

# Floer Homology and the Seiberg–Witten Equations (SoSe 2023)

## — Homework Sheet 4 —

(due on Friday, May 5)

**Exercise 4.1** (Another construction of index pairs).

Let  $\phi: X \times \mathbb{R} \rightarrow X$  be a flow on a locally compact metric space  $X$  denoted by  $\phi(x, t) = x \cdot t$ . Call  $N \subset X$  *strongly isolating* if there is some finite  $T > 0$  such that  $x \cdot [-T, T] \subset N$  implies  $x \in \text{int}(N)$ . Consider the following subsets of  $N$ :

$$N^T = \{x \in N \mid x \cdot [-T, T] \subset N\} \quad E^T = \{x \in N^T \mid x \cdot [0, T] \cap \partial N \neq \emptyset\}$$

It is clear from the definitions that  $N^{S+T} = (N^T)^S$  for  $S, T > 0$ ,  $N^T \subset N^S$  for  $S < T$  for  $N \subset M$ , and  $N^T \subset M^T$  for  $N \subset M$ .

- (a) Show that every strongly isolating set is isolating and that every compact isolating set is strongly isolating. Conclude that every compact isolated invariant set  $S \subset X$  has a strongly isolating neighborhood.
- (b) If  $N$  is a compact isolating neighborhood of  $S = \text{Inv}(N)$ , then  $(N^T, E^T)$  is an index pair for sufficiently large  $T \gg 0$ .

(Hint: Assuming that  $N^T \subset \text{int}(N)$  show that  $N^{2T} \subset \text{int}(N^T)$ .)

**Exercise 4.2** (Identifying the Floer differential).

Let  $(f, \xi)$  be a Morse–Smale pair on a smooth  $n$ -manifold  $M$  and  $\phi$  the local flow generated by  $-\xi$ . Moreover let  $S \subset M$  be a compact isolated invariant set and  $p, q \in \text{Crit}(f) \cap S$  with  $\mu(p) - \mu(q) = 1$ . Let  $S_0 = \{p, q\} \cup (M(p, q) \cap S)$  be the union of all trajectories between  $p$  and  $q$ . This is a compact isolated invariant set (why?). It is proved in [Flo89, Lemma 3.1] that the Floer differential is given by

$$d\langle p \rangle = \#_2 \hat{M}(p, q) \langle q \rangle.$$

Read the argument in [Flo89] and fill in the omitted details.

## References

- [Flo89] Andreas Floer, *Witten's complex and infinite dimensional Morse theory*, J. Differ. Geom. **30** (1989), no. 1, 207–221.