## Prüfer modules of finite type.

Claus Michael Ringel

Let  $\Lambda$  be an artin algebra. Recall that a module M is said to be a *Prüfer module* provided there exists a surjective locally nilpotent endomorphism  $\phi$  of M with non-zero kernel of finite length.

A module M is said to be of finite type provided it is the direct sum of copies of finitely many indecomposable modules of finite length. A module G is called *generic* provided it is indecomposable, of infinite length, and endo-finite (the latter means: it is of finite length when considered as a module over its endomorphism ring).

Claim. If there are no generic modules, then all Prüfer modules are of finite type.

More precisely:

**Proposition.** Let M be a Prüfer module. The following conditions are equivalent:

- (i) *M* is not of finite type.
- (ii) There is an infinite index I set such that the product module  $M^{I}$  has a generic direct summand.
- (iii) For every infinite index I set, the product module  $M^{I}$  has a generic direct summand.

Proof: The implications (iii)  $\implies$  (ii) is trivial. Also (ii)  $\implies$  (i) is obvious: If M is of finite type, then also all product modules  $M^I$  are of finite type. We only have to show (i)  $\implies$  (iii). (It it sufficient to consider  $I = \mathbb{N}$  in (iii), since any infinite index set I can be written as the disjoint union of  $\mathbb{N}$  and some other index set I', and then  $M^I = M^{\mathbb{N}} \oplus M^{I'}$ —however, there is no problem to work in general.)

Now assume that I is an infinite index set and that  $M^{I}$  has no indecomposable direct summand which is endo-finite and of infinite length. Since M is a Prüfer module, there is a surjective, locally nilpotent endomorphism  $\phi$  with kernel W = W[1] non-zero and of finite length. Let W[n] be the kernel of  $\phi^{n}$ . Thus

$$M[1] \subset M[2] \subset \cdots \subset \bigcup_{n} M[n] = M$$

is a filtration of M with finite length modules M[n]. We obtain a corresponding chain of inclusions

$$M[1]^I \subset M[2]^I \subset \cdots \subset \bigcup_n M[n]^I = M'$$

It has been shown in [R1] (see also [K]) that M' is isomorphic to a direct sum of copies of M and itself a direct summand of  $M^I$ ; there is an endo-finite submodule E of  $M^I$  such that

$$M^{I} = M' \oplus E.$$

Any endo-finite module E can be written as a direct sum of copies of finitely many indecomposable endo-finite modules, say  $E_1, \ldots, E_t$ . By assumption, all these modules  $E_i$ are of finite length. A well-known lemma of Auslander asserts that any indecomposable direct summand of  $M^I$  of finite length is a direct summand of M itself, thus the modules  $E_1, \ldots, E_t$  occur as direct summands of M.

Since M is artinian as a module over its endomorphism ring, M is  $\Sigma$ -algebraic compact, thus it is a direct sum of indecomposable modules with local endomorphism ring. Write  $M = A \oplus B$ , where A is a direct sum of copies of the various  $E_i$  and B has no direct summand of the form  $E_i$ , for any i. We want to show that B is of finite length. This then shows that M is of finite type.

The modules A, B are also filtered, with  $A_n = A \cap M[n]$ ,  $B_n = B \cap M[n]$  (it is obvious that  $A = \bigcup_n A_n$ ,  $B = \bigcup_n B_n$ ). For any n there is some n' with  $M[n] \subseteq A_{n'} \oplus B_{n'}$ . (Namely, let  $x \in M[n]$ , write x = a + b with  $a \in A$ ,  $b \in B$ . Then there is some n' with  $a, b \in M_{n'}$ , thus  $a \in A_{n'}, b \in B_{n'}$ .)

We write  $A' = \bigcup_i A_i^I$  and  $B' = \bigcup_i B_i^I$ . Then

$$M' = A' \oplus B'$$

(the inclusion  $\supseteq$  is obvious, the other follows from  $M[n]^I \subseteq (A_{n'} \oplus B_{n'})^I = A_{n'}^I \oplus B_{n'}^I \subseteq A' \oplus B'$ .). We see that

$$(A^I/A') \oplus (B^I/B') = M^I/M' = E,$$

thus  $A^{I}/A' = E_A$  and  $B^{I}/B' = E_B$  with  $E = E_A \oplus E_B$ . In particular,  $E_A$  and  $E_B$  are direct sums of copies of  $E_1, \ldots, E_t$ . Since the direct sum of the inclusion maps

$$A' \to A^I$$
 and  $B' \to B^I$ 

is a split monomorphism, the maps themselves are split monomorphisms, thus

$$A^I \simeq A' \oplus E_A$$
 and  $B^I \simeq B' \oplus E_B$ .

Consider the last isomorphism. If  $E_i$  is a direct summand of  $E_B$ , then it is a direct summand of B (Auslander Lemma), impossible. This shows that  $E_B = 0$ . But then  $B' = B^I$  implies that  $B = B_n$  for some n, thus  $B \subseteq M[n]$ . This shows that B is of finite length.

May-be one should record: Assume that  $M^{I}/M'$  is the direct sum of copies of indecomposable modules  $E_1, \ldots, E_t$  of finite length, then M is the direct sum of a finite length module B and of copies of the modules  $E_i$ . For dealing with Prüfer modules obtained using the ladder construction  $M = U_{\infty}/U_0$ , it seems to be of interest to relate the finite type properties of  $U_{\infty}$  and M. More generally, let us consider filtered modules in more generality.

**Lemma.** Let  $U_0 \subset U_1 \subset \cdots \subset \bigcup_i U_i = U_\infty$  be a filtration of  $U_\infty$  using finite length modules  $U_i$  and proper inclusions  $U_i \subset U_{i+1}$ . Consider the following conditions:

- (i)  $\bigoplus_{i \in \mathbb{N}} U_i$  is of finite type.
- (ii)  $U_{\infty}$  is of finite type.
- (ii')  $U_{\infty}/U_0$  is of finite type.
- (iii) Only finitely many inclusions  $U_i \subset U_{i+1}$  are radical morphisms.

(iv) Only finitely many modules  $U_i$  are indecomposable.

The following implications hold: (i)  $\implies$  (ii)  $\implies$  (iii)  $\implies$  (iv), and the conditions (ii) and (ii') are equivalent.

Proof: (i)  $\implies$  (ii): Projectify the modules  $M_i$ , so that all the modules  $U_i$  are projective. Then  $U_{\infty}$  is flat, thus projective ....

(ii)  $\implies$  (ii'): Let  $U_{\infty} = \bigoplus_{i \in I} M_i$  with all  $M_i$  indecomposable of finite length, and with only finitely many isomorphism classes of modules involved. Now  $U_0 \subseteq \bigoplus_{i \in I'} M_i = M'$  with I' a finite subset of I. Then

$$U_{\infty}/U_0 = M'/U_0 \oplus \bigoplus_{i \in I \setminus I'} M_i,$$

is a direct sum of indecomposable modules of finite length (one has to decompose  $M'/U_0$ ) and only finitely many isomorphism classes are involved.

 $(ii') \implies (ii)$ : Roiter's extension argument.

(ii)  $\implies$  (iii): Let  $U_{\infty} = M \oplus M'$  with M of finite length. Then  $M \subseteq U_i$  for some i. But then the inclusion  $M \subset U_{\infty}$  factors as follows  $M \subseteq U_i \subset U_{i+1} \subset U_{\infty}$ . This inclusion splits, thus there is a projection  $U_{\infty} \to M$  such that the composition

$$M \to U_i \to U_{i+1} \to U_\infty \to M$$

is the identity. This shows that  $U_i \to U_{i+1}$  is not in the radical.

(iii)  $\implies$  (iv): If a proper inclusion  $V \subset W$  does not belong to the radical, then W cannot be indecomposable: namely, there are indecomposable direct summands V' of V and W' of W which are isomorphic. Then |W'| = |V'| < |V| < |W|, thus W is decomposable.

## References

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