

# ON THE PROP CORRESPONDING TO BIALGEBRAS

Teimuraz PIRASHVILI

## 1 Introduction

It is well-known that there exists a PROP whose category of models in the tensor category of vector spaces is equivalent to the category of bialgebras (= associative and coassociative bialgebras). In [10] there is a description of this PROP in terms of generators and relations. Here we give a more explicit construction of the same object. Our construction uses the Quillen's  $Q$ -construction for double categories given in [4].

The paper is organized as follows: In Section 2 we recall the definition of PROP and show how to obtain commutative algebras as models over  $\mathcal{F}$ . Here  $\mathcal{F}$  is the PROP of finite sets. In the next section we construct the PROP of noncommutative sets denoted by  $\mathcal{F}(as)$ . The models of  $\mathcal{F}(as)$  are associative algebras. In Section 4 we generalize the notion of Mackey functor for double categories and in Section 5 we describe our hero  $\mathcal{QF}(as)$ , which is the PROP, whose models are bialgebras. By definition of PROP the category  $\mathcal{QF}(as)$  encodes the natural transformations  $H^{\otimes n} \rightarrow H^{\otimes m}$  and relations between them. Here  $H$  runs over all bialgebras. As a sample we give the following application. For any bialgebra  $H$ , any natural number  $n \in \mathbb{N}$  and any permutation  $\sigma \in \mathfrak{S}_n$ , we let

$$\Psi^{(n,\sigma)} : H \rightarrow H$$

be the composition  $\mu^n \circ \sigma_* \circ \Delta^n : H \rightarrow H$ , where  $\Delta^n : H \rightarrow H^{\otimes n}$  is the  $(n-1)$ -th iteration of the comultiplication  $\Delta : H \rightarrow H \otimes H$ ,  $\sigma_* : H^{\otimes n} \rightarrow H^{\otimes n}$  is induced by the permutation  $\sigma$ , that is

$$\sigma_*(x_1 \otimes \cdots \otimes x_n) = x_{\sigma 1} \otimes \cdots \otimes x_{\sigma n}$$

and  $\mu^n : H^{\otimes n} \rightarrow H$  is the  $(n-1)$ -th iteration of the multiplication  $\mu : H \otimes H \rightarrow H$ . Moreover let  $\Phi : \mathfrak{S}_n \times \mathfrak{S}_m \rightarrow \mathfrak{S}_{nm}$  be the map constructed in Proposition 5.3. Then it is a consequence of

our discussion in Section 5, that for any permutations  $\sigma \in \mathfrak{S}_n$  and  $\tau \in \mathfrak{S}_m$  one has the equality

$$\Psi^{(n,\sigma)} \circ \Psi^{(m,\tau)} = \Psi^{(nm,\Phi(\sigma,\tau))}.$$

Let us note that if  $\sigma$  is the identity, then  $\Psi^{(n,id)}$  is nothing but the Adams operation [8] and hence our formula gives the rule for the composition of Adams operations.

## 2 Preliminaries on PROP's

Recall that a *symmetric monoidal category* is a category  $\mathbf{S}$  with a unit  $0 \in \mathbf{S}$  and a bifunctor

$$\square : \mathbf{S} \times \mathbf{S} \rightarrow \mathbf{S}$$

together with natural isomorphisms

$$a_{X,Y,Z} : X \square (Y \square Z) \rightarrow (X \square Y) \square Z,$$

$$l_X : X \square 0 \rightarrow X, r_X : 0 \square X \rightarrow X, c_{X,Y} : X \square Y \rightarrow Y \square X$$

satisfying some coherent conditions (see [5]). If in addition  $a_{X,Y,Z}$ ,  $l_X$ ,  $r_X$  are identity morphism then,  $\mathbf{S}$  is called a *permutative category*. If  $\mathbf{S}$  and  $\mathbf{S}_1$  are symmetric monoidal categories, then a functor  $M : \mathbf{S} \rightarrow \mathbf{S}_1$  is a *monoidal functor* if there exist isomorphisms

$$u_{X,Y} : M(X) \square M(Y) \rightarrow M(X \square Y)$$

satisfying the usual associativity and unit coherence conditions (see [5]). A monoidal functor is called *strict* if  $u_{X,Y}$  is identity for all  $X, Y \in \mathbf{S}$ . According to [5] a PROP is a permutative category  $(\mathbf{A}, \square)$ , with the following property:  $\mathbf{A}$  has a set of objects equal to the set of natural numbers and on objects the bifunctor  $\square$  is given by  $m \square n = m + n$ . A *model* of  $\mathbf{A}$  is a strict monoidal functor from  $\mathbf{A}$  to the tensor category  $\mathbf{Vect}$  of vector spaces over a field  $k$ .

**Examples.** 1) Let  $\mathcal{F}$  be the category of finite sets. For any  $n \geq 0$ , we let  $\underline{n}$  be the set  $\{1, \dots, n\}$ . Hence  $\underline{0}$  is the empty set. We assume that the objects of  $\mathcal{F}$  are the sets  $\underline{n}$ ,  $n \geq 0$ . The disjoint union makes the category  $\mathcal{F}$  a PROP. It is well-known that *the category*

of models of  $\mathcal{F}$  is equivalent to the category of commutative and associative algebras with unit. Indeed, if  $A$  is such an algebra, then the functor  $\mathcal{L}_*(A) : \mathcal{F} \rightarrow \mathbf{Vect}$  is a model. Here the functor  $\mathcal{L}_*(A)$  is given by

$$\mathcal{L}_*(A)(\underline{n}) = A^{\otimes n}.$$

For any map  $f : \underline{n} \rightarrow \underline{m}$ , the action of  $f$  on  $\mathcal{L}_*(A)$  is given by

$$f_*(a_1 \otimes \cdots \otimes a_n) := b_1 \otimes \cdots \otimes b_m,$$

where

$$b_j = \prod_{f(i)=j} a_i, \quad j = 1, \dots, m.$$

Conversely, assume  $T$  is a model of  $\mathcal{F}$ . We let  $A$  be the value of  $T$  on  $\underline{1}$ . The unique map  $\underline{2} \rightarrow \underline{1}$  yields a homomorphism

$$\mu : A \otimes A \cong T(\underline{2}) \rightarrow T(\underline{1}) = A.$$

On the other hand the unique map  $\underline{0} \rightarrow \underline{1}$  yields a homomorphism  $\eta : k = T(\underline{0}) \rightarrow T(\underline{1}) = A$ . The pair  $(\mu, \eta)$  defines on  $A$  a structure of commutative and associative algebra with unit. One can use the fact that  $T$  is strict monoidal to prove that  $T \cong \mathcal{L}_*(A)$ .

2) Let us note that the opposite of a PROP is still a PROP with the same  $\square$ . Hence the disjoint union yields also a structure of PROP on  $\mathcal{F}^{op}$ . The category of models of  $\mathcal{F}^{op}$  is equivalent to the category of cocommutative and coassociative coalgebras with counit. For any such coalgebra  $C$  we let  $\mathcal{L}^*(C) : \mathcal{F}^{op} \rightarrow \mathbf{Vect}$  be the corresponding model. On objects we still have  $\mathcal{L}^*(C)(\underline{n}) = C^{\otimes n}$ .

3) We let  $\Omega$  be the subcategory of  $\mathcal{F}$ , which has the same objects as  $\mathcal{F}$ , but morphisms are surjections. Clearly  $\Omega$  is a subPROP of  $\mathcal{F}$  and the models of  $\Omega$  are (nonunital) commutative algebras.

4) We let **Mon** be the category of finitely generated free monoids, which is a PROP with respect to coproduct. Similarly the category **Abmon** of finitely generated free abelian monoids, the category **Ab** of finitely generated free abelian groups and the category **Gr** of finitely generated free groups are PROP's with respect to coproducts. For the category of models of these PROP's see Theorem 5.2 and Remark 1 at the end of the paper.

In the next section we give a noncommutative generalization of Examples 1)-3).

### 3 Noncommutative sets

We introduce the PROP  $\mathcal{F}(\text{as})$  whose models are associative algebras with unit. Objects of  $\mathcal{F}(\text{as})$  are finite sets. So  $Ob(\mathcal{F}) = Ob(\mathcal{F}(\text{as}))$ . A morphism from  $\underline{n}$  to  $\underline{m}$  is a map  $f : \underline{n} \rightarrow \underline{m}$  together with a total ordering on  $f^{-1}(j)$  for all  $j \in \underline{m}$ . By abuse of notation we will denote morphisms in  $\mathcal{F}(\text{as})$  by  $f, g$  etc. Moreover sometimes we write  $|f|$  for the underlying map of  $f \in \mathcal{F}(\text{as})$ . We will also say that  $f$  is a noncommutative lifting of a map  $|f|$ . In order to define the composition in  $\mathcal{F}(\text{as})$  we recall the definition of ordered union of ordered sets. Assume  $\Lambda$  is a totally ordered set and for each  $\lambda \in \Lambda$  a totally ordered set  $X_\lambda$  is given. Then  $X = \coprod X_\lambda$  is the disjoint union of the sets  $X_\lambda$  which is ordered as follows. If  $x \in X_\lambda$  and  $y \in X_\mu$ , then  $x \leq y$  in  $X$  iff  $\lambda < \mu$  or  $\lambda = \mu$  and  $x \leq y$  in  $X_\lambda$ .

If  $f \in \text{Hom}_{\mathcal{F}(\text{as})}(\underline{n}, \underline{m})$  and  $g \in \text{Hom}_{\mathcal{F}(\text{as})}(\underline{m}, \underline{k})$ , then the composite  $gf$  is  $|g| \circ |f|$  as a map, while the total ordering in  $(gf)^{-1}(i)$ ,  $i \in \underline{k}$  is given by the identification

$$(gf)^{-1}(i) = \coprod_{j \in g^{-1}(i)} f^{-1}(j).$$

Clearly one has the forgetful functor  $\mathcal{F}(\text{as}) \rightarrow \mathcal{F}$ . A morphism  $f$  in  $\mathcal{F}(\text{as})$  is called a *surjection* if the map  $|f|$  is a surjection. An *elementary surjection* is a surjection  $f : \underline{n} \rightarrow \underline{m}$  for which  $n - m \leq 1$ .

**Remark.** The category  $\mathcal{F}(\text{as})$  is isomorphic to the category  $\Delta S$  considered in [7], [4].

Since any injective map has the unique noncommutative lifting, we see that the disjoint union, which defines the symmetric monoidal category structure in  $\mathcal{F}$  has the unique lifting in  $\mathcal{F}(\text{as})$ . Hence  $\mathcal{F}(\text{as})$  is a PROP.

We claim that *the category of models of  $\mathcal{F}(\text{as})$  is equivalent to the category of associative algebras with unit*. The only point here is the following. Let us denote by  $\prod_{i \in I}^< x_i$  the product of the elements  $x_i \in A$  where  $I$  is a finite totally ordered set and the ordering in the product follows to the ordering  $I$ . Here  $A$  is an associative algebra. Now we have a model  $\mathcal{X}_*(A) : \mathcal{F}(\text{as}) \rightarrow \text{Vect}$ . Here the functor  $\mathcal{X}_*(A)$  is given by the same rule as  $\mathcal{L}_*(A)$  in the previous section, but to take  $\prod^<$  in the definition of  $b_j$ . For example, if  $f : \underline{4} \rightarrow \underline{3}$  is given by  $f(1) = f(2) = f(4) = 3$ ,  $f(3) = 1$  and the

total ordering in  $f^{-1}(3)$  is  $2 < 4 < 1$  then  $f_* : A^{\otimes 4} \rightarrow A^{\otimes 3}$  is nothing but  $a_1 \otimes a_2 \otimes a_3 \otimes a_4 \mapsto a_3 \otimes 1 \otimes a_2 a_4 a_1$ .

We let  $\Omega(as)$  be the subcategory of  $\mathcal{F}(as)$ , which has the same objects as  $\mathcal{F}(as)$ , but morphisms are surjections. Clearly  $\Omega(as)$  is subPROP of  $\mathcal{F}(as)$  and the models of  $\Omega(as)$  are (nonunital) associative algebras.

Quite similarly, for any coassociative coalgebra  $C$  with counit one has a model  $\mathcal{X}^*(C) : \mathcal{F}(as)^{op} \rightarrow Vect$  with  $\mathcal{X}^*(C)(\underline{n}) = C^{\otimes n}$  and the category of models of  $\mathcal{F}(as)^{op}$  is equivalent to the category of coassociative coalgebras with counit.

In order to put bialgebras in the picture we need the language of Mackey functors.

## 4 On double categories and Mackey functors

Let us recall that a *double category* consists of objects, a set of horizontal morphisms, a set of vertical morphisms and a set of bimorphisms satisfying natural conditions (see [4]). If  $\mathbf{D}$  is a double category, we let  $\mathbf{D}^h$  (resp.  $\mathbf{D}^v$ ) be the category of objects and horizontal (resp. vertical) morphisms of  $\mathbf{D}$ .

A *Janus functor*  $M$  from a double category  $\mathbf{D}$  to  $Vect$  is the following data

- i) a covariant functor  $M_* : \mathbf{D}^h \rightarrow Vect$
- ii) a contravariant functor  $M^* : (\mathbf{D}^v)^{op} \rightarrow Vect$

such that for each object  $S \in \mathbf{D}$  one has  $M_*(S) = M^*(S) = M(S)$ . A *Mackey functor*  $M = (M_*, M^*)$  from a double category  $\mathbf{D}$  to  $Vect$  is a Janus functor  $M$  from a double category  $\mathbf{D}$  to  $Vect$  such that for each bimorphism in  $\mathbf{D}$

$$\alpha = \begin{array}{ccccc} U & & \xrightarrow{f_1} & & S \\ & \downarrow \phi_1 & & \downarrow \phi & \\ T & & \xrightarrow{f} & & V \end{array}$$

the following equality holds:

$$M^*(\phi)M_*(f) = M_*(f_1)M^*(\phi_1)$$

**Examples** 1) Let  $\mathbf{C}$  be a category with pullbacks. Then one has a double category whose objects are the same as  $\mathbf{C}$ . Moreover  $Mor^v = Mor^h = Mor(\mathbf{C})$ , while bimorphisms are pullback diagrams in  $\mathbf{C}$ . In this case the notion of Mackey functors corresponds to pre-Mackey functors from [3]. By abuse of notation we will still denote this double category by  $\mathbf{C}$ . In what follows  $\mathcal{F}$  is equipped with this double category structure.

2) Now we consider a double category, whose objects are still finite sets, but  $Mor^v = Mor^h = Mor(\mathcal{F}(as))$ , where  $\mathcal{F}(as)$  was introduced in Section 3. By definition a bimorphism is a diagram in  $\mathcal{F}(as)$

$$\alpha = \begin{array}{ccccc} U & & \xrightarrow{f_1} & & S \\ & \downarrow \phi_1 & & \phi & \downarrow \\ T & & \xrightarrow{f} & & V \end{array}$$

such that the following holds:

- i) the image  $|\alpha|$  of  $\alpha$  in  $\mathcal{F}$  is a pullback diagram of sets,
- ii) for all  $x \in T$  the induced map  $f_* : \phi_1^{-1}(x) \rightarrow \phi^{-1}(fx)$  is an isomorphism of ordered sets
- iii) for all  $y \in S$  the induced map  $\phi_* : f_1^{-1}(y) \rightarrow f^{-1}(\phi_1 y)$  is an isomorphism of ordered sets.

By abuse of notation we will denote this double category by  $\mathcal{F}(as)$ . Let us note that for a bimorphism  $\alpha$  in  $\mathcal{F}(as)$  in general  $\phi_1 \circ f \neq f_1 \circ \phi$ .

One observes that for any arrows  $f : T \rightarrow V$ ,  $\phi : S \rightarrow V$  in  $\mathcal{F}(as)$  there exists a bimorphism  $\alpha$  which has  $f$  and  $\phi$  as edges and it is unique up to natural isomorphism. Indeed, as a set we take  $U$  to be the pullback and then we lift set maps  $f_1$  and  $\phi_1$  in the noncommutative world according to the properties ii) and iii). Clearly such lifting exists and it is unique.

3) We can also consider the double category  $\mathcal{F}(as)_1$  whose objects are still finite sets, vertical arrows are set maps, while horizontal ones are morphisms from  $\mathcal{F}(as)$ . The bimorphisms are diagrams similar to the diagrams in Example 2) but such that  $\phi$  and  $\phi_1$  are set maps, while  $f$  and  $f_1$  are morphisms from  $\mathcal{F}(as)$ . Furthermore

the conditions i) and iii) from the previous example hold. We need also a double category  $\mathcal{F}(\text{as})_2$  which is defined similarly, but now vertical arrows are morphisms from  $\mathcal{F}(\text{as})$  and horizontal ones are set maps.

We have a following diagram of double categories, where arrows are forgetful functors

$$\begin{array}{ccc}
 & \mathcal{F}(\text{as})_1 & \\
 \nearrow & & \searrow \\
 \mathcal{F}(\text{as}) & & \mathcal{F}. \\
 \searrow & & \nearrow \\
 & \mathcal{F}(\text{as})_2 &
 \end{array} \tag{4.0}$$

Let  $\mathbf{D}$  be one of the double categories considered in (4.0). A bimorphism  $\alpha$  is called *elementary* if both  $f$  and  $\phi$  are elementary surjections. The following Lemma for  $\mathbf{D} = \mathcal{F}$  was proved in [1]. The proof in other cases is quite similar and hence we omit it.

**Lemma 4.1** *Let  $\mathbf{D}$  be one of the double categories considered in (4.0). Then a Janus functor  $M$  is a Mackey functor iff the following two conditions hold*

- i) *for any injection  $g : A \rightarrow B$  one has  $M^*(g)M_*(g) = id_A$*
- ii) *for any elementary bimorphism  $\alpha$  one has*

$$M^*(\phi)M_*(f) = M_*(f_1)M^*(\phi_1).$$

**Theorem 4.2** *Let  $V$  be a vector space, which is equipped simultaneously with the structure of associative algebra with unit and coassociative coalgebra with counit. Then  $V$  is a bialgebra iff*

$$\mathcal{X}(V) = (\mathcal{X}_*(V), \mathcal{X}^*(V)) : \mathcal{F}(\text{as}) \rightarrow \text{Vect}$$

*is a Mackey functor.*

*Proof.* One observes that the condition 1) of the previous lemma

always holds. On the other hand the diagram

$$\alpha = \begin{array}{ccc} \underline{4} & \xrightarrow{p} & \underline{2} \\ \downarrow q & & f \downarrow \\ \underline{2} & \xrightarrow{f} & \underline{1} \end{array}$$

is a bimorphism. Here  $f^{-1}(1) = \{1 < 2\}$ ,  $p^{-1}(1) = \{1 < 2\}$ ,  $p^{-1}(2) = \{3 < 4\}$ ,  $q^{-1}(1) = \{1 < 3\}$  and  $q^{-1}(2) = \{2 < 4\}$ . Clearly  $f_* : V^{\otimes 2} \rightarrow V$  is the multiplication  $\mu$  on  $V$  and  $f^* : V \rightarrow V^{\otimes 2}$  is the comultiplication  $\Delta$  on  $V$ , while  $p_* = (\mu \otimes \mu) \circ \tau_{2,3}$  and  $q^* = \tau_{2,3} \circ \Delta \otimes \Delta$ , where  $\tau_{2,3} : V^{\otimes 4} \rightarrow V^{\otimes 4}$  permutes the second and the third coordinates. Hence  $V$  is a bialgebra iff the condition ii) of the previous lemma holds for  $\alpha$ . Since both  $\mathcal{X}_*(V)$  and  $\mathcal{X}^*(V)$  send disjoint union to tensor product the result follows from Lemma 4.1.

**Addendum.** For a cocommutative bialgebra  $C$  the Mackey functor  $\mathcal{X}(C)$  factors through the double category  $\mathcal{F}(\text{as})_1$ , for a commutative bialgebra  $A$  the Mackey functor  $\mathcal{X}(A)$  factors through  $\mathcal{F}(\text{as})_2$  and in the case of commutative and cocommutative bialgebra  $H$  one has the Mackey functor  $\mathcal{L}(H) : \mathcal{F} \rightarrow \text{Vect}$ .

## 5 The construction of $\mathcal{QF}(\text{as})$

Let  $\mathbf{D}$  be one of the double categories considered in Examples 1)-3). Clearly categories  $\mathbf{D}^v$  and  $\mathbf{D}^h$  have the same class of isomorphisms, which we call *isomorphisms of  $\mathbf{D}$* . We let  $\mathcal{QD}$  be the category whose objects are finite sets, while the morphisms from  $T$  to  $S$  are equivalence classes of diagrams:

$$\begin{array}{ccc} U & \xrightarrow{f} & S \\ \downarrow \phi & & \\ T & & \end{array}$$

Here  $f \in \mathbf{D}^h$  is a horizontal morphism and  $\phi \in \mathbf{D}^v$  is a vertical morphism. For simplicity such data will be denoted by  $T \xleftrightarrow{\phi} U \xrightarrow{f}$



$S$ . Two diagrams  $T \xleftarrow{\phi} U \xrightarrow{f} S$  and  $T \xleftarrow{\phi_1} U_1 \xrightarrow{f_1} S$  are equivalent if there exists a commutative diagram

$$\begin{array}{ccccc} T & \xleftarrow{\phi} & U & \xrightarrow{f} & S \\ & & \parallel & h \downarrow & \parallel \\ T & \xleftarrow{\phi_1} & U_1 & \xrightarrow{f_1} & S \end{array}$$

such that  $h$  is an isomorphism. The composition of  $T \xleftarrow{\phi} U \xrightarrow{f} S$  and  $S \xleftarrow{\psi} V \xrightarrow{g} R$  in  $\mathcal{QD}$  is by definition  $T \xleftarrow{\psi_1 \phi} W \xrightarrow{gf_1} R$ , where

$$\begin{array}{ccccc} W & & \xrightarrow{f_1} & & V \\ & \downarrow \psi_1 & & \downarrow \psi & \\ U & & \xrightarrow{f} & & S. \end{array}$$

is a bimorphism in  $\mathbf{D}$ . One easily checks that  $\mathcal{QD}$  is a category and for any object  $S$  the diagram  $S \xleftarrow{1_S} S \xrightarrow{1_S} S$  is an identity morphism in  $\mathcal{QD}$ .

Clearly the disjoint union yields a structure of PROP on  $\mathcal{QD}$  and  $\underline{0}$  is not only a unit object with respect to this monoidal structure, but also a zero object.

For a horizontal morphism  $f : S \rightarrow T$  in  $\mathbf{D}$  we let  $i_*(f) : S \rightarrow T$  be the following morphism in  $\mathcal{QD}$ :

$$S \xleftarrow{1_S} S \xrightarrow{f} T.$$

Similarly, for a vertical morphism  $\phi : S \rightarrow T$  we let  $i^*(f) : T \rightarrow S$  be the following morphism in  $\mathcal{QD}$ :

$$T \xleftarrow{f} S \xrightarrow{1_S} S.$$

In this way one obtains the morphisms of PROP's:  $i_* : \mathbf{D} \rightarrow \mathcal{QD}$  and  $i^* : \mathbf{D}^{op} \rightarrow \mathcal{QD}$ .

**Remark.** The construction of  $\mathcal{QD}$  is a particular case of the generalized Quillen's  $Q$ -construction [11] considered by Fiedorowicz and Loday in [4]. The following lemma is a variant of a result of [6].

**Lemma 5.1** *The category of Mackey functors from  $\mathbf{D}$  to  $\mathbf{Vect}$  is equivalent to the category of functors  $M : \mathcal{Q}\mathbf{D} \rightarrow \mathbf{Vect}$ .*

*Proof.* Let  $M : \mathcal{Q}\mathbf{D} \rightarrow \mathbf{Vect}$  be a functor. For any arrow  $f : S \rightarrow T$  we put  $M_*(f) := M(i_*(f))$  and  $M^*(f) := M(i^*(f))$ . In this way we get a Mackey functor on  $\mathbf{D}$ . Conversely, if  $M$  is a Mackey functor on  $\mathbf{D}$ , then we put

$$M(S \xleftarrow{g} V \xrightarrow{f} T) = M_*(f)M^*(g).$$

One easily shows that in this way we get a covariant functor  $\mathcal{Q}\mathbf{D}$  to  $\mathbf{Vect}$  and the proof is finished.

By applying the  $Q$ -construction to the diagram (4.0) one obtains the following (noncommutative) diagram of PROP's:

$$\begin{array}{ccc} & \mathcal{Q}(\mathcal{F}(\mathbf{as})_1) & \\ \nearrow & & \searrow \\ \mathcal{Q}(\mathcal{F}(\mathbf{as})) & & \mathcal{Q}(\mathcal{F}) \\ \searrow & & \nearrow \\ & \mathcal{Q}(\mathcal{F}(\mathbf{as})_2) & \end{array}$$

The following theorem gives the identification of the terms involved in the diagram, except for  $\mathcal{Q}((\mathcal{F}(\mathbf{as})))$ .

**Theorem 5.2** *i) The category of models of  $\mathcal{Q}(\mathcal{F}(\mathbf{as}))$  is equivalent to the category of bialgebras.*

*ii) The category of models of  $\mathcal{Q}(\mathcal{F}(\mathbf{as})_1)$  is equivalent to the category of cocommutative bialgebras and  $\mathcal{Q}(\mathcal{F}(\mathbf{as})_1)$  is isomorphic to the PROP  $\mathbf{Mon}^{op}$ .*

*iii) The category of models of  $\mathcal{Q}(\mathcal{F}(\mathbf{as})_2)$  is equivalent to the category of commutative bialgebras and  $\mathcal{Q}(\mathcal{F}(\mathbf{as})_2)$  is isomorphic to the PROP  $\mathbf{Mon}$ .*

*iv) The category of models of  $\mathcal{Q}(\mathcal{F})$  is equivalent to the category of cocommutative and commutative bialgebras and  $\mathcal{Q}(\mathcal{F})$  is isomorphic to the PROP  $\mathbf{Abmon}$ .*

*Proof.* Theorem 4.2 together with Lemma 5.1 shows that any bialgebra  $V$  gives rise to the model  $\mathcal{X}(V)$  of  $\mathcal{Q}(\mathcal{F}(\text{as}))$ . Conversely assume  $M$  is a model of  $\mathcal{Q}(\mathcal{F}(\text{as}))$  and let  $V = M(\underline{1})$ . Then  $M \circ i_*$  and  $M \circ i^*$  are models of  $\mathcal{F}(\text{as})$  and  $\mathcal{F}(\text{as})^{op}$ . Thus  $M$  carries natural structures of associative algebra and coassociative coalgebra. Since  $M = (M \circ i_*, M \circ i^*)$  is a Mackey functor on  $\mathcal{F}(\text{as})$ , it follows from Theorem 4.2 that  $V$  is indeed a bialgebra. To prove the remaining parts of the theorem, let us observe that  $(\mathcal{Q}(\mathcal{F}(\text{as})_2))^{op} \cong \mathcal{Q}(\mathcal{F}(\text{as})_1)$ , where equivalence is identity on objects and sends  $T \xleftarrow{\phi} U \xrightarrow{f} S$  to  $S \xleftarrow{f} U \xrightarrow{\phi} T$ . We now show that  $\mathcal{Q}(\mathcal{F}(\text{as})_2) \cong \mathbf{Mon}$ . The main observation here is the fact that if  $f : X \rightarrow S_1 \amalg S_2$  is a morphism in  $\mathcal{F}(\text{as})$  then  $f = f_1 \amalg f_2$  in the category  $\mathcal{F}(\text{as})$ , where  $f_i$  as a map is the restriction of  $f$  on  $f^{-1}(S_i)$ ,  $i = 1, 2$ . Since  $f_i^{-1}(y) = f^{-1}(y)$  for all  $y \in f^{-1}(S_i)$  we can take the same total ordering in  $f_i^{-1}(y)$  to turn  $f_i$  into a morphism in  $\mathcal{F}(\text{as})$ . A conclusion of this observation is the fact that disjoint union defines not only a symmetric monoidal category structure but it is the coproduct in  $\mathcal{Q}(\mathcal{F}(\text{as})_2)$ . Clearly  $\underline{n}$  is an  $n$ -fold coproduct of  $\underline{1}$ . On the other hand, we may assume that the objects of  $\mathbf{Mon}$  are natural numbers, while the set of morphisms from  $k$  to  $n$  is the same as  $\text{Hom}_{\text{monoids}}(F_k, F_n)$ , where  $F_n$  is the free monoid on  $n$  generators. This set can be identified with the set of  $k$ -tuples of words on  $n$  variables  $x_1, \dots, x_n$ . Since  $\mathcal{Q}(\mathcal{F}(\text{as})_2)$  and  $\mathbf{Mon}$  are categories with finite coproducts and any object in both categories is a coproduct of some copies of  $\underline{1}$ , we need only to identify the set of morphisms originating from  $\underline{1}$ . A morphism  $\underline{1} \rightarrow \underline{n}$  in  $\mathcal{Q}(\mathcal{F}(\text{as})_2)$  is a diagram  $\underline{1} \xleftarrow{\phi} U \xrightarrow{f} \underline{n}$ , where  $\phi$  is a map of noncommutative sets. We can associate to this morphism a word  $w$  of length  $m$  on  $n$  variables  $x_1, \dots, x_n$ . Here  $m = \text{Card}(U)$  and the  $i$ -th place of  $w$  is  $x_{f(y_i)}$ , where  $U = \{y_1 < \dots < y_m\}$ . In this way one sees immediately that this correspondence defines the equivalence of categories  $\mathcal{Q}(\mathcal{F}(\text{as})_2) \cong \mathbf{Mon}$ . We refer the reader to [1] for the fact that  $\mathcal{Q}(\mathcal{F})$  is equivalent to  $\mathbf{Abmon}$ . Argument in this case is even simpler than the previous one and can be sketched as follows. Since the PROP  $\mathcal{Q}(\mathcal{F})$  is isomorphic to its opposite disjoint union yields not only the coproduct in  $\mathcal{Q}(\mathcal{F})$  but also the product. Next, morphisms  $\underline{1} \rightarrow \underline{1}$  in  $\mathcal{Q}(\mathcal{F})$  are diagrams of maps  $\underline{1} \leftarrow U \rightarrow \underline{1}$ , whose equivalence class is completely determined by the cardinality of  $U$ . This gives

identification of morphisms from  $\underline{1} \rightarrow \underline{1}$  with natural numbers and the proof is done.

Thus the above diagram of PROP's is equivalent to the diagram

$$\begin{array}{ccc}
 & \mathbf{Mon}^{op} & \\
 \nearrow & & \searrow \\
 \mathcal{Q}(\mathcal{F}(\mathbf{as})) & & \mathbf{Abmon} \\
 \searrow & & \nearrow \\
 & \mathbf{Mon} &
 \end{array}$$

Here  $\mathbf{Mon} \rightarrow \mathbf{Abmon}$  is given by abelization functor. Let us note that  $\mathcal{Q}(\mathcal{F}(\mathbf{as}))$  and  $\mathbf{Abmon}$  are self dual PROP's, and the arrows are surjection on morphisms. If one looks at endomorphisms of  $\underline{1}$  we see that the endomorphism monoid  $End_{\mathbf{C}}(\underline{1})$  for  $\mathbf{C} = \mathbf{Mon}^{op}, \mathbf{Mon}, \mathbf{Abmon}$  is isomorphic to the multiplicative monoid of natural numbers. This corresponds to the fact that the operations  $\Psi^{(n,\sigma)}$  from the introduction for commutative or cocommutative bialgebras are independent of  $\sigma$  and  $\Psi^n \circ \Psi^m = \Psi^{nm}$  [8].

The following proposition describes the endomorphism monoid  $End_{\mathbf{C}}(\underline{1})$  for  $\mathbf{C} = \mathcal{Q}(\mathcal{F}(\mathbf{as}))$ .

Let  $n \in \mathbb{N}$  be a natural number and let  $\sigma \in \mathfrak{S}_n$  be a permutation. Here  $\mathfrak{S}_n$  is the group of permutations on  $n$  letters. We let  $[\sigma]$  be the morphism  $\underline{n} \rightarrow \underline{1}$  in  $\mathcal{F}(\mathbf{as})$  corresponding to the ordering  $\sigma(1) < \sigma(2) < \dots < \sigma(n)$ . For example  $[id_n]$ , or simply  $[id]$  denotes the morphism  $\underline{n} \rightarrow \underline{1}$  in  $\mathcal{F}(\mathbf{as})$  corresponding to the ordering  $1 < 2 < \dots < n$ . Moreover we let  $(n, \sigma) : \underline{1} \rightarrow \underline{1}$  be the morphism in  $\mathcal{Q}(\mathcal{F}(\mathbf{as}))$  corresponding to the diagram  $\underline{1} \xleftarrow{[\sigma]} \underline{n} \xrightarrow{[id]} \underline{1}$ .

**Proposition 5.3** *The monoid of endomorphisms of  $\underline{1} \in \mathcal{Q}(\mathcal{F}(\mathbf{as}))$  is isomorphic to the monoid of pairs  $(n, \sigma)$ , where  $\sigma \in \mathfrak{S}_n$  and  $n \in \mathbb{N}$ , with the following multiplication*

$$(n, \sigma) \circ (m, \tau) = (nm, \Phi(\sigma, \tau)).$$

Here

$$\Phi : \mathfrak{S}_n \times \mathfrak{S}_m \rightarrow \mathfrak{S}_{nm}$$

is a map, which is defined by

$$\Phi(\sigma, \tau)(x) = \tau(q + 1) + m(\sigma(q) - 1), \quad 1 \leq x \leq nm,$$

where  $x = pn + q$ ,  $1 \leq q \leq n$  and  $0 \leq p \leq m - 1$ .

*Proof.* A morphism  $\underline{1} \rightarrow \underline{1}$  in  $\mathcal{Q}(\mathcal{F}(\text{as}))$  is a diagram  $\underline{1} \xleftarrow{\phi} U \xrightarrow{f} \underline{1}$ , where  $\phi$  and  $f$  are morphisms of noncommutative sets. Hence  $U$  has two total orderings corresponding to  $\phi$  and  $f$ . We will identify  $U$  to  $\underline{n}$ , via ordering corresponding to  $f$ . Here  $n$  is the cardinality of  $U$ . We denote the first (resp. the second,  $\dots$ ) element in the ordering corresponding to  $\phi$  by  $\sigma(1)$  (resp.  $\sigma(2), \dots$ ). In this way we get a permutation  $\sigma \in \mathfrak{S}_n$ . Thus any morphism  $\underline{1} \rightarrow \underline{1}$  in  $\mathcal{Q}(\mathcal{F}(\text{as}))$  is of the form  $(n, \sigma)$ . In order to identify the composition law it is enough to note the following two facts:

i) The diagram

$$\begin{array}{ccc} \underline{nm} & \xrightarrow{f} & \underline{n} \\ g \downarrow & & \downarrow [\sigma] \\ \underline{m} & \xrightarrow{[id]} & \underline{1} \end{array}$$

is a bimorphism in  $\mathcal{Q}(\mathcal{F}(\text{as}))$ . Here  $f$  and  $g$  are given by

$$f^{-1}(j) = \{1 + (j-1)m < 2 + (j-1)m < \dots < (m-1) + (j-1)m < jm\},$$

$$g^{-1}(i) = \{i + (\sigma(1) - 1)m < i + (\sigma(2) - 1)m < \dots < i + (\sigma(n) - 1)m\},$$

for  $i \in \underline{m}$  and  $j \in \underline{n}$ .

ii) One has  $[\Phi(\sigma, \tau)] = [\tau] \circ g$  and  $[id_{\underline{n}}] \circ f = [id_{\underline{nm}}]$ .

**Remarks** 1) It is well known that the PROP corresponding to cocommutative Hopf algebras is  $\mathbf{Gr}^{op}$  (see next remark), the PROP corresponding to commutative Hopf algebras is  $\mathbf{Gr}$ , while the PROP corresponding to commutative and cocommutative Hopf algebras is  $\mathbf{Ab}$ . Of course the category of Hopf algebras are also models over some PROP, which can be easily described via generators and relations [10]. An explicit description of this particular PROP will be the subject of the forthcoming paper.

2) Any cocommutative Hopf algebra  $A$  gives rise to the functor  $\mathcal{X}(A) : \mathbf{Gr}^{op} \rightarrow \mathbf{Vect}$  which takes  $\langle n \rangle$  to  $A^{\otimes n}$ . Here  $\langle n \rangle$  is a free group on  $x_1, \dots, x_n$  and  $\mathcal{X}(A)$  is defined as follows. Since  $\otimes$  is a product in the category **Coalg** of cocommutative coalgebras,  $A$  is a group object in this category. On the other hand any group object in any category **A** with finite products gives rise to the model in **A** of the algebraic theory of groups in the sense of Lawvere [12]. But the algebraic theory of groups is nothing but  $\mathbf{Gr}^{op}$  and hence we have the functor  $\mathcal{X}(A) : \mathbf{Gr}^{op} \rightarrow \mathbf{Coalg}$ , which assigns  $A^{\otimes n}$  to  $\langle n \rangle$ . Moreover it assigns  $\mu$  to the morphism  $\langle 1 \rangle \rightarrow \langle 2 \rangle$  given by  $x_1 \mapsto x_1 x_2$ . Similarly  $\mathcal{X}(A)$  assigns  $\Delta$  to the homomorphism  $\langle 2 \rangle \rightarrow \langle 1 \rangle$  given by  $x_1, x_2 \mapsto x_1$ . Of course it assigns the antipode  $S : A \rightarrow A$  to  $x_1 \mapsto x_1^{-1}$ . Having these facts in mind one easily describes the action of  $\mathcal{X}(A)$  on more complicate morphisms. For example one checks that  $\mathcal{X}(A)$  assigns

$$(\mu, \mu) \circ (\mu, id, \mu, id) \circ (S, id_{A^{\otimes 4}}) \circ \tau_{2,3} \circ (id_{A^{\otimes 3}}, \Delta, id) \circ (\Delta, \Delta, id)$$

to the morphism  $\langle 2 \rangle \rightarrow \langle 3 \rangle$  corresponding to the pair of words  $(x_1^{-1} x_2 x_1, x_1^2 x_3)$ . Here  $\tau_{2,3}$  permutes the second and third coordinates. Conversely any linear map  $A^{\otimes n} \rightarrow A^{\otimes m}$  constructed using the structural data of a cocommutative Hopf algebra  $A$  is coming in this way. Hence to check whether a complicated diagram involving such maps commutes it is enough to look to the corresponding diagram in **Gr**, which is usually simpler to handle.

3) It is well known that the morphism  $\underline{n} \rightarrow \underline{m}$  in **Abmon** can be identified with  $(m \times n)$ -matrices over natural numbers. Under this identification the equivalence  $\mathcal{Q}(\mathcal{F}) \cong \mathbf{Abmon}$  is given by assigning the matrix whose  $(i, j)$ -component is the cardinality of  $f^{-1}(j) \cap g^{-1}(i)$ ,  $1 \leq i \leq m$ ,  $1 \leq j \leq n$  to the diagram  $\underline{n} \xrightarrow{f} X \xrightarrow{g} \underline{m}$ . It is less known that the morphisms  $\underline{n} \rightarrow \underline{m}$  in **Mon** can be described via shuffles. In order to explain this connection let us start with particular case. Consider a word  $x^2 y x y^3 x^2$  of bidegree  $(5, 4)$ . It defines a morphism  $\underline{1} \rightarrow \underline{2}$  in **Mon**. One associates a  $(5, 4)$ -shuffle  $(1, 2, 4, 8, 9, 3, 5, 6, 7)$  to this word, whose first five values are just the numbers of places where  $x$  lies. Similarly morphisms  $\underline{n} \rightarrow \underline{m}$  in **Mon** are in 1-1-correspondence with collections  $\{A = (a_{ij}), (\varphi_1, \dots, \varphi_n)\}$ , where  $A$  is an  $(m \times n)$ -matrix over natural numbers and  $\varphi_i$  is a  $(a_{i1}, \dots, a_{im})$ -shuffle,  $i = 1, \dots, n$ .

The functor  $\mathbf{Mon} \rightarrow \mathbf{Abmon}$  corresponds to forgetting the shuffles. Now combine this observation with Proposition 5.3 to get the description of morphisms  $\underline{n} \rightarrow \underline{m}$  in  $\mathcal{Q}(\mathcal{F}(\text{as}))$  as collections  $\{A = (\alpha_{ij}), (\varphi_1, \dots, \varphi_n)\}$ , where  $\alpha = (a_{ij}, \sigma_{ij})$  and  $a_{ij}$  is a natural number, while  $\sigma_{ij} \in \mathfrak{S}_{a_{ij}}$  is a permutation and finally  $\varphi_i$  is a  $(a_{i1}, \dots, a_{im})$ -shuffle.

4) In the recent preprint [2] the authors defined the action of  $\mathfrak{S}_{k+1}$  on  $A^{\otimes k}$  for any commutative or cocommutative Hopf algebra  $A$ . Actually they implicitly constructed the group homomorphism

$$\xi_k : \mathfrak{S}_{k+1} \rightarrow \mathfrak{S}_k,$$

where  $\mathfrak{S}_k$  is the automorphism group of  $< k >$ . Then the action of  $x \in \mathfrak{S}_{k+1}$  on  $A^{\otimes k}$  is obtained by applying the functor  $\mathcal{X}(A)$  to  $\xi_k(x)$ . The homomorphism  $\xi_k$  is given by

$$\sigma_1(x_1) = x_1^{-1}, \sigma_1(x_2) = x_1 x_2, \sigma_1(x_i) = x_i, i \geq 2$$

$$\sigma_i(x_{i-1}) = x_{i-1} x_i, \sigma_i(x_i) = x_i^{-1}, \sigma_i(x_{i+1}) = x_i x_{i+1}, \sigma_i(x_j) = x_j,$$

for  $1 < i < k$ ,  $j \neq i-1, i, i+1$  and

$$\sigma_k(x_{k-1}) = x_{k-1} x_k, \sigma_k(x_k) = x_k^{-1}, \sigma_k(x_j) = x_j \text{ if } j < n-1.$$

Here  $\sigma_i \in \mathfrak{S}_{k+1}$  is the transposition  $(i, i+1)$ ,  $1 \leq i \leq k$ .

### Acknowledgments

This work was written during my visit at the Sonderforschungsbereich der Universität Bielefeld. I would like to thank Friedhelm Waldhausen for the invitation to Bielefeld. It is a pleasure to acknowledge various helpful discussions I had with V. Franjou and J.-L. Loday on the subject and also for invitations in Nantes and Le Pouliguen, where this work was started. The author was partially supported by the grant INTAS-93-2618-Ext and by the TMR network K-theory and algebraic groups, ERB FMRX CT-97-0107.

### References

- [1] H.-J. BAUES, W. DRECKMANN, V. FRANJOU and T. PIRASHVILI. Foncteurs Polynômiaux et foncteurs de Mackey non linéaires. Bielefeld Preprint 00-031 (available at <http://www.mathematik.uni-bielefeld.de/sfb343>). To appear in Bull. Soc. Math. France.

- [2] M. D. CROSSLEY and S. WHITEHOUSE. Higher conjugation cohomology in commutative Hopf algebras. Preprint 1999.
- [3] A. DRESS. Contributions to the theory of induced representations. Springer Lecture Notes in Math. 342, (1973), 182–240.
- [4] Z. FIEDOROWICZ and J.-L. LODAY. Crossed simplicial groups and their associated homology. Trans. Amer. Math. Soc. 326 (1991), no. 1, 57–87.
- [5] A. JOYAL and R. STREET. Braided tensor categories. Adv. Math. 102 (1993), 20–78.
- [6] H. LINDER. A remark on Mackey-functors. Manuscripta Math. 18 (1976), no. 3, 273–278.
- [7] J. - L. LODAY. Cyclic Homology, Grund. Math. Wiss. vol. 301, 2nd edition. Springer, 1998.
- [8] J. - L. LODAY. Série de Hausdorff, idempotents eulériens et algèbres de Hopf. Exposition. Math. 12 (1994), 165–178.
- [9] S. MAC LANE. Categorical algebra. Bull. Amer. Math. Soc. 71 1965. 40–106.
- [10] M. MARKL. Cotangent cohomology of a category and deformations. J. Pure Appl. Algebra 113 (1996), no. 2, 195–218. 18C10 (18G99)
- [11] D. QUILLEN. Higher algebraic  $K$ -theory I. Springer Lecture Notes in Math., 341 ( 1973), 85–147.
- [12] G. C. WRAITH. Algebraic theories. Aarhus University. Lecture Notes Series. v. 22 (1970).