

# Locally symmetric varieties

4.7.27

Talk 3

Karsten

Reminder (from Simon's talk):

A Hermitian symmetric domain is a homogenous connected cpx. mfd. with a hermitian metric, an involution at every point having this point as isolated fixed point s.t. the mfd has negative curvature.

Thm:

$$\text{Is}(M, g)^+ \cong \text{Is}(M^\infty, g)^+ \cong \text{Hol}(M)^+$$

connected  
comp. of id

underlying smooth  
manifold

$\forall p \in M : K_p = \{ f \in \text{Hol}(M)^+ \mid f(p) = p \}$  is compact &

$$\text{Is}(M, g)^+ / K_p \xrightarrow{\cong} M$$

Notation for today :  $D$  is hermitian symmetric domain.

•  $\Gamma$  is a subgroup (of  $\text{Hol}(D)^+, G(\mathbb{Q}), \dots$ )

see later

Aim: For "nice"  $\Gamma \subseteq \text{Hol}(D)^+$  has  $D(\Gamma) := \Gamma \backslash D$  the structure of algebraic variety/ $\mathbb{C}$  &  $\# \text{Aut}(\Gamma \backslash D) < \infty$ .

## §1 $\Gamma \backslash D$ as a complex manifold

12

Prop.: Let  $\Gamma \subseteq \text{Hol}(D)^+$  be torsion free.  $\xleftarrow{\text{ord}(g) < \infty \Rightarrow g = e}$  free action  $\hat{k}_p = \det(g_p)$ .

Then  $\Gamma$  acts freely on  $D$  &  $\exists!$  complex structure on  $\Gamma \backslash D =: D(\Gamma)$  s.t.  $\pi : D \rightarrow D(\Gamma)$  is a local isomorphism.

Moreover:  $\varphi : D(\Gamma) \rightarrow M$  holom.  $\Leftrightarrow \varphi \circ \pi : D \rightarrow M$  holom.

Proof: The crucial step is to show that  $\Gamma$  acts freely:  
(sketch)

$\forall p \in D : K_p := \{g \in \text{Hol}(D)^+ \mid g(p) = p\} \subseteq \text{Hol}(D)^+$  compact (see Simon's talk)

$\Gamma_p := \{g \in \Gamma \mid g(p) = p\} \subseteq \Gamma$  compact

$\Rightarrow \Gamma_p$  discrete & compact  $\Rightarrow \Gamma_p$  finite  
 $\Gamma_p$  torsion-free  $\Rightarrow \Gamma_p = \{e\}, \text{ i.e. } \Gamma$  acts free.  $\square$

Remark:  $\pi : D \rightarrow D(\Gamma)$  is universal cover &

$$\Gamma \longrightarrow \pi_1(D(\Gamma), \pi(p)) \quad g \longmapsto \pi(g) \xrightarrow{\text{in } \Gamma} \pi(gp)$$

## §2. Arithmetic subgroups

We want to consider quotients with "big" discrete subgroups.

Recall:  $D$  has a Riemannian metric  $g$ , hence a volume element  $\mathcal{V}$   
 $\hookrightarrow$  in local coord.:  $\mathcal{V} = \sqrt{\det(g_{ij})} dx_1 \dots dx_n$

Def:  $\Gamma \subseteq \text{Hol}(D)^+$  discrete has finite covolume, if  $\Gamma \backslash D$

Rem: Similar def. possible for real Lie groups.

Example:  $D = \mathcal{H}_7$ .

1)  $\Gamma = PSL_2(\mathbb{Z}) \rightarrow$  fund. domain  $F =$

metric (see Simon's talk):  $y^{-2} \cdot (dx^2 + dy^2)$

$$\int_{\Gamma \backslash D} d\mu = \int_F \frac{dx dy}{y^2} \leq \int_{\sqrt{3}/2}^{\infty} \frac{dy}{y^2} < \infty \Rightarrow \Gamma \text{ has finite covolume.}$$

2)  $\Gamma = \mathbb{Z}: x \mapsto x+n$  has infinite covolume.

Def:  $S_1, S_2 \subseteq G$ ,  $G$  group, are commensurable, if  $[S_i : S_i \cap S_j] < \infty \forall i, j \in \mathbb{Z}$

Example:  $\mathbb{Z} \cdot a, \mathbb{Z} \cdot b \subseteq \mathbb{R}$  are commensurable  $\Leftrightarrow \begin{cases} a=0=b \text{ or} \\ a \neq 0 \neq b \end{cases}$

Def:  $G/\mathbb{Q}$  alg. group.  $\Gamma \subseteq G(\mathbb{Q})$  is called arithmetic, if it is commensurable with  $G(\mathbb{Q}) \cap GL_n(\mathbb{Z})$  for some  $G \hookrightarrow GL_n$ .

Remark: i) It is the same as asking for all  $G \hookrightarrow GL_n$ .  
ii)  $\Gamma \subseteq G(\mathbb{Q})$  is discrete in  $G(\mathbb{R})$ , but does not need to have finite covolume.

$\Gamma_0(\mathbb{Z}) = \Gamma = \{ \pm 7 \} \subseteq GL_2(\mathbb{Q})$  is arithmetic of infinite covolume.

Example:  $G = GL_n$ ,  $\Gamma(N) := \{ g \in GL_n(\mathbb{Z}) \mid g \equiv I_n \pmod{N} \}$  is arithmetic, since  $0 \rightarrow \Gamma(N) \rightarrow GL_n(\mathbb{Z}) \rightarrow GL_n(\mathbb{Z}/N\mathbb{Z}) \rightarrow 0$  finite

Prop:  $G/\mathbb{Q}$  alg. group,  $\Gamma \subseteq G(\mathbb{Q})$  arithmetic. Then  $\exists N > 0$  s.t.

$\Gamma' = \Gamma(N) \cap \Gamma$  for some  $G \hookrightarrow GL_n$  has finite index in  $\Gamma$  and  $\forall \gamma \in \Gamma':$  eigenvalues of  $\gamma \in \mathbb{C}^\times$  is torsion free.

" $\Gamma'$  is a neat subgroup"

Thm: Let  $G/\mathbb{Q}$  be reductive,  $S \subseteq G(\mathbb{Q})$  arithmetic.

- a)  $S \backslash G(\mathbb{R})$  has finite volume  $\Leftrightarrow \text{Hom}(G, \mathbb{Z}_n) = 0$  ( $\Leftarrow G$  semisimple)
- b)  $S \backslash G(\mathbb{R})$  is compact  $\Leftrightarrow \text{Hom}(G, \mathbb{Z}_n) = 0$  &  $G(\mathbb{Q})$  contains no unipotent elements

does not depend on  $S$

Idea: (for  $H_7$ ) unipotent elements  $\cong$  cusps.

Example: Let  $B/\mathbb{Q}$  be a quaternion alg., s.t.  $B \otimes_{\mathbb{Q}} \mathbb{R} \cong M_2(\mathbb{R})$

Let  $G/\mathbb{Q}$  be linear alg. gp. s.t.  $G(\mathbb{Q}) = \{b \in B \mid \text{nm}(b) = 1\}$ .

$$\Rightarrow G(\mathbb{R}) \cong SL_2(\mathbb{R}) \curvearrowright H_7.$$

Let  $S \subseteq G(\mathbb{Q})$  be arithmetic.

1. Case:  $B \cong M_2(\mathbb{Q}) \rightsquigarrow G \cong SL_2$  semisimple,  $\Rightarrow S \backslash G(\mathbb{R})$  has finite volume

$\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \in SL_2(\mathbb{Q})$  unipotent  $\Rightarrow S \backslash H_7$  not compact

2. Case:  $B \not\cong M_2(\mathbb{Q}) \Rightarrow B$  division alg.  $\Rightarrow G(\mathbb{Q})$  has no unipotent elements.

$\Rightarrow S \backslash G(\mathbb{R})$  compact, i.e.  $S \backslash H_7$  is compact.

Def:  $H$  connected real Lie gp,  $S \subseteq H$ .

$S$  is called arithmetic, if  $\exists G/\mathbb{Q}$  alg. gp,  $G(\mathbb{R})^+ \rightarrowtail \text{Hol}(D)^+$  with compact kernel &  $S_0 \subseteq G(\mathbb{Q})$  arithmetic s.t.  $S_0 \backslash G(\mathbb{R})^+ \rightarrowtail S$ .

Interesting case:  $H$  semisimple  $\rightsquigarrow G$  semisimple.

Prop:  $H$  as above admitting faithful fin. dim. rep.,  $H$  semisimple

$\Rightarrow \forall S \subseteq H$  arithmetic:  $S$  is discrete of finite covolume & it contains a torsion-free subgp of finite index.

Proof:  $S$  gets these properties from  $S_0$ .

## §3. Algebraic varieties vs. complex mfds (GAGA)

15

Recall:  $\exists$  analytification functor

$$\begin{array}{ccc} \text{(non-sing. varieties/}\mathbb{C}) & \xrightarrow{(\cdot)^{\text{an}}} & \text{(complex mfds)} \\ A^n & \longmapsto & \mathbb{C}^n \\ \text{étale maps} & \longmapsto & \text{local isom.} \end{array}$$

zar. open is complex open.

Thm (Chow): (proj. alg. varieties)  $\xrightarrow[\text{equiv. of cat.}]{} (\text{proj. compl. analytic spaces})$

Hironaka's thm (on resolution of singularities):

$\forall$  non-sing. alg. variety  $V: \exists V^*$  complete non-sing. variety s.t.  $V \xrightarrow{\text{open}} V^*$ ,  $V^* \setminus V$  is a divisor with normal crossings, i.e. complex locally it looks like  $D_\gamma^{x+r} \times D_\gamma^s \hookrightarrow D_\gamma^{r+s}$  with  $D_\gamma = \{1/z_i \leq 0\}; D_\gamma^x = D_\gamma \setminus \{0\}$ .

## §4 $D(S')$ as alg. variety

Thm (Baily & Borel, '66): Let  $S' \subseteq H_0((D)^+)$  be torsion free & arithmetic.

Then  $D(S')$  has a canonical realization as Zariski-open subset of proj. alg. variety  $D(S')^*$ . Hence  $D(S')$  has the structure of alg. variety.

For  $D = \mathcal{H}_7$  :  $H_7^* = H_7 \cup \mathbb{P}^7(\mathbb{Q})$

$$\mathcal{H}_7 \quad \mathbb{P}_7(\mathbb{Q}) = \mathbb{Q} \cup \infty$$

$\rightsquigarrow S' \setminus H_7^*$  is compact Riemann surface, modular forms give embedding into projective space + Chow.

$(S' \setminus H_7^*) \setminus (S' \setminus H_7)$  finite  $\rightsquigarrow$  Zariski-open.

Remark:  $D(S')^* = \text{Proj. } (\oplus A_n)$  with  $A_n = \text{autom. forms for the } n^{\text{th}}$  power of canonical automorphy form

Def: Such  $D(S')$ 's are called locally symmetric variety.

Thm (Borel '72): Let  $D(S)$  be a locally symmetric variety,

$V$  quasi-proj, non-sing /  $\mathbb{C}$ .

Every holom. map  $f: V^{an} \rightarrow D(S)^{an}$  is regular.

This follows from Hironaka's thm &

Lemma: All holom.  $D_\gamma^{x,r} \times D_\gamma^s \rightarrow D(S)$  holom. can be ext. to  $D_\gamma^{r+s} \rightarrow D(S)^*$ .

Corollary: The structure of an alg. variety on  $D(S)$  is unique.

Remark: Borel's thm also holds for singular varieties, but

Torsion-free is necessary; e.g.  $SU(2)/H_7 \cong \mathbb{A}^7$  &  $\exp: \mathbb{C} \rightarrow \mathbb{C}$  is holom, not regular.

Thm: Let  $D(S)$  be a locally symmetric variety. Then

$$\#\text{Aut}(D(S)) < \infty$$

cpt. nfd.

Idea:  $\pi: D \rightarrow D(S)$  universal cover

$$\rightsquigarrow S = \text{Aut}(\pi) = \left\{ \begin{array}{c} D \xrightarrow{\sim} D \\ \pi \backslash \tilde{S} \cong \pi \\ D(S) \end{array} \right\}$$

$\alpha \in \text{Aut}(D(S))$  lifts to  $\tilde{\alpha}: D \xrightarrow{\sim} D$ .

$$\forall \gamma \in S: \tilde{\alpha} \circ \tilde{\gamma} \circ \tilde{\alpha}^{-1} \in \text{Aut}(\pi) = S \Rightarrow \tilde{\alpha} \in N(S) = \{ \beta \in \text{Aut}(D): \beta S \beta^{-1} = S \}$$

$$\text{We get } \psi: N(S) \longrightarrow \text{Aut}(D(S))$$

$$\beta \longmapsto \tilde{\beta}$$

$$\ker(\psi) = \{ \beta \in N(S): \beta \circ \gamma = \gamma \circ \beta \quad \forall \gamma \in S \} = C(S), \text{ i.e.}$$

$$\text{Aut}(D(S)) \cong N(S)/C(S)$$

Magic with arithmetic subgroups:  $N(S)/C(S)$  is finite

□