

**Morphisms determined by objects:  
The case of modules over artin algebras.**

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Abstract. Let  $\Lambda$  be an artin algebra. In his Philadelphia Notes, M. Auslander showed that any homomorphism between  $\Lambda$ -modules is right determined by a  $\Lambda$ -module  $C$ , but a formula for  $C$  which he wrote down has to be modified. The paper presents corresponding counter-examples, but also provides a quite short proof of Auslander's assertion that any homomorphism is right determined by a module. Using the same methods, we describe the minimal right determiner of a morphism, as discussed in the book by Auslander, Reiten and Smalø. In addition, we look at the role of indecomposable projective direct summands of a minimal right determiner and provide a detailed analysis of the kernel-determined morphisms: these are those morphisms which are right determined by a module without any non-zero projective direct summand. In this way, we answer a question raised in the book by Auslander, Reiten and Smalø. What we encounter is an intimate relationship to the vanishing of  $\text{Ext}^2$ .

Let  $\Lambda$  be an artin algebra, the modules which we consider are finitely generated left  $\Lambda$ -modules. A morphism  $\alpha: X \rightarrow Y$  of  $\Lambda$ -modules is said to be *right determined* by a  $\Lambda$ -module  $C$  provided the following condition is satisfied: given any morphism  $\alpha': X' \rightarrow Y$  such that  $\alpha'\phi$  factors through  $\alpha$  for any  $\phi: C \rightarrow X'$ , then  $\alpha'$  itself factors through  $\alpha$ . This definition is due to Auslander; the papers [A1] and [A2] are devoted to this concept. One of the main assertions of Auslander claims that any morphism  $\alpha: X \rightarrow Y$  is right determined by  $C = \text{Tr } D(K) \oplus P(Q)$ , see [A2], Theorem 2.6; here  $K$  is the kernel,  $Q$  the cokernel of  $\alpha$ , and  $\text{Tr}(M)$  denotes the transpose,  $D(M)$  the dual and  $P(M)$  the projective cover of a module  $M$ .

The aim of this note is to show that this assertion is not correct as stated (in contrast to the weaker statements Theorem 3.17 (b) of [A1] and Corollary XI.1.4 in [ARS]). In section 1, we will present corresponding examples. The assertion has to be slightly modified: not the projective cover of  $Q$  is relevant, but the projective cover of the **socle**  $\text{soc } Q$  of  $Q$ .

**Theorem 1.** *Let  $\alpha: X \rightarrow Y$  be a morphism. Let  $K$  be the kernel of  $\alpha$  and  $Q$  the cokernel of  $\alpha$ . Then  $\alpha$  is right determined by  $\text{Tr } D(K) \oplus P(\text{soc } Q)$ .*

The modification of Auslander's treatment is formulated in Lemma 1 below (this should replace [A2] Lemma 2.1.b). Auslander's proof is somewhat hidden in two rather long papers, but there is a second treatment of this topic in the book by Auslander, Reiten, Smalø [ARS], see the last chapter. Still we feel that it may be appreciated if we provide a complete (and quite short) direct proof of Theorem 1. This will be done in section 2. In section 3 we will use the same methods in order to describe the minimal right determiner  $T(\alpha)$  of  $\alpha$ , as it was introduced in [ARS]. In section 4 we will discuss the following question: given a simple submodule  $S$  of  $\text{Cok}(\alpha)$ , when is  $P(S)$  a direct summand of  $T(\alpha)$ ? The final section 5 is devoted to a detailed analysis

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2010 *Mathematics Subject Classification.* Primary 16D90, 16G10. Secondary: 16G70.

of the structure of those maps  $\alpha$  which are right determined by  $\text{Tr } D(K)$ , with  $K$  the kernel of  $\alpha$ , or, equivalently, by a module without an indecomposable projective direct summand. The problem of characterizing this class was raised in [ARS].

Auslander's theory of morphisms being determined by modules has to be considered as an exciting frame for working with the category of  $\Lambda$ -modules. What Auslander has achieved is a clear description of the poset structure of this category as well as a blueprint for interrelating individual modules and families of modules. We refer to the survey [R] which outlines the general setting and shows the wealth of these ideas by exhibiting many examples.

**Acknowledgment.** Our interest in these questions was stimulated by a lecture of Henning Krause at the Shanghai Conference on Representation Theory of Algebras, October 2011, where he stressed the relevance of Auslander's work, see also [K]. The author has to thank Hideto Asashiba for having pointed out a wrong argument in a first version of the paper, as well as Idun Reiten and Gordana Todorov for many helpful comments.

## 1. Two Examples.

**Example 1.** Consider the quiver of type  $\mathbb{A}_3$  with linear orientation, say with simple modules indexed by 1, 2, 3, such that  $S(1)$  is projective,  $S(3)$  is injective. Let  $\alpha: S(1) \rightarrow P(3)$  be the inclusion map, thus the kernel is zero, and the projective cover of the cokernel is again  $P(3)$ . We claim that  $\alpha$  is not right determined by  $C = P(3)$ . Consider the inclusion map  $\alpha': P(2) \rightarrow P(3)$ . Obviously,  $\alpha'$  cannot be factored through  $\alpha$ . However, we have  $\text{Hom}(C, P(2)) = 0$ , and the only map  $\phi: C \rightarrow P(2)$  (the zero-map) has as composition with  $\alpha'$  the zero-map  $C \rightarrow P(3)$ . But the zero-map  $C \rightarrow P(3)$  factors through  $\alpha$ , trivially.

**Example 2.** Actually, an even easier example is given by the quiver  $\mathbb{A}_2$ , but here we deal with  $\alpha$  being a zero map (some may consider this as a degenerate case, thus we presented first another example). Denote the two simple modules by  $S(1)$  and  $S(2)$ , with  $S(1)$  being projective,  $S(2)$  being injective. We take as  $\alpha$  the zero-map  $0 \rightarrow P(2)$ , its cokernel is  $P(2)$  and already projective. But  $\alpha$  is not right determined by  $C = P(2)$ , since the inclusion map  $\alpha': S(1) \rightarrow P(2)$  does not factor through  $\alpha$  (after all,  $\alpha$  is zero), whereas for any map  $\phi: C \rightarrow S(1)$  (there is only the zero map) the composition  $\alpha'\phi$  factors through  $\alpha$ .

**Remark.** Let us stress that Auslander's claim is correct in case  $\Lambda$  is commutative, or, more generally, in case all the arrows of the quiver of  $\Lambda$  are loops. Namely, in this case (and only in this case)  $\text{add } P(M) = \text{add } P(\text{soc } M)$  for any  $\Lambda$ -module  $M$ .

## 2. The proof of Theorem 1.

We start with the necessary amendment to Auslander's treatment.

Given an indecomposable projective module  $P$ , we always will denote the inclusion map  $\text{rad } P \rightarrow P$  by  $\iota$ , the projection  $P \rightarrow P/\text{rad } P$  by  $\pi$ .

**Lemma 1.** *Let  $\alpha: X \rightarrow Y$  be a morphism with image  $\alpha(X)$ . Let  $\alpha': X' \rightarrow Y$  be a morphism. Assume that for any simple submodule  $S$  of the cokernel  $Q = \text{Cok}(\alpha)$  and any map  $\phi: P(S) \rightarrow X'$  with  $\alpha'\phi(\text{rad } P(S)) \subseteq \alpha(X)$ , the map  $\alpha'\phi$  factors through  $\alpha$ . Then the image of  $\alpha'$  is contained in  $\alpha(X)$ .*

Proof. We assume that the image of  $\alpha'$  is not contained  $\alpha(X)$  and want to derive a contradiction. Let us denote by  $\gamma: Y \rightarrow Q$  the cokernel map for  $\alpha$ . By assumption,  $\gamma\alpha' \neq 0$ . Let  $U$  be the image of  $\gamma\alpha'$ , with epimorphism  $\epsilon: X' \rightarrow U$  and inclusion map  $\mu: U \rightarrow Q$ , thus  $\mu\epsilon = \gamma\alpha'$ . Since  $U$  is non-zero, we may consider a simple submodule  $S$  of  $U$ , say with inclusion map  $\nu: S \rightarrow U$ . Of course,  $S$  is a simple submodule of  $Q$ . Let  $\pi: P(S) \rightarrow S$  be a projective cover of  $S$ . Since  $P(S)$  is projective and  $\epsilon$  is an epimorphism, we can lift  $\nu\pi$  and obtain a map  $\phi: P(S) \rightarrow X'$  with  $\epsilon\phi = \nu\pi$ . Note that

$$\gamma\alpha'\phi = \mu\epsilon\phi = \mu\nu\pi.$$

Since  $\pi\iota = 0$ , it follows that

$$\gamma\alpha'\phi\iota = \mu\nu\pi\iota = 0.$$

This shows that the image of  $\alpha'\phi\iota$  is contained in the kernel of  $\gamma$ , but this is  $\alpha(X)$ . In this way, we see that  $\alpha'\phi(\text{rad } P(S)) \subseteq \alpha(X)$ .

Thus, we are in the situation mentioned in the statement of the Lemma: there is given a map  $\phi: P(S) \rightarrow X'$ , such that  $\alpha'\phi(\text{rad } P(S)) \subseteq \alpha(X)$  and by the assumption of the Lemma, we know that the map  $\alpha'\phi$  factors through  $\alpha$ , say  $\alpha'\phi = \alpha\phi'$  for some  $\phi': P(S) \rightarrow X$ . Therefore

$$\mu\nu\pi = \gamma\alpha'\phi = \gamma\alpha\phi' = 0,$$

since  $\gamma$  is the cokernel of  $\alpha$ . But  $\mu\nu$  is a monomorphism, therefore  $\pi = 0$ , a contradiction.

Let us continue, as promised, with the complete proof of Theorem 1. The only prerequisite which we will use is the existence of almost split sequences. To be precise: we will need for any indecomposable non-injective module  $M$  a non-split short exact sequence

$$0 \rightarrow M \xrightarrow{\sigma} N \xrightarrow{\rho} \text{Tr } D(M) \rightarrow 0,$$

such that for any map  $\zeta: M \rightarrow N'$  which is not a split monomorphism, there is  $\zeta': N \rightarrow N'$  with  $\zeta = \zeta'\sigma$ .

**Lemma 2.** *Let  $\alpha: X \rightarrow Y$  be a morphism with kernel  $K$  and image  $\alpha(X)$ . Let  $\alpha': X' \rightarrow Y$  be a morphism with image contained in  $\alpha(X)$ . Assume that for any map  $\phi: \text{Tr } D(K) \rightarrow X'$ , the composition  $\alpha'\phi$  factors through  $\alpha$ . Then  $\alpha'$  factors through  $\alpha$ .*

**Remark.** Given a morphism  $\alpha: X \rightarrow Y$ , we may try to split off non-zero direct summands of  $X$  which lie in the kernel of  $\alpha$ . If this is not possible, then  $\alpha$  is said to be *right minimal*. In general, we may write  $X = X_0 \oplus X_1$  with  $X_0$  contained in the kernel of  $\alpha$  and such that  $\alpha|_{X_1}$  is right minimal; then we call the kernel of  $\alpha|_{X_1}$  the *intrinsic kernel* of  $\alpha$  (note that it is unique up to isomorphism). An indecomposable direct summand  $L$  of the kernel of  $\alpha$  is a direct summand of the intrinsic kernel, if and only if the composition of the embeddings  $L \subseteq K \subseteq X$  is not a split monomorphism.

It will be of interest in section 3 that one may replace in Lemma 2 the kernel  $K$  by the intrinsic kernel  $K'$ , thus the assertion of Lemma 2 can be strengthened as follows: *Assume that for any map  $\phi: \text{Tr } D(K') \rightarrow X'$ , the composition  $\alpha'\phi$  factors through  $\alpha$ . Then  $\alpha'$  factors through  $\alpha$ .*

Proof of Lemma 2 (and its strengthening). We may assume that  $Y = \alpha(X)$ , thus there is given the exact sequence  $\eta$  with epimorphism  $\alpha: X \rightarrow Y$

and kernel  $\mu: K \rightarrow X$ . We form the induced exact sequence  $\eta'$  with respect to  $\alpha'$ , thus there is the following commutative diagram with exact rows:

$$\begin{array}{ccccccccc} 0 & \longrightarrow & K & \xrightarrow{\mu} & X & \xrightarrow{\alpha} & Y & \longrightarrow & 0 & \eta \\ & & \parallel & & \uparrow \beta' & & \uparrow \alpha' & & & \\ 0 & \longrightarrow & K & \xrightarrow{\nu} & W & \xrightarrow{\beta} & X' & \longrightarrow & 0 & \eta' \end{array}$$

If  $\eta'$  is a split exact sequence, then  $\alpha'$  factors through  $\alpha$ .

Let us assume that  $\alpha'$  does not factor through  $\alpha$ , in order to derive a contradiction, again. Thus  $\eta'$  is not a split exact sequence. Write  $K = \bigoplus K_i$  with indecomposable modules  $K_i$  and projection maps  $\pi_i: K \rightarrow K_i$ . Since  $\eta'$  does not split, there is some index  $i$  such that the exact sequence induced from  $\eta'$  by the map  $\pi_i$  does not split. This means that we have the following commutative diagram with exact rows which do not split:

$$\begin{array}{ccccccccc} 0 & \longrightarrow & K & \xrightarrow{\nu} & W & \xrightarrow{\beta} & X' & \longrightarrow & 0 & \eta' \\ & & \pi_i \downarrow & & \pi_i' \downarrow & & \parallel & & & \\ 0 & \longrightarrow & K_i & \xrightarrow{\nu_i} & W_i & \xrightarrow{\beta_i} & X' & \longrightarrow & 0 & \eta_i' \end{array}$$

Let us add here, that  $K_i$  has to be a direct summand of the intrinsic kernel of  $\alpha$ . This observation is necessary in order to see that the remark made above is justified.

Since  $\nu_i: K_i \rightarrow W_i$  is a monomorphism which does not split, we see that  $K_i$  cannot be injective, thus there is an almost split sequence

$$0 \rightarrow K_i \xrightarrow{\sigma_i} V_i \xrightarrow{\rho_i} \text{Tr } D(K_i) \rightarrow 0,$$

and  $\nu_i$  can be factored as  $\nu_i = \nu_i' \sigma_i$  for some  $\nu_i': V_i \rightarrow W_i$ . Thus we obtain the following commutative square on the left, and therefore also the map  $\phi: \text{Tr } D(K_i) \rightarrow X'$  with a commutative square on the right:

$$\begin{array}{ccccccccc} 0 & \longrightarrow & K_i & \xrightarrow{\nu_i} & W_i & \xrightarrow{\beta_i} & X' & \longrightarrow & 0 & \eta_i' \\ & & \parallel & & \uparrow \nu_i' & & \uparrow \phi & & & \\ 0 & \longrightarrow & K_i & \xrightarrow{\sigma_i} & V_i & \xrightarrow{\rho_i} & \text{Tr } D(K_i) & \longrightarrow & 0 & \omega_i \end{array}$$

By assumption, the map  $\phi \alpha': \text{Tr } D(K_i) \rightarrow Y$  factors through  $\alpha$ , that means there is  $\phi': \text{Tr } D(K_i) \rightarrow X$  with  $\alpha \phi' = \alpha' \phi$ . Now,  $W$  is the pullback of  $\alpha, \alpha'$ , thus there is a map  $\phi'': \text{Tr } D(K_i) \rightarrow W$  such that  $\beta \phi'' = \phi$  and  $\beta' \phi'' = \phi'$ . It follows that

$$\phi = \beta \phi'' = \beta_i \pi_i' \phi''.$$

But if  $\phi$  factors through  $\beta_i$ , then the exact sequence  $\omega_i$  induced from  $\eta_i'$  by  $\phi$  has to split. This is a contradiction, since  $\omega_i$  is an Auslander-Reiten sequence, thus non-split.

**Proof of Theorem 1.** Let  $\alpha: X \rightarrow Y$  be a morphism with kernel  $K$  and cokernel  $Q$  and let  $C = \text{Tr } D(K) \oplus P(\text{soc } Q)$ . Let  $\alpha': X' \rightarrow Y$  be a morphism such that  $\alpha' \phi$  factors through  $\alpha$  for any map  $\phi: C \rightarrow X'$ .

If  $S$  is a simple submodule of  $Q$ , then  $P(S)$  is a direct summand of  $P(\text{soc } Q)$ , thus of  $C$ . Thus, for any map  $\phi: P(S) \rightarrow X'$ , the composition  $\alpha' \phi$  factors through  $\alpha$ . Lemma 1 asserts that the image of  $\alpha'$  is contained in

the image of  $\alpha$ . Now we use that  $\text{Tr } D(K)$  is a direct summand of  $C$ , thus for any map  $\phi: \text{Tr } D(K) \rightarrow X'$ , the composition  $\alpha' \phi$  factors through  $\alpha$ . Thus we can apply Lemma 2 in order to see that  $\alpha'$  factors through  $\alpha$ . This shows that  $\alpha$  is right determined by  $C$ .

**Example 3.** Let us add an example which may be illuminating, albeit it is extremely special. Let  $\Lambda$  be the path algebra of a finite directed quiver. Let  $b$  be a vertex of the quiver and assume that there are  $s$  arrows starting in  $b$ , say  $b \rightarrow a_i$  with  $1 \leq i \leq s$ , and that there are  $t$  arrows ending in  $b$ , say  $c_j \rightarrow b$  with  $1 \leq j \leq t$ . For any vertex  $x$ , we denote by  $S(x)$  the simple module with support  $x$ , by  $P(x)$  the projective cover of  $S(x)$ , by  $I(x)$  the injective envelope of  $S(x)$ .

Let  $\alpha$  be a non-zero map  $X = P(b) \rightarrow I(b) = Y$ , this is the homomorphism which we want to look at. Note that the image of  $\alpha$  is  $S(x)$ . The kernel of  $\alpha$  is the radical of  $P(b)$ , thus the direct sum of the modules  $P(a_i)$  with  $1 \leq i \leq s$ . The cokernel of  $\alpha$  is the factor module of  $I(b)$  modulo its socle, thus it is the direct sum of the modules  $I(c_j)$  with  $1 \leq j \leq t$ . The projective cover of the socle of  $I(c_j)$  is  $P(c_j)$ . Altogether we see: the theorem asserts that  $\alpha$  is right determined by the module

$$C = \bigoplus_{i=1}^s \text{Tr } D(P(a_i)) \oplus \bigoplus_{j=1}^t P(c_j).$$

But this module  $C$  is precisely the middle term of the almost split sequence starting in  $P(b)$ .

This should not come as a surprise. Namely, let  $X'$  be an indecomposable module and assume that there is a non-zero map  $\alpha': X' \rightarrow Y = I(b)$ . Then there is a map  $\beta': P(b) \rightarrow X'$  with composition  $\alpha' \beta' = \alpha$ . Now either  $\beta'$  is invertible so that  $\alpha'$  factors through  $\alpha$ , or else  $\beta'$  is not invertible and  $\alpha'$  does not factor through  $\alpha$ . In the latter case,  $\beta'$  factors through the minimal left almost split map  $\gamma: P(b) \rightarrow C$  starting in  $P(b)$ , this means that there is some  $\phi: C \rightarrow X'$  with  $\beta' = \phi \gamma$ . But if we look at the composition of  $\phi$  and  $\alpha'$ , then one should be aware that no non-zero map  $C \rightarrow I(b)$  factors through  $\alpha$ .

### 3. Minimal right determiners.

Taking into account the Remark after Lemma 2, the Theorem we discuss can be strengthened as follows: *Any morphism  $X \rightarrow Y$  is right determined by  $\text{Tr } D(K') \oplus P(\text{soc } Q)$ , where  $K'$  is the intrinsic kernel and  $Q$  the cokernel of  $\alpha$ .* But one can do even better.

Let us call a module  $T = T(\alpha)$  a *minimal right determiner* for  $\alpha$ , provided  $T$  right determines  $\alpha$  and is a direct summand of any module  $C$  which right determines  $\alpha$ . According to [ARS], Proposition XI.2.4, a minimal right determiner for  $\alpha$  exists and is the direct sum of all modules  $N$  which almost factor through  $\alpha$ , one from each isomorphism class. The aim of this section is to present a proof of this result using the considerations of section 2.

We recall from [ARS] that an indecomposable module  $N$  is said to *almost factor through*  $\alpha: X \rightarrow Y$  provided there is a morphism  $\eta: N \rightarrow Y$  which does not factor through  $\alpha$  whereas for any radical map  $\psi: M \rightarrow N$ , the composition  $\eta \psi$  factors through  $\alpha$ . Obviously, the latter condition can be replaced by the condition that the map  $\eta \rho$  factors through  $\alpha$ , where  $\rho$  is the minimal right almost split map ending in  $N$ . Thus an indecomposable module  $N$  almost

factors through  $\alpha$  provided there exists a commutative diagram

$$\begin{array}{ccc} M & \xrightarrow{\rho} & N \\ \eta' \downarrow & & \downarrow \eta \\ X & \xrightarrow{\alpha} & Y \end{array}$$

such that  $\eta$  does not factor through  $\alpha$  (with  $\rho$  minimal right almost split). Note that in case  $N = P$  is (indecomposable) projective, the minimal right almost split map ending in  $P$  is just the map  $\iota: \text{rad } P \rightarrow P$ .

**Lemma 3.** *Let  $P$  be an indecomposable projective module which almost factors through a map  $\alpha$ . Then  $P$  is the projective cover of a simple submodule of  $\text{Cok}(\alpha)$ .*

Proof. Let  $\eta: P \rightarrow Y$  be a map which does not factor through  $\alpha: X \rightarrow Y$ , whereas  $\eta \iota$  factors through  $\alpha$ . Consider the image  $U$  of  $\eta$  in  $Y$  and the factor module  $S = (U + \alpha(X))/\alpha(X) \subseteq Y/\alpha(X) = \text{Cok}(\alpha)$ . Since  $\eta(\text{rad } P) \subseteq \alpha(X)$ , we see that  $S$  is either simple or zero. But if  $S = 0$ , then  $\eta(P) \subseteq \alpha(X)$  and the projectivity of  $P$  implies that  $\eta$  factors through  $\alpha$ . Since this is not the case,  $S$  is simple and  $\eta$  provides an epimorphism  $P \rightarrow S$ .

**Lemma 4.** *Let  $\alpha: X \rightarrow Y$  be a morphism. Let  $K'$  be the intrinsic kernel of  $\alpha$  and  $P$  the direct sum of all indecomposable projective modules which almost factor through  $\alpha$ , one from each isomorphism class. Then  $\alpha$  is right determined by  $\text{Tr } D(K') \oplus P$ .*

Proof: Let  $\alpha': X' \rightarrow Y$  be a morphism which does not factor through  $\alpha$ . We have to find an indecomposable module  $C$  which is either of the form  $\text{Tr } D(L)$ , where  $L$  is a direct summand of  $K'$  or a projective module which almost factors through  $\alpha$ , and a morphism  $\phi: C \rightarrow X'$  such that  $\alpha'\phi$  does not factor through  $\alpha$ . According to the strengthened Lemma 2, such a pair  $C, \phi$  exists if the image of  $\alpha'$  is contained in the image  $\alpha(X)$  of  $\alpha$ .

Thus we can assume that the image of  $\alpha'$  is not contained in  $\alpha(X)$ . According to Lemma 1, there is a simple submodule  $S$  of the cokernel  $Q$  of  $\alpha$  and a map  $\phi: P(S) \rightarrow X'$  with  $\alpha'\phi(\text{rad } P(S)) \subseteq \alpha(X)$  such that  $\alpha'\phi$  does not factor through  $\alpha$ . Write  $\alpha = \alpha_2\alpha_1$  with inclusion map  $\alpha_2: \alpha(X) \rightarrow Y$ . Using this notation,  $\alpha'\phi \iota = \phi'\alpha_2$  for some  $\phi'$  (the restriction of  $\phi$ ). If  $\phi' = \alpha_1\phi''$ , then  $\alpha'\phi \iota = \alpha_2\alpha_1\phi'' = \alpha\phi''$  together with the fact that  $\alpha'\phi$  does not factor through  $\alpha$  shows that  $P(S)$  almost factors through  $\alpha$ , thus  $P(S), \phi$  is the required pair.

Finally, we have to consider the case where  $\phi'$  does not factor through  $\alpha_1$ . But then  $\alpha_2\phi'$  does not factor through  $\alpha$  (namely,  $\alpha_2\phi' = \alpha\psi$  shows that  $\alpha_2\phi' = \alpha\psi = \alpha_2\alpha_1\psi$ , but  $\alpha_2$  is injective, thus  $\phi' = \alpha_1\psi$ ). Now  $\alpha_2\phi'$  is a morphism with image in  $\alpha(X)$ , thus as in the first part of the proof, there is an indecomposable direct summand  $C$  of  $K'$  and a map  $\eta: C \rightarrow \text{rad } P$  such that  $\alpha_2\phi'\eta$  does not factor through  $\alpha$ . If we rewrite the composition  $\alpha_2\phi'\eta = \alpha'\phi\iota\eta = \alpha'(\phi\iota\eta)$ , then we see that we have achieved what we want, namely the pair  $C, \phi\iota\eta$ .

It remains to be seen that we have obtained in this way a minimal right determiner for  $\alpha$ , at least up to multiplicities.

**Lemma 5.** *Assume that  $\alpha$  is right determined by a module  $C$ . Let  $L$  be an indecomposable direct summand of the intrinsic kernel of  $\alpha$ . Then  $L$  is not injective,  $\text{Tr } D(L)$  is isomorphic to a direct summand of  $C$ , and  $\text{Tr } D(L)$  almost factors through  $\alpha$ .*

Proof: Let  $K$  be the kernel of  $\alpha$ , say with inclusion map  $\mu: K \rightarrow X$ . Since  $L$  is a direct summand of  $K$ , there is  $L'$  with  $K = L \oplus L'$ , and we denote by  $\mu': L \rightarrow K$  the embedding. And we write  $\alpha = \alpha_2\alpha_1$  with  $\alpha_1: X \rightarrow \alpha(X)$  surjective, and  $\alpha_2: \alpha(X) \rightarrow Y$  the inclusion map. Since  $\mu\mu'$  is an embedding which does not split, we see that  $K$  is not injective, thus there is an almost split sequence

$$0 \rightarrow L \xrightarrow{\sigma} M \xrightarrow{\rho} \text{Tr } D(L) \rightarrow 0,$$

and we can lift the map  $\mu\mu'$  to  $M$ : there is a map  $\mu'': M \rightarrow X$  with  $\mu''\sigma = \mu\mu'$ . Since  $\rho$  is the cokernel of  $\sigma$ , there is a map  $\eta: \text{Tr } D(L) \rightarrow Y$  such that  $\eta\rho = \alpha\mu''$ , thus we obtain the following commutative diagram:

$$\begin{array}{ccccccc} 0 & \longrightarrow & L & \xrightarrow{\sigma} & M & \xrightarrow{\rho} & \text{Tr } D(L) \longrightarrow 0 \\ & & \mu' \downarrow & & \mu'' \downarrow & & \downarrow \eta \\ 0 & \longrightarrow & K & \xrightarrow{\mu} & X & \xrightarrow{\alpha} & Y \end{array} .$$

We claim that  $\eta$  does not factor through  $\alpha$ . In order to prove this, we recall that  $L$  is a direct summand of  $K$ , say  $K = L \oplus L'$ , and we form the induced exact sequence the given Auslander-Reiten sequence with the split monomorphism  $\mu': L \rightarrow K = L \oplus L'$ . The induced sequence is the direct sum of the Auslander-Reiten sequence and a sequence of the form  $0 \rightarrow L' \rightarrow L' \rightarrow 0 \rightarrow 0$ , in particular non-split, see the diagram below. Since  $\mu''\sigma = \mu\mu'$ , we obtain a map  $\beta: M \oplus L' \rightarrow X$  and then a map  $\beta': \text{Tr } D(L) \rightarrow \alpha(X)$  such that the following diagram is commutative:

$$\begin{array}{ccccccc} 0 & \longrightarrow & L & \xrightarrow{\sigma} & M & \xrightarrow{\rho} & \text{Tr } D(L) \longrightarrow 0 \\ & & \mu' \downarrow & & \mu'' \downarrow & & \parallel \\ 0 & \longrightarrow & K & \xrightarrow{\mu} & M \oplus L' & \longrightarrow & Y \longrightarrow 0 \\ & & \parallel & & \beta \downarrow & & \downarrow \beta' \\ 0 & \longrightarrow & K & \xrightarrow{\mu} & X & \xrightarrow{\alpha_1} & \alpha(X) \longrightarrow 0 \end{array}$$

Note that a comparison with the diagram above shows that  $\eta = \alpha_2\beta'$ . From the diagram we see that the horizontal middle sequence is induced from the lower sequence by  $\beta'$ . Since the horizontal middle sequence does not split, we see that  $\beta'$  does not factor through  $\alpha_1$ . Now assume that  $\eta$  factors through  $\alpha$ , say  $\eta = \alpha\zeta$  for some  $\zeta: \text{Tr } D(L) \rightarrow X$ . Then

$$\alpha_2\alpha_1\zeta = \alpha\zeta = \eta = \alpha_2\beta',$$

implies that  $\alpha_1\zeta = \beta'$ , since  $\alpha_2$  is injective. But we know already that  $\beta'$  does not factor through  $\alpha_1$ , thus  $\eta$  does not factor through  $\alpha$ , as we wanted to show.

Since  $C$  right determines  $\alpha$ , and  $\eta: \text{Tr } D(L) \rightarrow Y$  does not factor through  $\alpha$ , there has to exist a morphism  $\phi: C \rightarrow \text{Tr } D(L)$  such that also  $\eta\phi$  cannot be factored through  $\alpha$ . Now again we use that the upper sequence is an Auslander-Reiten sequence. Assume that  $\phi$  is not split epi. Then there is  $\phi': C \rightarrow M$  such that  $\rho\phi' = \phi$ , and therefore

$$\eta\phi = \eta\rho\phi' = \alpha\beta\mu''\phi'$$

is a factorization of  $\eta\phi$  through  $\alpha$ , a contradiction. This shows that  $\phi$  is split epi, thus  $\text{Tr } D(L)$  is isomorphic to a direct summand of  $C$ .

Finally, we see that  $\text{Tr } D(L)$  almost factors through  $\alpha$ , since there is the diagram

$$\begin{array}{ccc} M & \xrightarrow{\rho} & \text{Tr } D(L) \\ \mu'' \downarrow & & \downarrow \eta \\ X & \xrightarrow{\alpha} & Y \end{array}$$

and  $\eta$  does not factor through  $\alpha$ .

**Lemma 6.** *Assume that  $\alpha$  is right determined by a module  $C$ . Let  $P$  be an indecomposable projective which almost factors through  $\alpha$ . Then  $P$  is isomorphic to a direct summand of  $C$ .*

Proof: There exists a commutative diagram

$$\begin{array}{ccc} \text{rad } P & \xrightarrow{\iota} & P \\ \downarrow & & \downarrow \eta \\ X & \xrightarrow{\alpha} & Y \end{array}$$

such that  $\eta$  does not factor through  $\alpha$ . Since  $C$  right determines  $\alpha$ , there must exist  $\phi: C \rightarrow P$  such that also  $\eta\phi$  does not factor through  $\alpha$ . Now  $\phi$  does not map into  $\text{rad } P$ , since otherwise  $\eta\phi$  would factor through  $\alpha$ . But this means that  $\phi$  is surjective and therefore a split epimorphism.

**Theorem 2.** *Let  $\alpha: X \rightarrow Y$  be given. Let  $T$  be the direct sum of modules of the form  $\text{Tr } D(L)$ , where  $L$  is an indecomposable direct summand of the intrinsic kernel of  $\alpha$  and of the indecomposable projective modules which almost factor through  $\alpha$ , one from each isomorphism class. Then  $T$  is a minimal right determiner for  $\alpha$ .*

Proof. This is a direct consequence of the Lemmata 4, 5 and 6.

**Corollary 1.** *Let  $\alpha: X \rightarrow Y$  be given. A non-projective indecomposable module  $N$  almost factors through  $\alpha$  if and only if  $N = \text{Tr } D(L)$  for some indecomposable direct summand  $L$  of the intrinsic kernel of  $\alpha$ .*

Proof. On the one hand, we have seen in Lemma 5 that the modules of the form  $\text{Tr } D(L)$  almost factor through  $\alpha$ . On the other hand, it is clear that an indecomposable module which almost factors through  $\alpha$  is a direct summand of any right determiner for  $\alpha$  (see for example [ARS] Lemma XI.2.1), thus of  $T(\alpha)$ .

**Corollary 2.** *Let  $\alpha: X \rightarrow Y$  be given. An indecomposable module  $N$  almost factors through  $\alpha$  if and only if it is a direct summand of  $T(\alpha)$ .*

#### 4. The indecomposable projective direct summands of $T(\alpha)$ .

Theorem 2 shows that  $T(\alpha)$  has two kinds of indecomposable direct summands: First of all, there are those of the form  $\text{Tr } D(L)$ , where  $L$  is any direct summand of the intrinsic kernel of  $\alpha$ , and clearly they are never projective. Second, there may be indecomposable projective modules. Here we want to discuss these latter summands.

Recall that if  $S$  is a simple module such that  $P(S)$  is a direct summand of  $T(\alpha)$ , then, according to Lemma 3,  $S$  is a simple submodule of  $\text{Cok}(\alpha)$ . But



the converse does not hold. *Not every module  $P(S)$  with  $S$  a simple submodule of  $\text{Cok}(\alpha)$  almost factors through  $\alpha$ .*

**Example 4.** This example has been exhibited in the book of Auslander, Reiten, Smalø [ARS], after Proposition XI.1.6. Let  $\Lambda$  be a local uniserial ring with the unique simple module  $S$ , and let  $\alpha: P \rightarrow Y$  be a morphism with  $P$  the indecomposable projective module and  $Y$  also indecomposable. If  $P = P(S)$  almost factors through  $\alpha$ , then  $\alpha = 0$ , and therefore  $\alpha$  is right determined by  $\text{Tr } D(\text{Ker}(\alpha))$ .

Actually, for any artin algebra with global dimension at least 2 there do exist corresponding examples, as the following basic observation shows:

**Example 5.** Let  $\delta: P_1 \rightarrow P_0$  be a minimal presentation of a simple module  $S$ . If  $P(S) (= P_0)$  almost factors through  $\delta$ , then  $\delta$  is injective, thus the projective dimension of  $S$  is at most 1. Proof: Write  $\delta = \iota\epsilon$ , where  $\iota: \text{rad } P_0 \rightarrow P_0$  is the inclusion map. If  $P_0$  almost factors through  $\delta$ , there is  $\eta: P_0 \rightarrow P_0$  not factoring through  $\delta$  and  $\eta': \text{rad } P_0 \rightarrow P_1$  such that  $\eta\iota = \delta\eta'$ , whereas  $\eta$  does not factor through  $\delta$ . Then  $\delta$  does not map into  $\text{rad } P_0$ , therefore  $\eta$  has to be invertible, and  $\eta\iota = \iota\epsilon\eta'$  implies that  $\iota = \eta^{-1}\iota\epsilon\eta'$ , thus  $1_{\text{rad } P_0} = \epsilon\eta'$ . But this means that  $\epsilon$  is split epimorphism, thus an isomorphism (since it is a projective cover).

Here are three sufficient conditions for  $P(S)$  to be a direct summand of  $T(\alpha)$ .

**Proposition 1.** *Let  $\alpha: X \rightarrow Y$  be a monomorphism with cokernel  $Q$ . If  $S$  is a simple submodule of  $Q$ , then  $P(S)$  almost factors through  $\alpha$ .*

Proof. We may assume that  $\alpha$  is an inclusion map. Since  $S$  is a submodule of  $Y/X$ , there is a map  $\eta: P(S) \rightarrow Y$ , such that the composition of  $\eta$  with  $Y \rightarrow Y/X$  maps onto  $S$ . But then  $\eta(\text{rad } P(S)) \subseteq X$ . Thus  $P(S)$  almost factors through  $\alpha$ .

**Proposition 2.** *Let  $\alpha: X \rightarrow Y$  be a morphism. If  $S$  is a simple submodule of  $Y$  with  $S \cap \alpha(X) = 0$ , then  $P(S)$  almost factors through  $\alpha$ .*

Proof: Let  $S$  is a simple submodule of  $Y$ , and let  $\eta: P(S) \rightarrow Y$  be a morphism with image  $S$ . Then  $\eta\iota = 0$ . Thus the following diagram commutes:

$$\begin{array}{ccc} \text{rad } P(S) & \xrightarrow{\iota} & P(S) \\ 0 \downarrow & & \downarrow \eta \\ X & \xrightarrow{\alpha} & Y \end{array}$$

Since  $S \cap \alpha(X) = 0$ , we see that  $\eta$  does not factor through  $\alpha$ .

**Proposition 3.** *Let  $\alpha: X \rightarrow Y$  be a morphism with cokernel  $Q$ . Let  $S$  be a simple submodule of  $Q$ . If the projective dimension of  $S$  is at most 1, then  $P(S)$  almost factors through  $\alpha$ .*

**Proof.** Let  $\pi: P(S) \rightarrow S$  be a projective cover and  $\nu: S \rightarrow Q$  the inclusion map. Let  $\gamma: Y \rightarrow Q$  be the cokernel map. The projectivity of  $P(S)$  yields a map  $\eta: P(S) \rightarrow Y$  such that  $\gamma\eta = \nu\pi$ . Here, we denote the projection  $Y \rightarrow Y/\alpha(X) = Q$  by  $\gamma$ . Then  $\gamma\eta\iota = \nu\pi\iota = 0$ , thus  $\eta$  maps  $\text{rad } P(S)$  into  $\alpha(X)$ . This shows that we have the following commutative diagram

$$\begin{array}{ccc} \text{rad } P(S) & \xrightarrow{\iota} & P(S) \\ \eta' \downarrow & & \downarrow \eta \\ \alpha(X) & \xrightarrow{\alpha_2} & Y \end{array}$$

as before we write  $\alpha = \alpha_2\alpha_1$  where  $\alpha_2: \alpha(X) \rightarrow Y$  is the canonical inclusion of  $\alpha(X) = \alpha(X)$  into  $Y$ . Since the projective dimension of  $S$  is at most 1, we know that  $\text{rad } P(S)$  is projective, thus we can lift  $\eta'$  and obtain  $\eta'': \text{rad } P(S) \rightarrow X$  with  $\alpha_1\eta'' = \eta'$ , thus there is the commutative diagram

$$\begin{array}{ccc} \text{rad } P(S) & \xrightarrow{\iota} & P(S) \\ \eta'' \downarrow & & \downarrow \eta \\ X & \xrightarrow{\alpha} & Y \end{array}$$

Of course,  $\eta$  does not factor through  $\alpha$  since  $\gamma\eta \neq 0$ .

It follows that for a hereditary artin algebra, the projective cover  $P(S)$  of any simple submodule of  $\text{Cok}(\alpha)$  is a direct summand of  $T(\alpha)$ .

Finally, there is the following characterization:

**Proposition 4.** *Let  $S$  be a simple module. Then  $P(S)$  is a direct summand of  $T(\alpha)$  if and only if there exists a module  $J$  with submodule  $X$  and  $J/X = S$  and a morphism  $\tilde{\alpha}: J \rightarrow Y$  such that its restriction to  $X$  is  $\alpha$  and the kernels of  $\alpha$  and  $\tilde{\alpha}$  coincide.*

The condition that the kernels of  $\alpha$  and  $\tilde{\alpha}$  coincide is equivalent to the condition that the image of  $\alpha$  is properly contained in the image of  $\tilde{\alpha}$ .

Proof: First, let us assume that there exists a module  $J$  with submodule  $X$  and  $J/X = S$  and a morphism  $\tilde{\alpha}: J \rightarrow Y$  such that its restriction to  $X$  is  $\alpha$  and such that the image of  $\alpha$  is properly contained in the image of  $\tilde{\alpha}$ . Denote the projection map  $J \rightarrow J/X = S$  by  $\epsilon$ . Let  $\pi: P(S) \rightarrow S$  be a projective cover and lift it to  $J$ , thus we obtain  $\pi': P(S) \rightarrow J$  such that  $\epsilon\pi' = \pi$ . Since  $\epsilon\pi'(\text{rad } P(S)) = \pi(\text{rad } P(S)) = 0$ , we have  $\pi'(\text{rad } P(S)) \subseteq X$ . Let us denote by  $\pi'': \text{rad } P(S) \rightarrow X$  the restriction of  $\pi'$  to  $\text{rad } P(S)$ . Then the diagram

$$\begin{array}{ccc} \text{rad } P(S) & \xrightarrow{\iota} & P(S) \\ \pi'' \downarrow & & \downarrow \tilde{\alpha}\pi' \\ X & \xrightarrow{\alpha} & Y \end{array}$$

commutes, since  $\tilde{\alpha}|_X = \alpha$ .

It remains to be seen that  $\tilde{\alpha}\pi'$  does not factors through  $\alpha$ . Assume for the contrary that  $\tilde{\alpha}\pi' = \alpha\zeta$ , for some map  $\zeta: P(S) \rightarrow X$ . Now  $J = X + \pi'(P(S))$ , thus

$$\begin{aligned} \tilde{\alpha}(J) &= \tilde{\alpha}(X + \pi'(P(S))) = \alpha(X) + \tilde{\alpha}\pi'(P(S)) \\ &= \alpha(X) + \alpha\zeta(P(S)) = \alpha(X), \end{aligned}$$

contrary to our assumption.

Conversely, assume that  $P(S)$  almost factors through  $\alpha$ , thus we have a diagram of the following form

$$\begin{array}{ccc} \text{rad } P(S) & \xrightarrow{\iota} & P(S) \\ \eta' \downarrow & & \downarrow \eta \\ X & \xrightarrow{\alpha} & Y \end{array}$$

and  $\eta$  does not factor through  $\alpha$ , thus the image of  $\eta$  is not contained in the image of  $\alpha$ . Starting with the exact sequence with monomorphism  $\iota$ , we form

the sequence induced by  $\eta'$  and obtain the following commutative diagram with exact rows:

$$\begin{array}{ccccccccc}
0 & \longrightarrow & \text{rad } P(S) & \xrightarrow{\iota} & P(S) & \xrightarrow{\pi} & S & \longrightarrow & 0 \\
& & \eta' \downarrow & & \downarrow \eta'' & & \parallel & & \\
0 & \longrightarrow & X & \xrightarrow{\iota'} & J & \longrightarrow & S & \longrightarrow & 0
\end{array}$$

Since  $\eta\iota = \alpha\eta'$ , there is a map  $\tilde{\alpha}: J \rightarrow Y$  such that  $\alpha = \tilde{\alpha}\iota'$  and  $\eta = \tilde{\alpha}\eta''$ . Thus, we see that  $\alpha$  has an extension  $\tilde{\alpha}$  to  $J$ . Since  $\eta = \tilde{\alpha}\eta''$ , the image of  $\eta$  is contained in the image of  $\tilde{\alpha}$ . This shows that the image of  $\tilde{\alpha}$  cannot be equal to the image of  $\alpha$ , since otherwise the image of  $\eta$  would be contained in the image of  $\alpha$ , in contrast to our assumption. This concludes the proof.

Proposition 4 (but also already Proposition 3) show that the obstructions for the projective cover  $P(S)$  of a simple submodule of  $\text{Cok}(\alpha)$  to be a direct summand of  $T(\alpha)$  are elements of  $\text{Ext}^2$ , namely the equivalence classes of the exact sequences

$$(*) \quad 0 \rightarrow K \rightarrow X \rightarrow J \rightarrow S \rightarrow 0,$$

where  $K$  is the kernel of  $\alpha$  and  $J = \gamma^{-1}(S)$  (here  $\gamma$  is the cokernel map  $Y \rightarrow \text{Cok}(\alpha)$ ) and where the composition of the map  $X \rightarrow J$  with the inclusion map  $J \rightarrow Y$  is just  $\alpha$ . Thus we have:

**Corollary.** *Let  $\alpha: X \rightarrow Y$  be a morphism with kernel  $K$  and cokernel  $Q$ . If  $S$  is a submodule of  $Q$  and  $\text{Ext}^2(S, K) = 0$ , then  $P(S)$  is a direct summand of  $T(\alpha)$ .*

## 5. Kernel-determined morphisms.

Since any morphism  $\alpha$  is right determined by the direct sum of the module  $\text{Tr } D(\text{Ker}(\alpha))$  and a projective module  $P$ , one may ask for a characterization of those morphisms  $\alpha$  for which one of these two summands already right determines  $\alpha$ .

First, let us deal with the morphisms which are right determined by a projective module. Here, the answer is well-known and easy to obtain: *A morphism  $\alpha$  is right determined by a projective module if and only if  $\alpha$  is injective* (see Theorem 1 and Lemma 5).

Also, *an inclusion map  $X \rightarrow Y$  is right determined by the projective module  $P$ , if and only if  $P$  generates the socle of  $Y/X$ .* (If  $P$  generates the socle of  $Y/X$ , then  $P$  right determines  $\alpha$  according to Theorem 1. Conversely, assume that  $P$  right determines  $\alpha$ , and let  $S$  be a simple submodule of  $Y/X$ . According to Proposition 1,  $P(S)$  almost factors through  $\alpha$ , thus Theorem 2 asserts that  $P(S)$  is a direct summand of  $P$ . This shows that  $P$  generates the socle of  $Y/X$ .) There is the following consequence: *If we fix a projective module  $P \neq 0$ , and consider any module  $X$ , then there are morphisms  $\alpha: X \rightarrow Y$  with  $Y$  of arbitrarily large length, such that  $\alpha$  is right determined by  $P$*  (just take the inclusion maps of the form  $X \rightarrow Y$  with  $Y$  the direct sum of  $X$  and arbitrarily many copies of  $P/\text{rad}(P)$ ). If  $\Lambda$  is representation-infinite, then there are even such examples with  $Y$  indecomposable.

The second case are the morphisms  $\alpha$  which are right determined by  $\text{Tr } D(\text{Ker}(\alpha))$ , we call them *kernel-determined* morphisms. This is the topic

of the considerations in this section. Note that the problem of characterizing these maps has been raised in [ARS], 368-369.

**Lemma 7.** *Let  $\alpha$  be a morphism. The following conditions are equivalent:*

- (i)  $\alpha$  is right determined by  $\text{Tr } D(K)$ , where  $K$  is the kernel of  $\alpha$ .
- (ii)  $\alpha$  is right determined by  $\text{Tr } D(K')$ , where  $K'$  is the intrinsic kernel of  $\alpha$ .
- (iii)  $\alpha$  is right determined by a module  $C$  without an indecomposable projective direct summand.

Proof. Clearly (ii)  $\implies$  (i)  $\implies$  (iii). Now assume (iii). According to Theorem 2, any indecomposable projective module  $P$  which almost factors through  $\alpha$  is a direct summand of  $C$ , thus there are no such modules  $P$ . Using again Theorem 2, we see that (ii) is satisfied.

Note that  $\alpha$  is kernel-determined if and only if the equivalent conditions of lemma 7 are satisfied. Let us first show that for a kernel-determined morphism  $\alpha: X \rightarrow Y$ , the length of  $Y$  is bounded by a number which only depends on  $X$ . We denote by  $|M|$  the length of the module  $M$ .

**Lemma 8.** *If  $\alpha: X \rightarrow Y$  is kernel-determined, then  $Y$  is an essential extension of  $\alpha(X)$ ; in particular,  $|Y| \leq q|X|$  where  $q$  is the maximal length of an indecomposable injective module.*

If  $Y$  is an essential extension of  $N = \alpha(X)$ , then we may assume that  $Y$  is a submodule of  $I(N)$  with  $N \subseteq Y$ .

Proof of lemma 8. According to Proposition 2, there is no simple submodule  $S$  of  $Y$  with  $S \cap \alpha(S) = 0$ , this just means that  $Y$  is an essential extension of  $\alpha(X)$ . Thus  $Y$  can be considered as a submodule of the injective envelope  $I$  of  $\alpha(X)$ . But then  $|I| \leq q|\alpha(X)| \leq q|X|$ .

Given a module  $M$ , let  $\overline{M}$  be a module having  $M$  as an essential submodule with  $\overline{M}/M$  semisimple and such that  $\overline{M}$  is of maximal possible length; we call  $\overline{M}$  a *small envelope* of  $M$ . We can construct  $\overline{M}$  as follows:

$$\overline{M} = \omega^{-1}(\text{soc } I(M)/M),$$

where  $I(M)$  is an injective envelope of  $M$  and  $\omega: I(M) \rightarrow I(M)/M$  is the canonical projection map (thus, if necessary, we will assume that  $\overline{M}$  is a submodule of  $I(M)$  which contains  $M$ ). Clearly, any homomorphism  $\phi: M \rightarrow N$  gives rise to an extension  $\overline{\phi}: \overline{M} \rightarrow \overline{N}$  (by this we mean a homomorphism whose restriction to  $M$  is just  $\phi$ ). Let us stress that usually  $\overline{\phi}$  is not uniquely determined (the construction  $M \mapsto \overline{M}$  is not functorial). But there is the following unicity result which is of interest for the further considerations:

**Lemma 9.** *Let  $\epsilon: X \rightarrow N$  be an epimorphism, and choose an injective envelope  $I(N)$  of  $N$ . Then there is a (uniquely determined) submodule  $N \subseteq I_\epsilon(N) \subseteq I(N)$  with the following property: If  $\overline{X}$  is a small envelope of  $X$  and  $\overline{\epsilon}: \overline{X} \rightarrow I(N)$  is an extension of  $\epsilon$ , then  $\overline{\epsilon}(\overline{X}) = I_\epsilon(N)$ .*

Proof: If we deal with two extensions of  $\epsilon$ , say  $\epsilon_1, \epsilon_2: \overline{X} \rightarrow I(N)$ , then the difference  $\epsilon_2 - \epsilon_1$  vanishes on  $X$  and its image is a semisimple module. But any semisimple submodule of  $I(N)$  is contained in  $N$  and  $N = \epsilon(X) \subseteq \epsilon_1(\overline{X})$ . Thus,  $\epsilon_2 = \epsilon_1 + (\epsilon_2 - \epsilon_1)$  shows that

$$\epsilon_2(\overline{X}) \subseteq \epsilon_1(\overline{X}) + (\epsilon_2 - \epsilon_1)(\overline{X}) \subseteq \epsilon_1(\overline{X}) + N \subseteq \epsilon_1(\overline{X}).$$

Of course, by symmetry we also have  $\epsilon_2(\overline{X}) \subseteq \epsilon_1(\overline{X})$ , and therefore equality.

Clearly, the submodule  $I_\epsilon(N)$  incorporates the information about the vanishing in  $\text{Ext}^2$  of the exact sequences of the form  $(*)$ , where  $K \rightarrow X$  is the kernel map for  $\epsilon: X \rightarrow N$ .

**Theorem 3.** *Let  $\epsilon: X \rightarrow N$  be an epimorphism. Consider a submodule  $N \subseteq Y \subseteq I(N)$  and denote by  $\nu: N \rightarrow Y$  the inclusion map. Let  $\alpha = \nu\epsilon$ . Then  $\alpha: X \rightarrow Y$  is kernel-determined if and only if  $Y \cap I_\epsilon(N) = N$ .*

Proof. We fix some notation. Let  $D = Y \cap I_\epsilon(N)$ . Let  $\nu': N \rightarrow D$ ,  $\nu'': D \subseteq Y$ ,  $\nu''': Y \rightarrow I(N)$ ,  $\kappa: D \rightarrow I_\epsilon(N)$ , and  $\mu: X \rightarrow \overline{X}$  be the inclusion maps. Thus we have  $\nu = \nu''\nu'$ .

The inclusion map  $\kappa\nu': X \rightarrow I_\epsilon(N)$  is part of the following commutativity relation:

$$(1) \quad \kappa\nu'\epsilon = \bar{\epsilon}_1\mu,$$

where we denote by  $\bar{\epsilon}_1$  the epimorphism part of an extension  $\bar{\epsilon}$  of  $\epsilon$ .

First, let us assume that  $\nu': N \subset D = Y \cap I_\epsilon(N)$  is a proper inclusion. Then there exists an indecomposable projective module  $P$  and a homomorphism  $\eta: P \rightarrow D$  such that the image of  $\eta$  does not lie inside  $N$ . Now  $\bar{\epsilon}_1: X \rightarrow I_\epsilon(N)$  is surjective, thus we can lift the map  $\kappa\eta: P(S) \rightarrow I_\epsilon(N)$  to  $\overline{X}$  and obtain  $\eta': P(S) \rightarrow \overline{X}$  such that

$$(2) \quad \bar{\epsilon}_1\eta' = \kappa\eta$$

Also note that  $\eta'\iota$  maps into the radical of  $\overline{X}$ , thus into  $X$ . This shows that there is  $\eta'': \text{rad } P(S) \rightarrow X$  such that

$$(3) \quad \mu\eta'' = \eta'\iota.$$

Altogether, we deal with the following diagram:

$$\begin{array}{ccccc}
 \text{rad } P(S) & \xrightarrow{\iota} & P(S) & \xrightarrow{\eta} & D \\
 \eta'' \downarrow & & \downarrow \eta' & & \downarrow \kappa \\
 X & \xrightarrow{\mu} & \overline{X} & & \\
 \epsilon \downarrow & & \searrow \bar{\epsilon}_1 & & \downarrow \\
 N & \xrightarrow{\kappa\nu'} & & & I_\epsilon(N)
 \end{array}$$

(1) is between  $X \xrightarrow{\mu} \overline{X}$  and  $N \xrightarrow{\kappa\nu'} I_\epsilon(N)$ .  
(2) is between  $P(S) \xrightarrow{\eta} D$  and  $\overline{X} \xrightarrow{\bar{\epsilon}_1} I_\epsilon(N)$ .  
(3) is between  $\text{rad } P(S) \xrightarrow{\iota} P(S)$  and  $X \xrightarrow{\mu} \overline{X}$ .

Using the three equalities (1), (3), (2), we see:

$$\kappa\nu'\epsilon\eta'' = \bar{\epsilon}_1\mu\eta'' = \bar{\epsilon}_1\eta'\iota = \kappa\eta\iota.$$

but  $\kappa$  is injective, thus  $\nu'\epsilon\eta'' = \eta\iota$ , and therefore

$$\alpha\eta'' = \nu''\nu'\epsilon\eta'' = \nu''\eta\iota.$$

This asserts that the following diagram commutes

$$\begin{array}{ccc}
 \text{rad } P & \xrightarrow{\iota} & P \\
 \eta'' \downarrow & & \downarrow \nu''\eta \\
 X & \xrightarrow{\alpha} & Y
 \end{array}$$

Since by construction the right map  $\nu''\eta$  does not map into  $N$ , it does not factor through  $\epsilon$ , thus also not through  $\alpha = \nu''\nu'\epsilon$ , therefore we see that  $P$  almost factors through  $\alpha$ . But this shows that  $\alpha$  is not kernel-determined.

Conversely, let us assume that  $\alpha = \nu''\nu\epsilon$  is not kernel-determined, thus there is an indecomposable projective module  $P$  and a commutative diagram

$$\begin{array}{ccc} \text{rad } P & \xrightarrow{\iota} & P \\ \psi' \downarrow & & \downarrow \psi \\ X & \xrightarrow{\alpha} & Y \end{array}$$

such that  $\psi$  does not factor through  $\alpha = \nu\epsilon$ , thus  $\psi(P)$  is not contained in  $N$ . Let us form a pushout of  $\iota$  and  $\psi'$ , say

$$\begin{array}{ccc} \text{rad } P & \xrightarrow{\iota} & P \\ \psi' \downarrow & & \downarrow \psi'' \\ X & \xrightarrow{\iota'} & J \end{array}$$

we obtain a map  $\beta: J \rightarrow Y$  such that  $\beta\psi'' = \psi$  and  $\beta\iota' = \alpha$ . Since  $Y$  is a submodule of  $I(N)$ , the image  $\beta(J)$  of  $\beta$  is a submodule of  $Y$ , thus of  $I(N)$ .

Let us show that  $\iota'$  does not split and its cokernel is simple. The cokernel of  $\iota'$  is isomorphic to the cokernel of  $\iota$ , thus simple.

Let us show that *the kernel of  $\beta$  is just  $\iota(K)$* , where  $K$  is the kernel of  $\alpha$ . Since  $\beta\iota = \alpha$ , we see that  $\iota(K)$  is contained in the kernel of  $\beta$ , thus it remains to show that  $|\text{Ker}(\beta)| \leq |\text{Ker}(\alpha)|$  (note that  $\iota$  is injective). Since  $\alpha = \beta\iota$ , the image  $N$  of  $\alpha$  is contained in the image of  $\beta$ . This must be a proper inclusion. Otherwise, we use  $\psi = \beta\psi''$  in order to obtain that  $\text{Im}(\psi) \subseteq \text{Im}(\beta) = \text{Im}(\alpha) = N$ , a contradiction. Thus  $|\text{Im}(\beta)| \geq |\text{Im}(\alpha)| + 1$ . Therefore

$$|\text{Ker}(\beta)| = |J| - |\text{Im}(\beta)| \leq |X| + 1 - |\text{Im}(\alpha)| - 1 = |\text{Ker}(\alpha)|.$$

It follows that  $\iota'$  does not split. Otherwise we have  $J = \iota(X) \oplus S$ . Now the kernel of  $\beta$  is  $\iota(K) = \iota(K) \oplus 0$ , and therefore  $\beta$  would provide an embedding of  $X/K \oplus S$  into  $Y$ . However, by assumption,  $Y$  is an essential extension of  $N = X/K$ , a contradiction.

Thus we have shown that  $\iota'$  is a monomorphism with simple cokernel, and it does not split. Therefore, we may assume that  $J$  is a submodule of  $\overline{X}$ . If we compose  $\beta$  with  $\nu''': Y \rightarrow I(N)$ , we obtain the following commutative square

$$\begin{array}{ccc} X & \xrightarrow{\iota'} & J \\ \epsilon \downarrow & & \downarrow \nu'''\beta \\ N & \xrightarrow{\nu'''\nu} & I(N) \end{array}$$

which shows that  $\nu'''\beta$  is part of an extension  $\bar{\epsilon}: \overline{X} \rightarrow I(N)$  of  $\epsilon$ . As a consequence, the image of  $\beta$  is contained in  $I_\epsilon(N)$ . But the image  $\beta(J)$  of  $\beta$  is also a submodule of  $Y$ , that  $\beta(J) \subseteq D$ .

Since  $\beta\psi'' = \psi$ , the image of  $\beta$  contains the image of  $\psi$ , thus  $\beta(J)$  is not contained in  $N$ .

Altogether we see that  $\beta(J) \subseteq Y \cap I_\epsilon(N)$ , and  $\beta(J) \not\subseteq N$ , thus  $Y \cap I_\epsilon(N) \neq N$ . This completes the proof.

**Example 4, continued.** Again, let  $\Lambda$  be a local uniserial ring. Let  $X, Y$  be indecomposable  $\Lambda$ -modules and  $\alpha: X \rightarrow Y$  a morphism. We have noted above that if  $X$  is projective and  $\alpha \neq 0$ , then  $\alpha$  is kernel-determined. On the other hand, if  $\alpha$  is surjective, then again,  $\alpha$  is kernel-determined.

But also the converse is true: If  $\alpha: X \rightarrow Y$  is kernel-determined, then either  $\alpha \neq 0$  and  $X$  is projective, or else  $\alpha$  is surjective. Here is the proof: Assume that  $\alpha$  is kernel-determined. According to Proposition 2, we must have  $\alpha \neq 0$ . Assume that  $X$  is not projective, thus also not injective. Write  $\alpha = \nu\epsilon$ , where  $\epsilon$  is surjective and  $\nu: N \rightarrow Y$  is the inclusion of a non-zero submodule  $N$  of  $Y$ . Since  $X$  is not injective,  $X$  is a proper submodule of  $\overline{N}$ . Let  $\overline{\epsilon}: \overline{X} \rightarrow \overline{N}$  be an extension of  $\epsilon$ . Then also  $\overline{\epsilon}$  is surjective. But this means that  $I_\epsilon(N) = \overline{N}$ , and therefore Theorem 3 asserts that  $Y = N$ , thus  $\alpha$  is surjective.

**Corollary.** *Let  $\epsilon: X \rightarrow N$  be an epimorphism and  $N \subseteq Y$  an inclusion map with semisimple cokernel such that the composition  $X \rightarrow N \rightarrow Y$  is kernel-determined. Then there is an inclusion map  $Y \rightarrow Z$  such that the composition  $X \rightarrow Y \rightarrow Z$  has semisimple cokernel, is kernel-determined and satisfies*

$$|Z| = |N| + |\overline{N}| - |I_\epsilon(N)|.$$

In particular, the length of  $Z$  only depends on  $\epsilon$ .

Proof: We can assume that  $Y$  is a submodule of  $\overline{N}$ . Choose  $N \subseteq Z \subseteq \overline{N}$  maximal with  $Z \cap I_\epsilon(N) = N$ . According to Theorem 3, the composition  $X \rightarrow Y \rightarrow Z$  (which is the composition of  $\epsilon$  and the inclusion map  $N \rightarrow Z$ ) is kernel-determined. The maximality of  $Z$  implies that  $Z + I_\epsilon(N) = \overline{N}$ . The stated equality comes from the formula

$$|Z| + |\overline{\epsilon}(X)| = |Z \cap \overline{\epsilon}(X)| + |Z + \overline{\epsilon}(X)|.$$

**Summary.** The kernel-determined morphisms can be characterized as suitable prolongations of epimorphisms. Here, we call the composition  $X \rightarrow Y \rightarrow Z$  a *prolongation* of  $X \rightarrow Y$  provided the map  $Y \rightarrow Z$  is an inclusion map; the prolongation is said to be *proper* provided the map  $Y \rightarrow Z$  is a proper inclusion map.

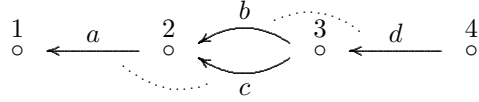
- (a) Any epimorphism  $X \rightarrow N$  is kernel-determined.
- (b) If the map  $X \rightarrow Y$  has a prolongation  $X \rightarrow Y \rightarrow Y'$  which is kernel-determined, then  $X \rightarrow Y$  is kernel-determined and  $Y \rightarrow Y'$  is an essential extension.
- (c) Let  $X \rightarrow N$  be an epimorphism, and  $N \subseteq Y \subseteq I(N)$ . If  $X \rightarrow N \rightarrow Y \cap \overline{N}$  is kernel-determined, also  $X \rightarrow N \rightarrow Y$  is kernel-determined.
- (d) Any kernel-determined map  $X \rightarrow Y$  has a maximal kernel-determined prolongation  $X \rightarrow Y \rightarrow Y'$ .
- (e) If  $X \rightarrow N$  is an epimorphism, and  $N \subseteq Y \subseteq I(N)$ , then  $X \rightarrow N \rightarrow Y$  is kernel-determined if and only if  $Y \cap I_\epsilon(N) = N$ .
- (f) If  $X \rightarrow N$  is an epimorphism and  $X \rightarrow N \rightarrow Y$  is a maximal kernel-determined prolongation, then

$$|\text{soc}(Y/N)| = |\text{soc}(I(N)/N)| - |I_\epsilon(N)/N|;$$

in particular, the length of  $\text{soc}(Y/N)$  is determined by  $\epsilon$ .

Thus, if  $X \rightarrow N$  is an epimorphism and  $X \rightarrow N \rightarrow Y$  and  $X \rightarrow N \rightarrow Y'$  are maximal kernel-determined prolongations, then  $\text{soc}(Y/N)$  and  $\text{soc}(Y'/N)$  have the same length, but  $Y$  and  $Y'$  may have different length, as the following example shows:

**Example 6.** Consider the representations of the following quiver with relations over the field  $k$ :



We denote the simple, projective, or injective module corresponding to the vertex  $x$  by  $S(x)$ ,  $P(x)$ ,  $I(x)$ , respectively. The full subquiver with vertices 2, 3 is the Kronecker quiver, the representations with support in this subquiver will be said to be Kronecker modules. The 2-dimensional indecomposable Kronecker module which is annihilated by  $\lambda_1 b + \lambda_2 c$  (not both  $\lambda_1, \lambda_2$  equal to zero) will be denoted by  $R(\lambda_1 b + \lambda_2 c)$ . For example,  $I(1)/S(1) = R(c)$  and  $\text{rad } P(4) = R(b)$ .

Let  $X = P(2)$  and  $N = S(2)$  and  $\epsilon: X \rightarrow N$  the canonical projection  $P(2) \rightarrow S(2)$ . Then  $\overline{X} = I(1)$  is indecomposable with composition factors  $S(1), S(2), S(3)$ . The module  $\overline{N}$  has length 3, namely one composition factor  $S(2)$  and two composition factors  $S(3)$ , it is just the indecomposable injective Kronecker module of length 3 and  $I_\epsilon(N) = R(c)$ .

In view of Theorem 3, we are interested in the submodules  $Y$  of  $\overline{N}$  which satisfy  $Y \cap I_\epsilon(N) = N$ , thus  $Y \cap R(c) = N$ . Besides  $N$  itself, these are the Kronecker modules of the form  $R(b + \lambda c)$  with  $\lambda \in k$ . The modules  $Z = R(b + \lambda c)$  provide the maximal kernel-determined prolongations  $X \rightarrow Y \rightarrow Z$  of  $X \rightarrow N$  inside  $\overline{N}$ .

Now only the map  $X \rightarrow N \rightarrow R(b)$  has a proper kernel-determined prolongation, namely  $X \rightarrow R(b) \rightarrow P(4)$ . The other maps  $X \rightarrow N \rightarrow R(b + \lambda c)$  with  $\lambda \neq 0$  have no proper kernel-determined prolongation.

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