

Lectures on  
Representations of Quivers

by

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A 'quiver' is a directed graph, and a representation is defined by a vector space for each vertex and a linear map for each arrow. The theory of representations of quivers touches linear algebra, invariant theory, finite dimensional algebras, free ideal rings, Kac-Moody Lie algebras, and many other fields.

These are the notes for a course of eight lectures given in Oxford in spring 1992. My aim was the classification of the representations for the Euclidean diagrams  $\tilde{A}_n$ ,  $\tilde{D}_n$ ,  $\tilde{E}_6$ ,  $\tilde{E}_7$ ,  $\tilde{E}_8$ . It seemed ambitious for eight lectures, but turned out to be easier than I expected.

The Dynkin case is analysed using an argument of J.Tits, P.Gabriel and C.M.Ringel, which involves actions of algebraic groups, a study of root systems, and some clever homological algebra. The Euclidean case is treated using the same tools, and in addition the Auslander-Reiten translations  $\tau, \tau^-$ , and the notion of a 'regular uniserial module'. I have avoided the use of reflection functors, Auslander-Reiten sequences, and case-by-case analyses.

The prerequisites for this course are quite modest, consisting of the basic notions about rings and modules; a little homological algebra, up to  $\text{Ext}^1$  and long exact sequences; the Zariski topology on  $\mathbb{A}^n$ ; and maybe some ideas from category theory.

In the last section I have listed some topics which are the object of current research. I hope these lectures are a useful preparation for reading the papers listed there.

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## §1. Path algebras

Once and for all, we fix an algebraically closed field  $k$ .

DEFINITIONS.

- (1) A quiver  $Q = (Q_0, Q_1, s, t: Q_1 \rightarrow Q_0)$  is given by  
 a set  $Q_0$  of vertices, which for us will be  $\{1, 2, \dots, n\}$ , and  
 a set  $Q_1$  of arrows, which for us will be finite.

An arrow  $\rho$  starts at the vertex  $s(\rho)$  and terminates at  $t(\rho)$ . We sometimes indicate this as  $s(\rho) \xrightarrow{\rho} t(\rho)$ .

- (2) A non-trivial path in  $Q$  is a sequence  $\rho_1 \dots \rho_m$  ( $m \geq 1$ ) of arrows which satisfies  $t(\rho_{i+1}) = s(\rho_i)$  for  $1 \leq i < m$ . Pictorially

$$\bullet \xleftarrow{\rho_1} \bullet \xleftarrow{\rho_2} \dots \xleftarrow{\rho_m} \bullet$$

This path starts at  $s(\rho_m)$  and terminates at  $t(\rho_1)$ . For each vertex  $i$  we denote by  $e_i$  the trivial path which starts and terminates at  $i$ . We use the notation  $s(x)$  and  $t(x)$  to denote the starting and terminating vertex of a path  $x$ . Note that the arrows in a path are ordered in the same way as one orders a composition of functions.

- (3) The path algebra  $kQ$  is the  $k$ -algebra with basis the paths in  $Q$ , and with the product of two paths  $x, y$  given by

$$xy = \begin{cases} \text{obvious composition} & (\text{if } t(y) = s(x)) \\ 0 & (\text{else}) \end{cases}$$

This is an associative multiplication.

For example if  $Q$  is the quiver  $1 \xrightarrow{\rho} 2 \xrightarrow{\sigma} 3$  then  $kQ$  has basis the paths  $e_1, e_2, e_3, \rho, \sigma$  and  $\sigma\rho$ . The product  $\sigma\rho$  of the paths  $\sigma$  and  $\rho$  is the path  $\sigma\rho$ . On the other hand the product  $\rho\sigma$  is zero. Some other products are  $\rho\rho=0$ ,  $e_1\rho=0$ ,  $e_2\rho=\rho$ ,  $\rho e_1=\rho$ ,  $e_3(\sigma\rho)=\sigma\rho$ ,  $e_1 e_1=e_1$ ,  $e_1 e_2=0$ , etc.

EXAMPLES.

(1) If  $Q$  consists of one vertex and one loop, then  $kQ \cong k[T]$ . If  $Q$  has one vertex and  $r$  loops, then  $kQ$  is the free associative algebra on  $r$  letters.

(2) If there is at most one path between any two points, then  $kQ$  can be identified with the subalgebra

$$\{C \in M_n(k) \mid C_{ij} = 0 \text{ if no path from } j \text{ to } i\}$$

of  $M_n(k)$ . If  $Q$  is  $1 \rightarrow 2 \rightarrow \dots \rightarrow n$  this is the lower triangular matrices.

IDEMPOTENTS. Set  $A = kQ$ .

(1) The  $e_i$  are orthogonal idempotents, ie  $e_i e_j = 0$  ( $i \neq j$ ),  $e_i^2 = e_i$ .

(2)  $A$  has an identity given by  $1 = \sum_{i=1}^n e_i$ .

(3) The spaces  $Ae_i$ ,  $e_j A$ , and  $e_j A e_i$  have as bases the paths starting at  $i$  and/or terminating at  $j$ .

(4)  $A = \bigoplus_{i=1}^n Ae_i$ , so each  $Ae_i$  is a projective left  $A$ -module.

(5) If  $X$  is a left  $A$ -module, then  $\text{Hom}_A(Ae_i, X) \cong e_i X$ .

(6) If  $0 \neq f \in Ae_i$  and  $0 \neq g \in e_i A$  then  $fg \neq 0$ .

PROOF. Look at the longest paths  $x, y$  involved in  $f, g$ . In the product  $fg$  the coefficient of  $xy$  cannot be zero.

(7) The  $e_i$  are primitive idempotents, ie  $Ae_i$  is a indecomposable module.

PROOF. If  $\text{End}_A(Ae_i) \cong e_i A e_i$  contains idempotent  $f$ , then  $f^2 = f = f e_i$ , so  $f(e_i - f) = 0$ . Now use (6).

(8) If  $e_i \in Ae_j A$  then  $i = j$ .

PROOF.  $Ae_j A$  has as basis the paths passing through the vertex  $j$ .

(9) The  $e_i$  are inequivalent, ie  $Ae_i \not\cong Ae_j$  for  $i \neq j$ .

PROOF. Thanks to (5), inverse isomorphisms give elements  $f \in e_i A e_j$ ,  $g \in e_j A e_i$  with  $fg = e_i$  and  $gf = e_j$ . This contradicts (8).

PROPERTIES OF PATH ALGEBRAS.

These are exercises, but some are rather testing.

- (1)  $A$  is finite dimensional  $\Leftrightarrow Q$  has no oriented cycles.
- (2)  $A$  is prime (ie  $IJ \neq 0$  for two-sided ideals  $I, J \neq 0$ )  $\Leftrightarrow \forall i, j \exists$  path  $i$  to  $j$ .
- (3)  $A$  is left (right) noetherian  $\Leftrightarrow$  if there is an oriented cycle through  $i$ , then only one arrow starts (terminates) at  $i$ .
- (4)  $\text{rad } A$  has basis {paths  $i$  to  $j$  | there is no path from  $j$  to  $i$ }.
- (5) The centre of  $A$  is  $k \times k \times \dots \times k[T] \times k[T] \times \dots$ , with one factor for each connected component  $C$  of  $Q$ , and that factor is  $k[T] \Leftrightarrow C$  is an oriented cycle.

REPRESENTATIONS.

We define a category  $\text{Rep}(Q)$  of representations of  $Q$  as follows.

A representation  $X$  of  $Q$  is given by a vector space  $X_i$  for each  $i \in Q_0$  and a linear map  $X_\rho: X_{s(\rho)} \rightarrow X_{t(\rho)}$  for each  $\rho \in Q_1$ .

A morphism  $\theta: X \rightarrow X'$  is given by linear maps  $\theta_i: X_i \rightarrow X'_i$  for each  $i \in Q_0$  satisfying  $X'_\rho \theta_{s(\rho)} = \theta_{t(\rho)} X_\rho$  for each  $\rho \in Q_1$ .

The composition of  $\theta$  with  $\phi: X' \rightarrow X''$  is given by  $(\phi \circ \theta)_i = \phi_i \circ \theta_i$ .

EXAMPLE. Let  $S(i)$  be the representation with

$$S(i)_j = \begin{cases} k & (j=i) \\ 0 & (\text{else}) \end{cases} \quad S(i)_\rho = 0 \text{ (all } \rho \in Q_1).$$

EXERCISE. It is very easy to compute with representations. For example let  $Q$  be the quiver  $\bullet \leftarrow \bullet \rightarrow \bullet$ , and let  $X$  and  $Y$  be the representations

$$k \xleftarrow{1} k \xrightarrow{1} k \quad k \xleftarrow{1} k \rightarrow 0.$$

Show that  $\text{Hom}(X, Y)$  is one-dimensional, and that  $\text{Hom}(Y, X) = 0$ .

LEMMA. The category  $\text{Rep}(Q)$  is equivalent to  $kQ\text{-Mod}$ .

PROOF. We only give the construction. If  $\mathcal{X}$  is a  $kQ$ -module, define a representation  $X$  with

$$\begin{aligned} X_i &= e_i \mathcal{X} \\ X_\rho(x) &= \rho x = e_{t(\rho)} \rho x \in X_{t(\rho)} \quad \text{for } x \in X_{s(\rho)}. \end{aligned}$$

If  $X$  is a representation, define a module  $\mathcal{X}$  via

$$\begin{aligned} \mathcal{X} &= \bigoplus_{i=1}^n X_i. \quad \text{Let } X_i \xrightarrow{\varepsilon_i} \mathcal{X} \xrightarrow{\pi_i} X_i \text{ be the canonical maps.} \\ \rho_1 \cdots \rho_m x &= \varepsilon_{t(\rho_1)} X_{\rho_1} \cdots X_{\rho_m} \pi_{s(\rho_m)}(x) \\ e_i x &= \varepsilon_i \pi_i(x), \end{aligned}$$

It is straightforward, but tedious, to check that these are inverses and that morphisms behave, etc. We can now use the same letter for a module and the corresponding representation, ignoring the distinction.

EXAMPLE. Under this correspondence, the representations  $S(i)$  are simple modules. Moreover, if  $Q$  has no oriented cycles, it is easy to see that the  $S(i)$  are the only simple modules.

DEFINITIONS.

(1) The dimension vector of a finite dimensional  $kQ$ -module  $X$  is the vector  $\underline{\dim} X \in \mathbb{N}^n$ , with

$$(\underline{\dim} X)_i = \dim X_i = \dim e_i X = \dim \text{Hom}(Ae_i, X).$$

Thus  $\dim X = \sum_{i=1}^n (\underline{\dim} X)_i$ .

(2) The Euler form is  $\langle \alpha, \beta \rangle = \sum_{i=1}^n \alpha_i \beta_i - \sum_{\rho \in Q_1} \alpha_{s(\rho)} \beta_{t(\rho)}$  for  $\alpha, \beta \in \mathbb{Z}^n$ . This is a bilinear form on  $\mathbb{Z}^n$ .

(3) The Tits form is  $q(\alpha) = \langle \alpha, \alpha \rangle$ . This is a quadratic form on  $\mathbb{Z}^n$ .

(4) The Symmetric bilinear form is  $(\alpha, \beta) = \langle \alpha, \beta \rangle + \langle \beta, \alpha \rangle$ .

THE STANDARD RESOLUTION.

Let  $A=kQ$ . If  $X$  is a left  $A$ -module, there is an exact sequence

$$0 \longrightarrow \bigoplus_{\rho \in Q_1} Ae_{t(\rho)} \otimes_k e_{s(\rho)} X \xrightarrow{f} \bigoplus_{i=1}^n Ae_i \otimes_k e_i X \xrightarrow{g} X \longrightarrow 0$$

where  $g(ax) = ax$  for  $a \in Ae_i$ ,  $x \in e_i X$ , and  
 $f(ax) = a\rho x - a\sigma x$  for  $a \in Ae_{t(\rho)}$  and  $x \in e_{s(\rho)} X$   
in  $s(\rho)$   $t(\rho)$  component.

PROOF. Clearly  $g \circ f = 0$  and  $g$  is onto. If  $\xi$  is an element of the middle term of the sequence, we can write it uniquely in the form

$$\xi = \sum_{i=1}^n \sum_{\text{paths } a \text{ with } s(a)=i} a \otimes x_a \quad (x_a \in e_{s(a)} X \text{ almost all zero})$$

and define  $\text{degree}(\xi) = \text{length of the longest path } a \text{ with } x_a \neq 0$ .

If  $a$  is a non-trivial path with  $s(a)=i$ , then we can express it as a product  $a=a'\rho$  with  $\rho$  an arrow with  $s(\rho)=i$ , and  $a'$  another path. Viewing  $a' \otimes x_a$  as an element in the  $\rho$ 'th component of left hand term, we have

$$f(a' \otimes x_a) = a \otimes x_a - a' \otimes \rho x_a.$$

We claim that  $\xi + \text{Im}(f)$  always contains an element of degree 0. Namely, if  $\xi$  has degree  $d > 0$ , then

$$\xi - f\left(\sum_{i=1}^n \sum_{\text{paths } a \text{ with } s(a)=i \text{ and length } d} a' \otimes x_a\right)$$

has degree  $< d$ , so the claim follows by induction.

$\text{Im}(f) = \text{Ker}(g)$ : If  $\xi \in \text{Ker}(g)$ , let  $\xi' \in \xi + \text{Im}(f)$  have degree zero. Thus

$$0 = g(\xi) = g(\xi') = g\left(\sum_i e_i \otimes x'_i\right) = \sum x'_i$$

Now this belongs to  $\bigoplus_{i=1}^n X_i$ , so each term in the final sum must be zero. Thus  $\xi' = 0$ , and the assertion follows.

$\text{Ker}(f) = 0$ : we can write an element  $\xi \in \text{Ker}(f)$  in the form

$$\xi = \sum_{\rho \in Q_1} \sum_{\text{paths } a \text{ with } s(a)=t(\rho)} a \otimes x_{\rho, a} \quad (x_{\rho, a} \in e_{s(\rho)} X \text{ almost all } 0).$$

Let  $a$  be a path of maximal length such that  $x_{\rho, a} \neq 0$  (some  $\rho$ ). Now

$$f(\xi) = \sum_{\rho} \sum_a a\rho \otimes x_{\rho, a} - \sum_{\rho} \sum_a a\sigma \otimes x_{\rho, a}$$

so the coefficient of  $a\rho$  in  $f(\xi)$  is  $x_{\rho, a}$ . A contradiction.

CONSEQUENCES.

(1) If  $X$  is a left  $A$ -module, then  $\text{proj. dim } X \leq 1$ , ie  $\text{Ext}^i(X, Y) = 0 \forall Y, i \geq 2$ .

PROOF.  $f$  and  $g$  are  $A$ -module maps and  $Ae_i \otimes V$  is isomorphic to the direct sum of  $\dim V$  copies of  $Ae_i$ , so is a projective left  $A$ -module. Thus the standard resolution is a projective resolution for  $X$ .

(2)  $A$  is hereditary, ie if  $X \subseteq P$  with  $P$  projective, then  $X$  is projective.

PROOF.  $\text{Ext}^1(X, Y) \cong \text{Ext}^2(P/X, Y) = 0 \forall Y$ .

(3) If  $X, Y$  are f.d., then  $\dim \text{Hom}(X, Y) - \dim \text{Ext}^1(X, Y) = \langle \underline{\dim} X, \underline{\dim} Y \rangle$ .

PROOF. Apply  $\text{Hom}(-, Y)$  to the standard resolution:

$$0 \rightarrow \text{Hom}(X, Y) \rightarrow \text{Hom}(\bigoplus_i Ae_i \otimes_k e_i, X, Y) \rightarrow \text{Hom}(\bigoplus_\rho Ae_{t(\rho)} \otimes_k e_{s(\rho)}, X, Y) \rightarrow \text{Ext}^1(X, Y) \rightarrow 0.$$

Now  $\dim \text{Hom}(Ae_i \otimes e_j, X, Y) = (\dim e_j X)(\dim \text{Hom}(Ae_i, Y)) = (\underline{\dim} X)_j (\underline{\dim} Y)_i$ .

(4) If  $X$  is f.d., then  $\dim \text{End}(X) - \dim \text{Ext}^1(X, X) = q(\underline{\dim} X)$ .

PROOF. Put  $X=Y$  in (3).

REMARK.

Let  $i$  be a vertex in  $Q$  and suppose that either no arrows start at  $i$ , or no arrows terminate at  $i$ . Let  $Q'$  be the quiver obtained by reversing the direction of every arrow connected to  $i$ . We say that  $Q'$  is obtained from  $Q$  be reflecting at the vertex  $i$ . The two categories  $\text{Rep}(Q)$  and  $\text{Rep}(Q')$  are closely related, by means of so-called reflection functors. See I.N. Bernstein, I.M. Gelfand and V.A. Ponomarev, Coxeter functors and Gabriel's Theorem, Uspekhi Mat. Nauk. 28 (1973), 19-33, English Translation Russ. Math. Surveys, 28 (1973), 17-32.



## §2. Bricks

In this section we consider finite dimensional left  $A$ -modules with  $A$  an hereditary  $k$ -algebra. In particular the results hold when  $A$  is a path algebra. We recall the Happel-Ringel Lemma and another lemma due to Ringel.

### INDECOMPOSABLE MODULES.

Recall Fitting's Lemma, that  $X$  is indecomposable  $\Leftrightarrow \text{End}(X)$  is a local ring, ie  $\text{End}(X) = k1_X + \text{rad End}(X)$ , since the field  $k$  is algebraically closed.

Any module can be written as a direct sum of indecomposable modules, and by the Krull-Schmidt Theorem the isomorphism types of the summands and their multiplicities are uniquely determined.

We say that  $X$  is a brick if  $\text{End}(X)=k$ . Thus a brick is indecomposable.

LEMMA 1. Suppose  $X, Y$  are indecomposable. If  $\text{Ext}^1(Y, X)=0$  then any non-zero map  $\theta: X \rightarrow Y$  is mono or epi.

PROOF. We have exact sequences

$$\xi: 0 \rightarrow \text{Im}(\theta) \rightarrow Y \rightarrow \text{Cok}(\theta) \rightarrow 0 \quad \text{and} \quad \eta: 0 \rightarrow \text{Ker}(\theta) \rightarrow X \rightarrow \text{Im}(\theta) \rightarrow 0.$$

From  $\text{Ext}^1(\text{Cok}(\theta), \eta)$  we get

$$\dots \rightarrow \text{Ext}^1(\text{Cok}(\theta), X) \xrightarrow{f} \text{Ext}^1(\text{Cok}(\theta), \text{Im}(\theta)) \rightarrow 0.$$

so  $\xi = f(\zeta)$  for some  $\zeta$ . Thus there is commutative diagram

$$\begin{array}{ccccccc} \zeta: 0 & \rightarrow & X & \xrightarrow{\alpha} & Z & \rightarrow & \text{Cok}(\theta) \rightarrow 0 \\ & & \beta \downarrow & & \gamma \downarrow & & \parallel \\ \xi: 0 & \rightarrow & \text{Im}(\theta) & \xrightarrow{\delta} & Y & \rightarrow & \text{Cok}(\theta) \rightarrow 0 \end{array}$$

Now the sequence

$$0 \rightarrow X \xrightarrow{\begin{pmatrix} \alpha \\ \beta \end{pmatrix}} Z \oplus \text{Im}(\theta) \xrightarrow{(\gamma \ -\delta)} Y \rightarrow 0$$

is exact, so splits since  $\text{Ext}^1(Y, X)=0$ .

If  $\text{Im}(\theta) \neq 0$  then  $X$  or  $Y$  is summand of  $\text{Im}(\theta)$  by Krull-Schmidt. But if  $\theta$  is not mono or epi, then  $\dim \text{Im}(\theta) < \dim X, \dim Y$ , a contradiction.

SPECIAL CASE. If  $X$  is indecomposable with no self-extensions (ie  $\text{Ext}^1(X, X) = 0$ ), then  $X$  is a brick.

LEMMA 2. If  $X$  is indecomposable, not a brick, then  $X$  has a submodule and a quotient which are bricks with self-extensions.

PROOF. It suffices to prove that if  $X$  is indecomposable and not a brick then there is a proper submodule  $U \subset X$  which is indecomposable and with self-extensions, for if  $U$  is not a brick one can iterate, and a dual argument deals with the case of a quotient.

Pick  $\theta \in \text{End}(X)$  with  $I = \text{Im}(\theta)$  of minimal dimension  $\neq 0$ . We have  $I \subseteq \text{Ker}(\theta)$ , for  $X$  is indecomposable and not a brick so  $\theta$  is nilpotent. Now  $\theta^2 = 0$  by minimality. Let  $\text{Ker}(\theta) = \bigoplus_{i=1}^r K_i$  with  $K_i$  indecomposable, and pick  $j$  such that the composition  $\alpha : I \hookrightarrow \text{Ker}(\theta) \twoheadrightarrow K_j$  is non-zero. Now  $\alpha$  is mono, for the map  $X \twoheadrightarrow I \xrightarrow{\alpha} K_j \hookrightarrow X$  has image  $\text{Im}(\alpha) \neq 0$  so  $\alpha$  mono by minimality.

We have  $\text{Ext}^1(I, K_j) \neq 0$ , for otherwise the pushout

$$\begin{array}{ccccccc} 0 & \longrightarrow & \bigoplus_{i=1}^r K_i & \longrightarrow & X & \longrightarrow & I \longrightarrow 0 \\ & & \downarrow & & \downarrow & & \parallel \\ 0 & \longrightarrow & K_j & \longrightarrow & Y & \longrightarrow & I \longrightarrow 0 \end{array}$$

splits, and it follows that  $K_j$  is summand of  $X$ , a contradiction. Now  $K_j$  has self-extensions since  $\alpha$  induces an epi  $\text{Ext}^1(K_j, K_j) \twoheadrightarrow \text{Ext}^1(I, K_j)$ . Finally take  $U = K_j$ .

### §3. The variety of representations

In this section  $Q$  is a quiver and  $A=kQ$ . We define the variety of representations of  $Q$  of dimension vector  $\alpha \in \mathbb{N}^n$ , and describe some elementary properties. We use elementary dimension arguments from algebraic geometry. The properties we need are listed below.

#### ALGEBRAIC GEOMETRY.

$\mathbb{A}^r$  is affine  $r$ -space with the Zariski topology. We consider locally closed subsets  $U$  in  $\mathbb{A}^r$ , ie subsets  $U$  which are open in their closure  $\bar{U}$ .

A non-empty locally closed subset  $U$  is irreducible if any non-empty subset of  $U$  which is open in  $U$ , is dense in  $U$ . The space  $\mathbb{A}^r$  is irreducible.

The dimension of a non-empty locally closed subset  $U$  is

$$\sup\{n \mid \exists Z_0 \subset Z_1 \subset \dots \subset Z_n \text{ irreducible subsets closed in } U\}.$$

We have  $\dim U = \dim \bar{U}$ ; if  $W=U \cup V$  then  $\dim W = \max\{\dim U, \dim V\}$ ; the space  $\mathbb{A}^r$  has dimension  $r$ .

If an algebraic group  $G$  acts on  $\mathbb{A}^r$ , then the orbits  $\mathcal{O}$  are locally closed;  $\bar{\mathcal{O}} \setminus \mathcal{O}$  is a union of orbits of dimension strictly smaller than  $\dim \mathcal{O}$ ; and if  $x \in \mathcal{O}$  then  $\dim \mathcal{O} = \dim G - \dim \text{Stab}_G(x)$ .

DEFINITIONS. Let  $Q$  be a quiver and  $\alpha \in \mathbb{N}^n$ . We define

$$\text{Rep}(\alpha) = \prod_{\rho \in Q_1} \text{Hom}_k(k^{s(\rho)}, k^{t(\rho)}).$$

This is isomorphic to  $\mathbb{A}^r$  where  $r = \sum_{\rho \in Q_1} \alpha_{t(\rho)} \alpha_{s(\rho)}$ .

An element  $x \in \text{Rep}(\alpha)$  gives a representation  $R(x)$  of  $Q$  with  $R(x)_i = k^{\alpha_i}$  for  $1 \leq i \leq n$ , and  $R(x)_\rho = x_\rho$  for  $\rho \in Q_1$ .

We define  $\text{GL}(\alpha) = \prod_{i=1}^n \text{GL}(\alpha_i, k)$ . This is open in  $\mathbb{A}^s$  where  $s = \sum_{i=1}^n \alpha_i^2$ .

THE ACTION.

$GL(\alpha)$  acts on  $Rep(\alpha)$  by conjugation. Explicitly

$$(gx)_\rho = g_t(\rho) x_\rho g_s(\rho)^{-1}$$

for  $g \in GL(\alpha)$  and  $x \in Rep(\alpha)$ .

If  $x, y \in Rep(\alpha)$ , then the set of  $A$ -module isomorphisms  $R(x) \rightarrow R(y)$  can be identified with  $\{g \in GL(\alpha) \mid gx=y\}$ . It follows that

(1)  $Stab_{GL(\alpha)}(x) \cong Aut_A(R(x))$ .

(2) There is a 1-1 correspondence between isoclasses of representations  $X$  with dimension vector  $\alpha$  and orbits, given by  $O_X = \{x \in Rep(\alpha) \mid R(x) \cong X\}$ . To see this we only need to realize that every representation of dimension vector  $\alpha$  is isomorphic to some  $R(x)$ , which follows on choosing a basis.

REMARKS.

(1) Invariant Theory is about polynomial and rational maps  $\phi: Rep(\alpha) \rightarrow k$  which are constant on  $GL(\alpha)$ -orbits. For example, if  $a = \rho_1 \dots \rho_m$  is an oriented cycle, we have a polynomial invariant

$$f_a(x) = Trace(x_{\rho_1} x_{\rho_2} \dots x_{\rho_m}),$$

and more generally if  $\chi_\theta(T)$  is the characteristic polynomial of  $\theta$ , we have

$$f_{a_i}(x) = \text{Coefficient of } T^i \text{ in } \chi_{x_{\rho_1} x_{\rho_2} \dots x_{\rho_m}}(T).$$

(2) If  $\text{char } k=0$ , then any polynomial invariant can be expressed as a polynomial in the  $f_a$ . This has been proved by Sibirski and Procesi in case  $Q$  has only one vertex, and in general can be found in L. Le Bruyn & C. Procesi, Semisimple representations of quivers, Trans. Amer. Math. Soc. 317 (1990), 585-598.

(3) If  $\text{char } k \geq 0$  and  $Q$  has only one vertex, any polynomial invariant can be expressed as a polynomial in the  $f_{a_i}$ . This is recent work of S. Donkin. Presumably the restriction on  $Q$  is unnecessary.

LEMMA 1.  $\dim \text{Rep}(\alpha) - \dim \mathcal{O}_X = \dim \text{End}_A(X) - q(\alpha) = \dim \text{Ext}^1(X, X)$ .

PROOF. Say  $X \cong R(x)$ . We have

$$\dim \mathcal{O}_X = \dim \text{GL}(\alpha) - \dim \text{Stab}(x) = \dim \text{GL}(\alpha) - \dim \text{Aut}_A(X)$$

Now  $\text{GL}(\alpha)$  is non-empty and open in  $\mathbb{A}^S$ , so dense, so  $\dim \text{GL}(\alpha) = s$ .

Similarly  $\text{Aut}_A(X)$  is non-empty and open in  $\text{End}_A(X)$ , so dense, so  $\dim \text{Aut}(x) = \dim \text{End}(X)$ . The assertion follows.

CONSEQUENCES.

(1) If  $\alpha \neq 0$  and  $q(\alpha) \leq 0$ , then there are infinitely many orbits in  $\text{Rep}(\alpha)$ .

PROOF.  $\text{End}_A(X) \neq 0$  so  $\dim \mathcal{O}_X < \dim \text{Rep}(\alpha)$ .

(2)  $\mathcal{O}_X$  is open  $\Leftrightarrow X$  has no self-extensions.

PROOF. By the lemma,  $\text{Ext}^1(X, X) = 0 \Leftrightarrow \dim \mathcal{O}_X = \dim \text{Rep}(\alpha) \Leftrightarrow \dim \bar{\mathcal{O}}_X = \dim \text{Rep}(\alpha)$ .

If  $\dim \bar{\mathcal{O}}_X = \dim \text{Rep}(\alpha)$  then  $\bar{\mathcal{O}}_X = \text{Rep}(\alpha)$ , since a proper closed subset of an irreducible subset has strictly smaller dimension. Now  $\mathcal{O}_X$  is open in  $\text{Rep}(\alpha)$  since it is locally closed. Conversely, if  $\mathcal{O}_X$  is open in  $\text{Rep}(\alpha)$  then  $\bar{\mathcal{O}}_X = \text{Rep}(\alpha)$  since  $\text{Rep}(\alpha)$  is irreducible. Thus their dimensions are certainly equal.

(3) There is at most one module without self-extensions of dimension  $\alpha$  (up to isomorphism).

PROOF. If  $\mathcal{O}_X \neq \mathcal{O}_Y$  are open, then  $\mathcal{O}_X \subseteq \text{Rep}(\alpha) \setminus \mathcal{O}_Y$ , and so  $\bar{\mathcal{O}}_X \subseteq \text{Rep}(\alpha) \setminus \mathcal{O}_Y$ , which contradicts the irreducibility of  $\text{Rep}(\alpha)$ .

LEMMA 2. If  $\xi: 0 \rightarrow U \rightarrow X \rightarrow V \rightarrow 0$  is a non-split exact sequence, then

$$\mathcal{O}_{U \oplus V} \subseteq \bar{\mathcal{O}}_X \setminus \mathcal{O}_X.$$

PROOF. For each vertex  $i$ , identify  $U_i$  as a subspace of  $X_i$ . Choose bases of the  $U_i$  and extend to bases of  $X_i$ . Then  $X \cong R(x)$  with

$$x_\rho = \begin{bmatrix} u_\rho & w_\rho \\ 0 & v_\rho \end{bmatrix}$$

with  $U \cong R(u)$  and  $V \cong R(v)$ . For  $0 \neq \lambda \in k$  define  $g_\lambda \in \text{GL}(\alpha)$  via  $(g_\lambda)_\rho = \begin{bmatrix} \lambda & 0 \\ 0 & 1 \end{bmatrix}$ . Then

$$(g_\lambda x)_\rho = \begin{bmatrix} u_\rho & \lambda w_\rho \\ 0 & v_\rho \end{bmatrix}$$

so the closure of  $\mathcal{O}_X$  contains the point with matrices

$$\begin{pmatrix} u & 0 \\ \rho & v \\ 0 & \rho \end{pmatrix}$$

which corresponds to  $U \otimes V$ .

Finally  $\text{Hom}(\xi, U)$  gives an exact sequence

$$0 \rightarrow \text{Hom}(V, U) \rightarrow \text{Hom}(X, U) \rightarrow \text{Hom}(U, U) \xrightarrow{f} \text{Ext}^1(V, U),$$

so

$$\dim \text{Hom}(V, U) - \dim \text{Hom}(X, U) + \dim \text{Hom}(U, U) - \dim \text{Im}(f) = 0,$$

but  $f(1_U) = \xi \neq 0$ , so  $\dim \text{Hom}(X, U) \neq \dim \text{Hom}(U \otimes V, U)$ , and hence  $X \neq U \otimes V$ .

CONSEQUENCES.

(1) If  $\mathcal{O}_X$  is an orbit in  $\text{Rep}(\alpha)$  of maximal dimension, and  $X = U \otimes V$ , then  $\text{Ext}_A^1(V, U) = 0$ .

PROOF. If there is non-split extension  $0 \rightarrow U \rightarrow E \rightarrow V \rightarrow 0$  then  $\mathcal{O}_X \subseteq \overline{\mathcal{O}}_E \setminus \mathcal{O}_E$ , so  $\dim \mathcal{O}_X < \dim \mathcal{O}_E$ .

(2) If  $\mathcal{O}_X$  is closed then  $X$  is semisimple.

REMARKS.

(1) Suppose  $Q$  has no oriented cycles. Let  $z \in \text{Rep}(\alpha)$  be the element with all matrices  $z_{\rho} = 0$ . We can easily show that  $z$  is in the closure of every orbit, and it follows that there are no non-constant polynomial invariants.

Moreover, an orbit  $\mathcal{O}_X$  is closed  $\Leftrightarrow X$  is semisimple, for the only semisimple module of dimension  $\alpha$  is  $R(z)$ , and  $\{z\}$  is clearly a closed orbit.

(2) If  $Q$  is allowed to have oriented cycles,  $x, x' \in \text{Rep}(\alpha)$  and  $R(x)$  and  $R(x')$  are non-isomorphic semisimple modules, then there is a polynomial invariant  $\phi$  (of the form  $f_{ai}$ ) with  $\phi(x) \neq \phi(y)$ . In case  $Q$  has only one vertex and  $\text{char } k = 0$  this is proved in §12.6 of M. Artin, On Azumaya algebras and finite dimensional representations of rings, J. Algebra 11 (1969), 532-563, but it seems to be true in general. It follows that  $\mathcal{O}_X$  is closed  $\Leftrightarrow X$  is semisimple.

#### §4. Dynkin and Euclidean diagrams

In this section we give the classification of graphs into Dynkin, Euclidean, and 'wild' graphs, and in the first two cases we study the corresponding root system.

DEFINITIONS.

Let  $\Gamma$  be finite graph with vertices  $\{1, \dots, n\}$ . We allow loops and multiple edges, so that  $\Gamma$  is given by any set of natural numbers

$n_{ij} = n_{ji}$  = the number of edges between  $i$  and  $j$ .

Let  $q(\alpha) = \sum_{i=1}^n \alpha_i^2 - \sum_{i \leq j} n_{ij} \alpha_i \alpha_j$

Let  $(-, -)$  be the symmetric bilinear form on  $\mathbb{Z}^n$  with

$$(\varepsilon_i, \varepsilon_j) = \begin{cases} -n_{ij} & (i \neq j) \\ 2 - 2n_{ii} & (i = j) \end{cases}$$

where  $\varepsilon_i$  is the  $i^{\text{th}}$  coordinate vector.

Note that knowledge of any one of  $\Gamma$ ,  $q$  or  $(-, -)$  determines the others, since  $q(\alpha) = \frac{1}{2}(\alpha, \alpha)$  and  $(\alpha, \beta) = q(\alpha + \beta) - q(\alpha) - q(\beta)$ .

If  $Q$  is a quiver and  $\Gamma$  is its underlying graph, then  $(-, -)$  and  $q$  are the same as before. The bilinear form  $\langle -, - \rangle$ , however, depends on the orientation of  $Q$ .

We say  $q$  is positive definite if  $q(\alpha) > 0$  for all  $0 \neq \alpha \in \mathbb{Z}^n$ .

We say  $q$  is positive semi-definite if  $q(\alpha) \geq 0$  for all  $\alpha \in \mathbb{Z}^n$ .

The radical of  $q$  is  $\text{rad}(q) = \{\alpha \in \mathbb{Z}^n \mid (\alpha, -) = 0\}$ .

We have a partial ordering on  $\mathbb{Z}^n$  given by  $\alpha \leq \beta$  if  $\beta - \alpha \in \mathbb{N}^n$ .

We say that  $\alpha \in \mathbb{Z}^n$  is sincere if each component is non-zero.

LEMMA. If  $\Gamma$  is connected and  $\beta \geq 0$  is a non-zero radical vector, then  $\beta$  is sincere and  $q$  is positive semi-definite. For  $\alpha \in \mathbb{Z}^n$  we have

$$q(\alpha) = 0 \Leftrightarrow \alpha \in \mathbb{Q}\beta \Leftrightarrow \alpha \in \text{rad}(q).$$

PROOF. By assumption  $0 = (\varepsilon_i, \beta) = (2-2n_{ii})\beta_i - \sum_{j \neq i} n_{ij} \beta_j$ .

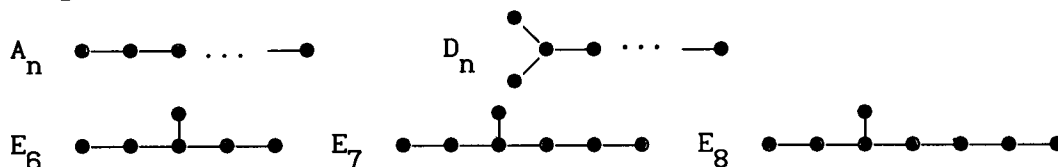
If  $\beta_i=0$  then  $\sum_{j \neq i} n_{ij} \beta_j = 0$ , and since each term in  $\geq 0$  we have  $\beta_j=0$  whenever there is an edge  $i-j$ . Since  $\Gamma$  is connected it follows that  $\beta=0$ , a contradiction. Thus  $\beta$  is sincere. Now

$$\begin{aligned} & \sum_{i < j} n_{ij} \frac{\beta_i \beta_j}{2} \left( \frac{\alpha_i}{\beta_i} - \frac{\alpha_j}{\beta_j} \right)^2 \\ &= \sum_{i < j} n_{ij} \frac{\beta_j}{2\beta_i} \alpha_i^2 - \sum_{i < j} n_{ij} (-\alpha_i \alpha_j) + \sum_{i < j} n_{ij} \frac{\beta_i}{2\beta_j} \alpha_j^2 \\ &= \sum_{i \neq j} n_{ij} \frac{\beta_j}{2\beta_i} \alpha_i^2 + \sum_{i < j} n_{ij} \alpha_i \alpha_j \\ &= \sum_i (2-2n_{ii})\beta_i \frac{1}{2\beta_i} \alpha_i^2 + \sum_{i < j} n_{ij} \alpha_i \alpha_j = q(\alpha). \end{aligned}$$

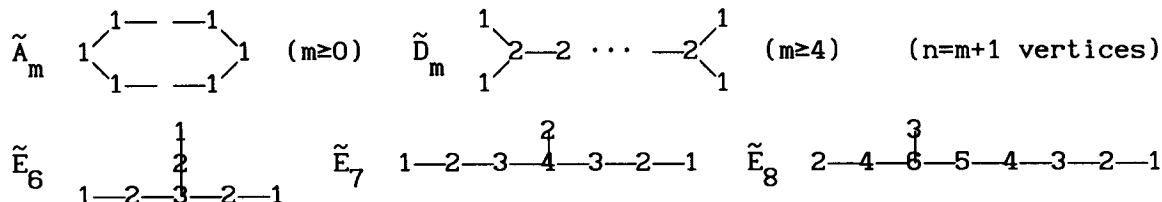
It follows that  $q$  is positive semi-definite. If  $q(\alpha)=0$  then  $\alpha_i/\beta_i = \alpha_j/\beta_j$  whenever there is an edge  $i-j$ , and since  $\Gamma$  is connected it follows that  $\alpha \in \mathbb{Q}\beta$ . If  $\alpha \in \mathbb{Q}\beta$  then  $\alpha \in \text{rad}(q)$  since  $\beta \in \text{rad}(q)$  by assumption. Finally if  $\alpha \in \text{rad}(q)$  then certainly  $q(\alpha)=0$ .

CLASSIFICATION. Suppose  $\Gamma$  is connected.

(1) If  $\Gamma$  is Dynkin then  $q$  is positive definite. By definition the Dynkin diagrams are:



(2) If  $\Gamma$  is Euclidean, then  $q$  is positive semi-definite and  $\text{rad}(q)=\mathbb{Z}\delta$ . By definition the Euclidean diagrams are as below. We have marked each vertex  $i$  with the value of  $\delta_i$ . Note that  $\delta$  is sincere and  $\delta \geq 0$ .



Note that  $\tilde{A}_0$  has one vertex and one loop, and  $\tilde{A}_1$  has two vertices joined by two edges.

(3) Otherwise, there is a vector  $\alpha \geq 0$  with  $q(\alpha) < 0$  and  $(\alpha, \varepsilon_i) \leq 0$  for all  $i$ .



PROOF.

(2) By inspection the given vector  $\delta$  is radical, eg if there are no loops or multiple edges, we need to check that

$$2\delta_i = \sum_{\text{neighbours } j \text{ of } i} \delta_j$$

Now  $q$  is positive semi-definite by the lemma. Finally, since some  $\delta_i=1$ ,

$$\text{rad}(q) = \mathbb{Q}\delta \cap \mathbb{Z}^n = \mathbb{Z}\delta.$$

(1) Embed the Dynkin diagram in the corresponding Euclidean diagram  $\tilde{\Gamma}$ , and note that the quadratic form for  $\tilde{\Gamma}$  is strictly positive on non-zero, non-sincere vectors.

(3) It is not hard to show that  $\Gamma$  has a Euclidean subgraph  $\Gamma'$ , say with radical vector  $\delta$ . If all vertices of  $\Gamma$  are in  $\Gamma'$  take  $\alpha=\delta$ . If  $i$  is a vertex not in  $\Gamma'$ , connected to  $\Gamma'$  by an edge, take  $\alpha=2\delta+\epsilon_i$

EXTENDING VERTICES.

If  $\Gamma$  is Euclidean, a vertex  $e$  is called an extending vertex if  $\delta_e=1$ . Note

- (1) There always is an extending vertex.
- (2) The graph obtained by deleting  $e$  is the corresponding Dynkin diagram.

NOW SUPPOSE that  $\Gamma$  is Dynkin or Euclidean, so  $q$  is positive semi-definite.

ROOTS.

We define  $\Delta = \{\alpha \in \mathbb{Z}^n \mid \alpha \neq 0, q(\alpha) \leq 1\}$ , the set of roots.

A root  $\alpha$  is real if  $q(\alpha)=1$  and imaginary if  $q(\alpha)=0$ .

REMARK.

One can define roots for any graph  $\Gamma$ , and more generally for valued graphs (in which situation the Dynkin diagrams  $B_n, C_n, F_4, G_2$  also arise). In case the graph has no loops, this can be found in Kac's book on infinite dimensional Lie algebras. In case there are loops, the definition can be found in V.G.Kac, Some remarks on representations of quivers and infinite root systems, in Springer Lec. Notes 832.

PROPERTIES.

(1) Each  $\epsilon_i$  is a root.

(2) If  $\alpha \in \Delta \cup \{0\}$ , so are  $-\alpha$  and  $\alpha + \beta$  with  $\beta \in \text{rad}(q)$ .

PROOF.  $q(\beta \pm \alpha) = q(\beta) + q(\alpha) \pm (\beta, \alpha) = q(\alpha)$ .

(3) {imaginary roots} =  $\begin{cases} \emptyset & \text{(Dynkin)} \\ \{r\delta \mid 0 \neq r \in \mathbb{Z}\} & \text{(Euclidean)} \end{cases}$

PROOF. Use the lemma.

(4) Every root  $\alpha$  is positive or negative.

PROOF. Let  $\alpha = \alpha^+ - \alpha^-$  where  $\alpha^+, \alpha^- \geq 0$  are non-zero and have disjoint support. Clearly we have  $(\alpha^+, \alpha^-) \leq 0$ , so that

$$1 \geq q(\alpha) = q(\alpha^+) + q(\alpha^-) - (\alpha^+, \alpha^-) \geq q(\alpha^+) + q(\alpha^-).$$

Thus one of  $\alpha^+, \alpha^-$  is an imaginary root, and hence is sincere. This means that the other is zero, a contradiction.

(5) If  $\Gamma$  is Euclidean then  $(\Delta \cup \{0\}) / \mathbb{Z}\delta$  is finite.

PROOF. Let  $e$  be an extending vertex. If  $\alpha$  is a root with  $\alpha_e = 0$ , then  $\delta - \alpha$  and  $\delta + \alpha$  are roots which are positive at the vertex  $e$ , and hence are positive roots. Thus

$$\{\alpha \in \Delta \cup \{0\} \mid \alpha_e = 0\} \subseteq \{\alpha \in \mathbb{Z}^n \mid -\delta \leq \alpha \leq \delta\}$$

which is finite. Now if  $\beta \in \Delta \cup \{0\}$  then  $\beta - \beta_e \delta$  belongs to the finite set  $\{\alpha \in \Delta \cup \{0\} \mid \alpha_e = 0\}$ .

(6) If  $\Gamma$  is Dynkin then  $\Delta$  is finite.

PROOF. Embed  $\Gamma$  in the corresponding Euclidean graph  $\tilde{\Gamma}$  with extending vertex  $e$ . We can now view a root  $\alpha$  for  $\Gamma$  as a root for  $\tilde{\Gamma}$  with  $\alpha_e = 0$ , so the result follows from (5).

## §5. Finite representation type

In this section we combine almost everything that we have done so far in order to prove Gabriel's Theorem. The proof given here is due to J.Tits, P.Gabriel, and the key step to C.M.Ringel, Four papers on problems in linear algebra, in I.M.Gelfand, 'Representation Theory', London Math. Soc. Lec. Note Series 69 (1982).

**THEOREM 1.** Suppose  $Q$  is a quiver with underlying graph  $\Gamma$  Dynkin. The assignment  $X \mapsto \underline{\dim} X$  induces a bijection between the isoclasses of indecomposable modules and the positive roots of  $q$ .

**PROOF.**

If  $X$  is indecomposable, then  $X$  is a brick, for otherwise by §2 Lemma 2 there is  $Y \subseteq X$  a brick with self-extensions, and then

$$0 < q(\underline{\dim} Y) = \dim \text{End}(Y) - \dim \text{Ext}^1(Y, Y) \leq 0.$$

If  $X$  is indecomposable then it has no self-extensions and  $\underline{\dim} X$  is a positive root, for  $0 < q(\underline{\dim} X) = 1 - \dim \text{Ext}^1(X, X)$ .

If  $X, X'$  are two indecomposables with the same dimension vector, then  $X \cong X'$  by §3 Lemma 1.

If  $\alpha$  is a positive root, then there is an indecomposable  $X$  with  $\underline{\dim} X = \alpha$ . To see this, pick an orbit  $\mathcal{O}_X$  of maximal dimension in  $\text{Rep}(\alpha)$ . If  $X$  decomposes,  $X = U \oplus V$  then  $\text{Ext}^1(U, V) = \text{Ext}^1(V, U) = 0$  by §3 Lemma 2. Thus

$$\begin{aligned} 1 = q(\alpha) &= q(\underline{\dim} U) + q(\underline{\dim} V) + \langle \underline{\dim} U, \underline{\dim} V \rangle + \langle \underline{\dim} V, \underline{\dim} U \rangle \\ &= q(\underline{\dim} U) + q(\underline{\dim} V) + \dim \text{Hom}(U, V) + \dim \text{Hom}(V, U) \geq 2, \end{aligned}$$

a contradiction.

**THEOREM 2.** If  $Q$  is a connected quiver with graph  $\Gamma$ , then there are only finitely many indecomposable representations  $\Leftrightarrow \Gamma$  is Dynkin.

PROOF. If  $\Gamma$  is Dynkin then the indecomposables correspond to the positive roots, and there are only a finite number of roots.

Conversely, suppose there are only a finite number of indecomposables. Any module is a direct sum of indecomposables, so it follows that there are only finitely many isoclasses of modules of dimension  $\alpha$  for all  $\alpha \in \mathbb{N}^n$ . Thus there are only finitely many orbits in  $\text{Rep}(\alpha)$ . By §3 Lemma 1 we have  $q(\alpha) > 0$  for  $0 \neq \alpha \in \mathbb{N}^n$ . Now the classification of graphs shows that  $\Gamma$  is Dynkin.

## §6. More homological algebra

FROM NOW ON we suppose that  $Q$  is a quiver without oriented cycles, so the path algebra  $A=kQ$  is finite dimensional. We still consider f.d.  $A$ -modules. We study the properties of projective, injective, non-projective, and non-injective modules. We give a little bit of Auslander-Reiten theory.

DUALITIES.

(1) If  $X$  is a left or right  $A$ -module, then  $DX = \text{Hom}_k(X, k)$ ,  $\text{Hom}(X, A)$  and  $\text{Ext}^1(X, A)$  are all  $A$ -modules on the other side.

(2)  $D$  is duality between left and right  $A$ -modules.

PROOF.  $\text{Hom}(X, Y) \cong \text{Hom}(DY, DX)$  and  $DDX \cong X$ .

(3)  $D$  gives a duality between injective left modules and projective right modules.

PROOF.  $\text{Ext}^1(DX, DY) \cong \text{Ext}^1(Y, X)$ . This is zero for all  $Y$  if and only if  $DX$  is projective, if and only if  $X$  is injective.

(4)  $\text{Hom}(-, A)$  gives a duality between projective left modules and projective right modules.

PROOF. If  $P$  is a summand of  $A^n$  then  $\text{Hom}(P, A)$  is a summand of  $\text{Hom}(A^n, A) \cong A^n$ , so is projective. Now the map  $P \rightarrow \text{Hom}(\text{Hom}(P, A), A)$  is an iso for all  $P$ , since it is for  $P=A$ .

(5) The Nakayama functor  $\nu(-) = D\text{Hom}(-, A)$  gives an equivalence from projective left modules to injective left modules. The inverse functor is  $\nu^{-}(-) = \text{Hom}(D(-), A) \cong \text{Hom}(DA, -)$ .

(6)  $\text{Hom}(X, \nu P) \cong D\text{Hom}(P, X)$  for  $X, P$  left  $A$ -modules,  $P$  projective.

PROOF. The composition

$$\text{Hom}(P, A) \otimes_A X \cong \text{Hom}(P, A) \otimes_A \text{Hom}(A, X) \longrightarrow \text{Hom}(P, X)$$

is an isomorphism, since it is for  $P=A$ . Thus

$$D\text{Hom}(P, X) \cong \text{Hom}_k(\text{Hom}(P, A) \otimes_A X, k) \cong \text{Hom}(X, \text{Hom}_k(\text{Hom}(P, A), k)) = \text{Hom}(X, \nu P).$$

DEFINITION. The Auslander-Reiten translate of a left  $A$ -module  $X$  is  $\tau X = D\text{Ext}^1(X, A)$ . We also define  $\tau^- X = \text{Ext}^1(DX, A) \cong \text{Ext}^1(DA, X)$ .

If  $0 \rightarrow L \rightarrow M \rightarrow N \rightarrow 0$  is an exact sequence then since  $A$  is hereditary there are long exact sequences

$$\begin{array}{cccccccc} 0 & \rightarrow & \tau L & \rightarrow & \tau M & \rightarrow & \tau N & \rightarrow \\ & & \nu L & \rightarrow & \nu M & \rightarrow & \nu N & \rightarrow 0 \\ 0 & \rightarrow & \tau^- L & \rightarrow & \tau^- M & \rightarrow & \tau^- N & \rightarrow 0. \end{array}$$

LEMMA 1.  $\text{Hom}(Y, \tau X) \cong D\text{Ext}^1(X, Y) \cong \text{Hom}(\tau^- Y, X)$ .

(Thus  $\tau^-$  is left adjoint to  $\tau$ )

PROOF. Let  $0 \rightarrow P \rightarrow Q \rightarrow X \rightarrow 0$  be a projective resolution. The sequence

$$\tau Q \rightarrow \tau X \rightarrow \nu P \rightarrow \nu Q$$

is exact, and  $\tau Q = 0$ , so we have a commutative diagram with exact rows

$$\begin{array}{ccccccc} 0 & \rightarrow & \text{Hom}(Y, \tau X) & \rightarrow & \text{Hom}(Y, \nu P) & \rightarrow & \text{Hom}(Y, \nu Q) \\ & & & & \parallel & & \parallel \\ 0 & \rightarrow & D\text{Ext}^1(X, Y) & \rightarrow & D\text{Hom}(P, Y) & \rightarrow & D\text{Hom}(Q, Y) \end{array}$$

and hence  $\text{Hom}(Y, \tau X) \cong D\text{Ext}^1(X, Y)$ . The other isomorphism is dual.

LEMMA 2. Let  $X$  be indecomposable.

(1) If  $X$  is non-projective then  $\text{Hom}(X, P) = 0$  for  $P$  projective, and  $\tau^- \tau X \cong X$ .

(2) If  $X$  is non-injective then  $\text{Hom}(I, X) = 0$  for  $I$  injective, and  $\tau \tau^- X \cong X$ .

PROOF OF (1). If  $\theta: X \rightarrow P$  is non-zero, then  $\text{Im}(\theta)$  is projective since  $A$  is hereditary. Now  $X \twoheadrightarrow \text{Im}(\theta)$  is epi, so  $\text{Im}(\theta)$  is summand of  $X$ . But  $X$  is indecomposable so  $X \cong \text{Im}(\theta)$ , a contradiction.

Let  $0 \rightarrow P \rightarrow Q \rightarrow X \rightarrow 0$  be a projective resolution. Now

$$0 \rightarrow \tau X \rightarrow \nu P \rightarrow \nu Q \rightarrow \nu X$$

is exact, and  $\nu X = 0$  since  $\text{Hom}(X, A) = 0$ . Thus we have a commutative diagram

$$\begin{array}{ccccccc} \nu^- \nu P & \rightarrow & \nu^- \nu Q & \rightarrow & \tau^- \tau X & \rightarrow & \tau^- \nu P \\ \parallel & & \parallel & & & & \\ P & \rightarrow & Q & \rightarrow & X & \rightarrow & 0 \end{array}$$

with exact rows. Since  $\nu P$  is injective,  $\tau^- \nu P = 0$ , and hence  $\tau^- \tau X \cong X$ .

LEMMA 3.  $\tau$  and  $\tau^-$  give inverse bijections

$$\text{non-projective indecomposables} \begin{array}{c} \xrightarrow{\tau} \\ \xleftarrow{\tau^-} \end{array} \text{non-injective indecomposables}$$

PROOF. Let  $X$  be a non-projective indecomposable, and write  $\tau X$  as a direct sum of indecomposables, say  $\tau X = \oplus_{i=1}^r Y_i$ . Each  $Y_i$  is non-injective, since otherwise  $\text{Hom}(Y_i, \tau X) = 0$  by Lemma 1. By part (2) of Lemma 2 it follows that each  $\tau^-(Y_i) \neq 0$ . By part (1) of Lemma 2 we have  $X \cong \tau^- \tau X \cong \oplus_{i=1}^r \tau^-(Y_i)$ , and since  $X$  is indecomposable we must have  $r=1$ . Thus  $\tau X$  is a non-injective indecomposable. Dually for  $\tau^-$ .

REMARKS.

(1) For any f.d. algebra there are more complicated constructions  $\tau, \tau^-$  giving the bijection above, which involve  $D$  and a transpose operator  $\text{Tr}$ . In general, however,  $\tau$  and  $\tau^-$  are not functors, Lemma 1 needs to be modified, and Lemma 2 is nonsense.

(2) If  $X$  is indecomposable and non-projective, then  $\text{Ext}^1(X, \tau X) \cong \text{DEnd}(X)$ , and this space contains a special element, the map  $f \in \text{Hom}_k(\text{End}(X), k)$  with  $f(1_X) = 1$  and  $f(\text{rad End}(X)) = 0$ . The corresponding short exact sequence  $0 \rightarrow \tau X \rightarrow E \rightarrow X \rightarrow 0$  is an Auslander-Reiten sequence, which has very special properties.

Auslander-Reiten sequences exist for any f.d. algebra, and (under the name 'almost split sequences' and together with the transpose) have been defined and studied by M. Auslander & I. Reiten, Representation theory of artin algebras III, IV, V, VI, Comm. in Algebra, 3(1975) 239-294, 5(1977) 443-518, 5(1977) 519-554, 6(1978) 257-300.

(3) The translate  $\tau$  can also be defined as a product of reflection functors, see the remark in §1 and the paper by Bernstein, Gelfand and Ponomarev. The equivalence of the two definitions was proved by S. Benner and M.C.R. Butler, The equivalence of certain functors occurring in the representation theory of artin algebras and species, J. London Math. Soc., 14 (1976), 183-187.

INDECOMPOSABLE PROJECTIVES AND INJECTIVES.

(1) The modules  $P(i) = Ae_i$  are a complete set of non-isomorphic indecomposable projective left  $A$ -modules.

PROOF. The  $e_i$  are inequivalent primitive idempotents and  $A = \bigoplus_{i=1}^n Ae_i$ . Now use Krull-Schmidt.

(2) The modules  $I(i) = \nu(P(i)) = D(e_i A)$  are a complete set of non-isomorphic indecomposable injective left  $A$ -modules.

PROOF. Use  $\text{Hom}(-, A)$  and  $D$ .

(3)  $\langle \underline{\dim} P(i), \alpha \rangle = \alpha_i = \langle \alpha, \underline{\dim} I(i) \rangle$  for any  $\alpha$ .

PROOF. If  $X$  has dimension  $\alpha$ , then

$$\begin{aligned} \langle \underline{\dim} P(i), \alpha \rangle &= \dim \text{Hom}(P(i), X) - \dim \text{Ext}^1(P(i), X) = \dim e_i X = \alpha_i. \\ \langle \alpha, \underline{\dim} I(i) \rangle &= \dim \text{Hom}(X, I(i)) = \dim \text{Hom}(P(i), X) = \alpha_i. \end{aligned}$$

(4) The vectors  $\underline{\dim} P(i)$  are a basis of  $\mathbb{Z}^n$ . The  $\underline{\dim} I(i)$  are a basis of  $\mathbb{Z}^n$ .

PROOF. The module  $S(i)$  with dimension vector  $\varepsilon_i$  has a projective resolution  $0 \rightarrow P_1 \rightarrow P_0 \rightarrow S(i) \rightarrow 0$  and an injective resolution  $0 \rightarrow S(i) \rightarrow I_0 \rightarrow I_1 \rightarrow 0$ .

COXETER TRANSFORMATION.

(1) There is an automorphism  $c: \mathbb{Z}^n \rightarrow \mathbb{Z}^n$  with  $\underline{\dim} \nu P = -c(\underline{\dim} P)$  for  $P$  projective.

PROOF. Define  $c$  via  $c(\underline{\dim} P(i)) = -\underline{\dim} I(i)$ .

(2) If  $X$  is indecomposable and non-projective then  $\underline{\dim} \tau X = c(\underline{\dim} X)$ .

PROOF. Let  $0 \rightarrow P \rightarrow Q \rightarrow X \rightarrow 0$  be a projective resolution. We have an exact sequence  $0 \rightarrow \tau X \rightarrow \nu P \rightarrow \nu Q \rightarrow 0$  and so

$$\underline{\dim} \tau X = \underline{\dim} \nu P - \underline{\dim} \nu Q = -c(\underline{\dim} P - \underline{\dim} Q) = -c(\underline{\dim} X).$$

(3)  $\langle \alpha, \beta \rangle = -\langle \beta, c\alpha \rangle = \langle c\alpha, c\beta \rangle$ .

PROOF.  $\langle \underline{\dim} P(i), \beta \rangle = \langle \beta, \underline{\dim} I(i) \rangle = -\langle \beta, c(\underline{\dim} P(i)) \rangle$ .

(4)  $c\alpha = \alpha \Leftrightarrow \alpha \in \text{rad}(q)$ .

PROOF.  $\langle \beta, \alpha - c\alpha \rangle = \langle \beta, \alpha \rangle - \langle \beta, c\alpha \rangle = \langle \beta, \alpha \rangle$ .

REMARK. When  $\tau$  is written as a product of reflections, one sees that the Coxeter transformation is a Coxeter element in the sense of Coxeter groups.



§7. Euclidean case. Preprojectives and preinjectives

FROM NOW ON we set  $A=kQ$  where  $Q$  is a quiver without oriented cycles and with underlying graph  $\Gamma$  Euclidean. We denote by  $\delta$  the minimal positive imaginary root for  $\Gamma$ . In this section we describe the three classes of preprojective, regular and preinjective modules.

DEFINITIONS. If  $X$  is indecomposable, then

- (1)  $X$  is preprojective  $\Leftrightarrow \tau^i X = 0$  for  $i \gg 0 \Leftrightarrow X = \tau^{-m} P(j)$  some  $m \geq 0, j$ .
- (2)  $X$  is preinjective  $\Leftrightarrow \tau^{-i} X = 0$  for  $i \gg 0 \Leftrightarrow X = \tau^m I(j)$  some  $m \geq 0, j$ .
- (3)  $X$  is regular  $\Leftrightarrow \tau^i X \neq 0$  for all  $i \in \mathbb{Z}$ .

We say a decomposable module  $X$  is preprojective, preinjective or regular if each indecomposable summand is.

The defect of a module  $X$  is  $\langle \delta, \underline{\dim} X \rangle = -\langle \underline{\dim} X, \delta \rangle$ .

LEMMA 1. There is  $N > 0$  such that  $c^N \underline{\dim} X = \underline{\dim} X$  for regular  $X$ .

PROOF. Recall that  $c\alpha = \alpha$  if and only if  $\alpha$  is radical, and that  $q(c\alpha) = q(\alpha)$ . Thus  $c$  induces a permutation of the finite set  $\Delta \cup \{0\} / \mathbb{Z}\delta$ . Thus there is some  $N > 0$  with  $c^N$  the identity on  $\Delta \cup \{0\} / \mathbb{Z}\delta$ . Since  $\varepsilon_i \in \Delta$  it follows that  $c^N$  is the identity on  $\mathbb{Z}^n / \mathbb{Z}\delta$ .

Let  $c^N \underline{\dim} X - \underline{\dim} X = r\delta$ . An induction shows that  $c^{iN} \underline{\dim} X = \underline{\dim} X + ir\delta$  for all  $i \in \mathbb{Z}$ . If  $r < 0$  this is not positive for  $i \gg 0$ , so  $X$  must be preprojective. If  $r > 0$  this is not positive for  $i \ll 0$ , so  $X$  is preinjective. Thus  $r = 0$ .

LEMMA 2. If  $X$  is indecomposable, then  $X$  is preprojective, regular or preinjective according as the defect of  $X$  is -ve, zero or +ve.

PROOF. If  $X$  is preprojective then defect  $< 0$ , since

$$\langle \dim \tau^{-m}P(j), \delta \rangle = \langle c^{-m}(\dim P(j)), \delta \rangle = \langle \dim P(j), c^m \delta \rangle = \langle \dim P(j), \delta \rangle = \delta_1 > 0.$$

Similarly preinjectives have defect  $> 0$ . If  $X$  is regular with dimension vector  $\alpha$ , then  $c^N \alpha = \alpha$ . Let  $\beta = \alpha + \dots + c^{N-1} \alpha$ . Clearly  $c\beta = \beta$ , so that  $\beta = r\delta$ . Now

$$0 = \langle \beta, \delta \rangle = \sum_{i=0}^{N-1} \langle c^i \alpha, \delta \rangle = N \langle \alpha, \delta \rangle,$$

so  $\langle \alpha, \delta \rangle = 0$ , ie  $X$  has defect zero.

LEMMA 3. Let  $X, Y$  be indecomposable.

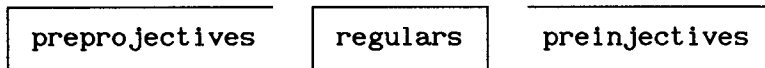
- (1) If  $Y$  is preprojective and  $X$  is not, then  $\text{Hom}(X, Y) = 0$  and  $\text{Ext}^1(Y, X) = 0$ .  
 (2) If  $Y$  is preinjective and  $X$  is not, then  $\text{Hom}(Y, X) = 0$  and  $\text{Ext}^1(X, Y) = 0$ .

PROOF. (1) As  $X$  is not preprojective,  $X \cong \tau^{-i} \tau^i X$  for  $i \geq 0$ . Thus

$$\text{Hom}(X, Y) \cong \text{Hom}(\tau^{-i} \tau^i X, Y) \cong \text{Hom}(\tau^i X, \tau^i Y) = 0 \text{ for } i \gg 0.$$

Also  $\text{Ext}^1(Y, X) \cong \text{DHom}(\tau^{-i} X, Y) = 0$ . (2) is dual.

REMARK. We draw a picture



by drawing a dot for each indecomposable module. We draw the projectives at the extreme left, then the modules  $\tau^{-1}P(j)$ , then the  $\tau^{-2}P(j)$ , etc. We draw the injectives at the extreme right, then the modules  $\tau I(j)$ , then  $\tau^2 I(j)$ , etc. Finally we draw all the regular indecomposables in the middle.

The lemma above, and §6 Lemma 2, say that non-zero maps tend to go from the left to the right in the picture.

LEMMA 4. If  $\alpha$  is a positive real root, and either  $\langle \alpha, \delta \rangle \neq 0$  or  $\alpha \leq \delta$ , then there is a unique indecomposable of dimension  $\alpha$ . It is a brick.

PROOF. If  $Y$  is a brick with self-extensions then  $q(\dim Y) \leq 0$  so  $Y$  is regular and of dimension  $\geq \delta$ .

If  $X$  is indecomposable of dimension  $\alpha$ , then it is a brick, for otherwise it has submodule and quotient which are regular of dimension  $\geq \delta$ . This is impossible for either  $X$  has dimension  $\alpha \leq \delta$ , or  $X$  is preprojective (so there is no such submodule), or it is preinjective (so there is no such quotient). By assumption  $q(\alpha) = 1$ , so  $X$  has no self-extensions, and the uniqueness follows by the open orbit argument.

For the existence of an indecomposable of dimension vector  $\alpha$ , pick an orbit  $\mathcal{O}_X$  in  $\text{Rep}(\alpha)$  of maximal dimension. If  $X$  decomposes,  $X = U \oplus V$ , then

$$1 = q(\alpha) = q(\dim U) + q(\dim V) + \dim \text{Hom}(U, V) + \dim \text{Hom}(V, U).$$

Thus,  $q(\dim U) = 0$ , say, so  $\dim U \in \mathbb{Z}\delta$ . Now  $\dim V \notin \mathbb{Z}\delta$  for otherwise  $\dim X \in \mathbb{Z}\delta$  and then  $q(\alpha) = 0$ . Thus  $q(\dim V) = 1$  and therefore the Hom spaces must be zero. Thus  $\langle \dim V, \dim U \rangle = 0$ , so  $\langle \dim V, \delta \rangle = 0$ . Since also  $\langle \dim U, \delta \rangle = 0$  we have  $\langle \alpha, \delta \rangle = 0$ . Now  $\dim U \in \mathbb{Z}\delta$ , so  $\delta \leq \alpha$ , which contradicts the assumption on  $\alpha$ .

## §8. Euclidean case. Regular modules

In this section we study the category of regular modules. We show that its behaviour is completely determined by certain 'regular simple' modules.

PROPERTIES OF REGULAR MODULES.

(1) If  $\theta: X \rightarrow Y$  with  $X, Y$  regular, then  $\text{Im}(\theta)$  is regular.

PROOF.  $\text{Im}(\theta) \subseteq Y$ , so it has no preinjective summand. Also  $X \twoheadrightarrow \text{Im}(\theta)$ , so it has no preprojective summand.

(2) In the situation above  $\text{Ker}(\theta)$  and  $\text{Coker}(\theta)$  are also regular.

PROOF.  $0 \rightarrow \text{Ker}(\theta) \rightarrow X \rightarrow \text{Im}(\theta) \rightarrow 0$  is exact, so  $\text{Ker}(\theta)$  has defect zero. Now  $\text{Ker}(\theta) \subseteq X$ , so  $\text{Ker}(\theta) = \text{preprojectives} \oplus \text{regulars}$ . If there were any preprojective summand, then the defect would have to be negative. Similarly for  $\text{Coker}(\theta)$ .

(3) If  $0 \rightarrow X \rightarrow Y \rightarrow Z \rightarrow 0$  is exact and  $X, Z$  are regular, then so is  $Y$ .

PROOF. The long exact sequence shows  $\text{Hom}(Z, \text{Preproj}) = 0 = \text{Hom}(\text{Preinj}, Z)$ .

(4) The regular modules form an extension-closed abelian subcategory of the category of all modules.

(5)  $\tau$  and  $\tau^{-1}$  are inverse equivalences on this category.

DEFINITION.

A module  $X$  is regular simple if it is regular, and has no proper non-zero regular submodule. Equivalently if  $\text{defect}(X) = 0$ , and  $\text{defect}(Y) < 0 \forall 0 < Y < X$ .

PROPERTIES. Let  $X$  be regular simple,  $\dim X = \alpha$ .

(1)  $X$  is a brick, so  $\alpha$  is a root.

(2)  $\tau^i X$  is regular simple for all  $i \in \mathbb{Z}$ .

(3)  $\tau X \cong X \Leftrightarrow \alpha$  is an imaginary root.

PROOF. If  $\tau X \cong X$  then  $c\alpha = \alpha$  so  $\alpha$  is radical. Conversely, if  $q(\alpha) = 0$ , then  $\text{Hom}(X, \tau X) \cong \text{DExt}^1(X, X) \neq 0$ , so  $X \cong \tau X$  since  $X$  and  $\tau X$  regular simple.

(4)  $\tau^N X \cong X$ .

PROOF. We may assume  $\alpha$  is a real root. Now  $\langle \alpha, c^N \alpha \rangle = \langle \alpha, \alpha \rangle = 1$ , so  $\text{Hom}(X, \tau^N X) \neq 0$ , so  $X \cong \tau^N X$ .

DEFINITION.

$X$  is regular uniserial if there are regular submodules

$$0 = X_0 \subset X_1 \subset \dots \subset X_r = X$$

and these are the ONLY regular submodules of  $X$ . We say  $X$  has regular composition factors  $X_1, X_2/X_1, \dots, X_r/X_{r-1}$  (which are clearly regular simples), regular length  $r$ , regular socle  $X_1$  and regular top  $X/X_{r-1}$ .

LEMMA 1. If  $X$  is regular uniserial,  $S$  is regular simple, and  $\xi: 0 \rightarrow S \rightarrow E \xrightarrow{f} X \rightarrow 0$  is non-split, then  $E$  is regular uniserial.

PROOF. It suffices to prove that if  $U \subseteq E$  is regular and  $U$  is not contained in  $S$ , then  $S \subseteq U$ . Thus  $f(U) \neq 0$ , so  $T \subseteq f(U)$  where  $T$  is the regular socle of  $X$ , and so  $f^{-1}(T) = S + U \cap f^{-1}(T)$ .

Since  $\tau^{-1}S$  is regular simple the inclusion  $T \hookrightarrow X$  gives an isomorphism  $\text{Hom}(\tau^{-1}S, T) \xrightarrow{\cong} \text{Hom}(\tau^{-1}S, X)$ . Thus it gives an isomorphism

$$\text{Ext}^1(X, S) \cong \text{DHom}(\tau^{-1}S, X) \cong \text{DHom}(\tau^{-1}S, T) \cong \text{Ext}^1(T, S),$$

so the pullback sequence

$$\begin{array}{ccccccc} 0 & \longrightarrow & S & \longrightarrow & f^{-1}(T) & \longrightarrow & T \longrightarrow 0 \\ & & \parallel & & \downarrow & & \downarrow \\ 0 & \longrightarrow & S & \longrightarrow & E & \longrightarrow & X \longrightarrow 0 \end{array}$$

is non-split. Now we have  $f^{-1}(T) = S + U \cap f^{-1}(T)$ , and this cannot be a direct sum, so  $S \cap U \cap f^{-1}(T) \neq 0$ . It follows that  $S \subseteq U$ .

LEMMA 2. For each regular simple  $T$  and  $r \geq 1$  there is a unique regular uniserial module with regular top  $T$  and regular length  $r$ . Its regular composition factors are (from the top)  $T, \tau T, \dots, \tau^{r-1}T$ .

PROOF. Induction on  $r$ . Suppose  $X$  is regular uniserial of regular length  $r$  with regular top  $T$  and regular socle  $\tau^{r-1}T$ . Let  $S$  be regular simple. Now

$$\text{Ext}^1(X, S) \cong \text{Hom}(\tau^{-1}S, X) \cong \text{Hom}(\tau^{-1}S, \tau^{r-1}T) \cong \begin{cases} k & (S \cong \tau^r T) \\ 0 & (\text{else}) \end{cases}$$

so there is a non-split sequence  $\xi: 0 \rightarrow Y \rightarrow E \rightarrow X \rightarrow 0$  if and only if  $S \cong \tau^r T$ , and in this case, since the space of extensions is 1-dimensional, any non-zero  $\xi \in \text{Ext}^1(X, S)$  gives rise to the same module  $E$ . It is regular uniserial by the previous lemma.

THEOREM. Every indecomposable regular module  $X$  is regular uniserial.

PROOF. Induction on  $\dim X$ . Let  $S \subseteq X$  be a regular simple submodule of  $X$ . By induction  $X/S = \bigoplus_{i=1}^r Y_i$  is a direct sum of regular uniserials. Now

$$\text{Ext}^1(X/S, S) \cong \bigoplus_{i=1}^r \text{Ext}^1(Y_i, S), \quad 0 \rightarrow S \rightarrow X \rightarrow X/S \rightarrow 0 \leftrightarrow (\xi_i)$$

Since  $X$  is indecomposable, all  $\xi_i \neq 0$ . Now

$$\text{Ext}^1(Y_i, S) \cong \begin{cases} k & (\text{if } Y_i \text{ has regular socle } \tau^{-1}S) \\ 0 & (\text{else}) \end{cases}$$

so all  $Y_i$  have regular socle  $\tau^{-1}S$ .

If  $r=1$  then  $X$  is regular uniserial, so suppose  $r \geq 2$ , for contradiction. We may assume that  $\dim Y_1 \leq \dim Y_2$ , and then (by Lemma 2, or more simply, by the dual of Lemma 2), there is a map  $f: Y_1 \hookrightarrow Y_2$ . This map induces an isomorphism  $\text{Ext}^1(Y_2, S) \rightarrow \text{Ext}^1(Y_1, S)$  so we can use  $f$  to adjust the decomposition of  $X/S$  to make one component  $\xi_1$  zero, a contradiction. Explicitly we write  $X/S = Y'_1 \oplus Y_2 \oplus \dots \oplus Y_r$  with  $Y'_1 = \{y_1 + \lambda f(y_1) \mid y_1 \in Y_1\}$  for some  $\lambda \in k$ . We leave the details as an exercise.

DEFINITION.

Given a  $\tau$ -orbit of regular simples, the corresponding tube consists of the indecomposable regular modules whose regular composition factors belong to this orbit.

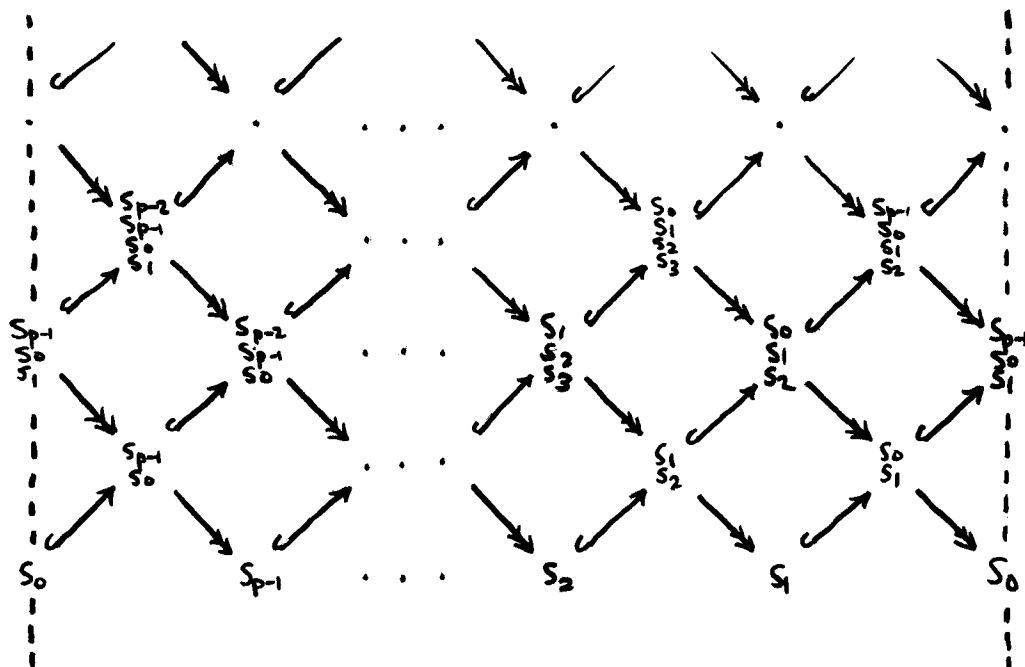
PROPERTIES.

(1) Every regular indecomposable belongs to a unique tube.

(2) Every indecomposable in a tube has the same period  $p$  under  $\tau$ .

PROOF. If  $X$  is regular uniserial with regular top  $T$  and regular length  $r$ , then  $\tau^i X$  is regular uniserial with regular top  $\tau^i T$  and regular length  $r$ . If  $\tau^i T \cong T$  we must have  $\tau^i X \cong X$ .

(3) If the regular simples in a tube of period  $p$  are  $S_i = \tau^i S$ , then the modules in the tube can be displayed as below. The symbol obtained by stacking various  $S_i$ 's is the corresponding regular uniserial. We indicate the inclusion of the maximal proper regular submodule  $Y$  of  $X$  by  $Y \hookrightarrow X$ , and the map of  $X$  onto the quotient  $Z$  of  $X$  by its regular socle as  $X \twoheadrightarrow Z$ . The translation  $\tau$  acts as a shift to the left, and the two vertical dotted lines must be identified.



§9. Euclidean case. Regular simples and roots

In this section we show that the tubes are indexed by the projective line, and that the dimension vectors of indecomposable representations are precisely the positive roots for  $\Gamma$ .

CONSTRUCTION.

Let  $e$  be an extending vertex,  $P=P(e)$ ,  $p=\underline{\dim} P$ . Clearly  $\langle p, p \rangle = 1 = \langle p, \delta \rangle$ . By §7 Lemma 4 there is a unique indecomposable  $L$  of dimension  $\delta+p$ .

$P$  and  $L$  are preprojective, are bricks, and have no self-extensions.  $\text{Hom}(L, P) = 0$  for if  $\theta: L \rightarrow P$  then  $\text{Im } \theta$  is a summand of  $L$ , a contradiction.  $\text{Ext}^1(L, P) = 0$  since  $\langle \underline{\dim} L, \underline{\dim} P \rangle = \langle p+\delta, p \rangle = \langle p, p \rangle - \langle p, \delta \rangle = 0$ .  $\dim \text{Hom}(P, L) = 2$  since  $\langle p, p+\delta \rangle = 2$ .

LEMMA 1. If  $0 \neq \theta \in \text{Hom}(P, L)$  then  $\theta$  is mono,  $\text{Coker } \theta$  is a regular indecomposable of dimension  $\delta$ , and  $\text{reg.top}(\text{Coker } \theta)_e \neq 0$ .

PROOF. Suppose  $\theta$  is not mono. Now  $\text{Ker } \theta$  and  $\text{Im } \theta$  are preprojective (since they embed in  $P$  and  $L$ ), and so they have defect  $\leq -1$ . Now the sequence  $0 \rightarrow \text{Ker } \theta \rightarrow P \rightarrow \text{Im } \theta \rightarrow 0$  is exact, so

$$-1 = \text{defect}(P) = \text{defect}(\text{Ker } \theta) + \text{defect}(\text{Im } \theta) \leq -2,$$

a contradiction.

Let  $X = \text{Coker } \theta$ , and consider  $\xi: 0 \rightarrow P \xrightarrow{\theta} L \rightarrow X \rightarrow 0$ . Apply  $\text{Hom}(-, P)$  to get  $\text{Ext}^1(X, P) = k$ . Apply  $\text{Hom}(-, L)$  to get  $\text{Hom}(X, L) = 0$ . Apply  $\text{Hom}(X, -)$  to get  $X$  a brick.

If  $X$  has regular top  $T$ , then

$$\dim T_e = \dim \text{Hom}(P, T) = \langle p, \underline{\dim} T \rangle = \langle p+\delta, \underline{\dim} T \rangle = \dim \text{Hom}(L, T) \neq 0.$$



LEMMA 2. If  $X$  is regular,  $X_e \neq 0$  then  $\text{Hom}(\text{Coker } \theta, X) \neq 0$  for some  $0 \neq \theta \in \text{Hom}(P, L)$ .

PROOF.  $\text{Ext}^1(L, X) = 0$ , so

$$\dim \text{Hom}(L, X) = \langle p + \delta, \underline{\dim} X \rangle = \langle p, \underline{\dim} X \rangle = \dim \text{Hom}(P, X) \neq 0.$$

Let  $\alpha, \beta$  be a basis of  $\text{Hom}(P, L)$ . These give maps  $a, b: \text{Hom}(L, X) \rightarrow \text{Hom}(P, X)$ .

If  $a$  is an iso, let  $\lambda$  be an eigenvalue of  $a^{-1}b$  and set  $\theta = \beta - \lambda\alpha$ .

If  $a$  is non-iso, set  $\theta = \alpha$ .

Either way, there is  $0 \neq \phi \in \text{Hom}(L, X)$  with  $\phi \circ \theta = 0$ . Thus  $\bar{\phi}: \text{Coker } \theta \rightarrow X$ .

LEMMA 3. If  $X$  is regular simple of period  $p$ , then

$$\underline{\dim} X + \underline{\dim} \tau X + \dots + \underline{\dim} \tau^{p-1} X = \delta.$$

PROOF. Let  $\underline{\dim} X = \alpha$ .

If  $\alpha_e \neq 0$  there is a map  $\text{Coker } \theta \rightarrow X$  which must be onto.

If  $\alpha_e = 0$  then  $\delta - \alpha$  is a root, and  $(\delta - \alpha)_e = 1$ , so  $\delta - \alpha$  is a positive root.

Either way  $\alpha \leq \delta$ .

If  $\alpha = \delta$  then  $X \cong \tau X$ , so we are done. Thus we may suppose  $\alpha$  is a real root. Now  $\delta - \alpha$  is a real root, and  $\langle \delta, \delta - \alpha \rangle = 0$ , so by §7 Lemma 4 there is a regular brick  $Y$  of dimension  $\delta - \alpha$ . Now

$$\langle \alpha, \delta - \alpha \rangle = -1, \text{ so } 0 \neq \text{Ext}^1(X, Y) \cong \text{DHom}(Y, \tau X), \text{ so } \text{reg. top}(Y) \cong \tau X$$

$$\langle \delta - \alpha, \alpha \rangle = -1, \text{ so } 0 \neq \text{Ext}^1(Y, X) \cong \text{DHom}(\tau^{-1} X, Y), \text{ so } \text{reg. socle}(Y) \cong \tau^{-1} X.$$

It follows that  $Y$  must at least involve  $\tau X, \tau^2 X, \dots, \tau^{p-1} X$ , so

$$\underline{\dim} X + \underline{\dim} \tau X + \dots + \underline{\dim} \tau^{p-1} X \leq \delta.$$

Also the sum is invariant under  $c$ , so is a multiple of  $\delta$ .

CONSEQUENCES.

(1) All but finitely many regular simples have dimension  $\delta$ , so all but finitely many tubes have period one. This follows from §7 Lemma 4.

(2) Each tube contains a unique module in the set

$$\Omega = \{\text{isoclasses of indecomposable } X \text{ with } \underline{\dim} X = \delta \text{ and } \text{reg. top}(X)_e \neq 0\}.$$

(3) If  $X$  is indecomposable regular, then

$$\underline{\dim} X \in \mathbb{Z}\delta \Leftrightarrow \text{the period of } X \text{ divides regular length of } X, \text{ and}$$

$$\underline{\dim} X \leq \delta \Leftrightarrow \text{regular length } X \leq \text{period of } X \Leftrightarrow X \text{ is a brick.}$$

THEOREM 1. The assignment  $\theta \mapsto \text{Coker } \theta$  gives a bijection  $\text{PHom}(P, L) \rightarrow \Omega$ , so the set of tubes is indexed by the projective line.

PROOF. If  $U$  is indecomposable regular of dimension  $\delta$  and  $\text{reg.top}(U)_e \neq 0$ , then there is a map  $\text{Coker } \theta \rightarrow U$  for some  $\theta$ . This map must be epi, since any proper regular submodule of  $U$  is zero at  $e$ . Thus the map is an isomorphism.

If  $0 \neq \theta, \theta' \in \text{Hom}(P, L)$  and  $\text{Coker } \theta \cong \text{Coker } \theta'$ , then

$$\text{Hom}(L, P) \rightarrow \text{Hom}(L, L) \rightarrow \text{Hom}(L, \text{Coker } \theta) \rightarrow \text{Ext}^1(L, P) = 0$$

so the composition  $L \rightarrow \text{Coker } \theta' \cong \text{Coker } \theta$  lifts to map  $g: L \rightarrow L$ . Thus one obtains a commutative diagram

$$\begin{array}{ccccccc} 0 & \rightarrow & P & \xrightarrow{\theta'} & L & \rightarrow & \text{Coker } \theta' \rightarrow 0 \\ & & \downarrow f & & \downarrow g & & \parallel \\ 0 & \rightarrow & P & \xrightarrow{\theta} & L & \rightarrow & \text{Coker } \theta \rightarrow 0 \end{array}$$

Now  $f, g$  are non-zero multiples of identity, so  $\theta = \lambda \theta'$  with  $0 \neq \lambda \in k$ .

THEOREM 2.

- (1) If  $X$  is indecomposable then  $\underline{\dim} X$  is a root.
- (2) If  $\alpha$  is positive imaginary root there are  $\omega \lambda$  many indecs with  $\underline{\dim} X = \alpha$ .
- (3) If  $\alpha$  is positive real root there is a unique indec with  $\underline{\dim} X = \alpha$ .

PROOF.

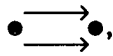
(1) If  $X$  is a brick, this is clear. If  $X$  is not a brick, it is regular. Let  $X$  have period  $p$  and regular length  $rp+q$  with  $1 < q \leq p$ . The submodule  $Y$  with regular length  $q$  is a brick, and so  $\underline{\dim} X = \underline{\dim} Y + r\delta$  is a root.

(2)  $\alpha = r\delta$ . If  $T$  is a tube of period  $p$ , then the indecomposables in  $T$  of regular length  $rp$  have dimension  $r\delta$ . There are infinitely many tubes.

(3) We know there is a unique indecomposable of dimension  $\alpha$  if  $\langle \alpha, \delta \rangle \neq 0$  or  $\alpha \leq \delta$ , so suppose  $\langle \alpha, \delta \rangle = 0$  and write  $\alpha = r\delta + \beta$  with  $0 \leq \beta \leq \delta$  a real root. There is a unique regular indecomposable  $Y$  of dimension  $\beta$ , say of period  $p$ , and regular length  $q$ . Let  $X$  be the regular uniserial containing  $Y$  and with regular length  $rp+q$ . Clearly  $\underline{\dim} X = r\delta + \underline{\dim} Y = \alpha$ . It is easy to see that this is the only indecomposable of dimension  $\alpha$ .

REMARKS.

(1) For the Kronecker quiver  $\tilde{A}_1$



the regular simples all have period one. They are

$$k \begin{array}{c} \xrightarrow{\lambda} \\ \xrightarrow{\mu} \end{array} k \quad \lambda, \mu \in \mathbb{P}^1.$$

(2) For the 4-subspace quiver,  $\tilde{D}_4$  with the following orientation



the real regular simples have period 2, and have dimension vectors

$$\begin{array}{cc} 1 & 1 \\ 1100 & 0011 \end{array} \quad \begin{array}{cc} 1 & 1 \\ 1001 & 0110 \end{array} \quad \begin{array}{cc} 1 & 1 \\ 1010 & 0101 \end{array}$$

The regular simples of dimension vector  $\delta$  are

$$k \begin{array}{c} \nearrow \\ \nearrow \\ \nearrow \\ \searrow \end{array} k^2 \quad \text{with maps } \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \end{pmatrix}, \begin{pmatrix} 1 \\ 1 \end{pmatrix}, \begin{pmatrix} 1 \\ \lambda \end{pmatrix} \quad \text{where } \lambda \in k, \lambda \neq 0, 1.$$

(3) One can find lists of regular simples in the tables in the back of V. Dlab & C.M. Ringel, Indecomposable representations of graphs and algebras, Mem. Amer. Math. Soc., 173 (1976). For the different graphs  $\Gamma$  the tubes with period  $\neq 1$  have period as follows

$$\begin{array}{ll} \tilde{A}_m & p, q \quad \text{if } p > 0 \text{ arrows go clockwise and } q > 0 \text{ go anticlockwise.} \\ \tilde{D}_m & m-2, 2, 2 \\ \tilde{E}_6 & 3, 3, 2 \\ \tilde{E}_7 & 4, 3, 2 \\ \tilde{E}_8 & 5, 3, 2 \end{array}$$

One always has  $\sum_{\text{tubes}} (\text{period}-1) = n-2$ , which can be proved with a little more analysis.

## §10. Further topics

In this section I want to list some of the topics which have attracted interest in the past, and which are areas of present research. The lists of papers are only meant to be pointers: you should consult the references in the listed papers for more information.

(1) Kac's Theorem: for any quiver the dimension vectors of the indecomposables are the positive roots of the graph.

V.Kac, Infinite root systems, representations of graphs and invariant theory I,II, Invent. Math 56 (1980), 57-92, J. Algebra 77 (1982), 141-162.

V.Kac, Root systems, representations of quivers and invariant theory, in Springer Lec. Notes 996 (1983), 74-108.

H.Kraft & Ch.Riedtmann, Geometry of representations of quivers, in Representations of algebras (ed. P.Webb) London Math. Soc. Lec. Note Series 116 (1986), 109-145.

(2) Invariant theory and geometry for the action of the group  $GL(\alpha)$  on the variety  $Rep(\alpha)$ .

C.Procesi, The invariant theory of  $n \times n$  matrices, Adv. Math. 19(1976), 306-381.

C.M.Ringel, The rational invariants of the tame quivers, Invent. Math., 58(1980), 217-239.

L.Le Bruyn & C.Procesi, Semisimple representations of quivers, Trans. Amer. Math. Soc. 317 (1990), 585-598.

Ch.Riedtmann & A.Schofield, On open orbits and their complements, J.Algebra 130 (1990), 388-411.

A.Schofield, Semi-invariants of quivers, J. London Math. Soc. 43 (1991), 385-395.

A.Schofield, Generic representations of quivers, preprint.

(3) Construction of the Lie algebra and quantum group of type  $\Gamma$  from the representations of a quiver with graph  $\Gamma$ .

C.M.Ringel, Hall polynomials for the representation-finite hereditary algebras, Adv. Math. 84 (1990), 137-178.

C.M.Ringel, Hall algebras and quantum groups, Invent. Math. 101 (1990), 583-592.

G.Lusztig, Quivers, perverse sheaves, and quantized enveloping algebras, J. Amer. Math. Soc. 4 (1991), 365-421.

A.Schofield, Quivers and Kac-Moody Lie algebras, preprint.

(4) Auslander-Reiten theory for wild quivers. In particular, the behaviour of the functions  $\dim \text{Hom}(X, \tau^i Y)$  for fixed  $X, Y$ .

C.M.Ringel, Finite dimensional hereditary algebras of wild representation type, *Math.Z.*, 161 (1978), 235-255.

V.Dlab and C.M.Ringel, Eigenvalues of Coxeter transformations and the Gelfand-Kirillov dimension of the preprojective algebras, *Proc. Amer. Math. Soc.* 83 (1981), 228-232.

D.Baer, Wild hereditary artin algebras and linear methods, *Manuscripta Math.* 55 (1986), 68-82.

O.Kerner, Tilting wild algebras, *J.London Math.Soc.* 39(1989), 29-47.

J.A.de la Peña & M.Takane, Spectral properties of Coxeter transformations and applications, *Arch. Math.* 55 (1990), 120-134.

O.Kerner & F.Lukas, Regular modules over wild hereditary algebras, preprint.

(5) Tame algebras of global dimension 2, but with properties analogous to those of path algebras: the tame concealed and tubular algebras.

C.M.Ringel, Tame algebras and integral quadratic forms, *Springer Lec. Notes* 1099 (1984).

C.M.Ringel, Representation theory of finite-dimensional algebras, in *Representations of algebras* (ed. P.Webb) *London Math. Soc. Lec. Note Series* 116 (1986), 7-79.

I.Assem & A.Skowronski, Algebras with cycle finite derived categories, *Math. Ann.*, 280 (1988), 441-463.

(6) Interpretation of the representation theory of quivers as non-commutative algebraic geometry.

H.Lenzing, Curve singularities arising from the representation theory of tame hereditary artin algebras, in *Springer Lec.Notes* 1177 (1986), 199-231.

W.Geigle & H.Lenzing, A class of weighted projective curves arising in representation theory of finite dimensional algebras, in *Springer Lec. Notes* 1273 (1987), 265-297.

(7) Tame hereditary algebras when the field is not algebraically closed, and the more ring-theoretic aspects of hereditary algebras.

V.Dlab & C.M.Ringel, Indecomposable representations of graphs and algebras, *Mem. Amer. Math. Soc.*, 173 (1976).

C.M.Ringel, Representations of  $K$ -species and bimodules, *J. Algebra*, 41 (1976), 269-302.

V.Dlab & C.M.Ringel, Real subspaces of a vector space over the quaternions, *Can. J. Math.* 30 (1978), 1228-1242.

A.Schofield, Universal localization for hereditary rings and quivers, in *Springer Lec. Notes* 1197 (1986).

D.Baer, W.Geigle & H.Lenzing, The preprojective algebra of a tame hereditary Artin algebra, *Comm. Algebra* 15 (1987), 425-457.

W.Crawley-Boevey, Regular modules for tame hereditary algebras, *Proc. London Math. Soc.*, 62 (1991), 490-508.

(8) Infinite dimensional representations, and contrast with the theory of abelian groups.

C.M.Ringel, Infinite dimensional representations of finite dimensional hereditary algebras, *Symposia Math.*, 23 (1979), 321-412.

F.Okoh, Indecomposable pure-injective modules over hereditary artin algebras of tame type, *Commun. in Algebra* 8 (1980), 1939-1941.

F.Okoh, Separable modules over finite-dimensional algebras, *J. Algebra*, 116 (1988), 400-414.

A.Dean & F.Zorzitto, Infinite dimensional representations of  $\tilde{D}_4$ , *Glasgow Math. J.* 32 (1990), 25-33.

F.Lukas, A class of infinite-rank modules over tame hereditary algebras, preprint.

D.Happel & L.Unger, A family of infinite-dimensional non-selfextending bricks for wild hereditary algebras, preprint