

# Green's Main Theorem

Seminar on Ringel-Hall Algebras  
Talk by Philipp Lampe

June 8, 2016

## Abstract

In this talk, we wish to relate the Ringel-Hall algebra of a quiver with the Drinfel'd-Jimbo quantum group. As an application, we prove Kac's theorem which connects indecomposable quiver representations with positive roots.

## Contents

<b>1</b>	<b>Example: The special linear group of size 2</b>	<b>1</b>
1.1	The interplay of Lie theory and representation theory . . . . .	1
<b>2</b>	<b>Ringel's theorem</b>	<b>3</b>
2.1	The twisted Ringel-Hall algebra and Green's formula . . . . .	3
2.2	Ringel's theorem and the quantum Serre relations . . . . .	3
<b>3</b>	<b>Green's theorem</b>	<b>4</b>
3.1	The positive quantum group and its Hopf structure . . . . .	4
3.2	Green's theorem . . . . .	4
<b>4</b>	<b>Kac's theorem</b>	<b>5</b>
4.1	Characters and the denominator formula . . . . .	5
4.2	Indecomposable representations and Kac's theorem . . . . .	6

## 1 Example: The special linear group of size 2

### 1.1 The interplay of Lie theory and representation theory

We consider the simple Lie algebra of traceless matrices:

$$\mathfrak{sl}_3(\mathbb{C}) = \{A \in \text{Mat}_{3 \times 3}(\mathbb{C}) \mid \text{tr}(A) = 0\}.$$

It is an eight-dimensional Lie algebra spanned by the weight spaces  $V_{\alpha_1} = \mathbb{C}e_1, V_{\alpha_2} = \mathbb{C}e_2, V_{\alpha_1+\alpha_2} = \mathbb{C}e_{12}, V_{-\alpha_1} = \mathbb{C}f_1, V_{-\alpha_2} = \mathbb{C}f_2, V_{-\alpha_1-\alpha_2} = \mathbb{C}f_{12}$ , and the Cartan subalgebra  $\mathfrak{h} = \mathbb{C}h_1 \oplus \mathbb{C}h_2$  with

$$\begin{aligned} e_1 &= \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, & e_2 &= \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{pmatrix}, & e_{12} &= \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, & h_1 &= \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \\ f_1 &= \begin{pmatrix} 0 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, & f_2 &= \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix}, & f_{12} &= \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix}, & h_2 &= \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -1 \end{pmatrix}. \end{aligned}$$

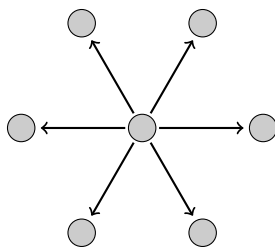


Figure 1: The root system of type  $A_2$

The root system is shown in Figure 1. Note that every root is either positive or negative. The set  $\Phi^+ = \{\alpha_1, \alpha_1 + \alpha_2, \alpha_2\}$  of positive roots is linked to the representation theory of the quiver  $1 \rightarrow 2$  of type  $A_2$ . More precisely, the expansion of the three positive roots as linear combinations of the simple roots  $\alpha_1, \alpha_2$ , namely the vectors  $(1, 0)$ ,  $(1, 1)$  and  $(0, 1)$ , are the dimension vectors of the three indecomposable representations  $S_1 = (k \rightarrow 0)$ ,  $P_1 = (k \rightarrow k)$  and  $S_2 = (0 \rightarrow k)$ , where  $k$  is any field.

Representations of  $\mathfrak{g}$  correspond to modules over its *universal enveloping algebra*  $U(\mathfrak{g})$ . It is generated by elements  $E_1, E_2, F_1, F_2, H_1, H_2$  (corresponding to  $e_1, e_2, f_1, f_2, h_1, h_2$ ) subject to certain relations such as the *Serre relation*  $E_1^2 E_2 - 2E_1 E_2 E_1 + E_2 E_1^2 = 0$  (corresponding to  $[e_1, [e_1, e_2]] = 0$ ).

The Lie algebra  $\mathfrak{g} = \mathfrak{sl}_3$  admits a triangular decomposition  $\mathfrak{g} = \mathfrak{n}_+ \oplus \mathfrak{h} \oplus \mathfrak{n}_-$  into strictly *upper triangular*, *diagonal* and strictly *lower triangular* matrices. The universal enveloping algebras  $U(\mathfrak{g})$  and  $U(\mathfrak{n}_+)$  admit several *Poincaré-Birkhoff-Witt bases*: every ordered basis of  $\mathfrak{g}$  or  $\mathfrak{n}$  gives rise to a PBW basis of its universal enveloping algebra. For example, the basis  $(e_1, e_{12}, e_2)$  of  $\mathfrak{n}_+$  from above yields the basis  $\mathcal{P} = \{E_1^a (E_1 E_2 - E_2 E_1)^b E_2^c \mid (a, b, c) \in \mathbb{N}^3\}$  of  $U(\mathfrak{n}_+)$ .

The *quantized universal enveloping algebra*  $U^+$  is generated by elements  $E_1, E_2$  subject to the *quantum Serre relations*, e. g.  $E_1^2 E_2 - (v + v^{-1})E_1 E_2 E_1 + E_2 E_1^2 = 0$ , over a suitable ground ring. The quantization has the following advantages:

- (1) Lusztig [9, Theorem 42.1.10] uses the quantization to construct another basis of  $U(\mathfrak{n}_+)$ , the *canonical basis*  $\mathcal{B}$ , so that application of  $\mathcal{B}$  to lowest vectors  $v_0$  yields bases of the irreducible representations. In this case Lusztig's canonical basis is:  $\mathcal{B} = \{E_1^a E_2^b E_1^c \mid a + c \geq b\} \cup \{E_2^a E_1^b E_2^c \mid a + c \geq b\}$ .
- (2) A theorem of Green-Rosso [4, 13] asserts that we can embed  $U^+ \subseteq \mathcal{F}_v$  in the quantum shuffle algebra. Here  $\mathcal{F}_v$  is spanned by all symbols  $w[i_1, i_2, \dots, i_k]$  for all finite sequences  $\underline{i} = (i_1, i_2, \dots, i_k) \in I^k$  of symbols from the set  $\{1, 2, \dots, n\}$  of length  $k \geq 0$ . The product of two basis elements is defined as a linear combination of *shuffles*. Green-Rosso's embedding enables us to do computer calculation in  $U^+$  efficiently.
- (3) We get a deeper connection between Lie theory and quiver representations. We saw in the first talk of the seminar that we have a short exact sequence  $0 \rightarrow S_2 \rightarrow P_1 \rightarrow S_1$  but  $\text{Ext}_Q^1(S_2, S_1) = 0$ , so that in the Ringel-Hall algebra  $\mathcal{H}(Q)$  we have  $u_{S_1} u_{S_2} = u_{S_1 \oplus S_2} + u_{P_1}$  but  $u_{S_2} u_{S_1} = u_{S_1 \oplus S_2}$ . It follows that  $u_{P_1} = u_{S_1} u_{S_2} - u_{S_2} u_{S_1}$ . Moreover,  $u_{S_1} u_{P_1} = q u_{S_1 \oplus P_1} = q u_{P_1} u_{S_1}$ . It follows that  $u_{S_1}^2 u_{S_2} - (q + 1) u_{S_1} u_{S_2} u_{S_1} + q u_{S_2} u_{S_1}^2 = 0$ . The relation becomes the quantum Serre relation in the twisted Ringel-Hall algebra.

In this talk, we wish to understand the first two lines of the following table. The talk is based on notes by Hubery [5].

	Quiver $Q$	Lie algebra $\mathfrak{g}$
Gabriel/Kac	indecomposable representations	$\Phi^+$ positive roots
Ringel/Green	twisted Ringel-Hall algebra $\mathcal{H}(Q)$	positive quantum group $U^+$
Lusztig	constructible sheaves on $\text{rep}_k(Q, \underline{d})$	(dual) canonical basis of $U^+$

## 2 Ringel's theorem

### 2.1 The twisted Ringel-Hall algebra and Green's formula

Let  $Q$  be a quiver with  $n$  vertices, and let  $k$  be a finite field with  $q$  elements. The *Euler characteristic* of two representations  $M, N \in \text{rep}_k(Q)$  is defined to be  $\langle M, N \rangle = \dim_k(\text{Hom}_Q(M, N)) - \dim_k(\text{Ext}_Q(M, N))$ . Because the category  $\text{rep}_k(Q)$  is hereditary, it induces a bilinear form  $K_0(Q) \times K_0(Q) \rightarrow \mathbb{R}$  where  $K_0(Q)$  denotes the Grothendieck group of  $\text{rep}_k(Q)$ . We consider the symmetrized Euler form defined by  $(M, N) = \langle M, N \rangle + \langle N, M \rangle$  for all  $M, N$ . Moreover, let  $v = \sqrt{q} \in \mathbb{R}$  be a square root of  $q$ .

The (**twisted**) Ringel-Hall algebra  $\mathcal{H}(Q)$  is the  $\mathbb{R}$ -vector space with basis elements  $u_M$  for all isoclasses of representations  $M$ . We define the (**twisted**) multiplication and comultiplication on basis elements by the formulae:

$$u_M u_N = v^{\langle M, N \rangle} \sum_X F_{M, N}^X u_X, \quad \Delta(u_X) = \sum_{M, N} v^{\langle M, N \rangle} \frac{a_M a_N}{a_X} F_{M, N}^X u_M \otimes u_N,$$

where  $F_{M, N}^X$  is the number of subrepresentations  $U \subseteq X$  such that  $U \cong N$  and  $X/U \cong M$ , and  $a_X$  is the cardinality of  $\text{Aut}_Q(X)$ . Then  $\mathcal{H}(Q)$  becomes a (**twisted**) bialgebra, i. e. we have  $\Delta(u_M u_N) = \Delta(u_M) \cdot \Delta(u_N)$  for all  $M, N$  when we define a multiplication on  $\mathcal{H}(Q) \otimes_{\mathbb{R}} \mathcal{H}(Q)$  by the formula  $(u_A \otimes u_B) \cdot (u_C \otimes u_D) = v^{-\langle A, D \rangle} (u_A u_C \otimes u_B u_D)$  or **by the formula**  $(u_A \otimes u_B) \cdot (u_C \otimes u_D) = v^{\langle B, C \rangle} (u_A u_C \otimes u_B u_D)$  respectively. It becomes a (**twisted**) Hopf algebra by defining a suitable antipode, see Xiao [14, Theorem 4.5 (c)].

The (**twisted**) Hopf algebra  $\mathcal{H}(Q)$  admits a Hopf pairing  $\{\cdot, \cdot\}: \mathcal{H}(Q) \times \mathcal{H}(Q) \rightarrow \mathbb{R}$ , e. g. we have  $\{u, vw\} = \sum \{u_{(1)}, v\} \{u_{(2)}, w\}$  whenever we have  $\Delta(u) = \sum u_{(1)} \otimes u_{(2)}$  in Sweedler's notation. It is determined by the values on basis elements

$$\{u_M, u_N\} = \delta_{M, N} \frac{v^{\dim(M)}}{a_M}. \quad (1)$$

### 2.2 Ringel's theorem and the quantum Serre relations

**Example 2.1.** The quantum Serre relations in Farnsteiner's talk [2] become the following relations in the twisted Ringel-Hall algebra. Here we abbreviate  $u_i = u_{S_i}$  for all  $i$ , and we denote by  $[n] = (v^n - v^{-n}) / (v - v^{-1})$  the quantum integer.

Quiver $Q$	Untwisted relation	Twisted relation
$1 \rightarrow 2$	$u_1^2 u_2 - (q+1)u_1 u_2 u_1 + u_2 u_1^2 = 0$	$u_1^2 u_2 - [2]u_1 u_2 u_1 + u_2 u_1^2 = 0$
$1 \rightrightarrows 2$	$u_1^3 u_2 - (q^2 + q + 1)u_1^2 u_2 u_1 + q(q^2 + q + 1)u_1 u_2 u_1^2 - q^3 u_2 u_1^3 = 0$	$u_1^3 u_2 - [3]u_1^2 u_2 u_1 + [3]u_1 u_2 u_1^2 - u_2 u_1^3 = 0$
$1 \rightleftarrows 2$	$u_1^3 u_2 - (q+1+q^{-1})u_1^2 u_2 u_1 + (q+1+q^{-1})u_1 u_2 u_1^2 - u_2 u_1^3 = 0$	$u_1^3 u_2 - [3]u_1^2 u_2 u_1 + [3]u_1 u_2 u_1^2 - u_2 u_1^3 = 0$

The theorem of Ringel [11] asserts that the examples generalize. The proof is a rank 2 calculation similar to the derivation of the quantum Serre relations for the  $n$ -Kronecker quiver in Farnsteiner's talk [2].

**Theorem 2.2** (Ringel). Assume that  $e_i, e_j \in K_0(Q)$  correspond to distinct simple representations  $S_i, S_j$ . As before, we abbreviate  $u_i = u_{S_i}$  and  $u_j = u_{S_j}$ . If  $c_{ij} = (e_i, e_j)$ , then

$$\sum_{r+s=1-c_{ij}} (-1)^r \begin{bmatrix} r+s \\ r \end{bmatrix} u_i^r u_j u_i^s = 0.$$

Especially, the quantum Serre relations in the twisted Ringel-Hall algebra are invariant under changing the orientation of arrows, i. e. they depend only on the underlying undirected diagram of the quiver. The *composition algebra*  $\mathcal{C}(Q) \subseteq \mathcal{H}(Q)$  is the Hopf subalgebra generated by the elements  $u_i = u_{S_i}$  with  $i \in Q_0$ .

### 3 Green's theorem

#### 3.1 The positive quantum group and its Hopf structure

Recall the construction of the quantum group from the last talk. Let  $n \geq 1$ . An integer  $n \times n$  matrix  $C = (c_{ij})$  is called *symmetric generalized Cartan matrix* if  $c_{ii} = 2$  for all  $1 \leq i \leq n$  and  $c_{ij} = c_{ji} \leq 0$  for all  $i \neq j$ . Such a matrix defines a Kac-Moody Lie algebra  $\mathfrak{g} = \mathfrak{n}_+ \oplus \mathfrak{h} \oplus \mathfrak{n}_-$ . Drinfel'd-Jimbo replace universal enveloping algebra  $U(\mathfrak{n}_+)$ , cocommutative Hopf algebra, with the quantized enveloping algebra  $U^+$ , a not necessarily cocommutative Hopf algebra, c.f. Drinfel'd [1]. The process is called *quantization*, because in quantum mechanics classical commuting observables are replaced with not necessarily commuting operators. Let us briefly outline the construction of  $U^+$ ; the reader can find more details in the work of Kassel [8], Lusztig [9], and Majid [10].

We fix a field  $k$  of characteristic 0. The symmetric generalized Cartan matrix  $C$  defines a symmetric bilinear form  $(\cdot, \cdot)$  on  $\Lambda = \mathbb{Z}^n$  with respect to the standard basis whose elements we denote by  $e_i$  with  $1 \leq i \leq n$ . The group algebra  $U^0$  of  $\Lambda$  over  $k$  can be endowed with the structure of a Hopf algebra. For every  $v \in k^*$ , that is not be a root of unity, we can define a Hopf pairing  $\{\cdot, \cdot\}: U^0 \times U^0 \rightarrow k$ . Let  $f^+$  be the free  $k$ -algebra with generators  $E_i$  for  $1 \leq i \leq n$ . We can extend the Hopf structure on  $U^0$  to a Hopf structure on the tensor product  $f^{+,0} = f^+ \otimes U^0$ . The Hopf algebra is graded by  $\Lambda$  with  $\deg(E_i) = e_i$ . The Hopf pairing extends to a Hopf pairing  $\{\cdot, \cdot\}: f^{+,0} \times f^{+,0} \rightarrow k$  in such a way that

$$\{E_i, E_j\} = \delta_{ij} \frac{1}{v - v^{-1}} \quad (2)$$

for all  $1 \leq i \leq n$ . The ideal  $I^+ \subseteq f^+$  is chosen in such a way that the restriction of the Hopf pairing to  $U^+ = f^+/I^+$  is non-degenerate. Note that  $U^+$  is not a Hopf subalgebra because  $\Delta(E_i) = E_i \otimes 1 + K_i \otimes E_i \notin U^+$ .

Put  $\mathcal{Z} = \mathbb{Q}[v, v^{-1}, [m]^{-1} \text{ for } m \geq 2]$ . Define  $U_{\mathcal{Z}}^+$  to be the  $\mathcal{Z}$ -algebra generated by  $E_i$  with  $1 \leq i \leq n$  subject to the quantum Serre relations

$$\sum_{r+s=1-c_{ij}} (-1)^r \begin{bmatrix} r+s \\ r \end{bmatrix} E_i^r E_j E_i^s = 0$$

for all  $i \neq j$ . A theorem asserts that we have an isomorphism  $U^+ \cong k \otimes_{\mathcal{Z}} U_{\mathcal{Z}}^+$ , and another theorem asserts that the algebra  $U_{\mathcal{Z}}^+$  is a free  $\mathcal{Z}$ -module.

#### 3.2 Green's theorem

Define a comultiplication  $\Delta: U_{\mathcal{Z}}^+ \rightarrow U_{\mathcal{Z}}^+ \otimes U_{\mathcal{Z}}^+$  by  $\Delta(E_i) = 1 \otimes E_i + E_i \otimes 1$ . The comultiplication becomes an algebra homomorphism if we define the multiplication  $(U_{\mathcal{Z}}^+ \otimes U_{\mathcal{Z}}^+) \times (U_{\mathcal{Z}}^+ \otimes U_{\mathcal{Z}}^+) \rightarrow$

$U_{\mathcal{Z}}^+ \otimes U_{\mathcal{Z}}^+$  by  $(a \otimes b) \cdot (c \otimes d) = v^{(\deg(b), \deg(c))} (ac \otimes bd)$  for all homogeneous elements  $a, b, c, d \in U^+$ . We say that  $U_{\mathcal{Z}}^+$  is a *twisted bialgebra*. Together with the antipode  $S: U_{\mathcal{Z}}^+ \rightarrow U_{\mathcal{Z}}^+$  defined by  $S(E_i) = -E_i$  it becomes a *twisted Hopf algebra*. Lusztig shows that the twisted Hopf algebra  $U_{\mathcal{Z}}^+$  admits a Hopf pairing  $U_{\mathcal{Z}}^+ \times U_{\mathcal{Z}}^+ \rightarrow \mathcal{Z}$ , which is uniquely determined by formula (2).

Let  $Q$  be a quiver with  $n$  vertices such that its symmetrized Euler form equals the symmetric bilinear form attached to the generalized Cartan matrix  $C$ . Ringel's theorem 2.2 implies that there is homomorphism  $\Psi: \mathbb{R} \otimes_{\mathcal{Z}} U_{\mathcal{Z}}^+ \rightarrow \mathcal{C}(Q)$  with  $\psi(E_i) = u_i$ . Green's main theorem [3, Theorem 3] asserts:

**Theorem 3.1** (Green). The map  $\psi$  is an isomorphism of twisted Hopf algebras and it respects the grading and the Hopf pairing.

*Proof.* The homomorphism  $\psi$  respects the Hopf pairing, because  $\{E_i, E_j\} = \frac{\delta_{ij}}{v-v^{-1}} = \{u_i, u_j\}$  for all  $i, j$ . Suppose that  $x \in \mathbb{R} \otimes_{\mathcal{Z}} U_{\mathcal{Z}}^+$  lies in the kernel of  $\psi$ . Then  $\{x, y\} = \{\psi(x), \psi(y)\} = 0$  for all  $y \in \mathbb{R} \otimes_{\mathcal{Z}} U_{\mathcal{Z}}^+$  which implies  $x = 0$  since the Hopf pairing is non-degenerate.  $\square$

## 4 Kac's theorem

### 4.1 Characters and the denominator formula

Let  $n$  denote the number of vertices of the quiver  $Q$ . For every  $i$  we define the reflection  $r_i(x) = x - (x, e_i)e_i$ . The formula implies  $r_i(e_i) = -e_i$  for all  $i$ . The reflections  $r_i$  with  $i \in Q_0$  generate a subgroup  $W = W(C) \subseteq \text{Aut}(\mathbb{Z}^n)$ , which is called the *Weyl group* of  $C$ . The root system of the Kac-Moody Lie algebra decomposes in *real* and *imaginary* roots with

$$\begin{aligned} \Phi_{re} &= \{w(e_i) : w \in W, 1 \leq i \leq n\} = \{\alpha \in \Phi : 0 < (\alpha, \alpha) \leq 2\}, \\ \Phi_{im} &= \Phi \setminus \Phi_{re} \subseteq \{\alpha \in \Phi : (\alpha, \alpha) \leq 0\}. \end{aligned}$$

A theorem asserts that every root is either positive or negative and has connected support. The multiplicity  $\text{mult}(\alpha)$  is defined as the dimension of the corresponding root space. It can be shown that  $\text{mult}(\alpha) = 1$  if  $\alpha$  is real, and that  $\text{mult}(\alpha) = \text{mult}(w(\alpha))$  for all roots  $\alpha$  and all Weyl group elements  $w$ . For every  $\alpha = (a_1, a_2, \dots, a_n) \in \Lambda$  we define a monomial  $e(\alpha) = t_1^{a_1} t_2^{a_2} \dots t_n^{a_n}$  in the power series ring  $\mathbb{Z}[[t_1, t_2, \dots, t_n]]$ . Especially, the elements satisfy the exponential rule  $e(\alpha)e(\beta) = e(\alpha + \beta)$ . Define the *characters* of the composition algebra and the Hall algebra by

$$\text{ch}(\mathcal{C}(Q)) = \sum_{\alpha \geq 0} \dim_{\mathbb{R}}(\mathcal{C}(Q)_{\alpha}) e(\alpha), \quad \text{ch}(\mathcal{H}(Q)) = \sum_{\alpha \geq 0} \dim_{\mathbb{R}}(\mathcal{H}(Q)_{\alpha}) e(\alpha).$$

**Proposition 4.1.** For every dimension vector  $\alpha = (a_1, a_2, \dots, a_n)$  we denote by  $\text{ind}(\alpha, k)$  the number of isoclasses of indecomposable representations of  $Q$  over  $k$  of dimension  $\alpha$ . We have the following product formula:

$$\text{ch}(\mathcal{H}(Q)) = \prod_{\alpha > 0} (1 - e(\alpha))^{-\text{ind}(\alpha, k)}$$

*Proof.* By the theorem of Krull-Remak-Schmidt every representation is a direct sum of indecomposable representations. The indecomposable representation are unique up to permutation and isomorphisms. Hence  $\dim_{\mathbb{R}}(\mathcal{H}(Q)_{\alpha})$ , the number of isoclasses of representations of  $Q$  over  $k$  of dimension  $\alpha$ , equals the coefficient corresponding to  $e(\alpha)$  in the geometric series expansion.  $\square$

Green's theorem implies that the character of the composition algebra equals the character of the positive quantum group. For the positive quantum group, Kac's denominator formula [6, Chapter 10.2] holds:

**Theorem 4.2** (Kac). The character of the positive quantum is

$$\text{ch}(U^+) = \prod_{\alpha \in \phi^+} (1 - e(\alpha))^{-\text{mult}(\alpha)}$$

## 4.2 Indecomposable representations and Kac's theorem

Note that formula (1) implies that the Hopf pairing on  $\mathcal{H}(Q)$  is positive definite. Following Sevenhant-Van Den Bergh [12, Chapter 3] we choose for every  $0 \neq \alpha \in \mathbb{N}^n$  an orthonormal basis  $\{\theta_i\}_{i \in I(\alpha)}$  of the subspace

$$\mathcal{G}(Q)_\alpha = \left( \sum_{\substack{\beta+\gamma=\alpha \\ \beta, \gamma > 0}} \mathcal{H}(Q)_\beta \mathcal{H}(Q)_\gamma \right)^\perp \subseteq \mathcal{H}(Q)_\alpha.$$

Let  $I$  be the union of the  $I(\alpha)$  for all  $\alpha$ . Note that  $\mathcal{G}(Q)_{e_i}$  is a 1-dimensional vector space spanned by  $u_i$  so that  $I(e_i)$  is a singleton. We define a bilinear form on  $\mathbb{Z}I$  by  $(i, j) = (\deg(\theta_i), \deg(\theta_j))$ . Assume that the matrix  $\tilde{C}$  represents the bilinear form with respect to the basis  $I$ .

**Lemma 4.3.** Every  $\theta_i$  is primitive, i. e.  $\Delta(\theta_i) = \theta_i \otimes 1 + 1 \otimes \theta_i$ .

*Proof.* Let us extend  $\{\theta_i\}_{i \in I} \subseteq (\zeta_j)_{j \in J}$  to a homogeneous orthonormal basis of  $\mathcal{H}(Q)$ . Then we can write  $\Delta(\theta_i) = \sum_{j, k \in J} c_{jk} \zeta_j \otimes \zeta_k$ . As  $\{\cdot, \cdot\}$  is a Hopf pairing, we have

$$\{\theta_i, \zeta_l \zeta_m\} = \sum_{j, k \in J} c_{jk} \{\zeta_j, \zeta_l\} \{\zeta_k, \zeta_m\} = c_{lm}.$$

for all  $l, m \in J$ . By definition  $\{\theta_i, xy\} = 0$  for all homogeneous elements  $x, y \in \mathcal{H}(Q)$  of degree  $\beta, \gamma$  with  $\alpha = \beta + \gamma$ , hence  $c_{l,m} = 0$  unless  $(\zeta_l, \zeta_m) = (\theta_i, 1)$  or  $(\zeta_l, \zeta_m) = (1, \theta_i)$  in which case  $c_{l,m} = 1$ .  $\square$

A *symmetric Borcherds matrix* is a generalization of a generalized Cartan matrix where diagonal entries are allowed to be in the set  $\{2, 0, -2, -4, \dots\}$ . Borcherds introduced these in the context of moonshine.

**Lemma 4.4.** The matrix  $\tilde{C}$  is a Borcherds matrix. Especially we have  $(i, j) \leq 0$  whenever  $i \neq j$ .

*Proof.* Let  $i, j \in I$  be distinct. By the previous lemma we have

$$\begin{aligned} \Delta(\theta_i \theta_j) &= (\theta_i \otimes 1 + 1 \otimes \theta_i)(\theta_j \otimes 1 + 1 \otimes \theta_j) \\ &= \theta_i \theta_j \otimes 1 + \theta_i \otimes \theta_j + v^{(i,j)} \theta_j \otimes \theta_i + 1 \otimes \theta_i \theta_j. \end{aligned}$$

From this equation we can follow that  $\{\theta_i \theta_j, \theta_i \theta_j\} = 1$  and  $\{\theta_i \theta_j, \theta_j \theta_i\} = v^{(i,j)}$ . The positive definiteness of the Hopf pairing implies for all  $x, y \in \mathbb{R}$  the inequality

$$0 \leq \{x\theta_i \theta_j + y\theta_j \theta_i, x\theta_i \theta_j + y\theta_j \theta_i\} = x^2 + 2v^{(i,j)}xy + y^2.$$

Thus, the discriminant of the quadratic form must satisfy  $4(1 - v^{(i,j)}) \geq 0$ . Hence  $1 \geq v^{(i,j)}$ , so that  $(i, j) \leq 0$ . For the proof of the other properties of Borcherds matrices we refer the reader to Sevenhant-Van Den Bergh [12, Proposition 3.2].  $\square$

Note that the matrix  $\tilde{C}$  is an infinite matrix with  $C$  in the top left corner. It follows that the root systems  $\tilde{\Phi}$  and  $\Phi$  associated with  $\tilde{C}$  and  $C$  have the same real roots and the same Weyl group. With the Borcherds matrix  $\tilde{C}$  we can associate a generalized Kac-Moody Lie algebra. Let  $\tilde{U}^+$  be its positive quantum group, which we construct similarly. As the Hopf pairing is non-degenerate on  $\mathcal{H}(Q)$ , a Sevenhant-Van Den Bergh's generalization [12] of Green's main theorem to generalized Kac-Moody algebras implies that we have an isomorphism of twisted Hopf algebras  $\mathcal{H}(Q) \cong \mathbb{R} \otimes_{\mathbb{Z}} \tilde{U}^+$ . We obtain a different proof of a theorem of Kac [7] for indecomposable quiver representations over finite fields.

**Theorem 4.5 (Kac).** We have  $\tilde{\Phi}^+ = \{\dim(M) : M \text{ indecomposable}\}$ . Moreover, the number of isoclasses of indecomposable representations of  $Q$  over  $k$  with dimension vector  $\alpha \in \tilde{\Phi}^+$  is equal to the multiplicity of  $\alpha$  in  $\tilde{\Phi}^+$ . Especially, up to isomorphism there exists only one indecomposable representation with dimension vector  $\alpha$  for every real root  $\alpha \in \Phi_{re}$ .

*Proof.* Compare the product formula (4.1) for  $\text{ch}(\mathcal{H}(Q))$  with the product formula (4.2) for the positive quantum group of  $\tilde{C}$ .  $\square$

## References

- [1] V. G. Drinfel'd: *Quantum Groups*, Proceedings of the ICM (1986)
- [2] R. Farnsteiner: *Quiver representations and quantum Serre relations*, Seminar talk (2016)
- [3] J. A. Green: *Hall algebras, hereditary algebras and quantum groups*, Invent. Math. **120** (1995), 361-377
- [4] J. A. Green: *Quantum groups, Hall algebras and quantum shuffles*, in Finite reductive groups (Luminy 1994), 273–290, Birkhäuser Prog. Math. **141** (1997)
- [5] A. Hubery: *Private communication* (2016)
- [6] V. G. Kac: *Infinite dimensional Lie algebras*, Cambridge University Press (1995)
- [7] V. G. Kac: *Infinite root systems, representations of graphs and invariant theory*, Invent. Math. **56** (1980), 57–92
- [8] C. Kassel: *Quantum Groups*, Springer (1995)
- [9] G. Lusztig: *Introduction on Quantum Groups*, Birkhäuser (1993)
- [10] S. Majid: *What is ... a quantum group?* Notices of the AMS **53** (1), 30–31
- [11] C. M. Ringel: *Hall algebras and quantum groups*, Invent. Math. **101** (1990), 583–591
- [12] B. Sevenhant, M. Van Den Bergh: *A relation between a conjecture of Kac and the structure of the Hall algebra*, J. pure and appl. Algebra **160** (2001), 319-332
- [13] M. Rosso: *Quantum groups and quantum shuffles*, Invent. Math. **133** (1998), 399–416
- [14] J. Xiao, *Drinfeld double and Ringel-Green theory of Hall algebras*, J. Algebra **190** (1997), 100–144