

Conjectures and miracles in finite simple groups

Aner Shalev

Hebrew University Jerusalem

Colloquium Talk

Permutation Groups Workshop

Fischer Fest

Bielefeld, January 12 2017

Main themes:

Generation in finite simple groups and special primes

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Approximate subgroups, growth and normal growth

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Ore's conjecture and Thompson's conjecture

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Ore's conjecture and Thompson's conjecture

Mixing, complexity and conjectures of Gowers and Viola

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Classical of Lie type, e.g. $PSL_n(q)$, or

Exceptional of Lie type, e.g. $E_8(q)$, or

one of 26 Sporadic Groups, e.g. Fischer Groups $Fi_{22}, Fi_{23}, Fi'_{24}$.

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Guralnick-Kantor 2000: For any FSG G and any $1 \neq x \in G$ there is $y \in G$ s.t. $\langle x, y \rangle = G$ (3/2-generation)

Proofs use counting and probabilistic methods

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Consequence: All large FSG except $Sz(2^k), PSp_4(2^k), PSp_4(3^k)$ are images of the modular group $PSL_2(\mathbb{Z})$.

Lübeck-Malle 1997: Exceptional groups of Lie type.

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Do non-maximal subgroups of FSG share similar properties?

Go down the subgroup lattice. Definition: $M \leq G$ is t -maximal if $M = M_t < M_{t-1} < \dots < M_0 = G$ such that $M_i \leq \max M_{i-1}$.

Second maximal subgroups

Second maximal = 2-maximal

Bad Example:

$G = L_2(2^k) = PSL_2(2^k)$ with $2^k - 1$ a Mersenne prime

$B =$ Borel subgroup of G . $H = C_2^k$ is a maximal subgroup of B .

So H is second maximal in G , and $d(H) = k$.

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Largest currently known prime is a Mersenne prime with $k = 74207281$.

Hence there exists a second maximal subgroup H of a FSG with $d(H) = 74207281$.

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Theorem (Burness-Liebeck-Sh 2016⁺)

Let G be a FSG and let H be a second maximal subgroup of G . Then one of the following holds:

- (i) $d(H) \leq 12$;
- (ii) $d(H) \leq 70$, G is exceptional of Lie type, and H is a maximal subgroup of a parabolic subgroup of G ;
- (iii) $G_0 = L_2(q)$, ${}^2B_2(q)$ or ${}^2G_2(q)$, and H is maximal in a Borel subgroup of G .

Long proof using subgroup structure of FSG, e.g. Aschbacher's Theorem, representations and other tools.

We also show: if H is not as in (iii) then H is randomly generated by boundedly many elements.

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(*) Are there infinitely many k for which there is a prime power q such that $(q^k - 1)/(q - 1)$ is prime?

It is believed that (*) holds, but **no clue how to prove it.**

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Theorem (Burness-Liebeck-Sh 2016⁺)

The following are equivalent.

(i) *There is a constant c such that all second maximal subgroups of FSG are generated by $\leq c$ elements.*

(ii) *There is a constant c such that all second maximal subgroups of $L_2(q)$ (q a prime power) are generated by $\leq c$ elements.*

(iii) *Question (*) has a negative answer.*

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Third maximal subgroups

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However, if we go further down the subgroup lattice and consider **third maximal subgroups**, we can show unconditionally:

For each c there is a third maximal subgroup H of a FSG such that $d(H) > c$.

Growth and approximate subgroups

G a group, $X \subset G$, $X^k = \{x_1 \cdots x_k : x_i \in X\}$.

Growth of $|X^k|$? In particular, for $k = 2, 3$.

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2008 Helfgott: Let $G = \mathrm{SL}_2(p)$ and A any generating set for G . Then either $A^3 = G$ or $|A^3| \geq |A|^{1+\epsilon}$, where $\epsilon > 0$ is some absolute constant.

Generalize to other matrix groups? E.g. $\mathrm{SL}_r(q)$?

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The Product Theorem:

Theorem (Pyber-Szabó, Breuillard-Green-Tao)

Let G be any finite simple group of Lie type, and A any generating set for G . Then either $A^3 = G$ or $|A^3| \geq |A|^{1+\epsilon}$, where $\epsilon > 0$ depends only on the rank of G .

The proof of BGT also rely on **related results of Hrushovski using model theory.**

Normal growth

$A \subseteq G$ is **normal** if it's closed under conjugation by elements of G (i.e. A is a union of conjugacy classes).

Rapid 2-step growth for such subsets:

Theorem (Liebeck-Schul-Sh 2016⁺)

Given any $\epsilon > 0$, there exists $\delta > 0$ such that if A is a normal subset of a finite simple group G satisfying $|A| \leq |G|^\delta$, then $|A^2| \geq |A|^{2-\epsilon}$.

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Remarks:

1. $|A^2| \leq |A|^2$, so A grows almost as fast as possible.
2. Normality assumption is essential: otherwise $|A^2|$ may be very close to $|A|$.
3. Strengthens a result of Gill-Pyber-Short-Szabó 2013 yielding $|A^2| \geq |A|^{1+\epsilon}$.
4. A version for two normal subsets: $|A_1 A_2| \geq (|A_1| |A_2|)^{1-\epsilon}$.
5. A version for simple algebraic groups: $\dim A^2 \geq (2 - \epsilon) \dim A$.

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Stage 2: Reduction to the case where A is a conjugacy class. This is done by showing that a normal subset $A \subseteq G$ contains a conjugacy class C of **comparable size**: $|C| \geq |A|^{1-\epsilon}$.

Main tool: a "zeta function" $\zeta_2^G(s) = \sum_{C \text{ class of } G} |C|^{-s}$ encoding class sizes and its **abscissa of convergence**.

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Stage 4: find a class $C \subseteq A^2$ of large enough support and use stage 3 to show $|C| \geq |A|^{2-\epsilon}$.

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$C^2 = G \Rightarrow 1 \in C^2 \Rightarrow C = C^{-1} \Rightarrow C^{-1}C = G$ so each $g \in G$ is $x^{-1}x^y = [x, y]$ for some $x \in C$.

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Probabilistic approximations to Thompson's Conjecture:

Theorem (Sh 2008, 2016)

Let G be a FSG. For random $x \in G$ we have

$|(x^G)^2| = (1 - o(1))|G|$. Moreover, for any $\epsilon > 0$ there is $r(\epsilon)$ such that, if $r \geq r(\epsilon)$ and G is classical group of rank r over the field with q elements, then there exists a conjugacy class C of G such that $|C^2| \geq (1 - q^{-(2-\epsilon)r})|G|$.

Proof ideas

For $x, y, g \in G$ define $p_{x,y}(g) =$ probability that g is a product of a random conjugate of x with a random conjugate of y .

$p_{x,y}$ is a distribution on G . Study $\|p_{x,y}\|_2^2 := \sum_{g \in G} p_{x,y}(g)^2$.

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Theorem (Sh 2016)

Let G be a finite simple group. Choose uniformly $x, y \in G$ possibly dependent (e.g. *we may have $x = y$*). Then, with probability $1 - o(1)$, we have $\|p_{x,y}\|_2^2 = |G|^{-1}(1 + o(1))$, where the $o(1)$ is explicit.

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The character connection:

$$\|p_{x,y}\|_2^2 = |G|^{-1} \sum_{\chi \in \text{Irr}(G)} |\chi(x)|^2 |\chi(y)|^2 / \chi(1)^2.$$

Bounding character values and using the Witten zeta function $\zeta_3^G(s) = \sum_{\chi \in \text{Irr}(G)} \chi(1)^{-s}$ and its *abscissa of convergence* we prove the theorem.

Complexity and Gowers-Viola conjectures

G a finite group, $t \geq 2$, $a = (a_1, \dots, a_t), b = (b_1, \dots, b_t) \in G^t$.

Their **interleaved product** is defined by

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1984 Even-Selman-Yacobi: Alice receives $a \in G^t$, Bob receives $b \in G^t$. Suppose $a \bullet b \in \{g, h\}$ for given $g, h \in G$. Alice and Bob have to decide whether $a \bullet b = g$ or $a \bullet b = h$. What is the **communication complexity** of this problem?

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Theorem (Gowers-Viola 2015)

The above communication complexity is at least $\Omega(t \log |G|)$ for $G = \text{SL}_2(q)$.

Namely, complexity $\geq ct \log |G|$ for some $c > 0$.

This is deduced from: Let $G = \text{SL}_2(q)$. Let $P : G^t \times G^t \rightarrow \{0, 1\}$ be a (randomized public-coin) c -bit communication protocol. For $g \in G$ $p_g :=$ the probability that $P(a, b) = 1$ assuming $a \bullet b = g$. Then for $g, h \in G$ we have $|p_g - p_h| \leq 2^c |G|^{-\Omega(t)}$.
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Conjecture (Gowers-Viola 2015)

Let G be any FSG.

- (i) With the above notation $|p_g - p_h| \leq 2^c (\log |G|)^{-\Omega(t)}$.
- (ii) The above communication complexity is $\geq \Omega(t \log \log |G|)$.

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Conjecture (Gowers-Viola 2015)

Let G be any FSG.

- (i) With the above notation $|p_g - p_h| \leq 2^c (\log |G|)^{-\Omega(t)}$.
- (ii) The above communication complexity is $\geq \Omega(t \log \log |G|)$.

Theorem

- (i) Both conjectures hold.
- (ii) **Any FSG G of Lie type of bounded rank behaves like $\text{SL}_2(q)$, namely, $|p_g - p_h| \leq 2^c |G|^{-\Omega(t)}$, and the communication complexity is $\geq \Omega(t \log |G|)$.**

These bounds are tight.

Stages of proofs:

1. A reduction by Gowers and Viola to a certain mixing phenomenon:

Recall: $p_{x,y}(g) =$ probability that g is a product of a random conjugate of x with a random conjugate of y .

It suffices to show that, fixing any $a \in G$, and choosing $x \in G$ uniformly, $\|p_{x,x^{-1}a}\|_2$ is small with high probability.

In bounded rank we have to show that, for some $c > 0$, the probability that $\|p_{x,x^{-1}a}\|_2^2 \leq |G|^{-1}(1 + |G|^{-c})$ is $\geq 1 - |G|^{-c}$.

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2. A proof of this mixing phenomenon using our previous Theorem, which was meant to help proving Thompson's Conjecture, but instead helped proving Gowers-Viola's Conjectures.

Quasi-Random Groups

G a finite group, k the minimal dimension of a non-trivial irreducible character of G .

Gowers 2008: G is quasi-random if k is large.

If $A, B, C \subseteq G$ with $|A|, |B|, |C| > |G|k^{-1/3}$ then $ABC = G$.

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This is known as **Gowers' trick**, deduced by **Nikolov-Pyber 2011**, and widely used by them and others since then.

Example: $G = \mathrm{SL}_2(q)$ of size $\sim q^3$. $k \sim q$.

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Question: When can we conclude that $A \bullet B = G$ for $A, B \subseteq G^t$ where $t \geq 2$?

Gowers-Viola 2015: If $t \geq 2$, $G = \mathrm{SL}_2(q)$, A, B have positive proportions in G^t and $|G| \gg 0$, then $A \bullet B = G$ almost uniformly in ℓ_∞ .

Extension to **all finite simple groups**:

Uniformity of interleaved products

Theorem

Let G be a FSG and $t \geq 2$. Let $A, B \subseteq G^t$ with $|A|/|G|^t = \alpha > 0$ and $|B|/|G|^t = \beta > 0$. Choose $a \in A$ and $b \in B$ uniformly. Then for each $g \in G$, $\text{Prob}(a \bullet b = g) = (1 + o(1))|G|^{-1}$.

In particular, if G is sufficiently large (given α and β), then $A \bullet B = G$.

Thus $a \bullet b$ (for $a \in A$ and $b \in B$) is almost uniformly distributed in the ℓ_∞ -norm.

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Quantitative bounds:

For $G = A_n$, $|\text{Prob}(a \bullet b = g) - |G|^{-1}| \leq (\alpha\beta)^{-1} n^{-ct} |G|^{-1}$.

For G of Lie type of rank r over a field with q elements

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Moreover, it follows from these bounds that if G has bounded rank, then there is $\epsilon > 0$ such that $|A|, |B| \geq |G|^{t-\epsilon}$ already implies that $A \bullet B = G$ almost uniformly in ℓ_∞ .

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- Leitmotiv: **What's true for $SL_2(q)$ is true for all finite simple groups**
(sometimes of bounded rank)

Happy Birthday Professor Fischer!