type algebras associated to triangulated categories

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-  J. Xiao and F. Xu, *Hall algebras associated to triangulated categories*, Duke Math. J. **143** (2008), no. 2, 357–373.

- 1 Derived Hall algebras
- 2 The derived Riedtmann-Peng formula
- 3 Motivic Hall algebras

Outline

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- 2 The derived Riedtmann-Peng formula
- 3 Motivic Hall algebras

Definition of derived Hall algebras

- k : finite field, $q = |k|$
- \mathcal{C} : a k -additive finitary, Krull-Schmidt triangulated category with (left) homologically finite condition, i.e., $\forall X, Y \in \mathcal{C}$, $\{X, Y\} := |\prod_{i>0} |\mathrm{Hom}(X[i], Y)|^{(-1)^i}| < \infty$.

Example: derived categories; counter-example: the cluster category.

Set $\mathcal{H}(\mathcal{C}) = \bigoplus_{[X]; X \in \mathcal{C}} \mathbb{Q}u_{[X]}$ with the multiplication defined by

$$u_{[X]} * u_{[Y]} = \sum_{[L]} F_{XY}^L u_{[L]},$$

where

$$F_{XY}^L = \frac{|\mathrm{Hom}(L, Y)_{X[1]}|}{|\mathrm{Aut} Y|} \cdot \frac{\{L, Y\}}{\{Y, Y\}} = \frac{|\mathrm{Hom}(X, L)_Y|}{|\mathrm{Aut} X|} \cdot \frac{\{X, L\}}{\{X, X\}}.$$

The associativity of derived Hall algebras

Theorem (Toën, Xiao-Xu)

$\mathcal{H}(\mathcal{C})$ is an associative algebra with the unit $u_{[0]}$.

To prove $u_{[Z]} * (u_{[X]} * u_{[Y]}) = (u_{[Z]} * u_{[X]}) * u_{[Y]}$ is equivalent to prove

$$\sum_{[L]} F_{XY}^L F_{ZL}^M = \sum_{[L']} F_{ZX}^{L'} F_{L'Y}^M.$$

$$\text{LHS} = \frac{1}{|\text{Aut}X| \cdot \{X, X\}} \sum_{[L]} \sum_{[L']} \frac{|\text{Hom}(M \oplus X, L)_{L'[1]}^{Y, Z[1]}|}{|\text{Aut}L|} \cdot \frac{\{M \oplus X, L\}}{\{L, L\}}$$

$$\text{RHS} = \frac{1}{|\text{Aut}X| \cdot \{X, X\}} \sum_{[L']} \sum_{[L]} \frac{|\text{Hom}(L', M \oplus X)_L^{Y, Z[1]}|}{|\text{Aut}L'|} \cdot \frac{\{L', M \oplus X\}}{\{L', L'\}}$$

The relation between objects is encoded in the following diagram:

$$\begin{array}{ccccccc}
 Z & \xlongequal{\quad} & Z & & & & \\
 \downarrow l' & & \downarrow l & & & & \\
 L' & \xrightarrow{f'} & M & \xrightarrow{\cdots g'} & Y & \xrightarrow{\cdots h'} & L'[1] \\
 \downarrow m' & & \downarrow m & & \parallel & & \downarrow m'[1] \\
 X & \xrightarrow{f} & L & \xrightarrow{g} & Y & \xrightarrow{h} & X[1] \\
 \downarrow n' & & \downarrow n & & & & \\
 Z[1] & \xlongequal{\quad} & Z[1] & & & &
 \end{array} \tag{1}$$

\iff a distinguished triangle

$$L' \xrightarrow{\begin{pmatrix} f' & -m' \end{pmatrix}} M \oplus X \xrightarrow{\begin{pmatrix} m \\ f \end{pmatrix}} L \xrightarrow{\theta} L'[1] \tag{2}$$

The triangle induces two sets

$$\mathrm{Hom}(M \oplus X, L)_{L'[1]}^{Y, Z[1]} := \{(m, f) \in \mathrm{Hom}(M \oplus X, L) \mid$$

$$\mathrm{Cone}(f) \simeq Y, \mathrm{Cone}(m) \simeq Z[1] \text{ and } \mathrm{Cone}(m, f) \simeq L'[1]\}$$

and

$$\mathrm{Hom}(L', M \oplus X)_L^{Y, Z[1]} := \{(f', -m') \in \mathrm{Hom}(L', M \oplus X) \mid$$

$$\mathrm{Cone}(f') \simeq Y, \mathrm{Cone}(m') \simeq Z[1] \text{ and } \mathrm{Cone}(f', -m') \simeq L\}$$

The symmetry-I: The orbit spaces of $\mathrm{Hom}(M \oplus X, L)_{L'[1]}^{Y, Z[1]}$ and $\mathrm{Hom}(L', M \oplus X)_L^{Y, Z[1]}$ under the action of $\mathrm{Aut}L$ and $\mathrm{Aut}L'$ coincide.

More explicitly, the symmetry is the identity:

$$\begin{aligned} & \frac{|\mathrm{Hom}(M \oplus X, L)_{L'[1]}^{Y,Z[1]}|}{|\mathrm{Aut}L|} \frac{\{M \oplus X, L\}}{\{L', L\}\{L, L\}} \\ &= \frac{|\mathrm{Hom}(L', M \oplus X)_L^{Y,Z[1]}|}{|\mathrm{Aut}L'|} \frac{\{L', M \oplus X\}}{\{L', L\}\{L', L'\}}. \end{aligned}$$

$\implies \text{LHS}=\text{RHS}.$

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The derived Riedtmann-Peng formula

Assume \mathcal{C} is (left) homologically finite over a finite field k .

Theorem

For any X, Y and L in \mathcal{C} , we have

$$\frac{|\mathrm{Hom}(Y, X[1])_{L[1]}|}{|\mathrm{Aut}X|} \cdot \frac{\{Y, X[1]\}}{\{X, X\}} = \frac{|\mathrm{Hom}(L, Y)_{X[1]}|}{|\mathrm{Aut}L|} \cdot \frac{\{L, Y\}}{\{L, L\}}$$

and

$$\frac{|\mathrm{Hom}(Y[-1], X)_L|}{|\mathrm{Aut}Y|} \cdot \frac{\{Y[-1], X\}}{\{Y, Y\}} = \frac{|\mathrm{Hom}(X, L)_Y|}{|\mathrm{Aut}L|} \cdot \frac{\{X, L\}}{\{L, L\}}.$$

Example

Assume that $\mathcal{C} = \mathcal{D}^b(\mathcal{A})$ for a small Hom-finite abelian category \mathcal{A} and X, Y and $L \in \mathcal{A}$. Then one can obtain

$$\mathrm{Hom}(Y, X[1])_{L[1]} = \mathrm{Ext}^1(Y, X)_L, \quad \{Y, X[1]\} = |\mathrm{Hom}_{\mathcal{A}}(Y, X)|^{-1},$$

$$g_{XY}^L = \frac{|\mathrm{Hom}(L, Y)_{X[1]}|}{|\mathrm{Aut}Y|} = \{L' \subseteq L \in L' \cong X, L/L' \cong Y\}$$

and

$$\{X, X\} = \{L, L\} = \{L, Y\} = 0.$$

Under the assumption, the theorem is reduced to the Riedtmann-Peng formula

$$\frac{|\mathrm{Ext}^1(Y, X)_L|}{|\mathrm{Hom}_{\mathcal{A}}(Y, X)|} = g_{XY}^L \cdot |\mathrm{Aut}X| \cdot |\mathrm{Aut}Y| \cdot |\mathrm{Aut}L|^{-1}.$$

Two versions of derived Hall algebras

Version-I (Toën, Xiao-Xu)

Version-II (Kontsevich-Soibelman)

Set $\mathcal{H}_{\mathcal{M}}(\mathcal{C}) = \bigoplus_{[X]; X \in \mathcal{C}} \mathbb{Q}v_{[X]}$ with the multiplication defined by

$$\begin{aligned} v_{[X]} * v_{[Y]} &= \{Y, X[1]\} \cdot \sum_{[L]} |\mathrm{Hom}(Y, X[1])_{L[1]}| v_{[L]} \\ &= \{Y[-1], X\} \cdot \sum_{[L]} |\mathrm{Hom}(Y[-1], X)_L| v_{[L]} \end{aligned}$$

Fact: The derived Riedtmann-Peng formula gives the proof

Theorem

The map $\Phi : \mathcal{H}_{\mathcal{M}}(\mathcal{C}) \rightarrow \mathcal{H}(\mathcal{C})$ by $\Phi(v_{[X]}) = |\mathrm{Aut} X| \cdot \{X, X\} \cdot u_{[X]}$ for any $X \in \mathcal{C}$ is an algebraic isomorphism between $\mathcal{H}_{\mathcal{M}}(\mathcal{C})$ and $\mathcal{H}(\mathcal{C})$.

$$\begin{array}{ccccccc}
 Z & \xlongequal{\quad} & Z & & & & \\
 \vdots \downarrow l' & & \downarrow l & & & & \\
 L' & \xrightarrow{f'} & M & \cdots \xrightarrow{g'} & Y & \cdots \xrightarrow{h'} & L'[1] \\
 \downarrow m' & & \downarrow m & & \parallel & & \downarrow m'[1] \\
 X & \xrightarrow{f} & L & \xrightarrow{g} & Y & \xrightarrow{h} & X[1] \\
 \vdots \downarrow n' & & \downarrow n & & & & \\
 Z[1] & \xlongequal{\quad} & Z[1] & & & &
 \end{array} \tag{3}$$

\implies

$$L' \xrightarrow{\begin{pmatrix} f' & -m' \end{pmatrix}} M \oplus X \xrightarrow{\begin{pmatrix} m \\ f \end{pmatrix}} L \xrightarrow{\theta} L'[1]$$

The **symmetry-I** compares

$$L' \xrightarrow{\begin{pmatrix} f' & -m' \end{pmatrix}} M \oplus X \quad \text{and} \quad M \oplus X \xrightarrow{\begin{pmatrix} m \\ f \end{pmatrix}} L$$

The diagram induces a **new symmetry** comparing

$$L' \xrightarrow{f'} M \xrightarrow{m} L \quad \text{and} \quad L' \xrightarrow{m'} X \xrightarrow{f} L$$

The symmetry-II:

- Fix $\alpha \in \text{Ext}^1(Y, X)_L$, then \exists a map $f_* : \text{Ext}^1(L, Z)_M \rightarrow \text{Ext}^1(X, Z)_{L'}$ with the cardinality of fibre

$$|\text{Hom}(Y, Z[1])| \cdot \{X \oplus Y, Z[1]\} \cdot \{L, Z[1]\}^{-1};$$

- Fix $\alpha' \in \text{Hom}(X, Z[1])_{L'[1]}$, then \exists a map $(m')_* : \text{Ext}^1(Y, L')_M \rightarrow \text{Ext}^1(Y, X)_L$ with the cardinality of fibre

$$|\text{Hom}(Y, Z[1])| \cdot \{Y, X[1] \oplus Z[1]\} \cdot \{Y, L'[1]\}^{-1};$$

- $|f_*^{-1}(0)| \cdot \{Y, X[1]\} \cdot \{L, Z[1]\} =$
 $|(m')_*^{-1}(0)| \cdot \{X, Z[1]\} \cdot \{Y, L'[1]\}.$

The symmetry-I $\xrightarrow{\text{derived R.-P. formula}}$ **The symmetry-II** \implies The associativity of Kontsevich-Soibelman's Hall algebras over finite field.

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Ind-constructible set

Throughout, fix the complex field \mathbb{C} . A ind-constructible set is a countable union of non-intersecting constructible sets.

Canonical example:

- A be a finite dimensional algebra over \mathbb{C} ;
- Indecomposable projective: $P_i, i = 1, \dots, l$;
- The projective dimension vector of $\bigoplus_{i=1}^l P_i^{a_i}$ is $(a_i)_{i=1}^l$.

Consider the affine variety $\mathcal{P}_{\mathbf{D}}$ dominated by the sequence of projective dimension vector $\mathbf{D} = (\mathbf{d}_k)_{k \in \mathbb{Z}}$ with finitely-many nonzero term and $\mathbf{d}_k = (a_i^{(k)})_{i=1}^l$. Then $\bigsqcup_{\mathbf{D}} \mathcal{P}_{\mathbf{D}}$ is a ind-constructible set.

Motivic functions

\mathcal{X} a constructible stack. $Mot(\mathcal{X})$ the abelian group generated by isomorphism classes $[\pi : \mathcal{S} \rightarrow \mathcal{X}]$ of morphisms to \mathcal{X} satisfying:

- $[(\mathcal{S}_1 \sqcup \mathcal{S}_2) \rightarrow \mathcal{X}] = [\mathcal{S}_1 \rightarrow \mathcal{X}] + [\mathcal{S}_2 \rightarrow \mathcal{X}]$
- $[\pi_1 : \mathcal{S}_1 \rightarrow \mathcal{X}] = [\pi_2 : \mathcal{S}_2 \rightarrow \mathcal{X}]$ if \exists Zariski fibrations $f_i : \mathcal{S}_i \rightarrow \mathcal{S}$, $i = 1, 2$ and $h : \mathcal{S} \rightarrow \mathcal{X}$ with $\pi_i = h \circ f_i$.

$Mot(\mathcal{X})$ is naturally the $Mot(Spec(\mathbb{C}))$ -module. Denote by \mathbb{L} the identity element in $Mot(Spec(\mathbb{C}))$ and $Mot(\mathcal{X})[\mathbb{L}^{-1}]$ the localization of $Mot(\mathcal{X})$.

Motivic Hall algebras following Kontsevich-Soibelman

\mathcal{C} : a (left) homological-finite triangulated category.

Assumption: Objects in \mathcal{C} form an ind-constructible set

$\mathfrak{D}\mathbf{b}j(\mathcal{C}) = \bigsqcup_{i \in I} \mathcal{X}_i$ for countable constructible stacks \mathcal{X}_i with the action of an affine algebraic group G_i . The quotient stack of \mathcal{X}_i by G_i is $[\mathcal{X}_i/G_i]$. Define

$$\mathcal{MH}(\mathcal{C}) = \bigoplus_{i \in I} \text{Mot}([\mathcal{X}_i/G_i])(\mathbb{L}^{-1})$$

with the multiplication

$$[\pi_1 : \mathcal{S}_1 \rightarrow \mathfrak{D}\mathbf{b}j(\mathcal{C})] \cdot [\pi_2 : \mathcal{S}_2 \rightarrow \mathfrak{D}\mathbf{b}j(\mathcal{C})] = [\pi : \mathcal{W}_n \rightarrow \mathfrak{D}\mathbf{b}j(\mathcal{C})] \mathbb{L}^{-n}$$

where

$$\mathcal{W}_n = \{(s_1, s_2, \alpha) \mid s_i \in \mathcal{S}_i, \alpha \in \text{Hom}_{\mathcal{C}}(\pi_2(s_2), \pi_1(s_1)[1]), \\ \dim_{\mathcal{C}}\{\pi_2(s_2), \pi_1(s_1)[1]\} = n.\}$$

Motivic Hall algebras following Kontsevich-Soibelman

The map π sends (s_1, s_2, α) to $Cone(\alpha)[-1]$.

Theorem

With the above multiplication, $\mathcal{MH}(\mathcal{C})$ becomes an associative algebra.

Inspired by [Kontsevich-Soibelman] and [Xiao-Xu], the proof is a motivic version of **The symmetry-II**.

By definition, the theorem is easily reduced to the case that \mathcal{S}_i is just a point. Set $v_{[E]} = [\pi : pt \rightarrow \mathfrak{D}bj(\mathcal{C})]$ with $\pi(pt) = E$.

Motivic Hall algebras-Proof

Set $\{X, Y\} = \mathbb{L}\sum_{i>0}(-1)^i \dim_{\mathbb{C}} \text{Hom}(X[i], Y)$ and
 $\dim_{\mathbb{C}}\{X, Y\} = \sum_{i>0}(-1)^i \dim_{\mathbb{C}} \text{Hom}(X[i], Y)$.

$$\begin{aligned} v_{[X]} * v_{[Y]} &= \{Y, X[1]\}[\text{Hom}(Y, X[1]) \rightarrow \mathfrak{Obj}(\mathcal{C})] \\ &=^{def} \{Y, X[1]\} \cdot \int_{\alpha \in \text{Hom}(Y, X[1])_{[L[1]]}} v_{[L]}. \end{aligned}$$

Then, $v_{[Z]} * (v_{[X]} * v_{[Y]})$ is

$$\int_{\beta \in \text{Hom}(L, Z[1])_{[M[1]]}} \int_{\alpha \in \text{Hom}(Y, X[1])_{[L[1]]}} \{Y, X[1]\} \{L, Z[1]\} v_{[M]}.$$

Motivic Hall algebras-Proof

In the same way, $(v_{[Z]} * v_{[X]}) * v_{[Y]}$ is

$$\int_{\beta' \in \text{Hom}(Y, L'[1])_{[M[1]]}} \int_{\alpha' \in \text{Hom}(X, Z[1])_{[L'[1]]}} \{X, Z[1]\} \{Y, L'[1]\} v_{[M]}.$$

Motivic Hall algebras-Proof

The relation between $v_{[Z]} * (v_{[X]} * v_{[Y]})$ and $(v_{[Z]} * v_{[X]}) * v_{[Y]}$ is illustrated by

$$\begin{array}{ccccccc}
 Z & \xlongequal{\quad} & Z & & & & \\
 \vdots \downarrow l' & & \downarrow l & & & & \\
 L' & \xrightarrow{f'} & M & \xrightarrow{\cdots g'} & Y & \xrightarrow{\cdots h'} & L'[1] \\
 \downarrow m' & & \downarrow m & & \parallel & & \downarrow m'[1] \\
 X & \xrightarrow{f} & L & \xrightarrow{g} & Y & \xrightarrow{h} & X[1] \\
 \vdots \downarrow n' & & \downarrow n & & & & \\
 Z[1] & \xlongequal{\quad} & Z[1] & & & &
 \end{array}$$

Motivic Hall algebras-Proof

$v_{[Z]} * (v_{[X]} * v_{[Y]}) = (v_{[Z]} * v_{[X]}) * v_{[Y]} \iff$ The motivic version of **The symmetry-II** as follows:

- Fix $\alpha \in \text{Hom}(Y, X[1])_{L[1]}$, by the above diagram, there is a constructible bundle $\text{Hom}(L, Z[1])_{M[1]} \rightarrow \text{Hom}(X, Z[1])_{L'[1]}$ with fibre dimension

$$\dim \text{Hom}(Y, Z[1]) + \dim\{X \oplus Y, Z[1]\} - \dim\{L, Z[1]\}$$

This follows the action of the functor $\text{Hom}(-, Z[1])$ on the triangle

$$\alpha : X \rightarrow L \rightarrow Y \rightarrow X[1]$$

Combine the dimensions of coefficients, the sum of dimensions is

$$\dim\{Y, X[1]\} + \dim\{X, Z[1]\} + \dim\{Y, Z[1]\}.$$

Motivic Hall algebras-Proof

- Fix $\alpha' \in \text{Hom}(X, Z[1])_{L'[1]}$, by the above diagram, there is a constructible bundle $\text{Hom}(Y, L'[1])_{M[1]} \rightarrow \text{Hom}(Y, X[1])_{L[1]}$ with fibre dimension

$$\dim \text{Hom}(Y, Z[1]) + \dim\{Y, X[1] \oplus Z[1]\} - \dim\{Y, L'[1]\}$$

This follows the action of the functor $\text{Hom}(Y, -)$ on the triangle

$$\alpha : Z \rightarrow L' \rightarrow X \rightarrow Z[1]$$

Combine the dimensions of coefficients, the sum of dimensions is also

$$\dim\{Y, X[1]\} + \dim\{X, Z[1]\} + \dim\{Y, Z[1]\}.$$