# The Auslander bijections.

- Auslander, M.: Functors and morphisms determined by objects. In: Representation Theory of Algebras. Lecture Notes in Pure Appl. Math. 37. Marcel Dekker (1978), 1-244. Also in: Selected Works of Maurice Auslander, AMS (1999).
- Auslander, M.: Applications of morphisms determined by objects. In: Representation Theory of Algebras. Lecture Notes in Pure Appl. Math. 37. Marcel Dekker (1978), 245-327. Also in: Selected Works of Maurice Auslander, AMS (1999).
- Auslander, M., Reiten, I., Smalø, S.: Representation Theory of Artin Algebras. Cambridge Studies in Advanced Mathematics 36. Cambridge University Press. 1997. Chapter XI.
- Ringel, C. M.: The Auslander bijections: How morphisms are determined by modules. Bulletin of Mathematical Sciences (to appear). arXiv:1301.1251

#### Before we start: Submodule lattices.

M a  $\Lambda$ -module of finite length, SM its submodule lattice.

## **Examples:**

SM

S simple module

 $\int_{0}^{S}$ 

$$S \oplus S', \quad S, S' \text{ simple, } S \not\simeq S'$$

$$S \stackrel{S \oplus S'}{\longleftrightarrow} S'$$

$$S \oplus S', \quad S, S' \text{ simple, } S \simeq S'$$

$$S \stackrel{S \oplus S'}{\longleftrightarrow} S'$$

$$\mathbb{Z}/4\mathbb{Z}$$

$$\begin{array}{c}
\mathbb{Z}/4\mathbb{Z} \\
2\mathbb{Z}/4\mathbb{Z}
\end{array}$$

 $\Lambda$ Kronecker algebra

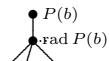


 $\mathcal{S}M$ 

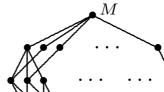
$$M = Q(a)$$
 (injective)

 $\begin{array}{c}
Q(a) \\
& \\
\operatorname{soc} Q(a) \\
0
\end{array}$ 

$$M = P(b)$$
 (projective)



$$\Lambda = k, \quad M = k^4$$



 $\mathbb{P}_3$ 

 $\mathbb{G}_2^2$ 

 $\mathbb{P}_3$ 

# The Auslander bijections.

 $\Lambda$  artin algebra, mod  $\Lambda$  the left  $\Lambda$ -modules of finite length

 $[\to Y]$  the set of right equivalence classes of maps ending in YDefinition:  $f \leq f' \iff f = f'h$  for some h. Call  $[f) = \{f' | f \leq f' \leq f\}$  the right equivalence class of f

It is a poset, even a lattice.

$$[f\rangle \leq [f'\rangle \iff f=f'h$$

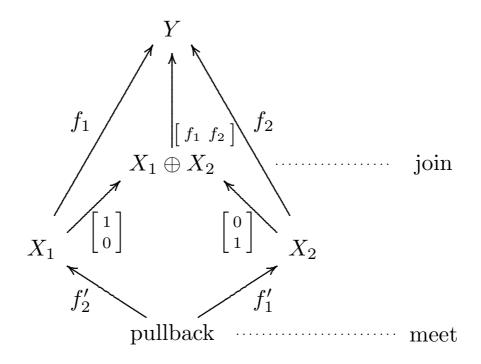
Any right equivalence class contains a right minimal map fRecall that this means:  $f: X \to Y, X = X' \oplus X'', f(X'') = 0 \Longrightarrow X'' = 0$ 

 $^{C}[
ightarrow Y
angle$  the subset of all [f
angle with f "right C-determined".

In the special case when C is a generator: f right C-determined  $\iff$  Ker  $f \in \operatorname{add} \tau C$ ( $\tau = D$  Tr the Auslander-Reiten translation.)

# The lattice structure of $[\rightarrow Y\rangle$ .

Given  $f_1: X_1 \to Y$ ,  $f_2: X_2 \to Y$ .



Remark: Even if  $f_1, f_2$  are right minimal, the maps  $[f_1f_2]$  and  $f'_2f_1$  usually are not right minimal. **Right** C-determination.  $f: X \to Y$  morphism, C a module.

f is right C-determined provided any  $f': X' \to Y$  such that  $f'\phi$  factors through f for all  $\phi: C \to X'$ , factors through f.



If add  $C = \operatorname{add} C'$ , then f right C-determined iff f right C'-determined.

f right C-determined  $\implies f$  right  $(C \oplus C')$ -determined.

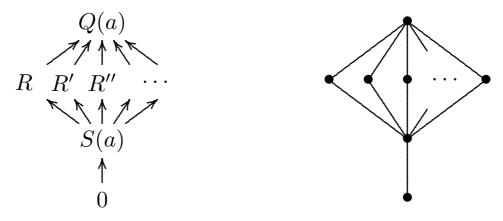
The subset  $^{C}[\rightarrow Y\rangle$  of  $[\rightarrow Y\rangle$  is closed under meets.

Warning. Usually it is not closed under joins.

It may not be advisable to look for subsets of  $[\to Y\rangle$  closed under joins. The closure under joins may become very large!

For  $C = \Lambda$ , the lattice  $C[\to Y]$  is just the submodule lattice SY of Y.

**Example:**  $\Lambda = \text{Kronecker algebra with sink } a$ , let Y = Q(a).



 $R, R', R'', \cdots$ : the indecomposable representations of length 2 (note: the join in  $C[\to Y]$  of maps  $f_1 \neq f_2$  in the height 2 layer is  $1: Y \to Y$ ),

the join in  $[\to Y]$  of pairwise different maps  $f_i: R_i \to Y$  is the direct sum map  $[f_1, \ldots, f_n]: R_1 \oplus \cdots \oplus R_n \to Y$  (and these maps are right minimal).

If  $|k| = \infty$ , the smallest subposet of  $[\to Y]$  closed under joins and containing the inclusion maps  $R \to Y$  (with R regular of length 2) has infinite height.

#### Auslander's First Theorem.

$$[\to Y\rangle = \bigcup_C{}^C [\to Y\rangle,$$

where C runs through all the  $\Lambda$ -modules or through representatives of all multiplicity-free generators.

This is a filtered union of meet-semilattices.

If M is a module, let SM be the lattice of all submodules. Consider Hom(C, Y) as a  $\Gamma(C)$ -module, where  $\Gamma(C) = End(C)^{op}$ .

**Second Theorem.** There is a poset isomorphism

$$\eta_{CY}: \ ^{C}[\to Y\rangle \longrightarrow \mathcal{S}\operatorname{Hom}(C,Y).$$

with  $\eta_{CY}(f) = \operatorname{Im} \operatorname{Hom}(C, f) = f \cdot \operatorname{Hom}(C, X)$ =  $\{h \in \operatorname{Hom}(C, Y) \mid h \text{ factors through } f\}.$  Transfer from  $S \operatorname{Hom}(C, Y)$  to  $C \rightarrow Y$ .

$$\eta_{CY}: \ ^C[\to Y\rangle \longrightarrow \mathcal{S}\operatorname{Hom}(C,Y).$$

 $\mathcal{S} \operatorname{Hom}(C, Y)$  is a modular lattice of finite height, thus also  $C[\to Y)$  is a modular lattice of finite height.

 $\mathcal{S}\operatorname{Hom}(C,Y)$  has two distinguished elements: zero and one.

one 
$$1_Y$$
  $\operatorname{Hom}(C,Y)$  zero  $\eta_{CY}^{-1}(0) = ??$   $0$ 

Jordan-Hölder Theorem (composition series and factors) — my lecture today.

**Krull-Remak-Schmidt Theorem** (indecomposable summands) — the corresponding theory for  $^C[\to Y)$  is not yet clear.

Quiver Grassmannian (SM considered as algebraic variety) — next week!

# The Jordan-Hölder Theorem for ${}^C[ o Y \rangle$ .

Height of the lattice  $C[\to Y]$ = length of a maximal chain of non-invertible maps

$$X_n \to X_{n-1} \to \cdots \to X_1 \to X_0 = Y$$

with all  $X_i \to \cdots \to X_0 = Y$  right minimal, right C-determined.

Let  $h_i: X_i \to X_{i-1}$  be maps with composition  $f = h_1 \dots h_t$ .  $(h_1, h_2, \dots, h_t)$  is called a right C-factorization of f of length t iff all  $h_i$  are non-invertible and

all  $f_i = h_i \cdots h_1$  are right minimal, right C-determined.

A right C-factorization  $(h_1, h_2, \ldots, h_t)$  is maximal provided it has no proper refinement.

**Proposition** Any right C-factorization  $(h_1, ..., h_t)$  has a refinement which is a maximal right C-factorization and all maximal right C-factorizations of  $(h_1, ..., h_t)$  have the same length.

In particular: any right minimal right C-determined map f has a refinement which is a maximal right C-factorization, its length t will be called the C-length of f, written  $|f|_C$ .

**Proposition** Let  $f: X \to Y$  be right minimal and right C-determined. Then

$$|f|_C = |\operatorname{Hom}(C, Y)| - |\eta_{CY}(f)|,$$

Here,  $|\operatorname{Hom}(C, Y)|$  is the length of  $\operatorname{Hom}(C, Y)$  as  $\Gamma(C)$ -module, and  $|\eta_{CY}(f)|$  the length of its submodule  $\eta_{CY}(f)$ .

Proof:  $|\operatorname{Hom}(C,Y)|$  is the height of  $[1_Y\rangle$ , and  $|\eta_{CY}(f)|$  is the height of  $[f\rangle$  in  $^C[\to Y\rangle$ .

The C-length of a map f. Two special cases for C.

Case 1. C projective.

The right minimal, right C-determined maps  $f: X \to Y$  are (up to right equivalence) just the inclusion maps of submodules X of Y such that the socle of Y/X is generated by C.

If  $f: X \to Y$  is right minimal and right C-determined, then f is injective and

$$|f|_C = \sum_{\substack{S \text{ with} \\ P(S)|C}} [\text{Cok}(f) : S].$$

 $\eta_{CY}^{-1}(0)$  is the inclusion  $X \to Y$ , where X is the intersection of the kernels of all maps  $Y \to Q(S)$ , with S simple, P(S)|C.

The C-length of a map f. Two special cases for C.

Case 2. Assume that K has semisimple endomorphism ring.

Let  $C = \tau^- K$  and assume that  $\mathcal{P}(C, Y) = 0$ .  $f: X \to Y$  right min., right C-det.  $\Longrightarrow f$  is surjective and

$$|f|_C = \mu(\operatorname{Ker}(f)).$$

 $\eta_{CY}^{-1}(0)$  is given by universal extension  $0 \to K' \to X \to Y \to 0$  with  $K' \in \operatorname{add} K$ .

**Example.**  $\Lambda$  the Kronecker algebra,

Y = (2,3) (preinjective);

C = (3, 2) (preprojective), thus K = (1, 0).

 $\operatorname{End}(C) = k$ , thus  $\mathcal{S} \operatorname{Hom}(C, Y)$  is the subspace set of  $\operatorname{Hom}(C, Y)$ .

 $\operatorname{Hom}(C,Y)=k^4$ , thus we deal with the geometry of  $\mathbb{P}_3$ .

 $\Lambda$  Kronecker algebra,  $\operatorname{\mathbf{dim}} Y = (2,3), \operatorname{\mathbf{dim}} C = (3,2).$ 

 $C[\rightarrow Y \rangle \simeq \mathcal{S}k^4$ : dim vector  $\mathbb{P}_3$   $\mathbb{G}_2^2$   $\cdots \cdots (4,3)$ 

 $\mathbb{P}_3 \qquad \cdots \qquad (5,3)$ 

Height 4:  $1_Y$ 

Height 3: multiplicity-free regular modules of length 6,

Height 2: modules with dimension vector (4,3),

both indecomposables, as well as decomposables.

Height 1: modules with dimension vector (5,3),

both indecomposables, as well as decomposables.

Height 0: the projective cover of Y.

#### The C-type of C-neighbors.

f, f' right minimal, right C-determined maps with  $[f] \leq [f']$ .

Call (f, f') C-neighbors provided  $|f|_C = |f'|_C + 1$ , provided  $|f\rangle < |f'\rangle$  and there is no f'' with  $|f\rangle < |f''\rangle < |f'\rangle$ 

Let (f, f') be C-neighbors,  $f: X \to Y$ ,  $f': X' \to Y$ . (f, f') is of type  $C_0$ , provided  $C_0$  an indecomposable direct summand of C and there is  $\phi: C_0 \to X'$  such that  $f'\phi$  does not factor through f.

(Such a summand  $C_0$  must exist, since otherwise f' would factor through f, since f is right  $C_0$ -determined.)

**Proposition** If (f, f') is of type  $C_0$ , then as  $\Gamma(C)$ -modules:

$$\eta_{CY}(f')/\eta_{CY}(f) \simeq \operatorname{top} \operatorname{Hom}(C, C_0)$$

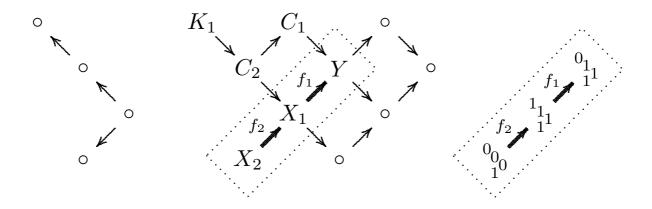
Note that  $\operatorname{Hom}(C, C_0)$  is a simple  $\Gamma(C)$ -module.

**Proposition** If (f, f') is of type  $C_0$ , then as  $\Gamma(C)$ -modules:

$$\eta_{CY}(f')/\eta_{CY}(f) \simeq \operatorname{top} \operatorname{Hom}(C, C_0)$$

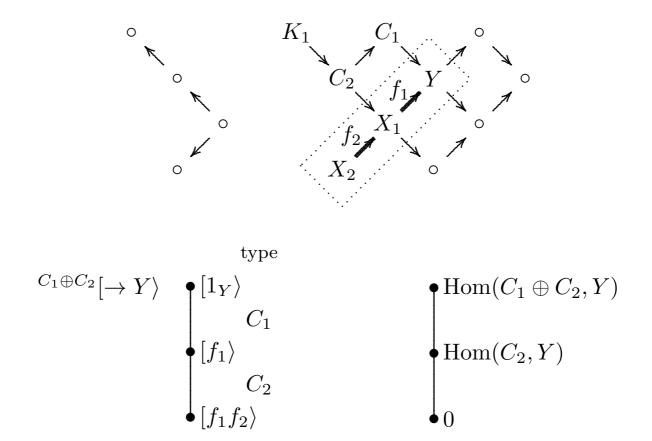
**Corollary** The type of a pair of C-neighbors is uniquely determined.

**Example.** We consider the quiver of type  $\mathbb{A}_4$  with two sinks and one source.



 $f_1$  is surjective with kernel  $K_1$ ,

 $f_1f_2$  is injective, the socle of the cokernel is generated by  $C_2$ 



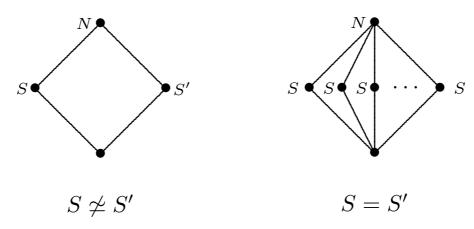
#### Intervals of height 2.

Consider intervals of height 2 which are not linearly ordered.

Such an interval in a submodule lattice SM is of the form SN with  $N = S \oplus S'$  and simple modules S, S'. There are two possibilities:

### I. No diagonals

## II. With diagonals

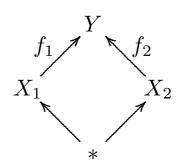


The number of elements of height 1 is  $1+|\operatorname{End}(S)|$ 

# Corresponding pictures for $^{C}[\rightarrow Y\rangle$ :

### I. No diagonals.

The modules  $X_1, X_2$  may be isomorphic or non-isomorphic!



Example 1.

 $\Lambda$  the Kronecker quiver

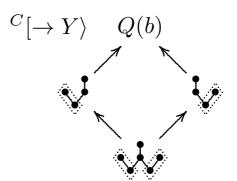
*b* ₩ Example 2.

 $\Lambda$  extended Kron. quiver



 $C[\rightarrow Y\rangle$  S(b)

$$C = R(0) \oplus R(\infty)$$



$$C = R(0) \oplus R(\infty)$$

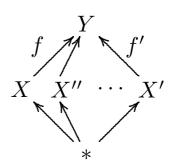
What matters are not  $X_1, X_2$ , but the C-types of  $f_1, f_2$ .

Here, the C-types are non-isomorphic.

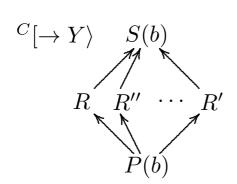
# Corresponding pictures for ${}^C[ o Y \rangle$ :

### II. With diagonals.

Again, the modules  $X, X', X'', \dots$  may be isomorphic or non-isomorphic!



Example 1.  $\Lambda$  the Kronecker quiver



Here, the C-types are isomorphic!

$$C =$$

Example 2.  $\Lambda$  hereditary of type  $\mathbb{G}_2$   $\downarrow$   $\stackrel{b}{\downarrow}$   $\stackrel{K}{\downarrow}$   $\stackrel{C}{[\rightarrow Y)}$   $\stackrel{\begin{bmatrix} 1\\1 \end{bmatrix}}{\begin{bmatrix} 1\\2 \end{bmatrix}}$   $\cdots$   $\stackrel{\begin{bmatrix} 1\\2 \end{bmatrix}}{\begin{bmatrix} 1\\3 \end{bmatrix}} = P(b)$   $C = P(a) = \begin{bmatrix} 0\\1 \end{bmatrix}$ 

Again, what matters are not X, X', but the C-types of f, f'.