Lower bounds for Auslander’s representation dimension

Steffen Oppermann

Mathematisches Institut der Universität zu Köln, Weyertal 86-90, 50931 Köln, Germany

current address: Institutt for Matematiske Fag, NTNU, 7491 Trondheim, Norway
(e-mail: steffen.oppermann@math.ntnu.no)

Abstract. The representation dimension is an invariant introduced by Auslander to measure how far a representation infinite algebra is from being representation finite. In 2005, Rouquier has given the first examples of algebras of representation dimension greater than three. Here, we give the first general method for establishing lower bounds for the representation dimension of given algebras or families of algebras. The classes of algebras for which we explicitly apply this method include (but do not restrict to) most of the previous examples of algebras of large representation dimension, for some of which the lower bound is improved to the correct value.

Introduction

The representation dimension of a finite dimensional algebra was introduced by Auslander in his Queen Mary College notes [1]. Auslander has shown that an algebra is of finite representation type if and only if its representation dimension is at most two. In general, he expected that the representation dimension should measure how far an algebra is from being of finite representation type.

The first example of an algebra with representation dimension strictly greater than three has been given by Rouquier in his article on the representation dimension of exterior algebras [16]. In this paper he has shown that it is possible to use the dimension of the derived category or, in the case of self-injective algebras, of the stable module category, to obtain lower bounds for the representation dimension. Using this, he has proven that the representation dimension of the exterior algebra of an \(N\)-dimensional vector space is \(N + 1\).

A second class of examples has been given by Krause and Kussin. In [11] they have shown that the representation dimension of the algebras \(kQ/I\), with \(Q\) and \(I\) as in the case \(L = N\) of (⋆) below, is at least \(N - 1\).

In [13] the author has shown that the representation dimension of an elementary abelian group is at least its rank plus one.
Avramov and Iyengar [5], using techniques from [4], have announced that the dimension of the stable derived category of a complete intersection local ring \( R \) is at least the codimension of \( R \) minus one. As a corollary they deduce that when in addition the ring is artinian, its representation dimension is at least the embedding dimension plus one. In particular, the representation dimension of \( k[x_1, ..., x_n]/(x_1^{c_1}, ..., x_n^{c_n}) \) is at least \( n+1 \), generalizing the results in [13].

Here we give a more general method to find lower bounds for the representation dimension of classes of algebras. The main ingredients are as follows:

We extend Rouquier’s definition of dimension of a triangulated category to subcategories. This will allow us to find better lower bounds than by looking only at the dimension of the derived category. In many examples we will even be able to show that the representation dimension is strictly larger than the dimension of the derived category. In particular we will be able to improve Krause and Kussin’s bound to \( N + 1 \), which will then be shown to be the precise value.

We encode families of modules in lattices, which then automatically also contain some information about the extensions between the members of the families. More precisely, in order to find a lower bound for the representation dimension of a finite dimensional algebra \( \Lambda \) over a field \( k \), we choose a \( \Lambda \otimes_k R \)-lattice \( L \) (where \( R \) is a polynomial ring over \( k \), or an integral quotient of such a polynomial ring), such that the modules in the family are just the quotients of \( L \) modulo some maximal ideal of \( R \). This construction yields a functor

\[
L \otimes_R - : R\text{-mod} \rightarrow \Lambda\text{-Mod},
\]

which is exact and therefore also induces maps between corresponding Ext-groups. The main result presented here is

**Theorem** (Corollary 3.8). Let \( L \) be a \( \Lambda \otimes_k R \)-lattice. Assume the set

\[
\{p \in \text{MaxSpec } R \mid (L \otimes_R -)(\text{Ext}_R^d(R_p-f.l., R_p-f.l.)) \neq 0\}
\]

is Zariski dense. Then

\[
\text{repdim } \Lambda \geq d + 2.
\]

We actually prove a refinement of this theorem, which works with complexes of injectives in the derived category (Theorem 1) and a version which is easier to apply to examples (Theorem 2). It turns out that these theorems provide useful lower bounds for the representation dimension in a variety of situations, in many of which we will see that they are equal or very close to
the correct number. This will be done by exploiting Iyama’s result in the appendix.

We reprove Rouquier’s result on the representation dimension of the exterior algebra of an \(N\)-dimensional vector space and generalize it to the quotient of the exterior algebra modulo the \(L\)-th power of the radical (Example 5.1). For \(L \neq N\) we can show that the lower bound we find for the representation dimension is the precise value (Example A.6).

We prove that the representation dimension of \(k[x_1, \ldots, x_N]/(x_1, \ldots, x_N)^L\) is at least \(\min\{L + 1, N + 1\}\) (Example 5.2). For \(N \geq L\) we are able to show that this is the correct number (Example A.9). This result carries over (see Section 6) to algebras of the form \(kQ/I\), with

\[
Q = 1 \xrightarrow{x_1} 2 \xrightarrow{x_1} 3 \xrightarrow{\vdots} L - 1 \xrightarrow{x_1} L \quad \text{and} \quad \frac{1}{\circ} \xrightarrow{\vdots} \frac{1}{\circ} \xrightarrow{\vdots} \frac{1}{\circ} \xrightarrow{\vdots} \frac{1}{\circ}
\]

(Example 6.3). This generalizes the family considered by Krause and Kussin. Especially we improve the lower bound in their case (\(L = N\)) from \(N - 1\) to \(N + 1\), and show that this is the precise value (Example A.8).

One advantage of the theorem presented here is, that it is quite well behaved under changes of the algebra. In most of the previous papers an equivalence of the derived or stable module category to some other triangulated category has been used. In that case one did not automatically get any results for similar algebras. With the method presented here it will usually be possible to move results to other algebras with a similar structure (Section 5 and especially Section 6). Especially we will get lower bounds for the representation dimension of algebras depending on parameters in \(k\), not just for discrete families (Examples 6.4, 6.4.1 and 6.5).

In the first section we will recall the definitions of representation dimension (due to Auslander [1]) and dimension of a triangulated category (due to Rouquier [15, 16]). We will generalize the latter to subcategories of triangulated categories. Finally we will prove inequalities between these dimensions to be used in the rest of this paper.

The second section will be used to study the vanishing of extensions over polynomial rings or integral quotients of such. We need to do so to be able to transfer these properties to the module categories of other algebras with the help of tensor functors in Section 3.

The third section will be used to prove the main theorem (Theorem 1). To do so, we will look at a pair of adjoint functors between the derived categories of the module category we are interested in and the category of finite length modules over the commutative ring we studied in Section 2.
In the fourth section we will further analyze one special case of the main theorem further. This will lead to Theorem 2, a reformulation of the main theorem, which looks more technical but is easier to apply to examples.

The fifth section will be used to show how the results apply to concrete algebras. Especially we will generalize Rouquier’s result (Example 5.1) and get a lower bound for the representation dimension of quotients of polynomial rings (Theorem 3).

In the sixth section we will show that the assumptions of the main theorem are preserved under certain coverings. Therefore we get results on variations of the examples presented in Section 5. Especially we will improve the result of Krause and Kussin (Example 6.3) and we get results on larger families (depending on parameters in \( k \), not just discrete parameters) of algebras (Examples 6.4 and 6.5).

The appendix contains results mainly due to Iyama. We apply the general upper bound he established for the representation dimension of finite dimensional algebras to the examples we considered in Sections 5 and 6. It turns out that in most cases either the lower bound is equal to the upper bound or the difference is very small.

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1 Dimensions

We need to introduce a few notions of dimension and to determine the relations between them. We start by recalling Auslander’s definition [1] of representation dimension.

1.1 Definition. Let \( \Lambda \) be a finite dimensional algebra over a field. The representation dimension of \( \Lambda \) is defined to be

\[
\text{repdim} \Lambda = \min \{ \text{gld End}_\Lambda(M) \mid M \text{ generates and cogenerates } \Lambda\text{-mod} \}.
\]

Auslander’s expectation was that the representation dimension should measure how far an algebra is from having finite representation type. This is motivated by the following result:

Theorem (Auslander [1]). Let \( \Lambda \) be a finite dimensional algebra. Then \( \Lambda \) is of finite representation type if and only if \( \text{repdim} \Lambda \leq 2 \).
1.2 Definition. Let $\Lambda$ be a finite dimensional algebra and $M \in \Lambda\text{-mod}$. Then

- the $M$-resolution dimension of a module $X \in \Lambda\text{-mod}$ is defined to be
  \[ M\text{-resol.dim } X = \min \{ n \in \mathbb{N} \mid \text{there is a complex} \]
  \[ 0 \longrightarrow M_n \longrightarrow \cdots \longrightarrow M_0 \longrightarrow X \longrightarrow 0 \]
  \[ \text{with } M_i \in \text{add } M \text{ such that the induced complex} \]
  \[ 0 \longrightarrow \text{Hom}_\Lambda(M, M_n) \longrightarrow \cdots \longrightarrow \text{Hom}_\Lambda(M, X) \longrightarrow 0 \]
  \[ \text{is exact} \}, \]
  (here and in the following definitions we set $\min \emptyset = \infty$.)

- the $M$-resolution dimension of a subcategory $\mathcal{X} \subseteq \Lambda\text{-mod}$ is defined to be
  \[ M\text{-resol.dim } \mathcal{X} = \sup \{ M\text{-resol.dim } X \mid X \in \text{Ob } \mathcal{X} \}. \]

1.3 Remark. If $M$ is a generator then the complex
  \[ 0 \longrightarrow M_n \longrightarrow \cdots \longrightarrow M_0 \longrightarrow X \longrightarrow 0 \]
  in the definition above is an exact sequence.

1.4 Lemma ([7, Lemma 2.1]). Let $\Lambda$ be a finite dimensional, non-semisimple algebra. Let $M \in \Lambda\text{-mod}$ be generator and cogenerator. Then
  \[ \text{gld End}_\Lambda(M) = M\text{-resol.dim } \Lambda\text{-mod} + 2. \]
  In particular
  \[ \text{repdim } \Lambda = \min_{\text{M generator and cogenerator}} M\text{-resol.dim } \Lambda\text{-mod} + 2. \]

1.5 Definition. Let $\Lambda$ be a finite dimensional algebra and $M \in \Lambda\text{-mod}$. Then

- the weak $M$-resolution dimension of a module $X \in \Lambda\text{-mod}$ is defined to be
  \[ M\text{-wresol.dim } X = \min \{ n \in \mathbb{N} \mid \text{there is an exact sequence} \]
  \[ 0 \longrightarrow M_n \longrightarrow \cdots \longrightarrow M_0 \longrightarrow X \longrightarrow 0 \]
  \[ \text{with } M_i \in \text{add } M \}. \]
• the weak $M$-resolution dimension of a subcategory $\mathcal{X} \subseteq \Lambda$-mod is defined to be

$$M\text{-wresol.dim}\mathcal{X} = \sup\{M\text{-wresol.dim}\, X \mid X \in \text{Ob}\, \mathcal{X}\},$$

• the weak resolution dimension of a subcategory $\mathcal{X} \subseteq \Lambda$-mod is defined to be

$$\text{wresol.dim}\mathcal{X} = \min_{M \in \Lambda\text{-mod}} M\text{-wresol.dim}\mathcal{X}.$$  

1.6 Remark. If $M$ is a generator then Remark 1.3 implies that

$$M\text{-wresol.dim}\, X \leq M\text{-resol.dim}\, X$$

and in particular

$$\text{wresol.dim}\, \Lambda\text{-mod} + 2 \leq \text{repdim}\, \Lambda.$$

Let $\mathcal{T}$ be a triangulated category, $\mathcal{I}$ a subcategory. We use $\ast$, $\diamond$ and $\langle - \rangle_n$ as introduced by Rouquier ([15, 16]). That is, we denote by $\langle \mathcal{I}\rangle$ the full subcategory whose objects are direct summands of finite direct sums of shifts of objects in $\mathcal{I}$. For two subcategories $\mathcal{I}_1$ and $\mathcal{I}_2$, we denote by $\mathcal{I}_1 \ast \mathcal{I}_2$ the full subcategory of extensions between the objects of $\mathcal{I}_2$ and those of $\mathcal{I}_1$. That means the objects of $\mathcal{I}_1 \ast \mathcal{I}_2$ are exactly the $X$ such that there is a distinguished triangle $X_1 \rightarrow X \rightarrow X_2 \rightarrow X_1[1]$ with $X_i \in \mathcal{I}_i$. Now let $\mathcal{I}_1 \diamond \mathcal{I}_2 = \langle \mathcal{I}_1 \ast \mathcal{I}_2 \rangle$. We set $\langle \mathcal{I}\rangle_0 = 0$, $\langle \mathcal{I}\rangle_1 = \langle \mathcal{I}\rangle$, and inductively $\langle \mathcal{I}\rangle_{n+1} = \langle \mathcal{I}_n \rangle \cdot \langle \mathcal{I}\rangle$.

1.7 Definition. Let $\mathcal{T}$ be a triangulated category, $\mathcal{C} \subseteq \mathcal{T}$. Let $M \in \text{Ob}\, \mathcal{T}$. We define the $M$-level of $\mathcal{C}$ to be

$$M\text{-level}_\mathcal{T}\, \mathcal{C} = \min\{n \in \mathbb{N} \mid \mathcal{C} \subseteq \langle M \rangle_{n+1}\},$$

and the dimension of $\mathcal{C}$ to be

$$\text{dim}_\mathcal{T}\, \mathcal{C} = \min_{M \in \text{Ob}\, \mathcal{T}} M\text{-level}_\mathcal{T}\, \mathcal{C}.$$  

Note that for $\mathcal{C} = \mathcal{T}$ this coincides with Rouquier’s definition [15, 16] of dimension of a triangulated category.

We will omit the index $\mathcal{T}$ whenever there is no danger of confusion. Especially, whenever $\mathcal{C} \subseteq \Lambda$-mod for some finite dimensional algebra $\Lambda$, we assume the triangulated category to be $D^b(\Lambda\text{-mod})$ unless otherwise specified.

The following lemma is an immediate consequence of the definition:
1.8 Lemma. 1. Assume $C \subseteq D \subseteq T$ for a triangulated category $T$. Then $\dim C \leq \dim D$.

2. Let $F : T \longrightarrow T'$ be a triangulated functor. Let $C \subseteq T$. Then $\dim_{T'} F(C) \leq \dim_T C$.

1.9 Lemma. Let $\Lambda$ be a finite dimensional algebra, and $M \in \Lambda\text{-mod}$. Then for any $X \subseteq \Lambda\text{-mod}$

$$M\text{-level } X \leq M\text{-wresol}\dim X$$

and in particular

$$\dim X \leq \text{wresol}\dim X.$$

Proof. This is an immediate consequence of the fact that short exact sequences in $\Lambda\text{-mod}$ are turned into triangles in $D^b(\Lambda\text{-mod})$.

1.10 Lemma. Let $\Lambda$ be a finite dimensional algebra. Let $X = (X^i)_{i \in \mathbb{Z}}$ be a complex of $\Lambda\text{-modules}$, such that all $X^i$ have Loewy length at most $n$. Then $X \in \langle \Lambda/J\Lambda \rangle_n \subseteq D^b(\Lambda\text{-mod})$.

Proof. We use induction on $n$. For $n = 1$ the claim clearly holds. Assume $n > 1$ and consider the following short exact sequence of complexes.

$$\cdots \longrightarrow \text{Soc } X^{i-1} \longrightarrow \text{Soc } X^i \longrightarrow \text{Soc } X^{i+1} \longrightarrow \cdots$$

$$\cdots \longrightarrow X^{i-1} \longrightarrow X^i \longrightarrow X^{i+1} \longrightarrow \cdots$$

$$\cdots \longrightarrow X^{i-1}/\text{Soc } X^{i-1} \longrightarrow X^i/\text{Soc } X^i \longrightarrow X^{i+1}/\text{Soc } X^{i+1} \longrightarrow \cdots$$

This short exact sequence induces a triangle in $D^b(\Lambda\text{-mod})$. The top row is in $\langle \Lambda/J\Lambda \rangle_1$, the bottom row is in $\langle \Lambda/J\Lambda \rangle_{n-1}$ by induction. The claim of the lemma now follows immediately from the definition of $\langle \Lambda/J\Lambda \rangle_n$.

We will denote the Loewy length of a module $M \in \Lambda\text{-mod}$ by $\text{LL } M$.

1.11 Corollary. Let $\Lambda$ be a finite dimensional algebra. Then

$$\dim D^b(\Lambda\text{-mod}) \leq \text{LL } \Lambda - 1.$$
**1.12 Lemma.** Let $\Lambda$ be a finite dimensional algebra. Let $X \in D^b(\Lambda\text{-mod})$ be a complex such that all homology modules have projective dimension at most $n$. Then $X \in \langle \Lambda \rangle_{n+1} \subseteq D^b(\Lambda\text{-mod})$.

**Proof.** We will prove this by induction on $n$. For $n = 0$ the claim clearly holds.

Let $X = (X^i)$, $M^i$ be the images of differential $d^i$, and $p^i$ and $j^i$ as indicated in the following diagram.

\[
X = \cdots \rightarrow X^{-1} \rightarrow X^0 \rightarrow X^1 \rightarrow \cdots
\]

Then the homology modules are $\text{Ker} p^i / M^{i-1}$. Let $P^i \rightarrow \text{Ker} p^i / M^{i-1}$ be projective covers. They induce maps $\varphi_i : P^i \rightarrow X^i / M^{i-1}$ and, since the $P^i$ are projective, maps $\varphi : P \rightarrow X$. Let $P = (P^i)$ with zero differential. Then $P \in \langle \Lambda \rangle$ and $\varphi$ is a map of complexes. Now we consider the mapping cone of $\varphi$. We will show that its homology modules are exactly the syzygies of the homology modules of $X$. Then clearly the claim of the lemma follows by induction.

Up to isomorphism, the mapping cone is

\[
\cdots \rightarrow P^i \oplus X^{-1} \rightarrow P^{i+1} \oplus X^0 \rightarrow P^{i+2} \oplus X^1 \rightarrow \cdots
\]

The image of $\begin{pmatrix} 0 & \varphi^i \\ 0 & d^{i-1} \end{pmatrix}$ is $\text{Ker} p^i$, so the homology in position $i$ is just the kernel $K$ of the map $P^{i+1} \oplus M^i \rightarrow X^{i+1}$, which is the pullback in the following diagram with short exact rows.

\[
\begin{array}{c}
K \\
M^i \\
X^i+1 \\
X^{i+1}/M^i
\end{array}
\rightarrow
\begin{array}{c}
P^{i+1} \\
C
\end{array}
\rightarrow
\begin{array}{c}
\text{PB} \\
\varphi^{i+1}
\end{array}

Since the left square is a pullback the right vertical morphism is mono. Therefore $C$ is the image of the map $\varphi^{i+1}_0$, which is the $i+1$-st homology module of $X$. Therefore $K$ is indeed the syzygy of a homology module of $X$. \qed

**1.13 Corollary** ([11, Proposition 2.6]). Let $\Lambda$ be a finite dimensional algebra. Then

\[\dim D^b(\Lambda\text{-mod}) \leq \text{gld} \Lambda.\]
1.14 Corollary. Let $\Lambda$ be a finite dimensional algebra. Then
\[ \text{repdim } \Lambda \geq \dim D^b(\Lambda \text{-mod}). \]

Proof. Let $M$ be a module realizing the minimal $n$ in the definition of representation dimension. We may assume that $n$ is finite (either because Iyama [9, 10] has shown that the representation dimension is always finite (see Theorem 4 in the appendix), or because the claim of the Corollary is trivial otherwise). Let $\Gamma = \text{End}_\Lambda(M)$. Then $\text{add } M \cong \Gamma \text{-proj}$ and therefore $K^b(\Gamma \text{-proj}) \cong K^b(\text{add } M)$. By Lemma 1.4 any $\Lambda$-module has a finite resolution by objects from $\text{add } M$. Therefore the functor $K^b(\text{add } M) \longrightarrow D^b(\Lambda \text{-mod})$ has dense image, and so does the composition
\[ D^b(\Gamma \text{-mod}) \cong K^b(\Gamma \text{-proj}) \cong K^b(\text{add } M) \longrightarrow D^b(\Lambda \text{-mod}). \]

By Lemma 1.8 we have $\dim D^b(\Lambda \text{-mod}) \leq \dim D^b(\Gamma \text{-mod})$. By Corollary 1.13 the latter is at most $\text{gld } \Gamma = \text{repdim } \Lambda$. 

Let us illustrate the most important dimensions and inequalities in the following diagram, where a line means that the upper expression is larger than or equal to the lower one.

Here we will get (by two) better lower bounds for the representation dimension by using the left path in the above diagram rather than just the inequality $\dim D^b(\Lambda \text{-mod}) \leq \text{repdim } \Lambda$.

Note that, for $\Lambda$ self-injective, Rouquier [16] also improved the lower bound he obtained for the representation dimension from $\dim D^b(\Lambda \text{-mod})$ to $\dim \Lambda \text{-mod} + 2$ by looking at the dimension of the stable module category $\Lambda \text{-mod}$ rather than at the derived category. The following lemma shows that his improvement is included in ours in that case.

1.15 Lemma. Let $\Lambda$ be a self-injective finite dimensional algebra. Then
\[ \dim \Lambda \text{-mod} \geq \dim \Lambda \text{-mod}. \]
Proof. The functor $D^b(\Lambda\text{-mod}) \to D^b(\Lambda\text{-mod})/\Lambda\text{-perf} = \Lambda\text{-mod}$ (see [14, Theorem 2.1]) has dense image. Therefore, by Lemma 1.8, $\dim \Lambda\text{-mod} \leq \dim_{D^b(\Lambda\text{-mod})} \Lambda\text{-mod}$. 

2 Vanishing of extensions over $k[x_1, \ldots, x_d]/I$

We fix a field $k$ and $R = k[x_1, \ldots, x_d]/I$ with $I < k[x_1, \ldots, x_d]$ a prime ideal. We denote by $R\text{-f.l.}$ the category of $R$-modules of finite length. One main idea of this paper is to look at a family of objects in $D^b(\Lambda\text{-mod})$ by taking a complex $G$ of $\Lambda \otimes_k R$-lattices and looking at the image of the functor

$$G \otimes_R - : D^b(R\text{-f.l.}) \to D^b(\Lambda\text{-mod}).$$

The aim of this section is to recall some properties of $R\text{-f.l.}$, which will then in the next section be used to study the image of $G \otimes_R -$ in $D^b(\Lambda\text{-mod})$. More precisely, we will prove Proposition 2.3, which says that for any $M \in D^b(R\text{-mod})$ there is an open subset of blocks of $R\text{-f.l.}$, such that for any block in this open subset the homomorphisms from $M$ to this block annihilate all extensions in the block.

We denote by MaxSpec $R$ the set of maximal ideals of $R$, with Zariski topology. For $p \in \text{MaxSpec } R$ we denote by $R_p\text{-f.l.}$ the category of modules of finite length over the localization of $R$ at $p$. This is the full subcategory of $R\text{-f.l.}$ whose objects are all iterated extensions of the simple module $R/p$. This yields a block decomposition

$$R\text{-f.l.} = \bigoplus_{p \in \text{MaxSpec } R} R_p\text{-f.l.}.$$ 

We first recall a result from commutative algebra:

2.1 Lemma. Let $R$ be as above, and $M$ a finitely generated $R$-module. Then there is a non-empty open subset $\mathcal{U} \subseteq \text{MaxSpec } R$ such that $R_p \otimes_R M$ is a free $R_p$-module for any $p \in \mathcal{U}$.

Proof. By [12, Theorem 4.10(ii)] the set

$$\widetilde{\mathcal{U}} = \{ p \in \text{Spec } R \mid R_p \otimes_R M \text{ is free over } R_p \}$$

is open in Spec $R$. Since the local ring at the generic point $R_{(0)}$ is the quotient field of $R$, we have $\{0\} \in \widetilde{\mathcal{U}}$, so $\widetilde{\mathcal{U}}$ is non-empty. But then also $\mathcal{U} = \widetilde{\mathcal{U}} \cap \text{MaxSpec } R$ is non-empty. 

2.2 Lemma. For any $p \in \text{Spec } R$ the functor $D(R_p\text{-Mod}) \to D(R\text{-Mod})$ induced by $R \to R_p$ is full.
Proof. For a complex $X$ over $R$ we set $\eta_X : X \longrightarrow R_p \otimes_R X : x \mapsto 1 \otimes x$. Note that if $X$ is a complex over $R_p$ then $\eta_X$ is an isomorphism.

Now let $X, Y$ be complexes over $R_p$, $f \in \text{Hom}_{D(R\text{-Mod})}(X,Y)$. Then $f$ is represented by $X \xrightarrow{a} Z \xrightarrow{b} Y$, where $b$ is a quasi-isomorphism. Now $\eta_Z$ is a quasi-isomorphism, since $b$, $\eta_Y$ and $R_p \otimes_R b$ all are so. Therefore $f$ is also represented by $X \xrightarrow{a \eta_Z} R_p \otimes_R Z \xrightarrow{b \eta_Z} Y$, and hence lies in the image of $D(R_p\text{-Mod})$. \qed

2.3 Proposition. Let $M \in D^-(R\text{-mod})$. There is a non-empty open set $U \subset \text{MaxSpec } R$ such that for any $p \in U$ and any $X_1, X_2 \in R_p\text{-mod}$

$$\text{Hom}_{D^-(R\text{-mod})}(M, X_1) \text{ Hom}_{D^-(R\text{-mod})}(X_1, X_2[1]) = 0.$$  

Proof. Since $D^-(R\text{-mod}) = K^-(R\text{-proj})$ we may assume that $M$ is a complex of projectives. Then $\text{Hom}_{D^-(R\text{-mod})}(M, X) = \text{Hom}_{K^-(R\text{-mod})}(M, X)$ for any $X \in D(R\text{-mod})$. Any morphism from $M$ to $X_1$ factors through $\tau_{\leq 0}M$, where $\tau_{\leq 0}M$ is the truncated complex as illustrated in the following diagram.

$$\begin{array}{cccccc}
M : & \cdots & M_1 & \partial & M_0 & M_{-1} & \cdots \\
\tau_{\leq 0}M : & \cdots & 0 & M_0/\text{Im } \partial & M_{-1} & \cdots \\
\end{array}$$

Further, since $X_1$ in an $R_p$-module, any map $\tau_{\leq 0}M \longrightarrow X_1$ factors through $R_p \otimes_R \tau_{\leq 0}M$. By Lemma 2.1 there is a non-empty open subset $U \subseteq \text{MaxSpec } R$ such that $R_p \otimes_R M_0/\text{Im } \partial$ is projective (as $R_p$-modules) for any $p \in U$. Then clearly $\text{Hom}_{D^-(R_p\text{-mod})}(R_p \otimes_R \tau_{\leq 0}M, X_2[1]) = 0$. The claim of the proposition now follows with Lemma 2.2. \qed

3 The main theorem

In this section we will state and prove our main theorem. We keep $R$ fixed as in Section 2, and also fix a finite dimensional $k$-algebra $\Lambda$.

One ingredient is the following lemma of Rouquier:

3.1 Lemma ([15, Lemma 4.11]). Let $M \in D^b(\Lambda\text{-mod})$. Assume there is a sequence of morphisms

$$\begin{array}{cccccc}
N_0 & \xrightarrow{f_1} & N_1 & \xrightarrow{f_2} & \cdots & \xrightarrow{f_d} N_d \\
\end{array}$$

in $D^b(\Lambda\text{-mod})$, such that $\text{Hom}_{D^b(\Lambda\text{-mod})}(M[i], N_{j-1}) \cdot f_j = 0$ for all $i \in \mathbb{Z}$ and $j \in \{1, \ldots, d\}$. Assume further $X \in D^b(\Lambda\text{-mod})$ such that $\text{Hom}_{D^b(\Lambda\text{-mod})}(X, N_0) \cdot f_1 \cdots f_d \neq 0$. Then $X \notin \langle M \rangle_d$. 


3.2 Definition. We call a map of complexes \( f : (A_i, \partial_i^A) \rightarrow (B_i, \partial_i^B) \) locally null-homotopic if for every \( i \) there are maps \( r_i \) and \( s_i \) as indicated in the following diagram, such that \( f_i = r_i \partial_{i+1}^B + \partial_i^A s_i \).

\[
\begin{array}{ccccccccc}
\cdots & \rightarrow & A_{i+1} & \rightarrow & A_i & \rightarrow & A_{i-1} & \rightarrow & \cdots \\
& & & & & & & & \\
& & f_{i+1} & & r_i & & f_i & & s_i & \rightarrow f_{i-1} \\
& & & & & \downarrow & & & & \\
& & B_{i+1} & \rightarrow & B_i & \rightarrow & B_{i-1} & \rightarrow & \cdots \\
\end{array}
\]

3.3 Lemma. Let \( M \in D^b(\Lambda \text{-mod}) \). Assume there is a sequence of morphisms

\[
N_0 \rightarrow N_1 \rightarrow N_2 \rightarrow \cdots \rightarrow N_d
\]

in \( K^b(\Lambda \text{-inj}) \), such that \( \text{Hom}_{D^b(\Lambda \text{-mod})}(M[i], N_{j-1}) \cdot f_j = 0 \) for all \( i \in \mathbb{Z} \) and \( j \in \{1, \ldots, d\} \). Assume further \( f_1 \cdots f_d \) is not locally null-homotopic. Then \( \Lambda \text{-mod} \not\subseteq \langle M \rangle_d \).

Proof. Assume that \( f_1 \cdots f_d \) is not locally null-homotopic in position \( i \). Let

\[
Z = \text{Ker}[(N_0)_i \xrightarrow{\partial_{N_0}} (N_0)_{i-1}].
\]

Then we have a natural map \( h : Z[i] \rightarrow N_0 \). We will show that \( hf_1 \cdots f_d \) is not 0. Then the claim follows from Lemma 3.1.

Assume to the contrary that \( hf_1 \cdots f_d = 0 \), that is, it is null-homotopic as a map of complexes. That means there is a map \( \tilde{r} \) as indicated in the following diagram, making the triangle commutative.
Since \((N_d)_{i+1}\) is injective \(\tilde{r}\) lifts to a map \(r\) as indicated in the diagram. We have \(0 = (\tilde{r} - vr)\partial_{N_d} = \nu((f_1 \cdots f_d)_i - r\partial_{N_d})\), so \((f_1 \cdots f_d)_i - r\partial_{N_d}\) factors through \(\text{cok} \nu = \pi\), say via \(\tilde{s}\). Since \((N_d)_i\) is injective \(\tilde{s}\) can be lifted to a map \(s\) as indicated in the diagram. Thus \(f_1 \cdots f_d\) is locally null-homotopic in position \(i\), contradicting the assumption.

Now assume we have the following setup:

We have a functor \(F : D^b(\text{R-f.l}) \to K^b(\Lambda\text{-inj})\) such that the image of \(\text{R-f.l.}[i]\) is uniformly bounded for any \(i\), that is, \(F\) restricts to functors \(\text{R-f.l.}[i] \to K^{[a_1, a_\frac{1}{2}]}(\Lambda\text{-inj})\), where \(K^{[a_1, a_\frac{1}{2}]}\) denotes the subcategory of complexes which vanish outside degrees \(a_1, \ldots, a_\frac{1}{2}\)). Assume further that \(F\) admits a left adjoint \(\tilde{F} : D^b(\Lambda\text{-mod}) \to D^-(\text{R-mod})\). That is, there is a natural isomorphism

\[
\text{Hom}_{D^b(\Lambda\text{-mod})}(M, FX) \cong \text{Hom}_{D^-(\text{R-mod})}(\tilde{F}M, X)
\]

\(\forall M \in D^b(\Lambda\text{-mod}), X \in D^b(\text{R-f.l})\).

3.4 Proposition. Let \(F : D^b(\text{R-f.l}) \to K^b(\Lambda\text{-inj})\) be as described above, \(d \in \mathbb{N}\).

(a) Assume

\[
\{p \in \text{MaxSpec } R \mid F(\text{Hom}_{D^b(\text{R-mod})}(R_p\text{-f.l.}, R_p\text{-f.l.}[d]) \neq 0\}
\]

is dense. Then

\(\dim D^b(\Lambda\text{-mod}) \geq d\).

(b) Assume

\[
\{p \in \text{MaxSpec } R \mid F(\text{Hom}_{D^b(\text{R-mod})}(R_p\text{-f.l.}, R_p\text{-f.l.}[d]) \text{ contains at least one map of complexes which is not locally null-homotopic}\}
\]

is dense. Then

\(\dim \Lambda\text{-mod} \geq d\),

and especially

\(\text{repdim } \Lambda \geq d + 2\).

For the proof we will need the following observation:

3.5 Lemma. Let \(M, N \in \text{R-f.l.}\), and \(E \in \text{Ext}^d_R(M, N)\). Then \(E\) can be represented by a \(d + 1\)-term exact sequence of finite length \(R\)-modules.
Proof. We only have to show that the terms of the exact sequence may be chosen to have finite length. In case $d = 1$ this is automatic, so assume $d > 1$. Let

$$N \longrightarrow E_d \longrightarrow E_{d-1} \longrightarrow \cdots \longrightarrow E_1 \longrightarrow M$$

be any representative of $E$. We may assume the $E_i$ to be finitely generated, because the modules in the projective resolution of $M$ are all finitely generated. Let $\tilde{E}_d$ be any finite length quotient of $E_d$ such that the composition $N \longrightarrow E_d \longrightarrow \tilde{E}_d$ is still mono (this is possible since $E_d$ is finitely generated and $N$ has finite length). Then $E$ is also represented by the second line of the following diagram, where $\tilde{E}_{d-1}$ denotes the pushout of square to its upper left.

So we can choose the first term to have finite length. Now the claim follows by induction. \hfill \Box

Proof of Proposition 3.4. We want to apply Lemmas 3.1 and 3.3 for (a) and (b) respectively. Therefore let $M \in D^b(\Lambda \text{-mod})$. Assume $M \in D^{[b_1,b_2]}(\Lambda \text{-mod})$. We set $a_1 = \min \{a_i \mid 0 \leq i \leq d - 1\}$, $a_2 = \max \{a_i \mid 0 \leq i \leq d - 1\}$, and

$$\widehat{M} = \bigoplus_{i = b_2 - a_1} a_2 - b_1 M_i.$$

That is we take the direct sum of all shifts of $M$, excluding those which cannot have any morphisms to objects in $F(R \text{-f.l.}[i])$ for any $i \in \{1, \ldots, d - 1\}$. We apply Proposition 2.3 to

$$\bigoplus_{i = 0}^{d-1} \widehat{F}(\widehat{M})[-i].$$

This yields a non-empty open $U \subset \text{MaxSpec } R$. Choose $p$ in the intersection of $U$ with the subset of $\text{MaxSpec } R$ described in the proposition (this is possible by assumption).

Now choose an element $f$ of $\text{Hom}_{D^b(\Lambda \text{-mod})}(R_p \text{-f.l.}, R_p \text{-f.l.}[d])$ which is not mapped to 0 by $F$. For the proof of (b) choose $f$ such that $Ff$ is not locally null-homotopic. By Lemma 3.5 the morphism $f$ can be decomposed into a product

$$f = f_1 \cdot (f_2[1]) \cdots (f_d[d - 1])$$
with $f_i \in \text{Hom}_{D^b(R\text{-mod})}(R_p\text{-f.l.}, R_p\text{-f.l.}[1])$, say $f_i : X_{i-1} \longrightarrow X_i[1]$. By assumption on $p$ we have

$$\text{Hom}_{D^-(R\text{-mod})}(\hat{F}(\hat{M})[-(i-1)], X_{i-1}) \cdot f_i = 0.$$ 

Therefore also

$$\text{Hom}_{D^-(R\text{-mod})}(\hat{F}(\hat{M}), X_{i-1}[i-1]) \cdot f_i[i-1] = 0.$$ 

Now we apply $F$ to the shift of $f_i$ and the adjunction isomorphism to the Hom-set. That yields

$$\text{Hom}_{D^b(\Lambda\text{-mod})}(\hat{M}, F(X_{i-1}[i-1])) \cdot F(f_i[i-1]) = 0.$$ 

By construction of $\hat{M}$ this means

$$\text{Hom}_{D^b(\Lambda\text{-mod})}(M[j], F(X_{i-1}[i-1])) \cdot F(f_i[i-1]) = 0 \quad \forall j.$$ 

Now apply Lemma 3.1 for the proof of (a) and Lemma 3.3 for the proof of (b).

**3.6 Definition.** We define $\Lambda \otimes_k R\text{-lat}$ to be the full subcategory of $\Lambda \otimes_k R\text{-mod}$ in which the objects are projective as $R$-modules. We denote by $\text{Inj}(\Lambda \otimes_k R\text{-lat})$ the full subcategory of objects, which are injective with respect to short exact sequences (that is, any short exact sequence which begins in such an object splits).

Note that $\text{Inj}(\Lambda \otimes_k R\text{-lat})$ contains all modules of the form $I \otimes_k R$, with $I \in \Lambda\text{-inj}$.

An object $G \in C^b(\text{Inj}(\Lambda \otimes_k R\text{-lat}))$ gives rise to a functor

$$G \otimes^L_R - : D^b(R\text{-f.l.}) \longrightarrow D^b(\Lambda\text{-mod}).$$

Since $G$ consists of projective $R$-modules this is just the total tensor product $G \otimes^L_R - = G \otimes^\text{Tot}_R -$.

**Theorem 1.** Let $G \in C^b(\text{Inj}(\Lambda \otimes_k R\text{-lat}))$ and $d \in \mathbb{N}$.

(a) Assume

$$\{p \in \text{MaxSpec } R \mid (G \otimes^\text{Tot}_R -)(\text{Hom}_{D^b(R\text{-mod})}(R_p\text{-f.l.}, R_p\text{-f.l.}[d])) \neq 0\}$$

is dense. Then

$$\dim D^b(\Lambda\text{-mod}) \geq d.$$
(b) Assume
\[ \{ p \in \text{MaxSpec } R \mid (G \otimes^\text{Tot}_R -)(\text{Hom}_{D^b(\Lambda -\text{mod})}(R_p -\text{f.l.}, R_p -\text{f.l.}[d]) \text{ contains at least one map of complexes which is not locally null-homotopic} \}
\]
is dense. Then
\[ \dim \Lambda -\text{mod} \geq d, \]
and especially
\[ \text{repdim } \Lambda \geq d + 2. \]

Proof. Clearly we want to apply Proposition 3.4 with \( F = G \otimes^\text{Tot}_R - \). It only remains to show that \( F \) has a left adjoint.

Since \( G \) is finitely generated and projective over \( R \) it is isomorphic to \( \text{Hom}^\text{Tot}_R(\text{Hom}^\text{Tot}_R(G, R), R) \) (note that applying \( \text{Hom}^\text{Tot}_R(\cdot, R) \) just means applying \( \text{Hom}_R(\cdot, R) \) to every degree). Therefore we have

\[
\text{Hom}_{D^b(\Lambda -\text{mod})}(M, G \otimes^\text{Tot}_R X) \\
\cong \text{Hom}_{D^b(\Lambda -\text{mod})}(M, \text{Hom}^\text{Tot}_R(\text{Hom}^\text{Tot}_R(G, R), R) \otimes^\text{Tot}_R X) \\
\cong \text{Hom}_{D^b(\Lambda -\text{mod})}(M, \text{Hom}^\text{Tot}_R(\text{Hom}^\text{Tot}_R(G, R), X)) \\
\cong \text{Hom}_{D^-(\text{R-mod})}(\text{Hom}^\text{Tot}_R(G, R) \otimes^\Lambda_\Lambda M, X)
\]

So \( \text{Hom}^\text{Tot}_R(G, R) \otimes^\Lambda_\Lambda - \) is the desired adjoint.

3.7 Remark. Since \( \Lambda -\text{inj} \approx \Lambda -\text{proj} \) we may in Theorem 1 alternatively assume \( G \in C^b(\Lambda \otimes_k R -\text{proj}) \).

Let us now assume that \( L \in \Lambda \otimes_k R \text{-lat.} \) Then \( (L \otimes_R -) \) is an exact functor \( R -\text{f.l.} \rightarrow \Lambda -\text{mod} \). Therefore it also induces maps between corresponding Ext-groups.

3.8 Corollary. Let \( L \) be a \( \Lambda \otimes R \text{-lattice} \), and let \( d \in \mathbb{N} \). Assume the set
\[ \{ p \in \text{MaxSpec } R \mid (L \otimes_R -)(\text{Ext}^d_R(R_p -\text{f.l.}, R_p -\text{f.l.})) \neq 0 \}
\]
is dense. Then
\[ \dim \Lambda -\text{mod} \geq d, \]
and in particular
\[ \text{repdim } \Lambda \geq d + 2. \]

Proof. We choose \( G \) to consist of the first \( d \) terms of an injective resolution of \( L \) as \( \Lambda \otimes_k R \text{-lattice} \) (naively truncated, so that it really is a complex of injective lattices).
4 A practical version of the main theorem

In this section we will treat the following special case: We assume \( R = k[x_1, \ldots, x_d] \) and \( G \) is a complex of injectives such that the differential is a polynomial of degree one. This setup will be used in the examples.

We denote by \( \overline{k} \) the algebraic closure of \( k \). The inclusion \( k[x_1, \ldots, x_d] \hookrightarrow \overline{k}[x_1, \ldots, x_d] \) induces a surjection

\[
\zeta: \overline{k} = \text{MaxSpec } k[x_1, \ldots, x_d] \twoheadrightarrow \text{MaxSpec } k[x_1, \ldots, x_d].
\]

In particular the \( \zeta \)-image of dense subsets is dense.

For \( (\alpha_1, \ldots, \alpha_d) \in \text{MaxSpec } k[x_1, \ldots, x_d] \), we denote by \( \hat{k} = k[\alpha_1, \ldots, \alpha_d] \) the corresponding finite extension of \( k \).

4.1 Corollary. Let \( R = k[x_1, \ldots, x_d] \). Assume \( G \in C^b(\text{Inj}(\Lambda \otimes_k R\text{-lat})) \) is of the form

\[
I_0 \otimes_k R \xrightarrow{\partial_0 + \sum_{i=1}^d \partial_i^1 x_i} I_1 \otimes_k R \xrightarrow{\partial_0^2 + \sum_{i=1}^d \partial_i^2 x_i} \cdots \xrightarrow{\partial_0^d + \sum_{i=1}^d \partial_i^d x_i} I_d \otimes_k R,
\]

with \( I^i \in \Lambda\text{-inj} \) and \( \partial_i^j \in \text{Hom}_\Lambda(I^{j-1}, I^j) \). Assume the set

\[\{(\alpha_1, \ldots, \alpha_d) \in \overline{k}^d | \text{the map} \]

\[I^0 \otimes_k \hat{k} \xrightarrow{\partial_0 + \sum_{i=1}^d \partial_i^1 \alpha_i} I^1 \otimes_k \hat{k} \]

\[I^{d-1} \otimes_k \hat{k} \xrightarrow{\partial_0^d + \sum_{i=1}^d \partial_i^d \alpha_i} I^d \otimes_k \hat{k} \]

is not null-homotopic

is Zariski dense in \( \overline{k}^d \). Then

\[\dim \Lambda\text{-mod} \geq d.\]

Proof. We only need to show that we are in the situation of Theorem 1(b).

Assume \( (\alpha_1, \ldots, \alpha_d) \) is in the set above. We consider the exact sequences

\[E_r:\]

\[\hat{k}[x_1, \ldots, x_d]/(x_1 - \alpha_1, \ldots, x_d - \alpha_d)\]

\[\hat{k}[x_1, \ldots, x_d]/(x_1 - \alpha_1, \ldots, x_{r-1} - \alpha_{r-1}, (x_r - \alpha_r)^2, x_{r+1} - \alpha_{r+1}, x_d - \alpha_d)\]

\[\hat{k}[x_1, \ldots, x_d]/(x_1 - \alpha_1, \ldots, x_d - \alpha_d)\]
of $R$-modules, where the first map is the $\widehat{k}[x_1, \ldots, x_n]$-linear map sending 1 to $x_r - \alpha_r$, and the second map is projection. Tensoring $\mathbb{E}_r$ with $G$ we find the following short exact sequence of complexes of $\Lambda$-modules.

\[
\begin{array}{cccccc}
I^0 \otimes_k \widehat{k} & \xrightarrow{\partial_0 + \sum_{i=1}^d \partial_1^i \alpha_i} & I^1 \otimes_k \widehat{k} & \xrightarrow{\partial_0^2 + \sum_{i=1}^d \partial_2^i \alpha_i} & \cdots & \xrightarrow{\partial_0^d + \sum_{i=1}^d \partial_d^i \alpha_i} & I^d \otimes_k \widehat{k} \\
(0 1) & & (0 1) & & \cdots & & (0 1) \\
I^0 \otimes_k \widehat{k} & \oplus & (x_r - \alpha_r)I^0 \otimes_k \widehat{k} & \xrightarrow{A^1} & I^1 \otimes_k \widehat{k} & \oplus & (x_r - \alpha_r)I^1 \otimes_k \widehat{k} & \xrightarrow{A^2} & \cdots & \xrightarrow{A^d} & I^d \otimes_k \widehat{k} & \oplus & (x_r - \alpha_r)I^d \otimes_k \widehat{k} \\
(1 0) & & (1 0) & & \cdots & & (1 0) \\
I^0 \otimes_k \widehat{k} & \xrightarrow{\partial_0 + \sum_{i=1}^d \partial_1^i \alpha_i} & I^1 \otimes_k \widehat{k} & \xrightarrow{\partial_0^2 + \sum_{i=1}^d \partial_2^i \alpha_i} & \cdots & \xrightarrow{\partial_0^d + \sum_{i=1}^d \partial_d^i \alpha_i} & I^d \otimes_k \widehat{k} \\
\end{array}
\]

with $A^j = \left( \begin{array}{cc} \partial_0^j + \sum_{i=1}^d \partial_1^i \alpha_i & \partial_0^j + \sum_{i=1}^d \partial_1^i \alpha_i \\ 0 & \partial_0^j + \sum_{i=1}^d \partial_2^i \alpha_i \end{array} \right)$. The map in the homotopy category corresponding to this extension is

\[
\begin{array}{cccccc}
I^0 \otimes_k \widehat{k} & \xrightarrow{\partial_1} & I^1 \otimes_k \widehat{k} & \xrightarrow{-\partial_2} & \cdots & \\
\end{array}
\]

Now we look at the composition $\mathbb{E}_1 \cdots \mathbb{E}_d \in \text{Ext}_R^d$. By assumption it is not locally null-homotopic. Therefore $\zeta(\alpha_1, \ldots, \alpha_d)$ is in the set

\[
\{ p \in \text{MaxSpec} \ R \mid (G \otimes_R^{\text{Tot}})(\text{Hom}_{D^b(R\text{-mod})}(R_p \cdot \text{-f.1}, R_p \cdot \text{-f.1}[d])) \text{ contains at least one map of complexes which is not locally null-homotopic} \}.
\]

Therefore this set is dense, so the assumption of Theorem 1(b) is satisfied. \qed

Now we can reformulate Corollary 4.1 in a way which does not contain the $R$-lattice structure explicitly any more, but only requires us to find a finite set of morphisms between injective $\Lambda$-modules having certain properties.

4.2 Proposition. For $0 \leq j \leq d$ let $I^j \in \Lambda\text{-inj}$ and for $0 \leq i \leq d$ and $0 < j \leq d$ let $\partial_i^j \in \text{Hom}_\Lambda(I^{j-1}, I^j)$, such that

1. $\forall i, j : \partial_i^j \partial_i^{j+1} = 0$ and
(2) \( \forall i_1, i_2, j : \partial_{i_1}^j \partial_{i_2}^{j+1} = -\partial_{i_2}^j \partial_{i_1}^{j+1} \).

Assume the set 
\[ \{ (\alpha_1, \ldots, \alpha_d) \in \overline{k}^d \mid \text{for } \hat{k} = k[\alpha_1, \ldots, \alpha_d] \text{ the map} \]
\[
I^0 \otimes_k \hat{k} \xrightarrow{\partial_1^d + \sum_{i=1}^d \partial_i^d \alpha_i} I^1 \otimes_k \hat{k}
\]
\[
I^{d-1} \otimes_k \hat{k} \xrightarrow{\partial_1^d + \sum_{i=1}^d \partial_i^d \alpha_i} I^d \otimes_k \hat{k}
\]
is not null-homotopic \}

is Zariski dense in \( \overline{k}^d \). Then
\[ \dim \Lambda \text{-mod} \geq d. \]

**Proof.** We apply Corollary 4.1 to the complex
\[
I^0 \otimes_k R \xrightarrow{\partial_1^d + \sum_{i=1}^d \partial_i^d x_i} I^1 \otimes_k R \xrightarrow{\partial_2^d + \sum_{i=1}^d \partial_i^d x_i} \cdots \xrightarrow{\partial_d^d + \sum_{i=1}^d \partial_i^d x_i} I^d \otimes_k R.
\]
Assumptions (1) and (2) of the proposition ensure that this is indeed a complex, that is that the composition of two consecutive morphisms vanishes. \( \square \)

**4.3 Remark.** Note that, in Proposition 4.2 above, we have to find out if a morphism of complexes of \( \Lambda \otimes_k \hat{k} \)-modules is null-homotopic as a map of complexes of \( \Lambda \)-modules. This seems to be a quite unnatural question. Next we will see that for \( \hat{k} \) separable over \( k \) this simplifies to the question whether the map is null-homotopic as a map of complexes of \( \Lambda \otimes_k \hat{k} \)-modules.

**4.4 Lemma.** Let \( \hat{k} \) be a separable extension of \( k \). A map of complexes of \( \Lambda \otimes_k \hat{k} \)-modules is (locally) null-homotopic as map of complexes of \( \Lambda \)-modules if and only if it is (locally) null-homotopic as a map of complexes of \( \Lambda \otimes_k \hat{k} \)-modules.

**Proof.** The “if”-part is clear.

For the converse let the complexes be \( (A_i) \) and \( (B_i) \). Assume that there is a \( \Lambda \)-null-homotopy by maps \( h_i : A_i \xrightarrow{\sim} B_{i+1} \).

Since \( \hat{k} \) is separable over \( k \) the epimorphism \( \hat{k} \otimes_k \hat{k} \xrightarrow{\pi} \hat{k} \) of \( \hat{k} \)-\( \hat{k} \)-bimodules splits ([6, Corollary 69.8]). Let \( \iota : \hat{k} \xrightarrow{\sim} \hat{k} \otimes_k \hat{k} \) be a morphism of \( \hat{k} \)-\( \hat{k} \)-bimodules such that \( \iota \pi = 1 \). This induces maps of \( \Lambda \otimes_k \hat{k} \) modules
\[
A_i \otimes_k \hat{k} \xrightarrow{1_A_i \otimes_k \pi} A_i \]
\[
A_i \otimes_k \hat{k} \xrightarrow{1_A_i \otimes_k \iota} A_i
\]
and similar for $B_i$.

Now we replace $h_i$ by

\[
\tilde{h}_i : A_i \xrightarrow{1_{A_i} \otimes \tilde{k}} A_i \otimes_k \tilde{k} \xrightarrow{h \otimes_k 1_{\tilde{k}}} B_{i+1} \otimes_k \tilde{k} \xrightarrow{1_{B_{i+1}} \otimes \pi} B_{i+1}.
\]

Note that if $f : X \rightarrow Y$ is a $\Lambda \otimes_k \tilde{k}$-linear map, then $f(1_Y \otimes \tilde{k} \iota) = (1_X \otimes \tilde{k} \iota)(f \otimes_k 1_{\tilde{k}})$ and $(1_X \otimes \tilde{k} \pi)f = (f \otimes_k 1_{\tilde{k}})(1_Y \otimes \tilde{k} \pi)$. Using this, it is a straightforward calculation to see that the $\tilde{h}_i$ also induce a null-homotopy.

The proof for locally null-homotopic is similar.

We denote by $k^{\text{sep}}$ the separable closure of $k$. Note that $(k^{\text{sep}})^d$ is always dense in $k^d$. Then we obtain the following theorem directly from Proposition 4.2 and Lemma 4.4.

**Theorem 2.** For $0 \leq j \leq d$ let $I^j \in \Lambda \text{-}\text{inj}$ and for $0 \leq i \leq d$ and $0 < j \leq d$ let $\partial^j_i \in \text{Hom}_\Lambda(I^{j-1}, I^j)$, such that

1. $\forall i, j : \partial^j_i \partial^{j+1}_i = 0$ and
2. $\forall i_1, i_2, j : \partial^j_{i_1} \partial^{j+1}_{i_2} = -\partial^j_{i_2} \partial^{j+1}_{i_1}$.

Assume the set

\[
\{(\alpha_1, \ldots, \alpha_d) \in (k^{\text{sep}})^d \mid \text{for } \tilde{k} = k[\alpha_1, \ldots, \alpha_d] \text{ the map}
\]

\[
I^0 \otimes_k \tilde{k} \xrightarrow{\partial^0_1 + \sum_{i=1}^d \partial^1_i \alpha_i} I^1 \otimes_k \tilde{k}
\]

\[
I^{d-1} \otimes_k \tilde{k} \xrightarrow{\partial^d_1 + \sum_{i=1}^d \partial^d_i \alpha_i} I^d \otimes_k \tilde{k}
\]

is not null-homotopic as map of complexes over $\Lambda \otimes_k \tilde{k}$

is Zariski dense in $(k^{\text{sep}})^d$. Then

\[
\dim \Lambda \text{-mod} \geq d.
\]

**5 Applications**

This section is devoted to showing how the results can be applied to some interesting classes of algebras. We will reprove and generalize Rouquier’s result on the representation dimension of exterior algebras, and find a general lower bound for the representation dimension of commutative algebras. In the next section we will see that we automatically also get lower bounds for
coverings and certain variations of the algebras presented in this section. In the appendix we will find upper bounds for the representation dimension of the algebras we look at in this and the next section. In most cases it will turn out that we have actually identified the representation dimension or that there is only a small number of possible values left.

The examples will consist of families of algebras indexed by \( L \) and \( N \), such that \( L \) is the maximal Loewy length and \( N \) is the number of generators (this will make sense in the actual examples).

As a first example, we consider the exterior algebra, which has been treated by Rouquier [16]. We allow more generally to cut off certain powers of the radical.

5.1 Example. Let \( \Lambda_{L,N} \) be the exterior algebra of an \( N \)-dimensional vector space modulo the \( L \)-th power of the radical (\( L > 1 \), note that if \( L > N \) then the actual value of \( L \) does not matter and the Loewy length is \( N + 1 \)). That is

\[
\Lambda_{L,N} = k\langle x_1, \ldots, x_N \rangle / (x_m x_n + x_n x_m, x_{n_1}^2, x_{n_1} \cdots x_{n_L} | 1 \leq m, n, n_i \leq N).
\]

Then

\[
\min\{L - 1, N - 1\} \leq \dim \Lambda_{L,N}\text{-mod} \leq \dim D^b(\Lambda_{L,N}\text{-mod}) \leq \min\{L - 1, N\},
\]

and in particular

\[
\text{repdim} \Lambda_{L,N} \geq \min\{L + 1, N + 1\}.
\]

Proof. We want to apply Theorem 2. Set \( d = \min\{L - 1, N - 1\} \). Take \( I^0 = \cdots = I^H = \Lambda_{L,N}^* \) and \( \partial^i_l \) the map induced by right multiplication by \( x_{i+1} \). By definition they fulfill assumptions (1) and (2) of Theorem 2. So consider the diagram

\[
\begin{array}{ccc}
\Lambda_{L,N}^* \otimes_k \hat{k} & \xrightarrow{x_1^{\alpha_1} + \cdots + x_{d+1}^{\alpha_{d+1}}} & \Lambda_{L,N}^* \otimes_k \hat{k} \\
| & & |
\Lambda_{L,N}^* \otimes_k \hat{k} & \xrightarrow{x_1^{\alpha_1} + \cdots + x_{d+1}^{\alpha_{d+1}}} & \Lambda_{L,N}^* \otimes_k \hat{k}
\end{array}
\]

The vertical map of complexes is not null-homotopic. Therefore \( \dim \Lambda_{L,N}\text{-mod} \geq d \). The other inequalities are contained in diagram (†) following 1.14.

Now let us look at truncated polynomial rings.
5.2 Example. Let $\Sigma_{L,N} = k[x_1, \ldots, x_N]/(x_1, \ldots, x_N)_L$. That is the polynomial ring in $N$ variables modulo all monomials of degree $L$. Then

$$\min\{L - 1, N - 1\} \leq \dim \Sigma_{L,N} \leq \dim D^b(\Sigma_{L,N} \text{-mod}) \leq L - 1,$$

and in particular

$$\text{repdim } \Sigma_{L,N} \geq \min\{L + 1, N + 1\}.$$

Proof. Set $d = \min\{L - 1, N - 1\}$. Take $I^j = (\Sigma^*_{L,N})^j$, that is $\binom{j}{d}$ copies of the indecomposable injective module. We assume these copies to be indexed by the subsets of $\{1 \ldots d\}$ having exactly $j$ elements, and write $(\Sigma^*_{L,N})^S$ with $S \subseteq \{1 \ldots d\}$ and $|S| = j$ for the corresponding direct summand of $I^j$. We define the maps $\partial^j_i$ by giving their components between the direct summands.

For $\partial^j_0$ the component $(\Sigma^*_{L,N})^S \longrightarrow (\Sigma^*_{L,N})^T$ is

$$\left\{ \begin{array}{ll}
0 & \text{if } S \not\subseteq T \\
(-1)^{|\{s \in S | s < t\}|} x_t & \text{if } S \cup \{t\} = T.
\end{array} \right.$$

For $i > 0$ the component $(\Sigma^*_{L,N})^S \longrightarrow (\Sigma^*_{L,N})^T$ of $\partial^j_i$ is

$$\left\{ \begin{array}{ll}
0 & \text{if } S \not\subseteq T \\
(-1)^{|\{s \in S | s < t\}|} x_N & \text{if } S \cup \{t\} = T.
\end{array} \right.$$

It is a straightforward calculation to verify that these maps fulfill assumptions (1) and (2) of Theorem 2. By induction on $d'$ with $0 \leq d' \leq d$ one can see that the map $\partial^1_1 \cdots \partial^d_{d'}$ is given by its components

$$0 \quad \text{if } S \neq \{1 \ldots d'\}$$

$$\pm x_N^{d'} \quad \text{if } S = \{1 \ldots d'\}$$

Therefore we consider, for $\alpha \in \widehat{k}^d$ and $\widehat{k} = k(\alpha)$, the following vertical map of complexes.

Clearly it is never null-homotopic. Therefore Theorem 2 can be applied and provides the lower bound for $\dim \Sigma_{L,N} \text{-mod}$.

Note that $L \Sigma_{L,N} = L$. Then the other inequalities can be found in diagram (†) following 1.14.
For an ideal $I \subseteq k[x_1, \ldots, x_N]$ and $a \in k^N$ we say that $I$ has an $L$-fold zero in $a$ if $I \subseteq (x_i - a_i \mid 1 \leq i \leq N)^L$. Note that for showing the lower bounds for the three dimensions in Example 5.2 it was only necessary to factor out an ideal which has an $L$-fold zero in 0. Also we can move the zero to any other point by changing the coordinates. Therefore we have shown

**Theorem 3.** Let $I \subseteq k[x_1, \ldots, x_N]$. Assume that $I$ has an $L$-fold zero. Then
\[
\min\{L-1, N-1\} \leq \dim k[x_1, \ldots, x_N]/I - \text{mod} \leq \dim D^b(k[x_1, \ldots, x_N]/I - \text{mod}),
\]
and in particular
\[
\text{repdim } k[x_1, \ldots, x_N]/I \geq \min\{L+1, N+1\}.
\]

**5.3 Remark.** Recall the following result due to Avramov and Iyengar [5]:
\[
\forall c_1, \ldots, c_N > 1 : \text{repdim } k[x_1, \ldots, x_N]/(x_1^{c_1}, \ldots, x_N^{c_N}) \geq N + 1
\]
It is worth noting that the result of Theorem 3 intersects their result, where the intersection consists of the cases with $c_1, \ldots, c_N \geq N$.

6 Coverings of algebras

The aim of this section is to show that, under certain assumptions, the pre-
conditions of Theorem 1 are invariant under coverings. This result will allow us to transfer our results on local algebras in the previous section to classes of algebras of finite global dimension. There are many algebras which admit a covering by the same algebra of finite global dimension. This will yield larger families (depending on parameters in $k$ rather than just the discrete parameters $L, N$) of algebras for which we can find a lower bound for the representation dimension.

We assume $\Lambda$ to be a graded algebra. That means there is an abelian group $A$, such that $\Lambda = \oplus_{a \in A} \Lambda_a$ as $k$-vector space and $\Lambda_{a_1} \cdot \Lambda_{a_2} \subseteq \Lambda_{a_1+a_2}$. Note that the algebras presented in Section 5 as Examples 5.1 and 5.2 are $\mathbb{Z}$ graded by $\deg x_i = 1$.

A graded $\Lambda$-module is a $\Lambda$-module $M$ with a $k$-vector space decomposition $M = \oplus_{a \in A} M_a$ such that $\Lambda_{a_1} \cdot M_{a_2} \subseteq M_{a_1+a_2}$. Clearly $\Lambda$ itself is a graded $\Lambda$-module. If $M$ is a graded $\Lambda$-modules and $a \in A$, then we denote my $M(a)$ the graded $\Lambda$-module with $M(a) = M$ as $\Lambda$-modules, but $M(a)_b = M_{b-a}$. For two graded $\Lambda$-modules $M$ and $N$ we denote by $\text{Hom}^g_{\Lambda}(M,N)$ the set of graded homomorphisms of degree $g$, that is the homomorphisms which map $M_a$ to $N_{a+g}$ for all $a \in A$. 

Now let $V \subseteq A$ be a finite subset. We can define a finite dimensional algebra $\Lambda_V$ by

$$\Lambda_V = (\text{End}_{\text{gr}}^0(\oplus_{v \in V} \Lambda(v)))^{\text{op}},$$

Note that $\text{Hom}_{\text{gr}}^0(\Lambda(v), \Lambda(w)) = \text{Hom}_{\text{gr}}^{w-v}(\Lambda, \Lambda) = \Lambda_{w-v}$. Therefore the algebra $\Lambda_V$ is the matrix algebra

$$(\Lambda_{w-v})_{v \in V, w \in V}$$

The indecomposable projective $\Lambda_V$-modules are in bijection to the pairs $(Q, v)$ with $Q$ an indecomposable projective $\Lambda$-module and $v \in V$, and

$$\text{Hom}_{\Lambda_v}(P_{(Q_1, v_1)}, P_{(Q_2, v_2)}) = \text{Hom}_{\text{gr}}^{v_2-v_1}(Q_1, Q_2).$$

This gives rise to a faithful functor

$$\Lambda_V \text{-proj} \longrightarrow \Lambda \text{-proj}$$

and therefore also to faithful functors

$$C^b(\Lambda_V \text{-proj}) \longrightarrow C^b(\Lambda \text{-proj}),$$

and

$$C^b(\Lambda_V \otimes_k R \text{-proj}) \longrightarrow C^b(\Lambda \otimes_k R \text{-proj}),$$

which will all be denoted by $\mathcal{C}$.

For $G \in C^b(\Lambda \otimes_k R \text{-proj})$ we set

$$d(G) = \min \{d : \text{the set}\}
\{p \in \text{MaxSpec } R : (G \otimes_R -)(\text{Hom}_{D^b(R \text{-mod})}(R_p\text{-f.1}, R_p\text{-f.1}.[d])
\text{ contains at least one map of complexes which is not locally null-homotopic}\}
\text{ is dense}\}.$$

Then Theorem 1(b) can be restated as follows:

**Theorem 1 (b).** Assume $G \in \Lambda \otimes_k R \text{-proj}$. Then $\dim \Lambda \text{-mod} \geq d(G)$.

Our aim is to show that $d(G)$ does not change under certain coverings. Together with the formulation of Theorem 1(b) above this means that we can often establish the same lower bounds for the dimension of the module category of $\Lambda_V$ that we can show for the dimension of $\Lambda \text{-mod}$.

**6.1 Proposition.** Assume $G \in C^b(\Lambda_V \otimes_k R \text{-proj})$. Then $d(\mathcal{C}G) = d(G)$. 
Proof. Tensoring with $X \in R$-f.l. commutes with $C$. Let $X_1, X_2 \in R$-f.l. and $\varphi : X_1 \rightarrow X_2[d]$. Clearly if the map $G \otimes_R X_1 \rightarrow G \otimes_R X_2[d]$ induced by $\varphi$ is locally null-homotopic, then so is its image under $C$.

The idea for the converse is, that all parts of a local null-homotopy which do not respect the grading can be omitted.

More precisely, assume the map

$$
\begin{align*}
\oplus_i P(Q_i, v_i) & \rightarrow (\partial_{ij})_{ij} \rightarrow \oplus_i P(R_i, w_i), \\
\oplus_i P(S_i, x_i) & \rightarrow (f_{ij})_{ij} \rightarrow \oplus_i P(T_i, y_i)
\end{align*}
$$

gets null-homotopic by applying $C$ (here $Q_i, R_i, S_i$ and $T_i$ are indecomposable projective $\Lambda$-modules and $v_i, w_i, x_i, y_i \in V$). We want to show that the map then is null-homotopic itself.

By assumption, there are maps $r_{ij} : Q_i \rightarrow S_j$ and $s_{ij} : R_i \rightarrow T_j$ as indicated in the following diagram

$$
\begin{align*}
\oplus_i Q_i & \rightarrow (\partial_{ij})_{ij} \rightarrow \oplus_i R_i, \\
\oplus_i S_i & \rightarrow (f_{ij})_{ij} \rightarrow \oplus_i T_i
\end{align*}
$$

making $f$ null-homotopic.

We can decompose the $r_{ij}$ into $r_{ij} = \sum r_{ij}^g$ with $r_{ij}^g \in \text{Hom}^g(Q_i, S_j)$ and the $s_{ij}$ into $s_{ij} = \sum s_{ij}^g$ with $s_{ij}^g \in \text{Hom}^g(R_i, T_j)$. New recall that the $f_{ij}, \partial_{ij}$ and $\partial_{ij}'$ are graded homomorphisms. Using this fact, it is a straightforward calculation to see that $(r_{ij}^{x_{ij}-v_i})_{ij}$ and $(s_{ij}^{y_{ij}-w_i})_{ij}$ also make $f$ null-homotopic.

The claim now follows from the fact that the $r_{ij}^{x_{ij}-v_i}$ and $s_{ij}^{y_{ij}-w_i}$ are in the image of $C$. \hfill \Box

Therefore we immediately get the following two new examples from Examples 5.1 and 5.2 (the upper bounds for the dimension of the derived category can be read off directly from diagram (†) following 1.14):

6.2 Example (covering of Example 5.1). Let $\Lambda_{L,N}$ be the exterior algebra of an $N$-dimensional vector space modulo the $L$-th power of the radical, which
was treated in Example 5.1. Let \( \tilde{\Lambda}_{L,N} = \left( \Lambda_{L,N} \right)_{\{1,...,L\}} \), that is the covering with respect to the subset \( \{1,\ldots,L\} \subset \mathbb{Z} \). Then \( \Lambda_{L,N} = kQ/I \) with

\[
Q = \begin{pmatrix}
1 & x_1 \\
\vdots & \vdots \\
x_N & \end{pmatrix} \quad \begin{pmatrix}
2 & x_1 \\
\vdots & \vdots \\
x_N & \end{pmatrix} \quad \ldots \quad \begin{pmatrix}
L-1 & x_1 \\
\vdots & \vdots \\
x_N & \end{pmatrix} \quad \begin{pmatrix}
L & \end{pmatrix}
\]

and

\[
I = (x_m x_n + x_n x_m, x_n^2 \mid 1 \leq m, n \leq N).
\]

Then

\[
\min\{L-1, N-1\} \leq \dim \tilde{\Lambda}_{L,N} \text{-mod} \leq \dim D^b(\tilde{\Lambda}_{L,N} \text{-mod}) \leq \min\{L-1, N\},
\]

and in particular

\[
\text{repdim} \tilde{\Lambda}_{L,N} \geq \min\{L+1, N+1\}.
\]

### 6.3 Example (covering of Example 5.2)

Let \( \Sigma_{L,N} \) be the truncated polynomial ring as treated in Example 5.2. Let \( \tilde{\Sigma}_{L,N} = \left( \Sigma_{L,N} \right)_{\{1,...,L\}} \). Then \( \tilde{\Sigma}_{L,N} = kQ/I \) with

\[
Q = \begin{pmatrix}
1 & x_1 \\
\vdots & \vdots \\
x_N & \end{pmatrix} \quad \begin{pmatrix}
2 & x_1 \\
\vdots & \vdots \\
x_N & \end{pmatrix} \quad \ldots \quad \begin{pmatrix}
L-1 & x_1 \\
\vdots & \vdots \\
x_N & \end{pmatrix} \quad \begin{pmatrix}
L & \end{pmatrix}
\]

and

\[
I = (x_m x_n - x_n x_m \mid 1 \leq m, n \leq N).
\]

Then

\[
\min\{L-1, N-1\} \leq \dim \tilde{\Sigma}_{L,N} \text{-mod} \leq \dim D^b(\tilde{\Sigma}_{L,N} \text{-mod}) \leq \min\{L-1, N\},
\]

and in particular

\[
\text{repdim} \tilde{\Sigma}_{L,N} \geq \min\{L+1, N+1\}.
\]

Note that for \( L = N \) this is the family of algebras studied by Krause and Kussin [11]. Here we have improved their lower bound for the representation dimension by two.

There can be many graded algebras which have the same covering. We also get the following connection between them:

Assume \( \alpha : \Lambda \longrightarrow \text{Aut}_{\text{gr}} \Lambda \) is a homomorphism of groups. Then we can define a finite dimensional algebra \( \Lambda^\alpha \) by

\[
\Lambda^\alpha \cong \Lambda \text{ as } k\text{-vector spaces, and}
\]

\[
\lambda_1 \cdot^\alpha \lambda_2 = \lambda_1^{\alpha(\deg \lambda_2)} \cdot \lambda_2.
\]
It is straightforward to verify that $\Lambda^\alpha$ is an algebra and that $\Lambda^\alpha_V \cong \Lambda_V$ for any $V \subseteq A$.

In our examples the algebras are $\mathbb{Z}$-graded, and a group homomorphism $\alpha : \mathbb{Z} \rightarrow \text{Aut}_{\text{gr}} \Lambda$ is determined by $\alpha(1)$. Further note that in Examples 5.1 and 5.2 any automorphism $A$ of the vector space $kx_1 \oplus \cdots \oplus kx_N$ extends uniquely to a graded automorphism of $\Lambda_{L,N}$. Therefore we get the following results:

**6.4 Example** (from Examples 5.1 and 6.2). Let $A = (a_{ij})$ be an invertible $N \times N$-matrix over $k$. Let $\Lambda^A_{L,N}$ be the algebra

$$\Lambda^A_{L,N} = k\langle x_1, \ldots, x_N \rangle / (\sum_i a_{mi}x_nx_i + \sum_i a_{ni}x_mx_i, 1 \leq m, n \leq N, \sum_i a_{ni}x_nx_i, 1 \leq n \leq N, x_{n_1} \cdots x_{n_L}, 1 \leq n_i \leq N).$$

Then

$$\min\{L - 1, N - 1\} \leq \dim \Lambda^A_{L,N} \leq \dim D^b(\Lambda^A_{L,N} \text{-mod}) \leq \min\{L - 1, N\},$$

and in particular

$$\text{repdim} \Lambda^A_{L,N} \geq \min\{L + 1, N + 1\}.$$

**6.4.1 Subexample.** In Example 6.4 above, let $N = 3$, $L = 4$ and $A = \begin{pmatrix} st & \ast \\ \ast & 1 \end{pmatrix}$ with $s, t \in k \setminus \{0\}$. Then we find

$$\text{repdim}(k\langle x, y, z \rangle / (x^2, y^2, z^2, xy + syx, xz + stzx, yz + tzy)) \geq 4.$$

**6.5 Example** (from Examples 5.2 and 6.3). Let $A = (a_{ij})$ be an invertible $N \times N$-matrix over $k$. Let $\Sigma^A_{L,N}$ be the algebra

$$\Sigma^A_{L,N} = k\langle x_1, \ldots, x_N \rangle / (\sum_i a_{mi}x_nx_i - \sum_i a_{ni}x_mx_i, x_{n_1} \cdots x_{n_L}).$$

Then

$$\min\{L - 1, N - 1\} \leq \dim \Sigma^A_{L,N} \leq \dim D^b(\Sigma^A_{L,N} \text{-mod}) \leq L - 1,$$

and in particular

$$\text{repdim} \Sigma^A_{L,N} \geq \min\{L + 1, N + 1\}.$$
Appendix: Comparison with Iyama’s upper bound for the representation dimension

The results presented here are based on the following theorem of Iyama. The application of his result to the examples was suggested by Iyama, who worked out in detail the upper bound for the representation dimension of the algebra considered by Krause and Kussin presented here as Example A.8 (private communication [8]).

**Theorem 4** (Iyama [9, Theorem 2.2.2 and Theorem 2.5.1]). Let $\Lambda$ be a finite dimensional algebra. Let $M = M_0 \in \Lambda\text{-mod}$ and $M_{i+1} = M_i \cdot \text{Rad}(\text{End}(M_i))$. Assume $M_m = 0$. Then

$$\text{gld } \text{End}(\bigoplus_i M_i) \leq m.$$  

Especially, for $M = \Lambda \oplus \Lambda^*$,

$$\text{repdim } \Lambda \leq m.$$  

Here we only consider the case $M = \Lambda \oplus \Lambda^*$. We will show that the upper bound for the representation dimension provided by Iyama’s theorem coincides with the lower bound we found for some of the algebras we considered.

The following corollary and its proof are a slight extension of a result shown by Iyama in a private letter [8].

**A.1 Corollary.** Let $\Lambda$ be a finite dimensional algebra with $L$ simple modules $S_1, \ldots, S_L$ such that $\text{Ext}^1_{\Lambda}(S_v, S_w) = 0$ whenever $v \neq w - 1$. Denote by $I_l$ the injective module with socle $S_l$. Assume

1. $\text{End}_{\Lambda} J^i \Lambda$ is semisimple for any $i$.

2. there is $1 \leq L_0 \leq L$ such that

   - $(a)$ $I_l$ is projective for all $l > L_0$, and
   - $(b)$ all composition factors of $\text{Soc } \Lambda$ are among the simple modules corresponding to vertices $L_0, \ldots, L$.

Then

$$\text{repdim } \Lambda \leq \max\{LL \Lambda, \max\{LL I_l + 1 \mid I_l \text{ not projective}\}\}.$$  

**A.2 Remark.** The condition on the extensions between simple $\Lambda$-modules just means that the (valued) quiver of $\Lambda$ is of the form

$$\begin{array}{cccc}
\circ_1 & \overset{(a_1,b_1)}{\rightarrow} & \circ_2 & \overset{(a_2,b_2)}{\rightarrow} \cdots \overset{(a_{L-1},b_{L-1})}{\rightarrow} \circ_L
\end{array}$$

for arbitrary $a_i, b_i \in \mathbb{N}$. 


Proof. Set $V = \{ v \in \{1, \ldots, L \} \mid I_v \text{ not projective} \}$. We may assume that $L_0 \in V$. We apply Iyama’s Theorem with $M_0 = \Lambda \oplus \bigoplus_{v \in V} I_v$. We will show that $M_i = J^i \Lambda \oplus \bigoplus_{v \in V} I_i^v$, for submodules $I_i^v \subseteq I_v$ with $\text{LL} I_i^v \leq \max_{v \in V} \text{LL} I_v + 1 - i$.

Clearly the construction in Iyama’s Theorem respects the direct sum decomposition of $M_0$.

We first look at morphisms to the submodules of the indecomposable injective non-projective modules.

Let $v \in V$ and $\varphi \in \text{Hom}(J^i \Lambda, I_i^v)$. Since $I_v$ is injective $\varphi$ extends to a map $\Lambda \rightarrow I_v$ as indicated in the following diagram.

\[
\begin{array}{ccc}
J^i \Lambda & \prec \rightarrow & \Lambda \\
\varphi \downarrow & & \downarrow \\
I_i^v & \prec \rightarrow & I_v
\end{array}
\]

Therefore the image of $\varphi$ is contained in $J^i I_v$. For the converse let $\psi : \Lambda^n \rightarrow I_v$ be a projective cover. Since $I_v$ is not projective this is in the radical of $\Lambda$-mod, so $I_v^1 = I_v$. Since embedding the radical is in the radical of $\Lambda$-mod so is the composition with the restriction of $\psi$ to some radical power.

\[
\begin{array}{ccc}
J^i I_v & \prec \rightarrow & J^{i-1} I_v.
\end{array}
\]

Therefore one can see, by induction over $i$, that $J^i \Lambda \cdot \text{Rad}_{\Lambda \cdot \text{mod}}(J^i \Lambda, I_i^v) = J^i I_v$ and $J^{i-1} I_v \subseteq I_i^v$. Especially $I_{L_0}^i = J^{i-1} I_{L_0}$.

Now let $v, w \in V$ with $v \neq w$. Any map $\varphi : I_i^v \rightarrow I_i^w$ has the socle of $I_i^v$ in its kernel. Therefore the length of the image is at most the length of $I_i^v$ minus one. By induction on $i$ one obtains $\text{LL} I_i^v \leq \max_{w \in V} \text{LL} I_w + 1 - i$ as claimed above.

Now we want to consider maps to the projective modules.

The composition of a projective cover with embedding of the radical $\Lambda^{(r)} \rightarrow J^i \Lambda \rightarrow \Lambda$ restricts to maps $J^i \Lambda^{(r)} \rightarrow J^{i+1} \Lambda \rightarrow J^i \Lambda$. These are in the radical of $\text{End} J^i \Lambda$ since the second map is in the radical of $\Lambda$-mod. Therefore, together with Assumption (1), we get $J^i \Lambda \cdot \text{Rad}_{\Lambda \cdot \text{mod}} J^i \Lambda = J^{i+1} \Lambda$.

For $v < L_0$ we have $\text{Hom}_\Lambda(I_i^v, J^i \Lambda) = 0$, since $I_i^v$ and $\text{Soc} J^i \Lambda$ do not have any common composition factors. By looking at the composition factors, we can also see that any non-zero element of $\text{Hom}_\Lambda(I_{L_0}^i, J^i \Lambda)$ is a monomorphism. Now assume such a monomorphism exists. Remember that $I_{L_0}^i = J^{i-1} I_{L_0}$.

Therefore the simple module corresponding to vertex $L_0 - (\text{LL} I_{L_0} - 1) + (i - 1) = L_0 - \text{LL} I_{L_0} + i$ is a composition factor of $I_{L_0}^i$. So it also is a composition factor of $J^i \Lambda$. Let $w$ be a vertex such that it is a composition factor of $J^i P_w$, where $P_w$ is the projective module corresponding to vertex
w. Then \( L_0 - LL I_{L_0} + i \geq w + i \), so \( L_0 - w \geq LL I_{L_0} \). But if the simple module corresponding to \( L_0 \) is a composition factor of \( P_w \), then the simple module corresponding to \( w \) is a composition factor of \( I_{L_0} \). Therefore \( LL I_{L_0} \geq L_0 - w + 1 \). A contradiction. Therefore \( \text{Hom}_\Lambda(I_{L_0}, J^i \Lambda) = 0 \).

Putting everything together we find that \( M_i \) has the structure claimed above. Especially \( M_{\max} \{ LL \Lambda, \max \{ LL I_v + 1 \mid I_v \text{ not projective} \} \} = 0 \), so Iyama’s Theorem provides the claim of the corollary.

**A.3 Corollary.** Let \( \Lambda \) be a local finite dimensional algebra. Assume

1. \( J^i \Lambda \cdot \text{Rad} \text{End}_\Lambda J^i \Lambda \subseteq J^{i+1} \Lambda \) for any \( i \),
2. the socle and radical series coincide for \( \Lambda \) and for \( \Lambda^* \), and
3. any map \( \text{Soc}^3 \Lambda^* \twoheadrightarrow \Lambda \) has semisimple image.

Then \( \text{repdim} \Lambda \leq LL \Lambda + 1 \).

**Proof.** We may assume that \( \Lambda \) is not self-injective (otherwise it is semisimple by Assumption (3)). We set \( M_0 = \Lambda \oplus \Lambda^* \) and claim that \( M_i = J^i \Lambda \oplus J^{i-1} \Lambda^* \).

As in the proof of Corollary A.1 we can see that

\[
J^i \Lambda^* \subseteq J^i \Lambda \oplus J^{i-1} \Lambda^* \cdot \text{Rad}_{\Lambda \text{-mod}}(J^i \Lambda \oplus J^{i-1} \Lambda^*), J^{i-1} \Lambda^* \subseteq \text{Soc}^{LL \Lambda - i} \Lambda^*.
\]

Since both sides coincide by Assumption (2) we have equality.

The proof of \( J^i \Lambda \cdot \text{Rad} \text{End}_\Lambda J^i \Lambda = J^{i+1} \Lambda \) is also identical to the proof of this equality in the case of Corollary A.1.

It remains to show that \( J^{i-1} \Lambda^* \cdot \text{Hom}_\Lambda(J^{i-1} \Lambda^*, J^i \Lambda) \subseteq J^{i+1} \Lambda \). Unfortunately this will clearly fail for \( i = LL \Lambda - 1 \). But in that case the image of any morphism to \( J^i \Lambda \) has semisimple image (since the module is semisimple), and the simple module is a direct summand of \( M_{i+1} \) anyway, so it still agrees with our claim above. Now assume \( i < LL \Lambda - 1 \). Let \( \varphi \in \text{Hom}_\Lambda(J^{i-1} \Lambda^*, J^i \Lambda) \).

Now we consider the following composition

\[
\text{Soc}^3 \twoheadrightarrow J^{i-1} \Lambda^* \xrightarrow{\varphi} J^i \Lambda \twoheadrightarrow \Lambda.
\]

By Assumption (3) this composition has semisimple image, so \( \varphi \) factors through \( J^{i-1} \Lambda^* \twoheadrightarrow J^{i-1} \Lambda^*/\text{Soc}^2 \Lambda^* \). Therefore the image has Loewy length \( LL \Lambda - (i-1)-2 = LL \Lambda - i - 1 \), so it is contained in \( \text{Soc}^{LL \Lambda - i - 1} \Lambda = J^{i+1} \Lambda \). □

**A.4 Remark / Corollary.** Auslander [2] has shown that the representation dimension of a self-injective algebra is bounded above by its Loewy length. This result also follows from Iyama’s Theorem (similar to and easier than Corollary A.3).
**Application to the examples:** Let us start by checking Assumption (1) of Corollary A.3 for the exterior algebra.

**A.5 Lemma.** Let \( \Lambda_N = \langle x_1, \ldots, x_N \rangle / (x_n x_m + x_m x_n, x_n^2) \) be the exterior algebra. Let \( 0 \leq i \leq j - 2 \leq N - 1 \). Then

\[
\text{End}_{\Lambda_N} J^i \Lambda_N / J^j \Lambda_N = k \oplus \text{Hom}_{\Lambda_N} (J^i \Lambda_N / J^j \Lambda_N, J^{i+1} \Lambda_N / J^j \Lambda_N) \iota
\]

where \( \iota \) is the natural embedding.

**Proof.** Since we are looking at a graded module the endomorphism ring is also graded. Therefore we only have to verify that all degree 0 endomorphisms are multiplication by a scalar.

If \( i = 0 \) or \( j = N + 1 \) this is true, since the endomorphisms induce endomorphisms of the simple head \((i = 0)\) or simple socle \((j = N + 1)\). Therefore we may exclude these cases in the next step.

Assume \( 1 \leq i \) and \( j \leq N \). Let \( \varphi : J^i \Lambda_N / J^j \Lambda_N \longrightarrow J^i \Lambda_N / J^j \Lambda_N \) be a degree 0 morphism. We want to show now that \( \varphi \) maps \( x_n J^{i-1} \Lambda_N / J^j \Lambda_N \) to itself for any \( n \). Let \( p \in J^{i-1} \Lambda_N \) be an element of degree \( i - 1 \). Then

\[
x_m \varphi(x_n p + J^j \Lambda_N) = \varphi(x_m x_n p + J^j \Lambda_N) = -x_n \varphi(x_m p + J^j \Lambda_N)
\]

\[
\in x_n \cdot J^j \Lambda_N / J^j \Lambda_N.
\]

Let

\[
\varphi(x_n p + J^j \Lambda_N) = \sum_{n_1 < n_2 < \cdots < n_i} \alpha_{n_1, \ldots, n_i} x_{n_1} \cdots x_{n_i}.
\]

Then

\[
x_m \varphi(x_n p + J^j \Lambda_N) = \sum_{n_1 < n_2 < \cdots < n_i \atop n_3 \neq m, \ldots, n_i \neq m} \alpha_{n_1, \ldots, n_i} x_{n_1} x_{n_2} \cdots x_{n_i}.
\]

Therefore each monomial with a nonzero coefficient in \( \varphi(x_n p + J^j \Lambda_N) \) contains at least one of \( x_n \) and \( x_m \). Since this works for any \( m \neq n \) each such monomial contains \( x_n \) or all other \( x_m \). The latter case cannot occur, since \( i < N - 1 \), so \( \varphi \) maps \( x_n J^{i-1} \Lambda_N / J^j \Lambda_N \) to itself as claimed above.

Now we show by induction on \( i \) and simultaneously for all \( N > i \) that any degree 0 morphism \( \varphi : J^i \Lambda_N / J^j \Lambda_N \longrightarrow J^i \Lambda_N / J^j \Lambda_N \) is multiplication by a scalar.

For \( i = 0 \) this is clear, so assume \( i > 0 \). Then we know that \( \varphi \) maps \( x_n \cdot J^{i-1} \Lambda_N / J^j \Lambda_N \) to itself. Now \( x_n \cdot J^{i-1} \Lambda_N / J^j \Lambda_N = J^{i-1} \Lambda_{N-1} / J^{j-1} \Lambda_{N-1} \),
where the $\Lambda_{N-1}$ is to be interpreted as the exterior algebra on the vector space generated by $x_m$ with $m \neq n$. Now inductively $\varphi|_{x_nJ^{i-1}\Lambda_N/J^i\Lambda_N}$ is multiplication by some $\alpha_n$, and the $\alpha_n$ all coincide since the $x_n \cdot J^{i-1}\Lambda_N/J^i\Lambda_N$ have pairwise non-trivial intersection.

Therefore our morphism is multiplication by a scalar, and the claim of the lemma follows.

\textbf{A.6 Example.} Let $\Lambda_{L,N}$ be the family of algebras from Example 5.1. That is $\Lambda_{L,N} = k\langle x_1, \ldots, x_N \rangle / (x_n x_m + x_m x_n, x_n^2, x_1 \cdots x_n)$. Assume $L \neq N$.

Then

\[ \text{repdim } \Lambda_{L,N} \leq \min\{L + 1, N + 1\}. \]

Together with Example 5.1 this implies that we have equality here and $\dim \Lambda_{L,N}-\text{mod} = \min\{L - 1, N - 1\}$.

\textit{Proof.} In case $L \geq N + 1$ the algebra is self-injective and the claim follows from Remark A.4. Otherwise we would like to apply Corollary A.3. We have verified Claim (1) in Lemma A.5, and Claim (2) is obvious. To verify Claim (3) let $\varphi : \text{Soc}^3 \Lambda_{L,N} \to \Lambda_{L,N}$. The monomials of the form $x_{n_1} \cdots x_{n_i}$ with $n_1 < \cdots < n_i$ and $i < L$ form a basis of $\Lambda_{L,N}$. We consider the dual basis of $\Lambda_{L,N}^*$. Then

\[ \text{Soc}^3 \Lambda_{L,N}^* = \bigoplus_{m \text{ such a monomial of degree } \leq 2} km^*. \]

Now note that for $n < m$ we have $x_r (x_n x_m)^* = 0$ for all $r \notin \{n, m\}$. Therefore all these $x_r$ have to operate as zero on $\varphi((x_n x_m)^*)$. It follows that $\varphi((x_n x_m)^*) \in J^{N-2}\Lambda_{L,N} + \text{Soc} \Lambda_{L,N}$. Since $\text{Soc} \Lambda_{L,N} = J^{L-1}\Lambda_{L,N}$, Claim (3) follows for $N - 2 \geq L - 1$, that is $L \leq N - 1$.

Unfortunately, Iyama’s Theorem does not give us the desired bound for $L = N$.

\textbf{A.7 Example.} Let $\tilde{\Lambda}_{L,N}$ be the family of algebras from Example 6.2, that is $\tilde{\Lambda}_{L,N} = kQ/I$ with

\[
Q = \begin{matrix}
\vdots & \vdots & \vdots & \vdots & \vdots \\
1 & x_1 & 2 & x_1 & 3 & \cdots & L-1 & x_1 & 0 \\
x_N & x_N & \vdots & \vdots & \vdots & \vdots & x_N & x_N & \vdots \\
\end{matrix}
\]

and

\[ I = (x_n x_m + x_m x_n, x_n^2), \]

Then

\[ \text{repdim } \tilde{\Lambda}_{L,N} \leq \min\{L + 1, N + 1\}. \]

Together with Example 6.2 this implies that we have equality here and $\dim \tilde{\Lambda}_{L,N}-\text{mod} = \min\{L - 1, N - 1\}$. 

Proof. This time we want to apply Corollary A.1. One can see that Assumption (1) is satisfied as in the proof of Lemma A.5, except that we do not have to restrict to degree 0 morphisms (since there are no morphisms of non-zero degree). If we choose $L_0 = \min\{L, N\}$ then Assumption (2) holds.

A.8 Example (shown by Iyama). Let $\tilde{\Sigma}_{L,N}$ be the family of algebras from Example 6.3, that is $\tilde{\Sigma}_{L,N} = kQ/I$ with
\[
Q = \begin{array}{cccccc}
1 & x_1 & 2 & x_1 & 3 & \cdots & L-1 & x_1 & L \\
& & & & & & & & \\
x_N & & x_N & & & & & \\
& & & & & & & & \\
x_N & & x_N & & & & & & \\
& & & & & & & & \\
x_N & & x_N & & & & & & \\
& & & & & & & & \\
x_N & & x_N & & & & & & \\
\end{array}
\]
and
\[
I = (x_nx_m - x_mx_n).
\]
Then
\[
\text{repdim } \tilde{\Sigma}_{L,N} \leq L + 1.
\]
Especially for $N \geq L$ we have equality, by Example 6.3.

Proof. We apply Corollary A.1. Assumption (1) can again be seen similarly to the proof of Lemma A.5, by combining the changes sketched in the proofs of Examples A.7 and A.9. Assumption (2) clearly holds for $L_0 = L$.

A.9 Example. Let $\Sigma_{L,N}$ be the family of algebras from Example 5.2. That is $\Sigma_{L,N} = k[x_1, \ldots, x_N]/(x_1, \ldots, x_N)^L$. Then
\[
\text{repdim } \Sigma_{L,N} \leq L + 1.
\]
Especially for $N \geq L$ we have equality by Example 5.2.

Proof. We want to apply Corollary A.3. We can see that Assumption (1) holds in a similar way to the proof of Lemma A.5. The differences are that we cannot and don’t have to exclude the case $j = L - 1$, and that $x_n \cdot J^{-1}\Sigma_{L,N}/J^i\Sigma_{L,N} = J^{-i}\Sigma_{L,N}/J^{i-1}\Sigma_{L,N}$, so we do not need to look at different $N$ simultaneously. Assumption (2) is obvious and Assumption (3) can be seen as in Example A.6.

A.10 Remark. The case $N = 1$ suggests that in the last two examples the correct number for the representation dimension could be $\min\{L + 1, N + 1\}$.

References


