

# Coxeter Transformations and the Gelfand-Kirillov Dimension

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# Motivation

The *Gelfand-Kirillov dimension* measures the *growth rate* of a *filtered  $K$ -algebra*.

## Aim

We want to compute  $GK \dim$  for preprojective algebras.

# filtration of an algebra

Let  $K$  be a field and  $R$  a  $K$ -algebra with a filtration  $\{R_n\}$ , i.e.

- ▶  $R_n \subseteq R$  subgroup of  $(R, +)$   $\forall n$
- ▶  $R_i \subseteq R_j$  for  $i < j$
- ▶  $R_i R_j \subseteq R_{i+j}$   $\forall i, j$
- ▶  $\bigcup R_n = R$

$\{R_n\}$  is called

- ▶ **standard**, if  $(R_1)^n = R_n$   $\forall n$ .
- ▶ **finite-dimensional**, if  $R_0 = K$  and  $\dim_K R_n < \infty$   $\forall n$ .

# filtration of a module

Let  $M$  be a right  $R$ -module filtration  $\{M_n\}$ , i.e.

- ▶  $M_n \subseteq M$  subgroup  $\forall n$
- ▶  $M_i \subseteq M_j$  for  $i < j$
- ▶  $M_i R_j \subseteq M_{i+j} \quad \forall i, j$
- ▶  $\bigcup M_n = M$

$\{M_n\}$  is called

- ▶ **standard**, if  $M_n = M_0 R_n \quad \forall n$ .
- ▶ **finite-dimensional**, if  $\dim_K M_n < \infty \quad \forall n$ .

## special cases: $R$ affine; $M$ finitely generated

**$R$  affine:**  $R$  finitely generated by a finite-dimensional subspace  $V$  as a  $K$ -algebra.

- ▶  $V$  is called **generating subspace** of  $R$ .

Set  $R_0 := V^0 = K$  and  $R_n := \sum_{i=0}^n V^i$ . Then  $\{R_n\}$  is a standard finite-dimensional filtration of  $R$ .

$M$  finitely generated  $\Rightarrow M$  has a finite-dimensional subspace  $M_0$  s.th.  $M_0 R = M$ .

- ▶  $M_0$  is called **generating subspace** for  $M$ .

Set  $M_n := M_0 R_n$ . Then  $\{M_n\}$  is a standard finite-dimensional filtration of  $M$ .

# growth rate

Let  $f : \mathbb{N} \rightarrow \mathbb{R}_{\geq 1}$  be any function.

$f$  has **polynomially bounded growth** if

$$\exists v \in \mathbb{R} \text{ s.th. } f(n) \leq n^v \text{ for } n >> 0$$

The **growth rate** of  $f$  is then defined as

$$\gamma(f) = \inf\{v | f(n) \leq n^v \text{ for } n >> 0\}$$

For  $f$  not polynomially bounded, set  $\gamma(f) := \infty$ .

# growth rate independent

Set  $f(n) := \dim R_n$  and  $g(n) := \dim M_n$ .

## Lemma

*The growth rates  $\gamma(f)$  of  $R$  and  $\gamma(g)$  of  $M$  are independent of the choices of  $V$  and  $M_0$ .*

## Proof.

Let  $V'$ ,  $M'_0$  be other generating subspaces,  $\{R'_n\}$ ,  $\{M'_n\}$  the corresponding filtrations and  $f'(n) = \dim R'_n$ ,  $g'(n) = \dim M'_n$ .

- ▶  $\bigcup R_n = R$  and  $R_i \subseteq R_{i+1} \Rightarrow V' \subseteq R_a$  for some  $a$
- ▶  $\bigcup M_n = M$  and  $M_i \subseteq M_{i+1} \Rightarrow M'_0 \subseteq M_b$  for some  $b$

## Proof (Cont.)

- ▶  $\bigcup R_n = R$  and  $R_i \subseteq R_{i+1} \Rightarrow V' \subseteq R_a$  for some  $a$
  - ▶  $\bigcup M_n = M$  and  $M_i \subseteq M_{i+1} \Rightarrow M'_0 \subseteq M_b$  for some  $b$
- $$\Rightarrow M'_n = M'_0 R'_n = M'_0 (V' + K)^n \subseteq M_b R_{an} \subseteq M_{an+b}$$
- $$\Rightarrow g'(n) \leq g(an + b).$$

Let  $\gamma(g) = v, \varepsilon > 0$ . Then  $g(n) < n^{v+\varepsilon}$  for  $n \gg 0$ .

$$g'(n) \leq g(an + b) < (an + b)^{v+\varepsilon} = n^{v+\varepsilon} \left(a + \frac{b}{n}\right)^{v+\varepsilon} < n^{v+2\varepsilon}.$$

$$\Rightarrow \gamma(g') \leq v = \gamma(g).$$

## Proof (Cont.)

Switching the roles of  $V, M_0$  and  $V', M'_0$  gives  $\gamma(g') = \gamma(g)$ .

If we choose  $M = R$  and  $M_0 = K$ , then  $g = f$ . □

$$f(n) \leq n^\nu \quad \forall n >> 0 \Leftrightarrow \frac{\log f(n)}{\log n} \leq \nu \quad \forall n >> 0$$

$$\Leftrightarrow \limsup \frac{\log f(n)}{\log n} \leq \nu$$

Therefore

$$\gamma(f) = \limsup \frac{\log(f(n))}{\log(n)}$$

# Gelfand-Kirillov dimension

## Definition

$GK \dim(R) := \gamma(f)$ , where  $f(n) = \dim R_n$ , is the **Gelfand-Kirillov dimension** of the algebra  $R$ .

## Remark

If  $1 \in V$  then  $V^n = R_n$ , hence  $GK \dim(R) = \gamma(\dim V^n)$ .

# Coxeter transformation

Let  $Q$  be a quiver without loops and  $C \in GL(\mathbb{C}^n)$  the corresponding Cartan matrix, i.e.

- ▶  $c_{ii} = 2 \quad \forall i$
- ▶  $c_{ij} \in \mathbb{Z}_{\leq 0}$  for  $i \neq j$
- ▶  $c_{ij} \neq 0 \Leftrightarrow c_{ji} \neq 0.$

Let  $r_s$  be the reflection at vertex  $s$  with respect to  $C$ , i.e.  $r_s x = y$  where

- ▶  $y_s = -x_s - \sum_{i \neq s} c_{is} x_i$
- ▶  $y_i = x_i$  for all  $i \neq s.$

# Coxeter transformation

## Definition

The element  $c := r_n \dots r_2 r_1$  is called a **Coxeter transformation**.

## Remark (e.g. in [dIPT90], 1.1)

$X$  indecomposable non-injective  $\Rightarrow \dim \tau^{-1}X = c^{-1} \dim X$ .

# spectral radius

## Definition

The **spectral radius**  $\rho$  of a linear transformation  $c$  is the maximal  $|\lambda|$ , where  $\lambda$  is an eigenvalue of  $c$ .

## Proposition ([DR81], Proposition 1)

$\rho$  is an eigenvalue of  $c$ .

## Lemma (seen in [dIPT90], 1.6)

If  $Q$  is neither Dynkin nor Euclidean, then  $\rho > 1$ .

# Choosing a filtration

Let  $Q$  be a quiver without loops.

Choose  $V^m := \bigoplus_{i=0}^m \tau^{-i} KQ$ .

$V^m \cdot V^1 = V^{m+1}$  by defining  $\tau^{-k} P(s) \cdot P(t) := \tau^{-k-1} P(t)$ . Then  $V$  is a generating subspace of the filtration  $\{R_n = \sum_{i=0}^n V^i\}$ .

# $GK \dim(\Pi Q) - Q$ Dynkin

$Q$  Dynkin  $\Leftrightarrow R := \Pi Q$  finite-dimensional

$\Rightarrow \dim R_n$  is constant for  $n$  large.

Thus

$GK \dim(\Pi Q) = 0$  if  $Q$  Dynkin.

# $GK \dim(\Pi Q) - Q$ Euclidean

## Proposition ([DR76], Proposition 1.5)

If  $Q$  is Euclidean, there exists an  $h \geq 1$  such that  $\dim \tau^{-h}P = \dim P + \partial_c(\dim P)\delta$  for preprojective  $P$ .

where  $\partial_c$  is a linear functional called **defect**, corresponding to the Coxeter transformation  $c$ , and  $\delta$  is the "canonic" vector generating the radical subspace of all dimension vectors which are stable under the action of the Coxeter group.

$\partial_c$  and  $\delta$  are stated explicitly in [DR76, Section 6].

# $GK \dim(\Pi Q) - Q$ Euclidean - pt.2

Set  $d(n) := \sum_{i=0}^{n-1} \dim \tau^{-1} KQ = \dim V^{n-1}$ .

## Proposition

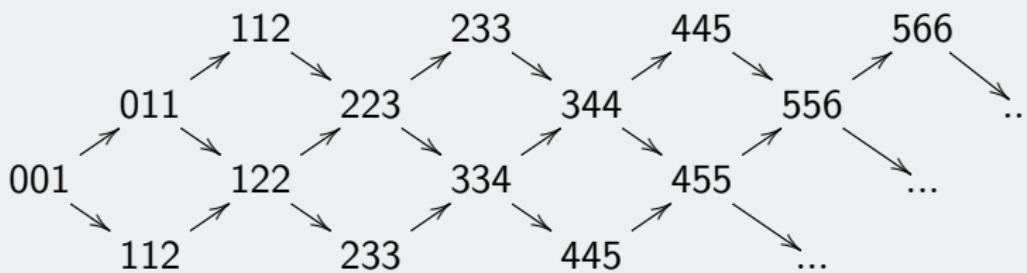
$$d(hn) = nd(h) + \binom{n}{2} \partial_c(\dim V^{h-1})\delta$$

# An example

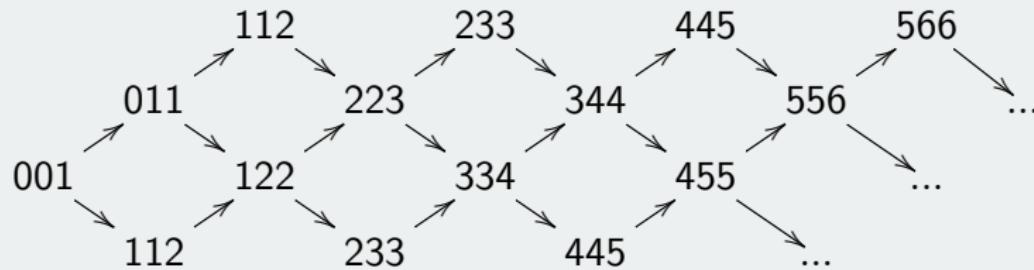
Let  $Q$  be the quiver of type  $\tilde{A}_2$ . Then  $h = 2$ ,  $\delta = \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}$



and  $\partial_c = (-3 \ 0 \ 3)$ . The AR-quiver looks like



with first and fourth row identified.



Choose  $n = 2$ .  $d(4) = \binom{30}{36}$ ,  $d(2) = \binom{6}{9}$ ,

$$\partial_c(\dim V^{h-1})\delta = (-3 \quad 0 \quad 3) \begin{pmatrix} 6 \\ 9 \\ 12 \end{pmatrix} \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix} = \begin{pmatrix} 18 \\ 18 \\ 18 \end{pmatrix}.$$

$$\Rightarrow nd(h) + \binom{n}{2} \partial_c(\dim V^{h-1})\delta = 2 \begin{pmatrix} 6 \\ 9 \\ 12 \end{pmatrix} + \begin{pmatrix} 18 \\ 18 \\ 18 \end{pmatrix} = \begin{pmatrix} 30 \\ 36 \\ 42 \end{pmatrix} = d(hn)$$

$$d(hn) = nd(h) + \binom{n}{2} \partial_c(\dim V^{h-1})\delta$$

## Proof.

- ▶  $\dim \tau^{-h} KQ = \dim KQ + \partial_c(\dim KQ)\delta$   
 $\Rightarrow \dim \tau^{-kh} KQ = \dim KQ + k\partial_c(\dim KQ)\delta$
- ▶ 
$$\begin{aligned} d(hn) &= \sum_{k=0}^{n-1} \sum_{i=kh}^{(k+1)h-1} \dim \tau^{-i} KQ \\ &= \sum_{k=0}^{n-1} \sum_{i=0}^{h-1} \dim \tau^{-kh} \tau^{-i} KQ \\ &= \sum_{k=0}^{n-1} \sum_{i=0}^{h-1} (\dim \tau^{-i} KQ + k \underbrace{\partial_c(\dim \tau^{-i} KQ)}_{=\partial_c(\dim KQ)} \delta) \\ &= \underbrace{\sum_{k=0}^{n-1} \sum_{i=0}^{h-1} \dim \tau^{-i} KQ}_{nd(h)} + \underbrace{\sum_{k=0}^{n-1} k \sum_{i=0}^h \partial_c(\dim KQ) \delta}_{\binom{n}{2} \partial_c(\dim V^{h-1})\delta} \end{aligned}$$



# $GK \dim(\Pi Q)$ - $Q$ Euclidean - pt.3

- ▶  $GK \dim(\Pi Q) = \gamma(d) = \inf\{v | \|d(n)\| \leq n^v \text{ for } n \gg 0\}.$
- ▶  $d(hn) = nd(h) + \binom{n}{2}\partial_c(\dim V^{h-1})\delta$
- ▶  $\binom{n}{2} = \frac{n(n-1)}{2} < \frac{n^2}{2}$
- ▶ for  $n$  large enough,  $\|d(h)\| \leq n$  and  $\|\binom{n}{2}\partial_c(\dim V^{h-1})\delta\| \leq n^2$

$\Rightarrow \|d(hn)\| \leq 2n^2$  for  $n$  sufficiently large  $\Rightarrow \|d(hn)\| \leq n^2$  for  $n \gg 0$

$GK \dim(\Pi Q) = 2$  for  $Q$  Euclidean.

# $GK \dim(\Pi Q)$ - $Q$ neither Dynkin nor Euclidean

Proposition ([DR81], Proposition 2)

$$\rho = \lim_{m \rightarrow \infty} \sqrt[m]{\|\dim \tau^{-m} KQ\|}$$

$$\begin{aligned} \frac{\log \|\dim \tau^{-m} KQ\|}{\log m} &= \frac{m}{\log m} \cdot \frac{\log \|\dim \tau^{-m} KQ\|}{m} \\ &= \underbrace{\frac{m}{\log m}}_{\substack{\longrightarrow \infty \\ m \rightarrow \infty}} \cdot \underbrace{\log \sqrt[m]{\|\dim \tau^{-m} KQ\|}}_{\substack{\longrightarrow \log \rho > 0 \\ m \rightarrow \infty}} \end{aligned}$$

Hence  $\gamma(f) = \infty$ , so

$GK \dim(\Pi Q) = \infty$  if  $Q$  is neither Dynkin nor Euclidean.

# Result

We have established the following

## Theorem

$$GK \dim(\Pi Q) = \begin{cases} 0, & \text{if } Q \text{ is Dynkin} \\ 2, & \text{if } Q \text{ Euclidean} \\ \infty, & \text{otherwise} \end{cases}$$

# References

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